

Primary decomposition - zero-dimensional case

Let K be an algebraically closed field with $\text{char}(K) = 0$. $\bar{x} = \{x_1, \dots, x_n\}$

Remark: today we will make the simplifying assumption that K is algebraically closed. In general we don't want to make this assumption.

Input: $I \subseteq K[\bar{x}]$ ideal s.t. $\dim I = 0$

Output: $\mathcal{Q}_1, \dots, \mathcal{Q}_s$ s.t. $I = \bigcap_{i=1}^s \mathcal{Q}_i$ irredundant primary decomposition

In the zero dimensional case we will see that primary decomposition will reduce to univariate factorization.

When $\dim I = 0$, then every $\mathcal{Q} \in \text{Ass}(I)$ is a maximal ideal ($\because I$ has no embedded primes).

By Hilbert's Nullstellensatz, we know that every maximal ideal is of the form $(x_1 - \alpha_1, \dots, x_n - \alpha_n)$ for some $\bar{\alpha} \in K^n$.

However our ideal I is the intersection of many primary ideals with maximal primes. So we need to be able to distinguish them.

We will do it by putting our ideal in "general position"

Definition 1 (general position):

$I \subseteq K[\bar{x}]$ with $\dim I = 0$ is in general position w.r.t. Lex order if $\forall \mathcal{Q} \neq \mathcal{Q}' \in \text{Ass}(I) \Rightarrow \mathcal{Q} \cap K[x_n] \neq \mathcal{Q}' \cap K[x_n]$.

Proposition 2: Let $I \subseteq K[\bar{x}]$ with $\dim I = 0$.

There is a non-empty open set $U \subseteq K^{n-1}$ s.t. for all $\bar{a} := (a_1, \dots, a_{n-1}) \in U$, the coordinate change $\varphi_{\bar{a}}: K[\bar{x}] \rightarrow K[\bar{x}]$ given by $\varphi_{\bar{a}}(x_i) = x_i$ $i \in [n-1]$ and $\varphi_{\bar{a}}(x_n) = x_n + \sum_{i=1}^{n-1} a_i x_i$ has the property that

$\varphi_{\bar{a}}(I)$ is in general position w.r.t. Lex.

Proof: Let $\mathcal{Q} = (x_1 - \alpha_1, \dots, x_n - \alpha_n)$ and

$\mathcal{Q}' = (x_1 - \beta_1, \dots, x_n - \beta_n)$ be s.t. $\mathcal{Q}, \mathcal{Q}' \in \text{Ass}(I)$

and $\mathcal{Q} \neq \mathcal{Q}'$. Hence $\bar{\alpha} \neq \bar{\beta}$. Note that

$\varphi_{\bar{a}}(\mathcal{Q}) = (x_1 - \alpha_1, \dots, x_{n-1} - \alpha_{n-1}, x_n + \sum_{i=1}^{n-1} a_i \alpha_i - \alpha_n)$

and similarly

$\varphi_{\bar{a}}(\mathcal{Q}') = (x_1 - \beta_1, \dots, x_{n-1} - \beta_{n-1}, x_n + \sum_{i=1}^{n-1} a_i \beta_i - \beta_n)$

Thus, so long as

$$\sum_{i=1}^{n-1} a_i (\alpha_i - \beta_i) \neq \alpha_n - \beta_n \quad (*)$$

we will have that $\varphi_{\bar{a}}(\mathcal{Q}) \cap K[x_n] \neq \varphi_{\bar{a}}(\mathcal{Q}') \cap K[x_n]$.

Since $\bar{\alpha} \neq \bar{\beta}$ we have $(*)$ is the non-vanishing of a nonzero polynomial $\therefore$ an open condition.

The result now follows as the intersection of finitely many open sets is open. $\square$

Proposition 3: Let $I \subseteq K[\bar{x}]$ with $\dim I = 0$ and let $(g) = I \cap K[x_n]$ be s.t. $g = \prod_{i=1}^s (x_n - \alpha_i)^{v_i}$ with $\alpha_i \neq \alpha_j$ for $i \neq j \in [s]$. Then

① $I = \bigcap_{i=1}^s (I, (x_n - \alpha_i)^{v_i})$

② If I is in general position w.r.t. Lex order then $(I, (x_n - \alpha_i)^{v_i})$ is a primary ideal for all $i \in [s]$.

Proof: ① Since $I \subseteq (I, (x_n - \alpha_i)^{v_i})$ we have

$I \subseteq \bigcap_{i=1}^s (I, (x_n - \alpha_i)^{v_i})$. Let $g_i := g / (x_n - \alpha_i)^{v_i}$

for $i \in [s]$. Since $\gcd(g_1, \dots, g_s) = 1$, $\exists \bar{b} \in K[x_n]^s$ s.t. $1 = \sum_{i=1}^s b_i g_i$. Let $f \in \bigcap_{i=1}^s (I, (x_n - \alpha_i)^{v_i})$.

Hence $\exists f_i, h_i \in K[\bar{x}]$ s.t. $f = f_i + h_i (x_n - \alpha_i)^{v_i}$

and $f_i \in I$, $\forall i \in [s]$. Thus

$$1 \cdot f = \sum_{i=1}^s b_i g_i f = \sum_{i=1}^s (b_i f_i + h_i g_i (x_n - \alpha_i)^{v_i}) =$$

$$= \left(\sum_{i=1}^s b_i f_i \right) + g \sum_{i=1}^s h_i \in I.$$

② First note that $(I, (x_n - \alpha_i)^{v_i}) \neq K[\bar{x}]$ since $1 \in (I, (x_n - \alpha_i)^{v_i}) \Rightarrow \exists f \in I, h \in K[\bar{x}]$ s.t. $1 = f + h(x_n - \alpha_i)^{v_i}$
 $\Rightarrow g_i = g_i f + h g \in I$ which contradicts $I \cap K[x_n] = (g)$.
 Since $I \subseteq (I, (x_n - \alpha_i)^{v_i})$ we have that $\mathcal{Q} \in \text{Ass}(I, (x_n - \alpha_i)^{v_i})$
 $\Rightarrow \exists \mathcal{Q}' \in \text{Ass}(I)$ s.t. $\mathcal{Q} \subset \mathcal{Q}'$. Since $\mathcal{Q}$ max'l (as $\dim I = 0$) we must have $\mathcal{Q} = \mathcal{Q}'$.

Thus, we have also that $\text{Ass}((I, (x_n - \alpha_i)^{v_i})) \subset \text{Ass}(I)$.

Since associated primes of zero dim'l ideals are maximal let $\{\mathcal{P}_1, \dots, \mathcal{P}_e\} = \text{Ass}(I)$. Then $\mathcal{P}_i = (x_j - \alpha_{ij})_{j=1}^n$ $\forall i \in [e]$.

I in general position $\Rightarrow \alpha_{in} \neq \alpha_{jn}$ $\forall i \neq j \in [e] \Rightarrow$

$e = s$ and we can take $\alpha_{in} = \alpha_i$ $\forall i \in [s]$.

By the above note that $\emptyset \neq \text{Ass}(I, (x_n - \alpha_i)^{v_i}) \subset \text{Ass}(I)$

and we also have that $\mathcal{P}_i$ is the only associated prime of I that contains $(I, (x_n - \alpha_i)^{v_i})$ $\therefore$

$\text{Ass}(I, (x_n - \alpha_i)^{v_i}) = \{\mathcal{P}_i\} \Rightarrow$ primary. $\square$

The above propositions already give us a randomized algorithm to obtain a primary decomposition of a zero-dimensional ideal, as a random map will put our input ideal into general position.

(here I am assuming we have a factoring algorithm over $K[y]$, i.e. univariate polynomials over K).

To make this a zero-even probabilistic algorithm,

we need a way to verify that the ideals $(I, (x_n - \alpha_i)^{v_i})$

that we obtain are actually primary.

But this verification step for us is very simple (when K is algebraically closed) by the following proposition:

Proposition 4: $I \subseteq K[\bar{x}]$ with $\dim I = 0$ is primary $\Leftrightarrow$

$I \cap K[x_i] = ((x_i - \alpha_i)^{v_i})$ for some $\alpha_i \in K$ and $v_i \in \mathbb{N}$.