

# Context-Free Grammars (CFG)

Formally, a CFG  $G$  is a 4-tuple  $G = (\Sigma, N, P, S)$

- $\Sigma$  - non-empty, finite alphabet of *terminal* symbols
- $N$  - non-empty, finite set of *non-terminals* (often also called *variables*)
- $P$  - a finite set of production rules of the form:

$$A \rightarrow \beta \text{ where } A \in N, \beta \in (N \cup \Sigma)^*$$

- $S$  - a start non-terminal,  $S \in N$

We frequently use  $(N \cup \Sigma)$ , and denote it as  $V$  for “vocabulary”;  
e.g.  $V^* = (N \cup \Sigma)^*$ .

# Notation

Shortcut: Multiple rules with the same *lefthand side* (LHS), can be written on a single line with the *righthand sides* (RHS) separated by  $|$  (meaning OR).

$$S \rightarrow \epsilon$$

$$S \rightarrow (S)$$

$$S \rightarrow SS$$

$$\text{Shortcut: } S \rightarrow \epsilon \mid (S) \mid SS$$

# Conventions

We often follow the following conventions:

- Early lowercase letters:  $a, b, c, \dots$  are symbols from  $\Sigma$
- Late lowercase letters:  $\dots, w, x, y, z$  are words from  $\Sigma^*$
- Uppercase letters:  $A, B, \dots, S, \dots$  are non-terminals from  $N$
- $S$  is the starting non-terminal
- Lowercase Greek letters:  $\alpha, \beta, \gamma, \dots$  are elements of  $V^*$  used in the RHS of production rules which may contain both terminals and non-terminals

# Derivations

The application of production rules is called a *derivation*; i.e. from some initial form, we apply a production rule to obtain the next form. The symbol  $\Rightarrow$  means “derives”.

- $\alpha \Rightarrow \beta$  means  $\beta$  can be derived from  $\alpha$  by the application of one production rule
- $\alpha \Rightarrow^k \beta$  means  $\beta$  can be derived from  $\alpha$  by the application of  $k$  production rules
- $\alpha \Rightarrow^* \beta$  means  $\beta$  can be derived from  $\alpha$  by the application of 0 or more production rules

Example:  $\alpha \Rightarrow^k \beta$  means  $\alpha = \delta_0 \Rightarrow \delta_1 \Rightarrow \dots \Rightarrow \delta_k = \beta$

# Intermediate Forms

We typically start from  $S$  and apply production rules until a word  $w$  of only terminals is derived. The intermediate strings, however, may contain both terminals and non-terminals.

i.e.  $S \Rightarrow^* w$  where  $S \in N$  and  $w \in \Sigma^*$

Intermediate steps may have the form:

$\alpha A \beta \Rightarrow \alpha \gamma \beta$  if there is a production  $A \rightarrow \gamma$  in  $P$

- $\alpha, \beta, \gamma \in V^* = (N \cup \Sigma)^*$  and  $A \in N$
- RHS is derivable from LHS in one step

Know your notation: do not confuse  $\Rightarrow$  with  $\rightarrow$ .

# Language of a CFG $G$

The language of CFG  $G$  is the set of strings (terminals only) that we can be derived from the starting non-terminal  $S$ , i.e.

$$L(G) = \{w \in \Sigma^* \mid S \Rightarrow^* w\}.$$

A language  $L$  is context-free if  $L = L(G)$  for some CFG  $G$ .

The language  $L = \{\text{strings of balanced parentheses}\}$  is context-free:

- CFG  $G: S \rightarrow \epsilon \mid (S) \mid SS$
- $L = L(G)$