#### Lecture 13 - Natural Proofs

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CS 860 - Graduate Complexity Theory Fall 2022



• Current (Non-Uniform) Circuit Lower Bounds

• Natural Proofs

• Random restriction with parameter  $q \in [0, 1]$ :

$$\rho(x_i) = \begin{cases} x_i, \text{ with probability } q \\ 0, \text{ with probability } (1-q)/2 \\ 1, \text{ with probability } (1-q)/2 \end{cases}$$

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Switching lemma (Furst-Saxe-Sipser 1981): if q = n<sup>2/3</sup>, then for any DNF of polynomial size p(n), and δ = 1/poly(n), after random restriction we get a CNF of size C with probability (1 − δ) where C is constant.

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- $\bigoplus$  does not have poly-sized  $AC^0_{/poly}$  circuits
- ▶ Proof by induction on depth. Reduce d to d − 1 by switching bottom layer. Base case d = 2 easy.

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A combinatorial property of boolean functions is a family of subsets P := {P<sub>n</sub> ⊆ F<sub>n</sub>}<sub>n</sub>.
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n think of 
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 as function from  $\mathcal{F}_n$  to  $\{0,1\}$ .  
 $\mathcal{P}_n(f) = 1 \Leftrightarrow f \in \mathcal{P}_n$ .

$$\mathcal{P}_n: \{0,1\}^{2^n} \to \{0,1\}$$

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#### Definition 1 (Natural Property [RR 1997])

Let  $\Gamma$  be a complexity class. A combinatorial property  $\mathcal{P}$  is  $\Gamma$ -natural if there is a combinatorial property  $\mathcal{P}^* \subset \mathcal{P}$  such that

1. Constructive: function  $\mathcal{P}_n^*(f)$  computable in  $\Gamma$ 

2. Large: 
$$\frac{|\mathcal{P}_n^*|}{|\mathcal{F}_n|} \ge \frac{1}{2^{O(n)}}.$$

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•  $\mathcal{P}^*$  is called <u>core combinatorial property</u> of  $\mathcal{P}$ 

#### Definition 2 (Natural Proofs [RR 1997])

- 1.  ${\mathcal P}$  is  $\Gamma\text{-natural}$
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- $\blacktriangleright$  combinatorial property useful against  $\Lambda$  if any function having property is not in  $\Lambda$

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- Standard lower bound argument for  $\Lambda$ :
  - 1. Define combinatorial property  $\ensuremath{\mathcal{P}}$
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- ► A natural lower bound for Λ is a standard lower bound argument which uses a natural property P



# OWFs against circuits

#### Definition 3

A string function  $f:\{0,1\}^*\to \{0,1\}^*$  is a one-way function against  ${\sf SIZE}(s)$  if:

- 1. Easy to compute: f is poly-time computable
- 2. Hard to invert: for every circuit family  $C \in SIZE(s)$

$$\Pr_{x \in \{0,1\}^n} [C_n(f_n(x)) \in f_n^{-1}(x)] < 1/s(n)$$

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#### Theorem 4 (HILL 1999)

If there is a OWF against SIZE(s), then there is a PRG G of stretch  $\ell(n) = 2n$  against SIZE(s). That is,  $G_n : \{0,1\}^n \to \{0,1\}^{2n}$  such that for all  $C \in SIZE(s)$ 

 $|\Pr[C_n(G(U_n)) = 1] - \Pr[C_n(U_{2n}) = 1]| < 1/s(n)$ 

### Natural Proof Theorem

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• General theorem deals with  $\Gamma$  and  $\Lambda$ .

# Natural Proof Theorem

Theorem 5 (Natural Proofs [**RR 1997**]) If there is  $\varepsilon > 0$  and a OWF against  $SIZE(2^{n^{\varepsilon}})$ , then there is no natural proof for  $P_{/poly}$ .

- ► Idea: natural property  $\mathcal{P}$  can efficiently distinguish between pseudorandom functions from truly random functions
- But crypto assumption implies existence of PRGs

From Theorem 9, let G be our PRG with stretch  $\ell(k) = 2k$ . Think of  $G_k : \{0,1\}^k \to \{0,1\}^k \times \{0,1\}^k$ 

$$G_k(x) = (y_0, y_1) =: (G_{k0}(x), G_{k1}(x))$$

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- From G, construct a pseudorandom set of functions in  $\mathcal{F}_n$
- Construction (board): let  $n = k^{\alpha}$  for some  $\alpha > 0$  (TBD later).

$$F: \{0,1\}^k \to \{0,1\}^{2^n} \simeq \mathcal{F}_n$$

such that  $f_z := F(z)$  yields function in  $\mathcal{F}_n$ 

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• Given  $z \in \{0,1\}^k$  and  $x \in \{0,1\}^n$ , can compute  $f_z(x)$  in  $n \cdot \text{poly}(k)$  time

$$\{f_{z^{(k)}}\} \in \mathsf{P}_{/poly}$$

- If  $\mathcal{P}$  is a natural proof for  $\mathsf{P}_{/poly}$ , then:
  - 1. Useful: for family  $z := \{z^{(k)}\}_k$ , family of functions  $f := \{f_z\} \notin \mathsf{P}_{/poly}$
  - 2. Constructive:  $f_{z^{(k)}} \in \mathcal{P}_n$  can be computed in poly-time
  - 3. Large:  $\frac{|\mathcal{P}_n|}{|\mathcal{F}_n|} \ge 1/2^{O(n)}$

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  - 3. Large:  $\frac{|\mathcal{P}_n|}{|\mathcal{F}_n|} \ge 1/2^{O(n)}$
  - ▶ Above and Proposition 2 from Lecture 6 imply that there is circuit  $C \in SIZE(N^c) = SIZE(2^{ck^{\alpha}})$  such that

$$|\Pr[C(F(U_k)) = 1] - \Pr[C(U_N) = 1]| \ge 1/2^{O(n)}$$

# Conclusion

- To prove circuit lower bounds (bypassing issue of OWFs) we must either
  - violate largeness: find property specific to few hard functions (not by random functions)
  - violate constructivity: identify feature of hard functions that cannot be computed efficiently

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- To prove circuit lower bounds (bypassing issue of OWFs) we must either
  - violate largeness: find property specific to few hard functions (not by random functions)
  - violate constructivity: identify feature of hard functions that cannot be computed efficiently
- Are there examples of non-natural proofs?
  - Geometric Complexity Theory [Mulmuley Sohoni 2001]

Symmetries of Determinant and Permanent characterize them!

Violates largeness, approach is highly sophisticated.

## References I

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Journal of Computer and System Sciences