# CS485/685 Lecture 9: Feb 2, 2016

Perceptrons, Neural Networks

[D] Chapt. 3, [HTF] Chapt. 11, [B] Sec. 4.1.7, 5.1, [M] Sec. 8.5.4, [RN] Sec. 18.7

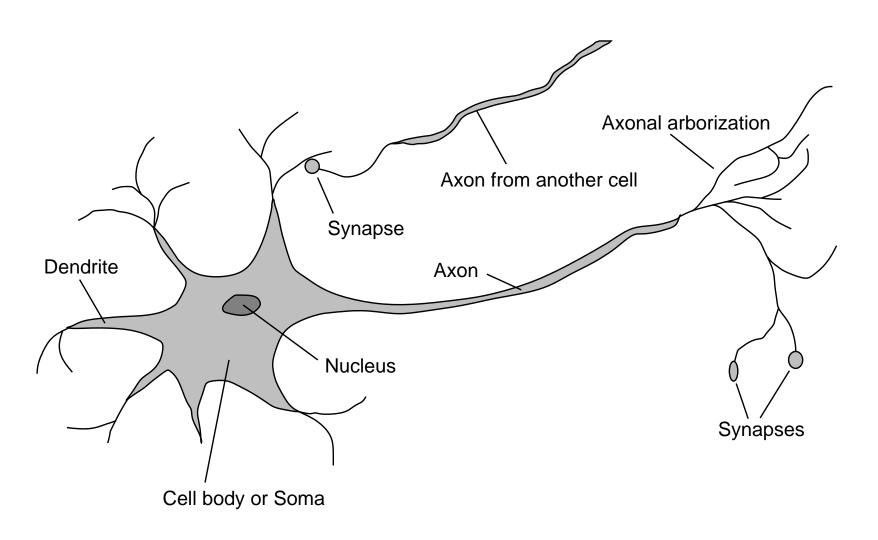
#### Outline

- Neural networks
  - Perceptron
  - Supervised learning algorithms for neural networks

#### Brain

- Seat of human intelligence
- Where memory/knowledge resides
- Responsible for thoughts and decisions
- Can learn
- Consists of nerve cells called neurons

### Neuron



## Comparison

#### Brain

- Network of neurons
- Nerve signals propagate in a neural network
- Parallel computation
- Robust (neurons die everyday without any impact)

#### Computer

- Bunch of gates
- Electrical signals directed by gates
- Sequential and parallel computation
- Fragile (if a gate stops working, computer crashes)

#### **Artificial Neural Networks**

- Idea: mimic the brain to do computation
- Artificial neural network:
  - Nodes (a.k.a. units) correspond to neurons
  - Links correspond to synapses

#### Computation:

- Numerical signal transmitted between nodes corresponds to chemical signals between neurons
- Nodes modifying numerical signal corresponds to neurons firing rate

#### **ANN Unit**

- For each unit i:
- Weights: W
  - Strength of the link from unit i to unit j
  - Input signals  $x_i$  weighted by  $W_{ji}$  and linearly combined:  $a_i = \sum_i W_{ji} x_i + w_0 = W_j \overline{x}$
- Activation function: h
  - Numerical signal produced:  $y_i = h(a_i)$

## **ANN Unit**

• Picture

#### **Activation Function**

- Should be nonlinear
  - Otherwise network is just a linear function
- Often chosen to mimic firing in neurons
  - Unit should be "active" (output near 1) when fed with the "right" inputs
  - Unit should be "inactive" (output near 0) when fed with the "wrong" inputs

#### **Common Activation Functions**

**Threshold** 

Sigmoid

#### **Logic Gates**

- McCulloch and Pitts (1943)
  - Design ANNs to represent Boolean functions
- What should be the weights of the following units to code AND, OR, NOT?

#### **Network Structures**

#### Feed-forward network

- Directed acyclic graph
- No internal state
- Simply computes outputs from inputs

#### Recurrent network

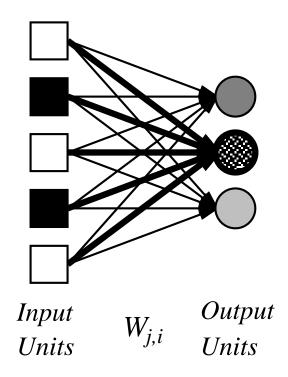
- Directed cyclic graph
- Dynamical system with internal states
- Can memorize information

#### Feed-forward network

 Simple network with two inputs, one hidden layer of two units, one output unit

## Perceptron

Single layer feed-forward network



# Supervised Learning

- Given list of (x, y) pairs
- Train feed-forward ANN
  - To compute proper outputs  $oldsymbol{y}$  when fed with inputs  $oldsymbol{x}$
  - Consists of adjusting weights  $W_{ji}$
- Simple learning algorithm for threshold perceptrons

#### Threshold Perceptron Learning

- Learning is done separately for each unit j
  - Since units do not share weights
- Perceptron learning for unit j:
  - For each (x, y) pair do:
    - Case 1: correct output produced

$$\forall_i \ W_{ji} \leftarrow W_{ji}$$

Case 2: output produced is 0 instead of 1

$$\forall_i W_{ii} \leftarrow W_{ii} + x_i$$

Case 3: output produced is 1 instead of 0

$$\forall_i W_{ii} \leftarrow W_{ii} - x_i$$

Until correct output for all training instances

#### Threshold Perceptron Learning

- Dot products:  $\overline{x}^T \overline{x} \ge 0$  and  $-\overline{x}^T \overline{x} \le 0$
- Perceptron computes

1 when 
$$\mathbf{w}^T \overline{\mathbf{x}} = \sum_i x_i w_i + w_0 > 0$$
  
0 when  $\mathbf{w}^T \overline{\mathbf{x}} = \sum_i x_i w_i + w_0 < 0$ 

- If output should be 1 instead of 0 then  $w \leftarrow w + \overline{x}$  since  $(w + \overline{x})^T \overline{x} \ge w^T \overline{x}$
- If output should be 0 instead of 1 then  $w \leftarrow w \overline{x}$  since  $(w \overline{x})^T \overline{x} \leq w^T \overline{x}$

#### Alternative Approach

- Let  $y \in \{-1,1\} \forall y$
- Let  $M = \{x_n, y_n\}$  be the set of misclassified examples i.e.,  $y_n w^T \overline{x}_n < 0$
- Find w that minimizes misclassification

$$E(\mathbf{w}) = -\sum_{(\mathbf{x}_n, \mathbf{y}_n) \in M} y_n \mathbf{w}^T \overline{\mathbf{x}}_n$$

Algorithm: gradient descent

$$w \leftarrow w - \eta \nabla E$$

learning rate

or step length

#### Sequential Gradient Descent

- Gradient:  $\nabla E = -\sum_{(x_n, y_n) \in M} y_n \overline{x}_n$
- Sequential gradient descent:
  - Adjust w based on one example (x, y) at a time

$$\boldsymbol{w} \leftarrow \boldsymbol{w} - \eta y \overline{\boldsymbol{x}}$$

• When  $\eta=1$ , we recover the threshold perceptron learning algorithm

# Threshold Perceptron Hypothesis Space

- Hypothesis space  $h_w$ :
  - All binary classifications with parameters  $\boldsymbol{w}$  s.t.

$$w^T \overline{x} > 0 \to +1$$
$$w^T \overline{x} < 0 \to -1$$

- Since  $w^T \overline{x}$  is linear in w, perceptron is called a **linear** separator
- Theorem: Threshold perceptron learning converges iff the data is linearly separable

### **Linear Separability**

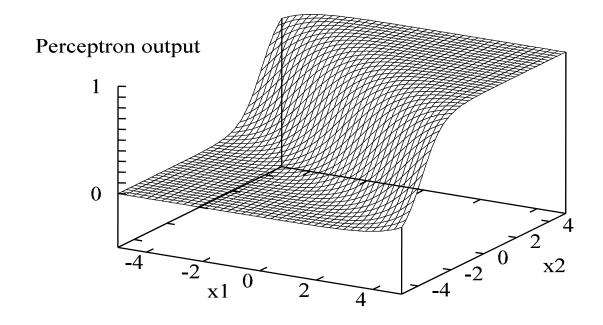
• Examples:

Linearly separable

Non-linearly separable

# Sigmoid Perceptron

- Represent "soft" linear separators
- Same hypothesis space as logistic regression



# Sigmoid Perceptron Learning

- Possible objectives
  - Minimum squared error

$$E(\mathbf{w}) = \frac{1}{2} \sum_{n} E_n(\mathbf{w})^2 = \frac{1}{2} \sum_{n} (y_n - \sigma(\mathbf{w}^T \overline{\mathbf{x}}_n))^2$$

- Maximum likelihood
  - Same algorithm as for logistic regression
- Maximum a posteriori hypothesis
- Bayesian Learning

#### Gradient

Gradient:

$$\begin{split} \frac{\partial E}{\partial w_i} &= \sum_n E_n(w) \frac{\partial E_n}{\partial w_i} \\ &= \sum_n E_n(w) \sigma'(w^T \bar{x}_n) x_i \\ \text{Recall that } \sigma' &= \sigma (1 - \sigma) \\ &= \sum_n E_n(w) \sigma(w^T \bar{x}_n) \left(1 - \sigma(w^T \bar{x}_n)\right) x_i \end{split}$$

# Sequential Gradient Descent

- Perceptron-Learning(examples, network)
  - Repeat
    - For each  $(x_n, y_n)$  in examples do

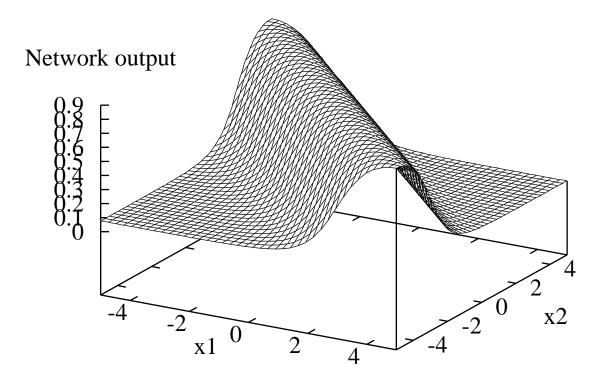
$$E_n \leftarrow y_n - \sigma(\mathbf{w}^T \overline{\mathbf{x}}_n)$$

$$\mathbf{w} \leftarrow \mathbf{w} + \eta \ E_n \ \sigma(\mathbf{w}^T \overline{\mathbf{x}}_n) \left(1 - \sigma(\mathbf{w}^T \overline{\mathbf{x}}_n)\right) \ \overline{\mathbf{x}}_n$$

- Until some stopping criterion satisfied
- Return learnt network
- N.B.  $\eta$  is a learning rate corresponding to the step size in gradient descent

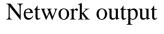
## Multilayer Networks

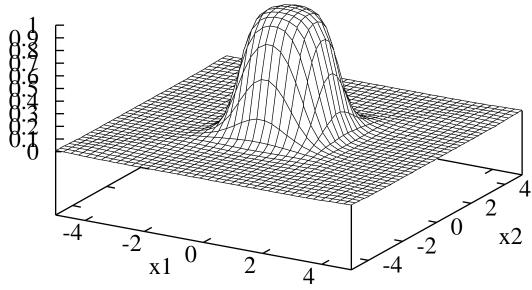
 Adding two sigmoid units with parallel but opposite "cliffs" produces a ridge



# Multilayer Networks

 Adding two intersecting ridges (and thresholding) produces a bump





## Multilayer Networks

- By tiling bumps of various heights together, we can approximate any function
- Training algorithm:
  - Back-propagation
  - Essentially sequential gradient descent performed by propagating errors backward into the network
  - Derivation next class

## **Neural Net Applications**

- Neural nets can approximate any function, hence millions of applications
  - Speech recognition
  - Vision based object recognition
  - Word embeddings
  - Vision-based autonomous driving
  - Etc.