

Fife's Theorem for $\frac{7}{3}$ -Powers

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Powers of words

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More generally, an n th power is a nonempty word of the form

$$x^n = \overbrace{xx \cdots x}^n.$$

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For example, **alfalfa** is a $\frac{7}{3}$ -power, since it is of length 7 and is 3-periodic.

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Thue and overlap-free words

Axel Thue proved that the Thue-Morse word

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The Thue-Morse word can also be viewed in another way: as the **fixed point** of the Thue-Morse morphism μ sending $0 \rightarrow 01$, $1 \rightarrow 10$.

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Can we somehow characterize **all** infinite overlap-free binary words?

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Example: the canonical decomposition of 001001101001 is

$$0010 \quad 0110 \quad 1001.$$

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These properties amount to specifying a finite automaton accepting the set of valid words.

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- ▶ verifying the automaton is complicated
- ▶ not clear how to extend this to other kinds of repetitions, such as $\frac{7}{3}$ -powers

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Theorem.

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Furthermore, if $|w| \geq 7$, then this decomposition is unique.

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Furthermore, the correct decomposition can be deduced by examining the first 5 symbols of \mathbf{w} .

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Further, this decomposition is unique.

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So \mathbf{h} is encoded by the sequence of indices $2313131 \dots = 2(31)^\omega$.

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Valid decomposition sequences

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For example, if $x_1 = 00$, then $x_2 \neq 0$, for otherwise \mathbf{w} begins $00\mu(0) = 0001$, which has an overlap.

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Yes, using a finite automaton.

The automaton

Let \mathcal{O} denote the set of all infinite overlap-free words.

States of the automaton represent subsets of \mathcal{O} , as follows:

$$A = \mathcal{O}$$

$$B = \{\mathbf{x} \in \Sigma^\omega : 1\mathbf{x} \in \mathcal{O}\}$$

$$C = \{\mathbf{x} \in \Sigma^\omega : 1\mathbf{x} \in \mathcal{O} \text{ and } \mathbf{x} \text{ begins with } 101\}$$

$$D = \{\mathbf{x} \in \Sigma^\omega : 0\mathbf{x} \in \mathcal{O}\}$$

$$E = \{\mathbf{x} \in \Sigma^\omega : 0\mathbf{x} \in \mathcal{O} \text{ and } \mathbf{x} \text{ begins with } 010\}$$

$$F = \{\mathbf{x} \in \Sigma^\omega : 0\mathbf{x} \in \mathcal{O} \text{ and } \mathbf{x} \text{ begins with } 11\}$$

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$$I = \{\mathbf{x} \in \Sigma^\omega : 1\mathbf{x} \in \mathcal{O} \text{ and } \mathbf{x} \text{ begins with } 00\}$$

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$$K = \{\mathbf{x} \in \Sigma^\omega : 0\mathbf{x} \in \mathcal{O} \text{ and } \mathbf{x} \text{ begins with } 0\}$$

Theorem.

Every infinite binary overlap-free word x is encoded by an infinite path, starting in state A , through the automaton.

The result for overlaps

Theorem.

Every infinite binary overlap-free word \mathbf{x} is encoded by an infinite path, starting in state A , through the automaton.

Every infinite path through the automaton not ending in 0^ω codes a unique infinite binary overlap-free word \mathbf{x} . If a path \mathbf{i} ends in 0^ω and this suffix corresponds to a cycle on state A or a cycle between states B and D , then \mathbf{x} is coded by either $\mathbf{i}; 0$ or $\mathbf{i}; 1$. If a path \mathbf{i} ends in 0^ω and this suffix corresponds to a cycle between states J and K , then \mathbf{x} is coded by $\mathbf{i}; 0$. If a path \mathbf{i} ends in 0^ω and this suffix corresponds to a cycle between states G and H , then \mathbf{x} is coded by $\mathbf{i}; 1$.

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Rampersad (2005) showed that the only $\frac{7}{3}$ -power-free binary words that are the fixed points of a non-identity morphism are the Thue-Morse word and its complement; furthermore $\frac{7}{3}$ is best possible.

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Currie & Rampersad (2010) showed that $\frac{7}{3}$ is the infimum of all exponents α such that there exists an infinite word avoiding α -powers and containing arbitrarily large squares beginning at every position.

Extending Fife to $\frac{7}{3}$ -Powers

Partial results in the Ph. D. thesis of Narad Rampersad (2007)

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Relies on a version of the Restivo-Salemi decomposition that works for $\frac{7}{3}$ -powers:

Theorem.

Let $2 < \alpha \leq \frac{7}{3}$. Then every infinite binary α -power-free word \mathbf{w} can be written uniquely in the form

$$\mathbf{w} = x \mu(\mathbf{y})$$

where $x \in \{\epsilon, 0, 1, 00, 11\}$ and \mathbf{y} is overlap-free.

Extending Fife to $\frac{7}{3}$ -Powers

Partial results in the Ph. D. thesis of Narad Rampersad (2007)

Done for finite words by Blondel, Cassaigne, and Jungers (2009)

In this talk: a simpler version, but for infinite words.

Relies on a version of the Restivo-Salemi decomposition that works for $\frac{7}{3}$ -powers:

Theorem.

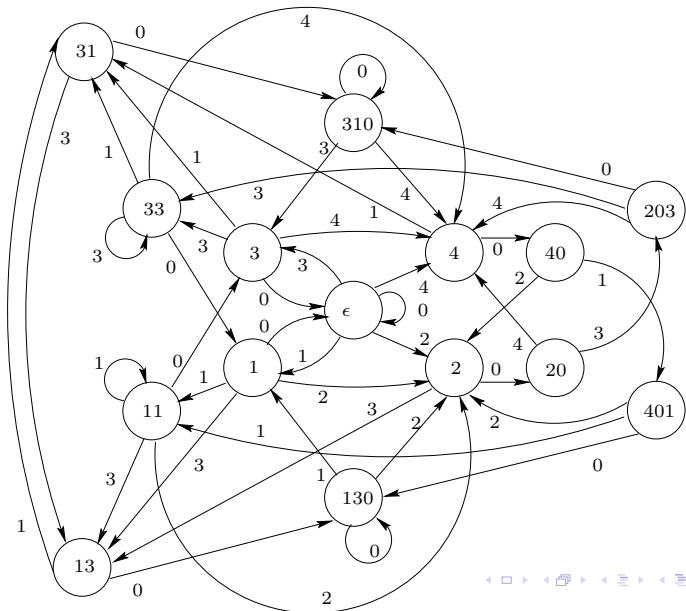
Let $2 < \alpha \leq \frac{7}{3}$. Then every infinite binary α -power-free word \mathbf{w} can be written uniquely in the form

$$\mathbf{w} = x \mu(\mathbf{y})$$

where $x \in \{\epsilon, 0, 1, 00, 11\}$ and \mathbf{y} is overlap-free.

Furthermore, the correct decomposition can be deduced by examining the first 5 symbols of \mathbf{w} .

The Fife-like automaton for $\frac{7}{3}$ -powers



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Many of these follow from known results on α -power-free words.

Others require some (fairly simple) ad hoc reasoning.

Proof of one assertion

$F_{23} = F_{13}$: in other words, $00\mu(1\mu(\mathbf{w}))$ is $\frac{7}{3}$ -power-free iff $0\mu(1\mu(\mathbf{w}))$ is $\frac{7}{3}$ -power-free.

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However, if $0\mu(1\mu(\mathbf{w}))$ is $\frac{7}{3}$ -power-free, then \mathbf{w} must start with 0 (else $0\mu(1\mu(\mathbf{w}))$ would start with 01010).

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However, if $0\mu(1\mu(\mathbf{w}))$ is $\frac{7}{3}$ -power-free, then \mathbf{w} must start with 0 (else $0\mu(1\mu(\mathbf{w}))$ would start with 01010).

So $00\mu(1\mu(\mathbf{w}))$ starts with 001001. But this word cannot appear twice, because any letter that precedes it gives a $\frac{7}{3}$ -power.

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Proof. Examine the possible paths in the automaton.

More consequences of the main theorem

An infinite word $(a_n)_{n \geq 0}$ is **k -automatic** if there is an automaton with output that, on input n in base k , reaches a state whose associated output is a_n .

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Theorem. An infinite $\frac{7}{3}$ -power-free word is 2-automatic if and only if (a) it is encoded by the automaton previously shown and (b) the sequence of symbols coding it is ultimately periodic.

Proof of 2-automatic result

It suffices to look at the 2-decimation of

$$x_1 \mu(x_2) \mu^2(x_3) \cdots .$$

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But if it is finite then for some $i < j$ we have

$$x_i \mu(x_{i+1}) \mu^2(x_{i+2}) \cdots = x_j \mu(x_{j+1}) \mu^2(x_{j+2}) \cdots$$

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so the x_i are ultimately periodic with period $j - i$.

On the other hand, if the x_i are ultimately periodic then the set of all decimations is finite, since we can specify any decimation by (1) an initial term of length at most 4 (2) whether subsequent terms are complemented and (3) which of a finite set of x_i begins the second term.

For Further Reading

- ▶ J. Berstel. A rewriting of Fife's theorem about overlap-free words. In J. Karhumäki, H. Maurer, and G. Rozenberg, editors, *Results and Trends in Theoretical Computer Science*, Vol. 812 of *Lecture Notes in Computer Science*, pp. 19–29. Springer-Verlag, 1994.
- ▶ V. D. Blondel, J. Cassaigne, and R. M. Jungers. On the number of α -power-free binary words for $2 < \alpha \leq 7/3$. *Theoret. Comput. Sci.* **410** (2009), 2823–2833.
- ▶ E. D. Fife. Binary sequences which contain no *BBb*. *Trans. Amer. Math. Soc.* **261** (1980), 115–136.
- ▶ A. Restivo and S. Salemi. Overlap free words on two symbols. In M. Nivat and D. Perrin, editors, *Automata on Infinite Words*, Vol. 192 of *Lecture Notes in Computer Science*, pp. 198–206. Springer-Verlag, 1985.