## CS480/680

Lecture 19: July 10, 2019

## Attention and Transformer Networks

[Vaswani et al., Attention is All You Need, NeurIPS, 2017]

## Attention

- Attention in Computer Vision
- 2014: Attention used to highlight important parts of an image that contribute to a desired output

- Attention in NLP
- 2015: Aligned machine translation
- 2017: Language modeling with Transformer networks


## Sequence Modeling

Challenges with RNNs

- Long range dependencies
- Gradient vanishing and explosion
- Large \# of training steps
- Recurrence prevents parallel computation


## Transformer Networks

- Facilitate long range dependencies
- No gradient vanishing and explosion
- Fewer training steps
- No recurrence that facilitate parallel computation


## Attention Mechanism

- Mimics the retrieval of a value $v_{i}$ for a query $q$ based on a key $k_{i}$ in database
- Picture
$\operatorname{attention}(q, \boldsymbol{k}, \boldsymbol{v})=\sum_{i} \operatorname{similarity}\left(q, k_{i}\right) \times v_{i}$


## Attention Mechanism

- Neural architecture
- Example: machine translation
- Query: $s_{i-1}$ (hidden vector for $i-1^{\text {th }}$ output word)
- Key: $h_{j}$ (hidden vector for $j^{\text {th }}$ input word)
- Value: $h_{j}$ (hidden vector for $j^{\text {th }}$ input word)


## Transformer Network

- Vaswani et al., (2017) Attention is all you need.
- Encoder-decoder based on attention (no recurrence)



## Multihead attention

- Multihead attention: compute multiple attentions per query with different weights
multihead $(Q, K, V)=W^{O}$ concat $\left(\right.$ head $_{1}$, head $_{2}, \ldots$, head $\left._{h}\right)$ head $_{i}=\operatorname{attention}\left(W_{i}^{Q} Q, W_{i}^{K} K, W_{i}^{V} V\right)$
$\operatorname{attention}(Q, K, V)=\operatorname{softmax}\left(\frac{Q^{T} K}{\sqrt{d_{k}}}\right) V$


## Masked Multi-head attention

- Masked multi-head attention: multi-head where some values are masked (i.e., probabilities of masked values are nullified to prevent them from being selected).
- When decoding, an output value should only depend on previous outputs (not future outputs). Hence we mask future outputs.
$\operatorname{attention}(Q, K, V)=\operatorname{softmax}\left(\frac{Q^{T} K}{\sqrt{d_{k}}}\right) V$
maskedAttention $(Q, K, V)=\operatorname{softmax}\left(\frac{Q^{T} K+M}{\sqrt{d_{k}}}\right) V$
where $M$ is a mask matrix of 0 's and $-\infty$ 's


## Other layers

- Layer normalization:
- Normalize values in each layer to have 0 mean and 1 variance
- For each hidden unit $h_{i}$ compute $h_{i} \leftarrow \frac{g}{\sigma}\left(h_{i}-\mu\right)$
where $g$ is a variable, $\mu=\frac{1}{H} \sum_{i=1}^{H} h_{i}$ and $\sigma=\sqrt{\frac{1}{H} \sum_{i=1}^{H}\left(h_{i}-\mu\right)^{2}}$
- This reduces "covariate shift" (i.e., gradient dependencies between each layer) and therefore fewer training iterations are needed
- Positional embedding
- Embedding to distinguish each position

$$
\begin{gathered}
P E_{\text {position }, 2 i}=\sin \left(\text { position } / 10000^{2 i / d}\right) \\
P E_{\text {position }, 2 i+1}=\cos \left(\text { position } / 10000^{2 i / d}\right)
\end{gathered}
$$

## Comparison

- Attention reduces sequential operations and maximum path length, which facilitates long range dependencies

Table 1: Maximum path lengths, per-layer complexity and minimum number of sequential operations for different layer types. $n$ is the sequence length, $d$ is the representation dimension, $k$ is the kernel size of convolutions and $r$ the size of the neighborhood in restricted self-attention.

| Layer Type | Complexity per Layer | Sequential <br> Operations | Maximum Path Length |
| :--- | :---: | :---: | :---: |
| Self-Attention | $O\left(n^{2} \cdot d\right)$ | $O(1)$ | $O(1)$ |
| Recurrent | $O\left(n \cdot d^{2}\right)$ | $O(n)$ | $O(n)$ |
| Convolutional | $O\left(k \cdot n \cdot d^{2}\right)$ | $O(1)$ | $O\left(\log _{k}(n)\right)$ |
| Self-Attention (restricted) | $O(r \cdot n \cdot d)$ | $O(1)$ | $O(n / r)$ |

## Results

Table 2: The Transformer achieves better BLEU scores than previous state-of-the-art models on the English-to-German and English-to-French newstest2014 tests at a fraction of the training cost.

| Model | BLEU |  |  | Training Cost (FLOPs) |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
|  | EN-DE | EN-FR |  | EN-DE | EN-FR |
| ByteNet [15] | 23.75 |  |  |  |  |
| Deep-Att + PosUnk [32] |  | 39.2 |  |  | $1.0 \cdot 10^{20}$ |
| GNMT + RL [31] | 24.6 | 39.92 |  | $2.3 \cdot 10^{19}$ | $1.4 \cdot 10^{20}$ |
| ConvS2S [8] | 25.16 | 40.46 |  | $9.6 \cdot 10^{18}$ | $1.5 \cdot 10^{20}$ |
| MoE [26] | 26.03 | 40.56 |  | $2.0 \cdot 10^{19}$ | $1.2 \cdot 10^{20}$ |
| Deep-Att + PosUnk Ensemble [32] |  | 40.4 |  |  | $8.0 \cdot 10^{20}$ |
| GNMT + RL Ensemble [31] | 26.30 | 41.16 |  | $1.8 \cdot 10^{20}$ | $1.1 \cdot 10^{21}$ |
| ConvS2S Ensemble [8] | 26.36 | $\mathbf{4 1 . 2 9}$ |  | $7.7 \cdot 10^{19}$ | $1.2 \cdot 10^{21}$ |
| Transformer (base model) | 27.3 | 38.1 |  | $\mathbf{3 . 3} \cdot \mathbf{1 0}^{\mathbf{1 8}}$ |  |
| Transformer (big) | $\mathbf{2 8 . 4}$ | $\mathbf{4 1 . 0}$ |  | $2.3 \cdot 10^{19}$ |  |

## GPT and GPT-2

- Radford et al., (2018) Language models are unsupervised multitask learners
- Decoder transformer that predicts next word based on previous words by computing $P\left(x_{t} \mid x_{1 . . t-1}\right)$
- SOTA in "zero-shot" setting for 7/8 language tasks (where zero-shot means no task training, only unsupervised language modeling)



## BERT (Bidirectional Encoder Representations from Transformers)

- Devlin et al., (2019) BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding
- Decoder transformer that predicts a missing word based on surrounding words by computing $P\left(x_{t} \mid x_{1 . . t-1, t+1 . . T}\right)$
- Mask missing word with masked multi-head attention
- Improved state of the art on 11 tasks

| System | MNLI- $(\mathrm{m} / \mathrm{mm})$ | QQP | QNLI | SST-2 | CoLA | STS-B | MRPC | RTE | Average |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 392 k | 363 k | 108 k | 67 k | 8.5 k | 5.7 k | 3.5 k | 2.5 k | - |
| Pre-OpenAI SOTA | $80.6 / 80.1$ | 66.1 | 82.3 | 93.2 | 35.0 | 81.0 | 86.0 | 61.7 | 74.0 |
| BiLSTM+ELMo+Attn | $76.4 / 76.1$ | 64.8 | 79.8 | 90.4 | 36.0 | 73.3 | 84.9 | 56.8 | 71.0 |
| OpenAI GPT | $82.1 / 81.4$ | 70.3 | 87.4 | 91.3 | 45.4 | 80.0 | 82.3 | 56.0 | 75.1 |
| BERT $_{\text {BASE }}$ | $84.6 / 83.4$ | 71.2 | 90.5 | 93.5 | 52.1 | 85.8 | 88.9 | 66.4 | 79.6 |
| BERT $_{\text {LARGE }}$ | $\mathbf{8 6 . 7 / 8 5 . 9}$ | $\mathbf{7 2 . 1}$ | $\mathbf{9 2 . 7}$ | $\mathbf{9 4 . 9}$ | $\mathbf{6 0 . 5}$ | $\mathbf{8 6 . 5}$ | $\mathbf{8 9 . 3}$ | $\mathbf{7 0 . 1}$ | $\mathbf{8 2 . 1}$ |

