

The Theory of Quantum Information

John Watrous
Institute for Quantum Computing
University of Waterloo

©2018 John Watrous

To be published by Cambridge University Press.

Please note that this is a draft, pre-publication copy only. The final, published version of this book will be available for purchase through Cambridge University Press and other standard distribution channels. This draft copy is made available for personal use only and must not be sold or redistributed.

Contents

	<i>Preface</i>	<i>page</i> vii
1	Mathematical preliminaries	1
1.1	Linear algebra	1
1.1.1	Complex Euclidean spaces	1
1.1.2	Linear operators	8
1.1.3	Operator decompositions and norms	24
1.2	Analysis, convexity, and probability theory	35
1.2.1	Analysis and convexity	35
1.2.2	Probability theory	47
1.2.3	Semidefinite programming	53
1.3	Suggested references	57
2	Basic notions of quantum information	58
2.1	Registers and states	58
2.1.1	Registers and classical state sets	58
2.1.2	Quantum states of registers	61
2.1.3	Reductions and purifications of quantum states	67
2.2	Quantum channels	72
2.2.1	Definitions and basic notions concerning channels	72
2.2.2	Representations and characterizations of channels	77
2.2.3	Examples of channels and other mappings	91
2.2.4	Extremal channels	96
2.3	Measurements	100
2.3.1	Two equivalent definitions of measurements	100
2.3.2	Basic notions concerning measurements	105
2.3.3	Extremal measurements and ensembles	113
2.4	Exercises	120
2.5	Bibliographic remarks	122

3	Similarity and distance among states and channels	124
3.1	Quantum state discrimination	124
3.1.1	Discriminating between pairs of quantum states	125
3.1.2	Discriminating quantum states of an ensemble	132
3.2	The fidelity function	139
3.2.1	Elementary properties of the fidelity function	140
3.2.2	Characterizations of the fidelity function	144
3.2.3	Further properties of the fidelity function	155
3.3	Channel distances and discrimination	164
3.3.1	Channel discrimination	164
3.3.2	The completely bounded trace norm	166
3.3.3	Distances between channels	175
3.3.4	Characterizations of the completely bounded trace norm	185
3.4	Exercises	197
3.5	Bibliographic remarks	198
4	Unital channels and majorization	201
4.1	Subclasses of unital channels	201
4.1.1	Mixed-unitary channels	202
4.1.2	Weyl-covariant channels	212
4.1.3	Schur channels	219
4.2	General properties of unital channels	222
4.2.1	Extreme points of the set of unital channels	222
4.2.2	Fixed-points, spectra, and norms of unital channels	228
4.3	Majorization	233
4.3.1	Majorization for real vectors	233
4.3.2	Majorization for Hermitian operators	241
4.4	Exercises	246
4.5	Bibliographic remarks	247
5	Quantum entropy and source coding	250
5.1	Classical entropy	250
5.1.1	Definitions of classical entropic functions	250
5.1.2	Properties of classical entropic functions	253
5.2	Quantum entropy	265
5.2.1	Definitions of quantum entropic functions	265
5.2.2	Elementary properties of quantum entropic functions	267
5.2.3	Joint convexity of quantum relative entropy	276
5.3	Source coding	283

5.3.1	Classical source coding	284
5.3.2	Quantum source coding	289
5.3.3	Encoding classical information into quantum states	294
5.4	Exercises	306
5.5	Bibliographic remarks	308
6	Bipartite entanglement	310
6.1	Separability	310
6.1.1	Separable operators and states	310
6.1.2	Separable maps and the LOCC paradigm	324
6.1.3	Separable and LOCC measurements	332
6.2	Manipulation of entanglement	339
6.2.1	Entanglement transformation	339
6.2.2	Distillable entanglement and entanglement cost	345
6.2.3	Bound entanglement and partial transposition	352
6.3	Phenomena associated with entanglement	358
6.3.1	Teleportation and dense coding	359
6.3.2	Non-classical correlations	371
6.4	Exercises	384
6.5	Bibliographic remarks	386
7	Permutation invariance and unitarily invariant measures	390
7.1	Permutation-invariant vectors and operators	390
7.1.1	The subspace of permutation-invariant vectors	391
7.1.2	The algebra of permutation-invariant operators	400
7.2	Unitarily invariant probability measures	408
7.2.1	Uniform spherical measure and Haar measure	408
7.2.2	Applications of unitarily invariant measures	420
7.3	Measure concentration and its applications	429
7.3.1	Lévy's lemma and Dvoretzky's theorem	430
7.3.2	Applications of measure concentration	447
7.4	Exercises	460
7.5	Bibliographic remarks	462
8	Quantum channel capacities	464
8.1	Classical information over quantum channels	464
8.1.1	Classical capacities of quantum channels	465
8.1.2	The Holevo–Schumacher–Westmoreland theorem	476
8.1.3	The entanglement-assisted classical capacity theorem	493
8.2	Quantum information over quantum channels	512

8.2.1	Definitions of quantum capacity and related notions	512
8.2.2	The quantum capacity theorem	521
8.3	Non-additivity and super-activation	538
8.3.1	Non-additivity of the Holevo capacity	539
8.3.2	Super-activation of quantum channel capacity	545
8.4	Exercises	556
8.5	Bibliographic remarks	558
	<i>References</i>	561
	<i>Index of Symbols</i>	573
	<i>Index</i>	584

Preface

This is a book on the mathematical theory of quantum information, focusing on a formal presentation of definitions, theorems, and proofs. It is primarily intended for graduate students and researchers having some familiarity with quantum information and computation, such as would be covered in an introductory-level undergraduate or graduate course, or in one of several books on the subject that now exist.

Quantum information science has seen an explosive development in recent years, particularly within the past two decades. A comprehensive treatment of the subject, even if restricted to its theoretical aspects, would certainly require a series of books rather than just one. Consistent with this fact, the selection of topics covered herein is not intended to be fully representative of the subject. Quantum error correction and fault-tolerance, quantum algorithms and complexity theory, quantum cryptography, and topological quantum computation are among the many interesting and fundamental topics found within the theoretical branches of quantum information science that are not covered in this book. Nevertheless, one is likely to encounter some of the core mathematical notions discussed in this book when studying these topics.

More broadly speaking, while the theory of quantum information is of course motivated both by quantum mechanics and the potential utility of implementing quantum computing devices, these topics fall well outside of the scope of this book. The Schrödinger equation will not be found within these pages, and the difficult technological challenge of building quantum information processing devices is blissfully ignored. Indeed, no attention is paid in general to motives for studying the theory of quantum information; it is assumed that the reader has already been motivated to study this theory, and is perhaps interested in proving new theorems on quantum information of his or her own.

Some readers will find that this book deviates in some respects from the standard conventions of quantum information and computation, particularly with respect to notation and terminology. For example, the commonly used Dirac notation is not used in this book, and names and symbols associated with certain concepts differ from many other works. These differences are, however, fairly cosmetic, and those who have previously grown familiar with the notation and conventions of quantum information that are not followed in this book should not find it overly difficult to translate between the text and their own preferred notation and terminology.

Each chapter aside from the first includes a collection of exercises, some of which can reasonably be viewed as straightforward, and some of which are considerably more difficult. While the exercises may potentially be useful to course instructors, their true purpose is to be useful to students of the subject; there is no substitute for the learning experience to be found in wrestling with (and ideally solving) a difficult problem. In some cases the exercises represent the results of published research papers, and in those cases there has naturally been no attempt to disguise this fact or hide their sources, which may clearly reveal their solutions.

I thank Debbie Leung, Ashwin Nayak, Marco Piani, and Patrick Hayden for helpful discussions on some of the topics covered in this book. Over a number of years, this book has developed from a set of lecture notes, through a couple of drafts, to the present version, and during that time many people have brought mistakes to my attention and made other valuable suggestions, and I thank all of them. While the list of such people has grown quite long, and will not be included in this preface, I would be remiss if I did not gratefully acknowledge the efforts of Yuan Su and Maris Ozols, who provided extensive and detailed comments, corrections, and suggestions. Thanks are also due to Sascha Agne for assisting me with German translations.

The Institute for Quantum Computing and the School of Computer Science at the University of Waterloo have provided me with both the opportunity to write this book and with an environment in which it was possible, for which I am grateful. I also gratefully acknowledge financial support for my research program provided by the Natural Sciences and Engineering Research Council of Canada and the Canadian Institute for Advanced Research.

Finally, I thank Christiane, Anne, Liam, and Ethan, for reasons that have nothing to do with quantum information.

John Watrous
Waterloo, January 2018