

Noether normalization

For today we will follow the convention of the course and assume that K is a field with $\text{char}(K) = 0$ ($\because K$ infinite).

We begin with a warm-up lemma:

Lemma 1: let $f \in K[x_1, \dots, x_n]$ be a non-zero polynomial. Then, there are $\lambda \in K \setminus \{0\}$ and $a_1, \dots, a_{n-1} \in K$ s.t. $\lambda \cdot f(x_1 + a_1 x_n, \dots, x_{n-1} + a_{n-1} x_n, x_n)$ is monic in x_n .

Proof: if $d := \deg f$ we can write $f = f_d + \dots + f_0$ with $f_d \neq 0$ homogeneous of degree d . Thus, we have $f_d(x_1, \dots, x_{n-1}, 1) \neq 0$.

By the polynomial identity lemma, $\exists a_1, \dots, a_{n-1} \in K$ s.t. $f_d(a_1, \dots, a_{n-1}, 1) \neq 0$. Since the coefficient of x_n^d in $f(x_1 + a_1 x_n, \dots, x_{n-1} + a_{n-1} x_n, x_n)$ is given by $f_d(a_1, \dots, a_{n-1}, 1)$, by taking $\lambda = f_d(a_1, \dots, a_{n-1}, 1)^{-1}$ we have $\lambda f(x_1 + a_1 x_n, \dots, x_{n-1} + a_{n-1} x_n, x_n)$ monic. $\square$

Theorem (Noether normalization): let R be a finitely generated K -algebra, with generators $x_1, \dots, x_n$. Then, there are algebraically independent elements $z_1, \dots, z_d \in R$ such that R is module-finite over the subring $K[z_1, \dots, z_d]$, which is isomorphic to a polynomial ring (d may be zero).

Proof: Induction on the number of generators of R (i.e. n).

Base case: $n=0$. In this case, take $d=0$ since $R=K$.

Inductive step: assume we know the theorem for algebras generated by $n-1$ or fewer elements. If $x_1, \dots, x_n$ are algebraically independent, then take $d=n$ and we are done. Else, $\exists f \in K[y_1, \dots, y_n] \setminus \{0\}$ s.t. $f(x_1, \dots, x_n) = 0$. Since $f \neq 0$, Lemma 1 implies that $\exists a_1, \dots, a_{n-1} \in K$ and $\lambda \in K \setminus \{0\}$ s.t. $p(y) := \lambda \cdot f(y_1 + a_1 y_n, \dots, y_{n-1} + a_{n-1} y_n, y_n)$ is monic in y_n .

Instead of using the generators $x_1, \dots, x_n$ of R , we could use the following generators: $x_1 - a_1 x_n, \dots, x_{n-1} - a_{n-1} x_n, x_n$ (these generate R as a K -algebra)

and by the above we have that $p(y)$ is a monic polynomial in y_n s.t. $p(x_1 - a_1 x_n, \dots, x_{n-1} - a_{n-1} x_n, x_n) =$

$= \lambda \cdot f(x_1, \dots, x_n) = 0 \Rightarrow x_n$ is integral over $K[x_1, \dots, x_{n-1}] =: R'$. $\therefore R$ is module-finite over R'

Since R' has $n-1$ generators, by induction we have that R' is module-finite over some polynomial

ring $K[z_1, \dots, z_d] \subset R' \Rightarrow R$ is module-finite over $K[z_1, \dots, z_d]$ as well. $\square$ $\rightarrow$ by exercise 1.1.

Corollary 2: let R be a finitely generated K -algebra, and suppose R is a field. Then R is an algebraic extension of K .

Proof: By Noether normalization, R is module-finite over some polynomial subring $K[z_1, \dots, z_d] =: T$. If $d \geq 1$ then T has dimension at least 1 but $T \subset R$ and $\dim R = 0$ (as R is a field) contradiction. Thus $d=0$ and R is module-finite over K . Since R is a field, this means R is algebraic over K . $\square$