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Recurrences, and Regular Sets**

**Jeffrey Shallit**

**Research Report CS-91-32  
July 1991**

**Department of Computer Science  
University of Waterloo  
Waterloo, Ontario  
Canada N2L 3G1**

**Faculty  
of  
Mathematics**

University of Waterloo  
Waterloo, Ontario, Canada

N2L 3G1

# Numeration Systems, Linear Recurrences, and Regular Sets

Jeffrey Shallit\*

Department of Computer Science  
University of Waterloo  
Waterloo, Ontario, Canada N2L 3G1  
shallit@graceland.waterloo.edu

July 21, 1991

## Abstract

A numeration system based on a strictly increasing sequence of positive integers  $u_0 = 1, u_1, u_2, \dots$  expresses a non-negative integer  $n$  as a sum  $n = \sum_{j=0}^i a_j u_j$ . In this case we say the string  $a_i a_{i-1} \dots a_1 a_0$  is a *representation* for  $n$ . If  $\gcd(u_0, u_1, \dots) = g$ , then every sufficiently large multiple of  $g$  has some representation.

If the lexicographic ordering on the representations is the same as the usual ordering of the integers, we say the numeration system is *order-preserving*. In particular, if  $u_0 = 1$ , then the *greedy representation*, obtained via the greedy algorithm, is order-preserving. We prove that, subject to some technical assumptions, if the set of all representations in an order-preserving numeration system is regular, then the sequence  $u = (u_j)_{j \geq 0}$  satisfies a linear recurrence. The converse, however, is not true.

The proof uses two lemmas about regular sets that may be of independent interest. The first shows that if  $L$  is regular, then the set of lexicographically greatest strings of every length in  $L$  is also regular. The second shows that the number of strings of length  $n$  in a regular language  $L$  is bounded by a constant (independent of  $n$ ) iff  $L$  is the finite union of sets of the form  $wx^*y$ .

## 1 Introduction

Let  $\Sigma$  be a finite or infinite alphabet. A *numeration system* is a map  $\mathbb{N} \rightarrow \Sigma^*$  that assigns a string, called the *representation* of  $n$ , to a nonnegative integer  $n$ . Common examples

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\*Supported in part by a grant from NSERC.

of numeration systems include radix- $k$  representation (for  $k$  an integer  $\geq 2$ ), Fibonacci representation, factorial representation, etc. See [7, 8] for surveys on numeration systems.

One of the most common ways to construct a numeration system is to start with a strictly increasing sequence of positive integers  $u_0, u_1, u_2, \dots$  and try to express  $n$  as a non-negative integer linear combination of the  $u_j$ , say  $n = \sum_{0 \leq j \leq i} a_j u_j$ . If we can write  $n$  in this manner, we say  $n$  is *representable*, and one representation is the string  $a_i a_{i-1} \dots a_1 a_0$ . We define

$$\text{val}(a_i a_{i-1} \dots a_1 a_0) = \sum_{0 \leq j \leq i} a_j u_j.$$

We say such a representation is *normal* if  $a_i \neq 0$ .

Note that if

$$\text{gcd}(u_0, u_1, \dots) = g,$$

then every sufficiently large multiple of  $g$  is representable.

For some choices of the sequence  $u = (u_j)_{j \geq 0}$ , the “digits”  $a_j$  may be required to be arbitrarily large. An example of this is the so-called *factorial representation*, where  $u_j = (j+1)!$ . See, for example, [7]. In this paper we only consider numeration systems with bounded digits. A necessary condition to ensure bounded digits is that the ratio  $u_j/u_{j-1}$  is bounded by a constant.

Since there may be many normal representations for a number  $n$ , it makes sense to try to identify one which is “canonical”. This can be done in a variety of ways; for example, one could choose the *lexicographically least* or *lexicographically greatest* representation. (If  $x = x_1 x_2 \dots x_i$  and  $y = y_1 y_2 \dots y_j$  are strings, we say  $x$  is lexicographically greater than  $y$ , and write  $x > y$ , if  $i > j$ , or if  $i = j$  and there exists an integer  $k$ ,  $1 \leq j \leq n$ , such that  $x_1 = y_1, x_2 = y_2, \dots, x_{k-1} = y_{k-1}$ , but  $x_k > y_k$ .)

A desirable property of a numeration system is that the mapping that sends an integer  $n$  to its (normal) canonical representation be *order-preserving*. More precisely, we require that for representable integers  $m, n$ , we have  $m > n$  iff  $\text{rep}(m) > \text{rep}(n)$ . Here  $\text{rep}(m)$  denotes the canonical representation for  $m$ .

The *greedy representation*  $\text{grep}(n)$  of a positive integer  $n$  is defined as follows: let  $i$  be the largest index such that  $u_i \leq n$ . Then successively set  $a_i \leftarrow \lfloor n/u_i \rfloor$ ,  $n \leftarrow n - a_i u_i$ , and  $i \leftarrow i - 1$  until  $i < 0$ . If  $n = \sum_{0 \leq j \leq i} a_j u_j$ , then the greedy representation for  $n$  is the string  $a_i a_{i-1} \dots a_1 a_0$ , and we say  $n$  is *greedily representable*. If not, then the representation for  $n$  is undefined. The greedy representation for 0 is defined to be  $\epsilon$ , the empty string. The set of representations of all greedily representable integers is written  $G(u)$ .

Every non-negative integer is greedily representable iff  $u_0 = 1$ . If  $u_0 \neq 1$ , it is possible for a number to be representable, but not greedily representable. For example, consider expressing 4 in the numeration system  $(u_0, u_1, u_2, \dots) = (2, 3, 5, \dots)$ .

It is easy to see that the greedy representation is order-preserving; furthermore, it coincides with the lexicographically greatest representation if  $u_0 = 1$ .

We define  $R(u)$  to be the set of canonical representations for all non-negative integers. More formally,

$$R(u) = \sum_{\substack{n \geq 0 \\ n \text{ representable}}} \text{rep}(n).$$

*Example 1.*

Let  $u_j = k^j$ , for  $k$  an integer  $\geq 2$ . Then the set of greedy representations  $G(u)$  is

$$\epsilon + (1 + 2 + \cdots + k - 1)(0 + 1 + \cdots + k - 1)^*.$$

*Example 2.*

Let  $u_j = F_{j+2}$ , where  $F_j$  is the  $j$ th Fibonacci number. Then it can be shown that the set of greedy representations  $G(u)$  is

$$\epsilon + 1(0 + 01)^*.$$

(For more on Fibonacci representations, see [20, Ex. 1.2.8.34], [25], [4], [19], and [1].)

*Example 3.*

Let  $u_j = 2^{j+1} - 1$ . Then the set of greedy representations is

$$\epsilon + 1(0 + 1)^* + 1(0 + 1)^*20^* + 20^*.$$

See [3].

*Example 4.*

Let  $u_j = 2^j$ , and consider a numeration system using only the digits 1 and 2. Then it is easy to see that every non-negative integer can be written uniquely, and the set of representations is given by the regular set  $(1 + 2)^*$ . This numeration system, while not obtained via the greedy algorithm, is nonetheless order-preserving.

In this paper, we prove the following theorem: suppose the set of all representations  $R(u)$  is regular. Then the sequence  $u$  satisfies a linear recurrence with integral constant coefficients.

The proof depends on two lemmas about regular sets, which may be of independent interest.

*Remarks on the literature.*

The point of view we will adopt in this paper is similar to that of Frougny, who has written extensively on this topic. See [9, 10, 11, 12, 14, 13].

In [24], I proved that the set of greedy representations is regular for the numeration systems with bounded digits considered by Fraenkel [7].

We note several other papers that have examined the relationship between ways of representing numbers and regular sets. See [15, 23, 6, 22, 16, 17]. However, these papers have adopted a very different point of view.

## 2 More Notation

Throughout this paper,  $\Sigma$  is a finite alphabet, and  $r, s, t$  denote regular expressions. The letters  $v, w, x, y, z$  denote strings. The lower-case letters  $a, b, c, g, i, j, k, m, n$  and the upper-case letters  $A, B, C$  denote integers. We also use the letters  $a$  and  $b$  to represent elements of  $\Sigma$ . The capital letter  $L$  denotes a language and the capital letters  $W, X, Y$  denote finite languages.

## 3 Lexicographically Largest Strings

Suppose  $L$  is a regular language over a finite alphabet  $\Sigma$ . Suppose  $\Sigma$  has a total ordering; for example, suppose  $\Sigma = \{0, 1, 2, \dots, k-1\}$ . If  $x, y \in \Sigma^n$ , we say  $x > y$  if  $x$  is lexicographically greater than  $y$ . More precisely, we say  $x > y$  if there exists an integer  $i$ ,  $0 \leq i \leq n-1$ , such that  $x_1 = y_1, x_2 = y_2, \dots, x_i = y_i$ , but  $x_{i+1} > y_{i+1}$ . Then let  $B(L)$  be the union, over all  $n \geq 0$ , of the lexicographically largest string of length  $n$  in  $L$ . (By considering  $L \cup 0^*$ , we may assume without loss of generality that there is at least one string of every length in  $L$ .) More formally, define

$$B(L) = \bigcup_{n \geq 0} \{x \in L \cap \Sigma^n : (y \in L \cap \Sigma^n) \Rightarrow x \geq y\}.$$

**Lemma 1** *If  $L$  is regular, then so is  $B(L)$ .*

**Proof.**

We show that  $L - B(L)$ , the relative complement of  $B(L)$  in  $L$ , is a regular set. The result will then follow, since regular sets are closed under complement.

Let  $M = (Q, \Sigma, \delta, q_0, F)$  be a deterministic finite automaton accepting  $L$ . (See [18] for basic notions about automata and the notational conventions we use here.) The idea is to accept  $L - B(L)$  with a nondeterministic finite automaton. We use two "fingers" to mimic the behavior of  $M$  on input  $w$ : the first "finger" imitates  $M$  precisely. The second "finger" nondeterministically simulates  $M$  on all possible inputs of length  $|w|$ , trying to find some string in  $L$  that is lexicographically greater than  $w$ . If we succeed, and  $w$  is accepted by  $M$ , then we have found a string in  $L$  that is not lexicographically greatest, and so we accept.

More formally, let  $M' = (Q', \Sigma, \delta', q'_0, F')$ , a nondeterministic finite automaton, where  $Q' = Q \times Q \times \{g, e, l\}$ . Here  $g$  indicates that in the current state, we have already found a string lexicographically greater than the prefix of the input  $w$  seen so far. Similarly,  $e$  indicates equality, and  $l$  indicates less than. For each  $a \in \Sigma$ , define

$$\delta'([p, q, g], a) = \{[\delta(p, a), \delta(q, b), g] : b \in \Sigma^*\},$$

$$\delta'([p, q, e], a) = \{[\delta(p, a), \delta(q, b), x] : b \in \Sigma^*\},$$

where  $x = g$  if  $a > b$ ,  $x = e$  if  $a = b$ , and  $x = l$  if  $a < b$ , and

$$\delta'([p, q, l], a) = \{[\delta(p, a), \delta(q, b), l] : b \in \Sigma^*\}.$$

Finally, define  $q'_0 = [q_0, q_0, e]$  and

$$F' = \{[p, q, l] : p, q \in F\}.$$

We leave it to the reader to show that  $M'$  accepts  $w$  if and only if  $w \in L - B(L)$ . ■

**Corollary 2** *If  $L$  is regular, then so is the set*

$$S(L) = \bigcup_{n \geq 0} \{x \in L \cap \Sigma^n : (y \in L \cap \Sigma^n) \Rightarrow x \leq y\}.$$

*of lexicographically smallest strings of every length in  $L$ .*

## 4 Bounded Regular Sets

In this section we prove that if  $L$  is a regular language such that the number of strings of length  $n$  in  $L$  is bounded by a constant (independent of  $n$ ), then  $L$  is the finite union of sets of particularly simple form. More formally, we have

**Lemma 3** *The following two statements are equivalent:*

- (i)  $L \subseteq \Sigma^*$  is regular and there exists a constant  $c$  such that  $|L \cap \Sigma^n| \leq c$  for all  $n \geq 0$
- (ii)  $L$  is the finite union of sets of the form  $xy^*z$ , where  $x, y, z \in \Sigma^*$ .

**Proof.**

(ii)  $\Rightarrow$  (i): Suppose

$$L = \sum_{i=1}^c x_i y_i^* z_i.$$

Then for each  $n \geq 0$ ,  $x_i y_i^* z_i$  contains at most one string of length  $n$ . Hence  $L$  contains at most  $c$  strings of length  $n$ .

(i)  $\Rightarrow$  (ii): Let  $r$  be a non-trivial regular expression denoting  $L$ . The result is clearly true for  $L = \emptyset$ . Thus we may assume that the regular expression  $r$  does not contain  $\emptyset$ . We will show the following two "reduction" steps:

(a) If  $r$  contains a subexpression of the form  $t^*$ , then  $L(t^*)$  can be rewritten in the form  $X + Yz^*$ , and

(b) If  $r$  contains a subexpression of the form  $s_1^* t s_2^*$ , where  $t$  contains no star, then  $L(s_1^* t s_2^*)$  can be rewritten in the form  $Wz^*X$ .

The implication (i)  $\Rightarrow$  (ii) will then follow.

(a) Suppose  $r$  contains a subexpression of the form  $t^*$ . Clearly if  $|L(t)| \leq 1$ , then  $t^*$  is already of the form  $xy^*z$ . Suppose  $|L(t)| = 2$ , say  $L(t) = \{x, y\}$ . Choose a positive integer  $m$  sufficiently large such that the linear Diophantine equation

$$a|x| + b|y| = m \tag{1}$$

has  $\geq c + 1$  solutions  $(a, b)$  in non-negative integers. (For example, it suffices to choose  $m = c \operatorname{lcm}(|x|, |y|)$ .) Then by the hypothesis, we must have

$$x^a y^b = x^{a'} y^{b'}$$

for some distinct pairs  $(a, b), (a', b')$  satisfying (1), for otherwise  $t$  and hence  $L$  would contain  $\geq c + 1$  strings of length  $n$ , for some  $n$ .

Without loss of generality we may assume  $a \geq a', b \leq b'$ . Then

$$x^{a-a'} = y^{b'-b}.$$

By [21, Prop. 1.3.1] there exists a string  $z$  and integers  $i, j$  such that  $x = z^i, y = z^j$ . Hence

$$(x + y)^* = (z^i + z^j)^* = X + z^{\operatorname{lcm}(i,j)} z^*$$

for some finite set  $X$ . Thus we can replace the  $t^*$  in  $r$  by a set of the form  $X + Yz^*$ .

If  $|L(t)| > 2$ , we can repeat the argument above on pairs to obtain that *each* element of  $L(t)$  is a power of some string  $z$ . Let us write

$$L(t) = \sum_{i=1}^{\infty} z^{a_i}.$$

Set  $g = \gcd(a_1, a_2, \dots)$ . Then there is a finite subset of the  $a_i$ , say  $b_1 \leq b_2 \leq \dots \leq b_k$ , such that  $g = \gcd_{1 \leq j \leq k} b_j$ . Let

$$m = (b_1 - 1)(b_k - 1).$$

I claim that for some finite set  $X$ ,

$$L(t) = X + z^m z^*.$$

For if  $a_i \geq m$ , we clearly have  $z^{a_i} \in z^m z^*$ , and there are only a finite number of distinct  $z^{a_i}$  that are not in  $z^m z^*$ . These we put in  $X$ . On the other hand, by a result of Brauer [2, Corollary to Thm. 1], we know that each  $n \geq m$  is a nonnegative integer linear combination of the  $b_i$ . Hence every string  $z^j$  with  $j \geq m$  must be in  $(z^{b_1} + z^{b_2} + \dots + z^{b_k})^*$ , and hence in  $L(t^*)$ . This proves (a) and shows incidentally that  $L$  is of star-height 1. Hence by a theorem of Cohen [5, Lemma 3.1], we may assume that

$$L = X_1 + X_2 + \dots + X_j,$$

where each  $X_i$  can be written in the form

$$w_1 x_1^* w_2 x_2^* \cdots w_k x_k^* w_{k+1}. \quad (2)$$

To prove (b), suppose  $r$  contains a subexpression of the form  $s_1^* t s_2^*$ . Then by the remark above, we may assume that  $L(s_1) = \{x\}$ ,  $L(s_2) = \{y\}$ , and  $L(t) = \{z\}$ .

As above, choose  $m$  sufficiently large such that

$$a|x| + b|y| = m \quad (3)$$

has  $\geq c + 1$  solutions. Then by the hypothesis that  $L$  contains no more than  $c$  strings of length  $n$  for all  $n$ , we must have

$$x^a z y^b = x^{a'} z y^{b'}$$

for two distinct pairs  $(a, b)$ ,  $(a', b')$  satisfying (3). We may assume without loss of generality that  $a > a'$  and  $b < b'$ . Then

$$x^{a-a'} z = z y^{b'-b}.$$

Using [21, Prop. 1.3.4], we see that there exist strings  $v, w$  and an integer  $e$  such that

$$x^{a-a'} = vw; \quad y^{b'-b} = wv; \quad z = v(wv)^e = (vw)^e v.$$

Hence

$$x^{a-a'} z y^{b'-b} = (vw)^{e+2} v.$$

Thus we see that

$$x^* z y^* = (\epsilon + x + x^2 + \cdots + x^{A-1})(vw)^*(vw)^e v (wv)^*(\epsilon + y + y^2 + \cdots + y^{B-1}),$$

where  $A = a - a'$  and  $B = b' - b$ . Thus we have  $x^* z y^* = X(vw)^* Y$  for finite sets  $X$  and  $Y$ .

To complete the proof of the lemma, we apply observation (b) repeatedly to terms of the form (2). At each stage, a term with  $k > 1$  stars is reduced to a sum of terms with  $k - 1$  stars. The final result is a sum of terms with one star, and the result follows. ■

## 5 A Lemma on Linear Recurrences

Suppose we are given a sequence whose even and odd-numbered terms each satisfy a linear recurrence with integer coefficients, but not the same one, such as

$$\begin{aligned} A_{2n} &= 2A_{2n-1} + A_{2n-2} \\ A_{2n-1} &= 3A_{2n-2} + A_{2n-3} + A_{2n-4} - A_{2n-5}. \end{aligned} \quad (4)$$

Can we then conclude that the sequence  $A_n$  itself satisfies a linear recurrence with integer coefficients?

The answer is yes, as the following lemma shows:



**Theorem 4** Let  $k, d$  be positive integers, with  $d \geq k$ , and let  $M = M_{ij}$  be a matrix of integers such that for all  $n \geq 0$  we have

$$\begin{aligned} A_{kn} &= M_{11}A_{kn-1} + M_{12}A_{kn-2} + \cdots + M_{1d}A_{kn-d} \\ A_{kn-1} &= M_{21}A_{kn-2} + M_{22}A_{kn-3} + \cdots + M_{2d}A_{kn-d-1} \\ &\vdots \\ A_{kn-k+1} &= M_{k1}A_{kn-k-2} + M_{k2}A_{kn-k-3} + \cdots + M_{kd}A_{kn-k-d+1}. \end{aligned}$$

Then the sequence  $A_n$  itself satisfies a linear recurrence with constant coefficients.

**Proof.**

Note that  $d$  is the maximum degree of the characteristic polynomials for the subsequences  $A_{kn}, A_{kn+1}, \dots, A_{kn+k-1}$ .

By successively substituting the relations for  $A_{kn-1}, A_{kn-2}, \dots$  in the relation for  $A_{kn}$ , etc., we can find another matrix  $P = P_{ij}$  such that for all  $n$  sufficiently large, we have

$$\begin{aligned} A_{kn} &= P_{11}A_{kn-k} + P_{12}A_{kn-k-1} + \cdots + P_{1d}A_{kn-k-d+1} \\ A_{kn-1} &= P_{21}A_{kn-k} + P_{22}A_{kn-k-1} + \cdots + P_{2d}A_{kn-k-d+1} \\ &\vdots \\ A_{kn-d+1} &= P_{d1}A_{kn-k} + P_{d2}A_{kn-k-1} + \cdots + P_{dd}A_{kn-k-d+1}. \end{aligned}$$

Note that  $P$  is a square matrix. Let  $f(X)$  be the characteristic polynomial of  $P$ . Then each of the sequences  $A_{kn}, A_{kn-1}, \dots, A_{kn-k+1}$  satisfies the same linear recurrence, namely, the one whose characteristic polynomial is  $f(X)$  (at least for  $n$  sufficiently large). Thus for  $n$  large enough,  $A_n$  satisfies the linear recurrence whose characteristic polynomial is  $f(X^k)$ . ■

**Example.**

For example, for the recurrence specified by (4), we get

$$\begin{bmatrix} A_{2n} \\ A_{2n-1} \\ A_{2n-2} \\ A_{2n-3} \end{bmatrix} \begin{bmatrix} 7 & 2 & 2 & -2 \\ 3 & 1 & 1 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} A_{2n-2} \\ A_{2n-3} \\ A_{2n-4} \\ A_{2n-5} \end{bmatrix}.$$

The characteristic polynomial for the matrix is  $X^4 - 8X^3 - X$ , so  $A_n$  satisfies the recurrence  $A_n = 8A_{n-2} + A_{n-6}$ .

## 6 Proof of the Main Result

In this section, we prove the result mentioned in the introduction. The details of the proof are a little messy, so it may be helpful to first give the proof in the case of ordinary base-3

representation. In this case, the set of representations  $R(u)$  is  $\epsilon + (1+2)(0+1+2)^*$ . It is easy to see that  $B(R(u))$ , the set of lexicographically greatest representations, is  $2^*$ . Since

$$\text{val}(\overbrace{100\dots 0}^k) = 1 + \text{val}(\overbrace{22\dots 2}^k),$$

it follows that

$$u_k = 1 + 2u_{k-1} + 2u_{k-2} + \dots + 2u_0. \quad (5)$$

Similarly, we also have

$$u_{k+1} = 1 + 2u_k + 2u_{k-1} + \dots + 2u_0. \quad (6)$$

Subtracting (5) from (6), we see

$$u_{k+1} - u_k = 2u_k,$$

and hence  $u_{k+1} = 3u_k$ .

We now state and prove the main result of the paper:

**Theorem 5** *Let  $u_0, u_1, \dots$  be a strictly increasing sequence of non-negative integers such that*

(a)  $\gcd(u_0, u_1, \dots) = g$ , and a canonical representation is provided for every sufficiently large multiple of  $g$ ;

(b) for every sufficiently large  $n$ , there exists a representation in  $R(u)$  of length  $n$ ;

and

(c) the numeration system based on  $u$  is order-preserving.

If  $R(u)$  is regular, then the sequence  $u = (u_n)_{n \geq 0}$  satisfies a linear recurrence with integral constant coefficients.

Before we begin the proof, let us explain the role of the technical hypotheses (a)-(b). For (a), if  $\gcd(u_0, u_1, \dots) = g$ , then every sufficiently large multiple of  $g$  has some representation as a non-negative integer linear combination of the  $u_i$ . We wish to avoid the case where "most" representable integers simply do not have a canonical representation.

Hypothesis (b) is needed to exclude cases similar to the following: suppose our numeration system is

$$1, u_0, 10, u_1, 100, u_2, \dots, \quad (7)$$

where  $u$  is any sequence that does not satisfy a linear recurrence, and  $10^i < u_i \leq 10^{i+1}$ . If we choose as our numeration system ordinary base-10 representation, and simply never use the  $u_i$  in any representation, we get a numeration system that is order-preserving, and a set of representations which is regular. However, the sequence (7) clearly does not satisfy a linear recurrence.

Note that hypothesis (b) is satisfied by both the greedy representation and the lexicographically greatest representation, for then the representation for  $u_n$  is  $10^n$ . If  $u_0 = 1$ , then all three hypotheses are satisfied for the greedy algorithm.

Now let us begin the proof.

**Proof (Sketch).**

Let  $g = \gcd(u_0, u_1, \dots)$ . Then there exists an integer  $C$  such that all  $n \geq C$  are representable.

If  $L = R(u)$  is regular, then by Lemma 1, the set  $B(L)$  of lexicographically greatest strings of every length in  $L$  is also regular. By hypothesis (b) of the theorem, there exists  $C'$  such that  $B(L)$  contains at most one string of length  $j$  for each  $j \geq C'$ . Thus Lemma 3 applies and so

$$B(L) = \sum_{i=1}^k x_i y_i^* z_i. \quad (8)$$

Similarly, by Corollary 2, the set  $S(L)$  can also be written in the form

$$\sum_{i=1}^{k'} x'_i y_i'^* z'_i.$$

For simplicity in this proof sketch, we assume that  $S(L) = 10^*$ , although this assumption can easily be removed.

For a string  $w = w_1 w_2 \dots w_k$ , define

$$(w, u) = \sum_{i=1}^k w_i u_{k-i}.$$

The main idea of the proof is as follows: let  $w \in B(L)$  be sufficiently long such that  $\text{val}(w) > C$ . Let  $v \in S(L)$  be such that  $|v| = |w| + 1$ . Then since the numeration system is order-preserving, we must have  $\text{val}(v) = \text{val}(w) + g$ . Hence

$$u_{|w|} = (w, u) + g. \quad (9)$$

Now let

$$g' = \text{lcm}_{\substack{1 \leq j \leq k \\ |y_j| \neq 0}} |y_j|.$$

By replacing  $y_i$  with  $y_i^{g'/|y_i|}$ , adding extra terms to  $x_i$  and  $z_i$ , and renaming, we can rewrite (8) such that  $|y_i| = g'$  for all  $i$  for which  $|y_i| \neq 0$ .

From (9) we have

$$u_{j|y|+|xz|} = (xy^j z, u) + g.$$

We also have

$$u_{(j+1)|y|+|xz|} = (xy^{j+1} z, u) + g.$$

Subtracting, we see

$$u_{(j+1)|y|+|xz|} - u_{j|y|+|xz|} = (xy^{j+1} z, u) - (xy^j z, u)$$

$$= (xy0^{j|y|+|z|}, u) - (x0^{j|y|+|z|}, u),$$

and the last expression on the right is a sum of terms of  $u$  such that the smallest non-zero index is  $u_{j|y|+|z|}$ . Hence for  $i$  sufficiently large,  $u_{gj+i}$  can be expressed as a linear combination of the  $g$  previous terms, and the particular linear combination depends only on the value of  $i \pmod{g}$ .

By Lemma 4, we can write  $u$  itself as a linear recurrence. This completes the proof. ■

## 7 Linear Recurrences and Non-Regular Sets

After seeing the main theorem, one immediately wonders if the converse is true. It is not, as the following theorem shows:

**Theorem 6** *Suppose  $u_j = (j+1)^2$  for  $j \geq 0$ . Then the set  $G(u)$  of greedy representations is not a regular set.*

**Proof.**

Let  $G(u)$  be the set of greedy representations, and assume it is regular. Then  $G(u) \cap 10^*10^*$  would also be a regular set. However, it is easy to see that

$$\begin{aligned} G(u) \cap 10^*10^* &= \{10^a10^b : u_{b+a+2} > u_{b+a+1} + u_b\} \\ &= \{10^a10^b : b^2 < 2a + 4\}, \end{aligned}$$

and this set is evidently not regular. ■

One would like a simple characterization of those sequence  $u$  for which  $G(u)$  is regular. The next example shows that such a characterization based on the characteristic polynomial of the recurrence alone will not suffice.

Let  $f_j = 2^j + 1$  for  $j \geq 0$ . In this numeration system, where the digits are bounded by 2, every integer except 1 has some representation. For our canonical representation, choose the lexicographically greatest representation. (Note that this system is *not* order-preserving.) Then

$$\begin{aligned} R(f) \cap 1^*0^* &= \{1^a0^b : f_{b+a} > f_{b+a-1} + f_{b+a-2} + \cdots + f_b\} \\ &= \{1^a0^b : a < 2^b + 1\}, \end{aligned}$$

and this set is clearly not regular. Hence  $R(f)$  is not regular. However,  $f_j$  satisfies the same linear recurrence as the sequence  $u_j = 2^j - 1$ , discussed previously in Section 1, for which  $G(u)$  is regular.

It is an open problem to give a sufficient condition for the regularity of  $R(u)$ .

## 8 Acknowledgments

An earlier version of Lemma 3 dealt with the case  $c = 1$ . I am grateful to E. Bach for having suggested the stronger formulation given in this paper. I would also like to thank A. Lubiw for suggesting an appropriate way to weaken the hypotheses in Theorem 5.

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