## SOLAR: Deep Structured Representations For Model-based Reinforcement Learning

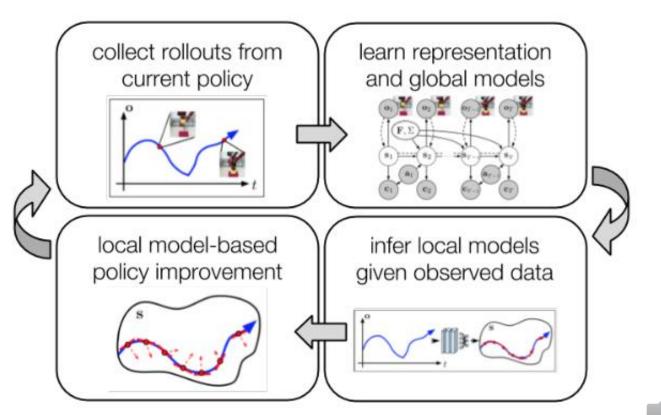
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11/27/2021



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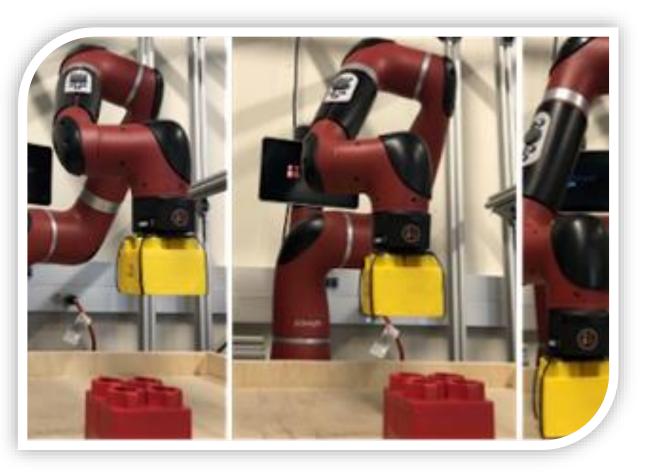
### Introduction

- RL established itself in simulation by surpassing human abilities.
- Real-world applications hindered by scalability.
- Proposed scaling solutions:
  - World/Global model-based RL
  - Unsupervised meta-learning
  - Representation learning





### What is the problem?



- In simulation RL agents have access to low level state information.
- Unrealistic expectation for real-world scenarios.
- How to solve Partially-Observable MDPs from complex RGB images only?
- SOLAR provides a solution for such a scenario.



### **Proposed solution?**

- SOLAR: Stochastic optimal control with latent representations
- Efficiently learns policies directly from raw high-dimensional image observations.
- How?
  - Model-based RL
  - Builds a global model through environment interaction
  - Representation Learning
  - Uses its learned representation and global model to make inferences to explain local model observations.
  - LQR-FLM policy optimization



# **BEFORE WE DIVE IN...** Some Background



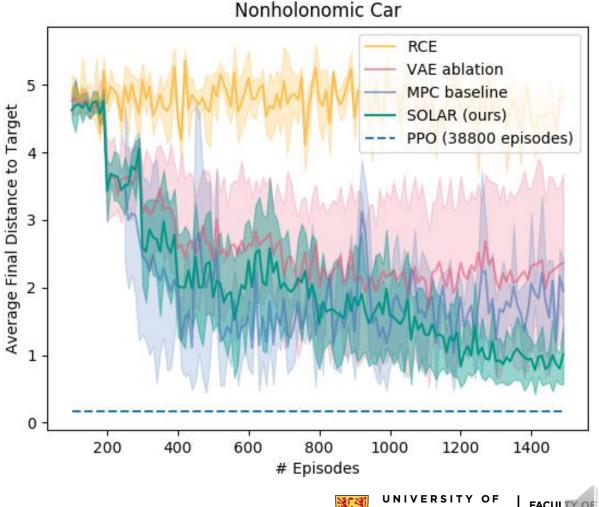
### **Model-Based Reinforcement Learning**

### Advantages

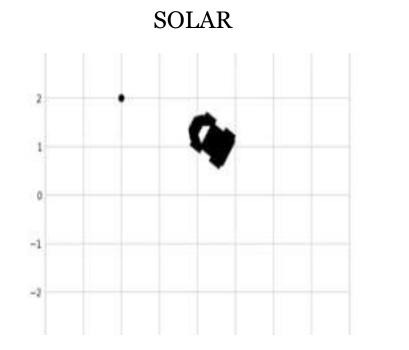
- Significantly more efficient that model-free agents.
  - Model-free requires 2-3 orders of magnitude more samples

### Disadvantages

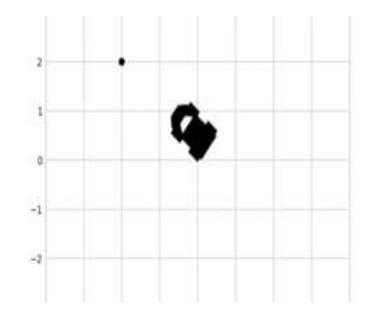
- Can be outperformed by model-free agents
- Modeling Bias: an imperfect model can result in poor real-world performance.



### Model-Based Reinforcement Learning - Modeling Bias



PPO





### **Representation Learning**

- Allows for automatic feature detection and classification from raw input.
- Allows an agent to learn and use new features to complete tasks.
- SOLAR will optimize its learned latent representation to infer local models for policy optimization.

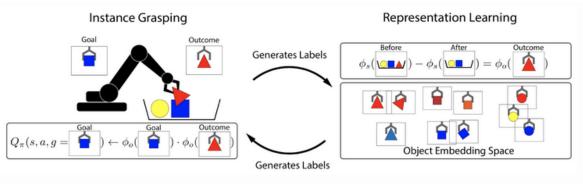


Fig. 18. A conceptual illustration of how grasp2vec learns an object-centric state embedding. (Image source: Jang & Devin et al., 2018)



### Linear-Quadratic Regulator with Fitted Linear Models (LQR-FLM)

- What is LQR?
  - LQR is a popular solution for optimizing LQ problems in control theory.
- What is FLM and why does SOLAR use it?
  - LQR will compute a policy that is not globally correct, resulting in a poor real-world policy.
  - LQR computes policies that are very close to the distribution of the data collection policy.
  - Fitted Linear Models (FLM) imposes a KL-Divergence constraint
    - Allows penalization for deviation from the previous policy,
    - and cost optimization.



# **LET'S DIVE IN...** SOLAR Algorithm



### **Overview**

- Algorithm may be broken down into 3 parts:
  - Lines 1-3: Pre-training where representation and global-model is learned.
  - Line 5: Inference and RL in the Latent Space
  - Line 6: Perform policy update with inferred dynamics.
- **Optional:** 
  - Line 8: Update model with data collected from updated policy (line 7)

#### Algorithm 1 SOLAR

- **Input:** # iterations K; # trajectories  $N_{\text{init}}, N$ **Input:** model and policy hyperparameters  $\xi_{\mathcal{M}}, \xi_{\pi}$ **Output:** final model  $\mathcal{M}$ , final policy  $\pi^{(K)}$
- 1:  $\pi^{(0)} \leftarrow \text{INITIALIZEPOLICY}(\xi_{\pi})$
- 2:  $\mathcal{D} \leftarrow \text{COLLECTDATA}(N_{\text{init}}, \pi^{(0)})$
- 3:  $\mathcal{M} \leftarrow \text{TRAINMODEL}(\mathcal{D}, \xi_{\mathcal{M}})$  (Section 3)
- 4: for iteration  $k \in \{1, \ldots, K\}$  do
- $\{\mathbf{F}_t, \Sigma_t\}_t \leftarrow \text{INFERDYNAMICS}(\mathcal{D}, \mathcal{M}) \text{ (Section 4)}$ 5:
- $\pi^{(k)} \leftarrow \text{LQR-FLM}(\pi^{(k-1)}, \{\mathbf{F}_t, \Sigma_t\}_t, \mathcal{M})$ 6: (Section 2)
- $\mathcal{D} \leftarrow \text{COLLECTDATA}(N, \pi^{(k)})$ 7:
- (optional)  $\mathcal{M} \leftarrow \text{TRAINMODEL}(\mathcal{D}, \xi_{\mathcal{M}})$ 8:
- 9: **end for**



### **Representation and Global Model Learning**

- Provides a starting point for local model inferences.
- Objective is to learn two posterior distributions:
  - Over dynamics parameters,
  - and over latent trajectories

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### Inference in the Latent Space and Policy Update

- Alternates between colleting batch data to fit local models and update policy.
- Uses learned representation and global models to enable local model methods.
- Global dynamics model is used to fit local dynamics models.
- Conditioned on the data from the current policy.
- Uses LQR-FLM control to update the policy

#### Algorithm 1 SOLAR

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### **Data Collection and Model Update**

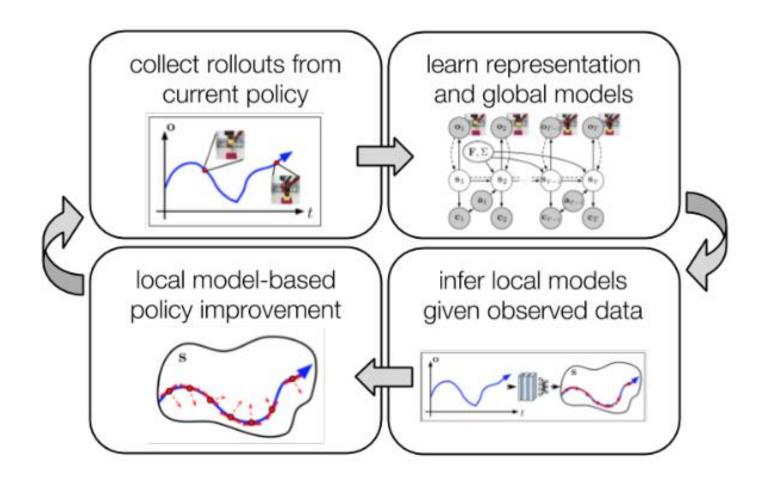
- New policy is used to collect batch • data
- Optionally, can update the trained model with the new data.

#### Algorithm 1 SOLAR

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### High-level overview of SOLAR alogorithm





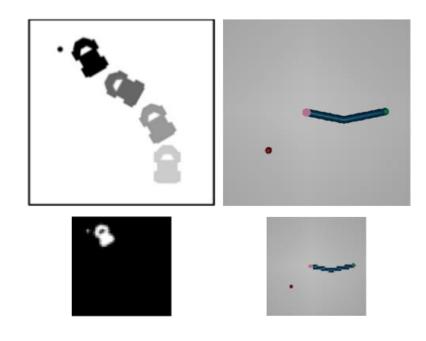
# HOW DOES IT PERFORM?

### **Experiments & Results**



### **Experiments**

- Simulation:
  - Nonholonomic Car
    - Starts bottom right and needs to reach goal in the top left.
    - Controls its acceleration and steering velocity
    - 64x64 images as state observations
  - Reacher
    - 2-DoF arm has to reach a fixed target
    - 64x64 images as state observations





### **Experiments**

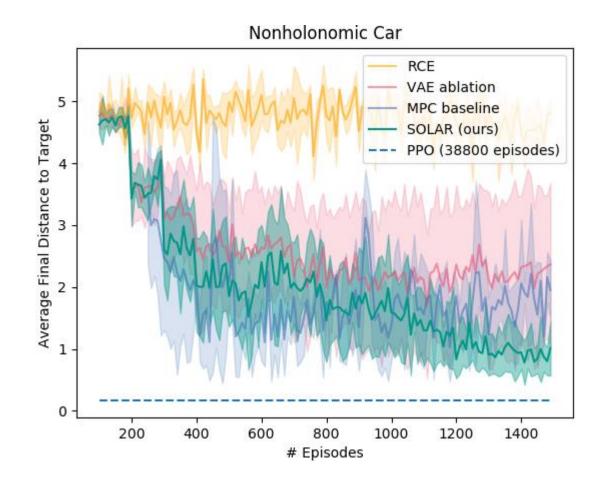
- Real-World Robot Task:
  - Sawyer Lego block stacking (2 variations)
    - 7-DoF Sawyer robotic arm to stack Lego blocks
    - 64x64x3 images as state observations with no auxiliary information
  - Sawyer pushing
    - Objective is to push a mug onto a white coaster
    - Used only sparse binary rewards
    - 64x64x3 images as state observations with no auxiliary information





### **Results - Nonholonomic Car**

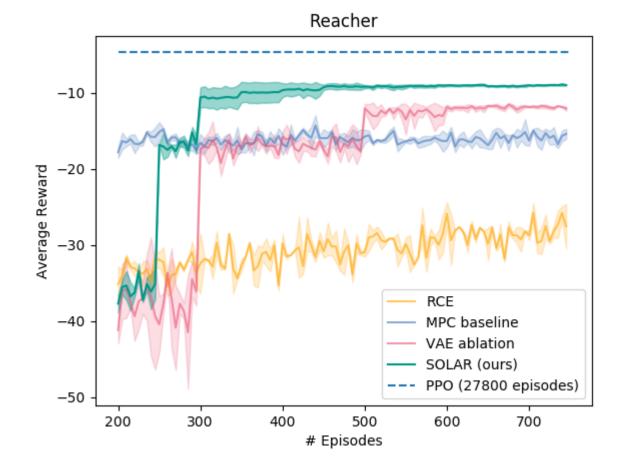
- SOLAR and the MPC baseline learn with about 1500 episodes
- PPO outperforms SOLAR but required 25x more data.
- The model based agents experience jittery results from model bias.





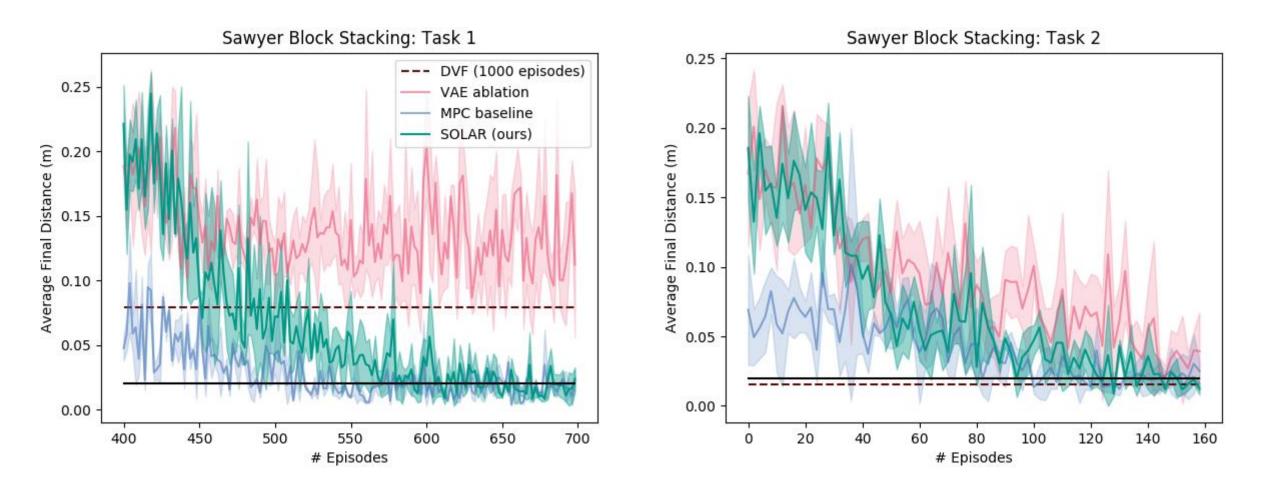
### **Results - Reacher**

- SOLAR performs significantly better than the other model based agents.
- PPO outperforms SOLAR but requires 40x more data.
- MPC has better initial behavior but can not perform long horizon planning, whereas SOLAR can.





### **Results - Lego Stack**





### **Results - Sawyer Push**

- Using only sparse rewards, SOLAR learns in 1 hour of interaction time.
- DVF performs worse with more time and using a smaller model.
- Highlighting the efficiency of SOLAR

Method	Final Distance to Goal (cm)	Episodes per Seed
DVF (Ebert et al., 2018)	$4.50\pm2.60$	280
SOLAR (ours)	$1.85\pm0.86$	250

Table 1. Sawyer Pushing with Sparse Rewards









### Conclusion

- SOLAR:
  - Efficiently learns real-world robot tasks.
  - Uses raw high-dimensional image observations.
  - Outperforms other model-based algorithms including SoTA DVF.
  - Significantly more efficient that model-free algorithms with comparable results.





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