

# Constraints and Local Search

CS 486/686: Introduction to Artificial Intelligence

# Overview

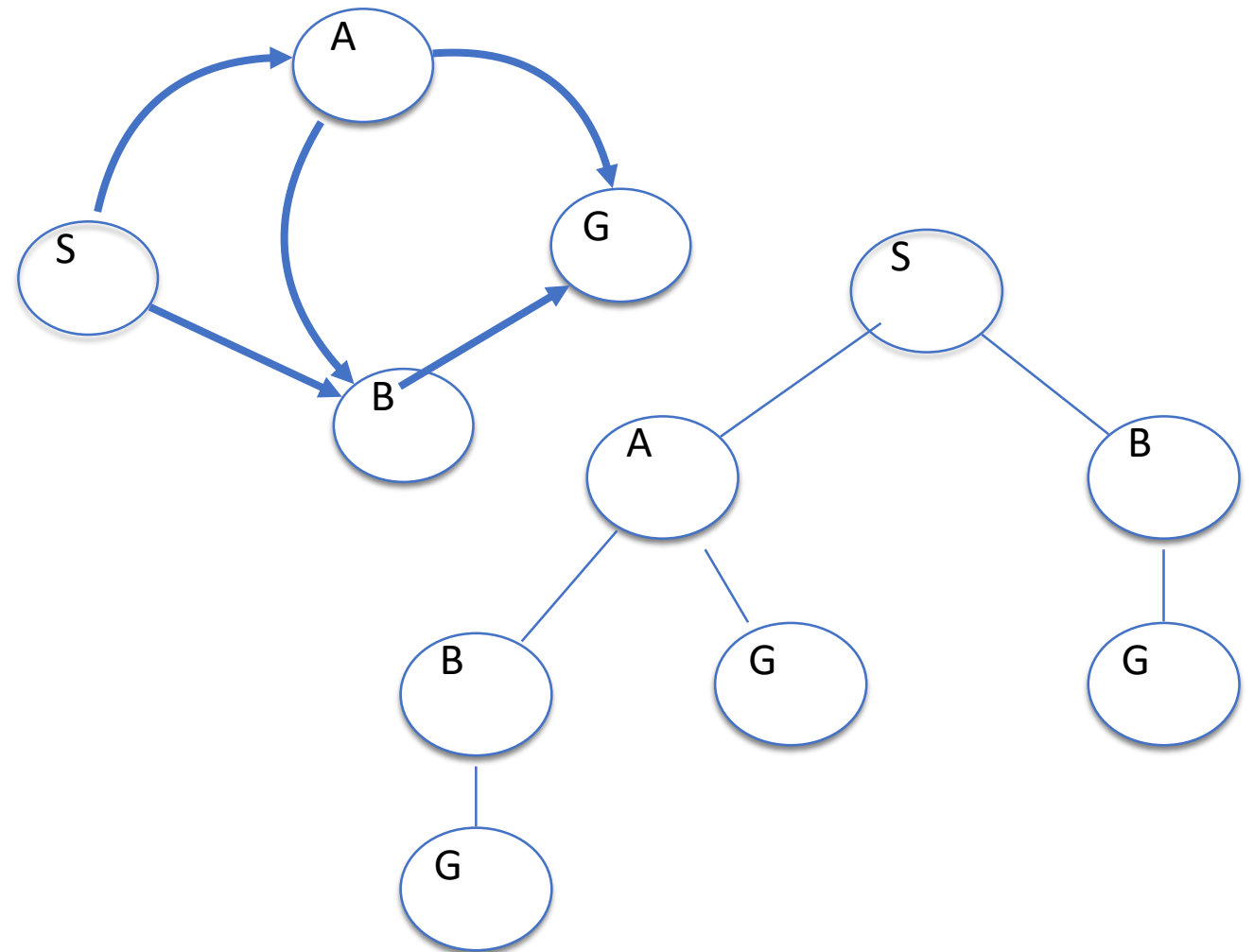
- Uninformed Search
  - Very general: assumes no knowledge about the problem
  - BFS, DFS, IDS
- Informed Search
  - Heuristics
  - A\* search and variations
- **Search and Optimization**
  - What are the problem features?
  - Iterative improvement: hill climbing, simulated annealing
  - Genetic algorithms

# Introduction

Both uninformed and informed search systematically explore the search space

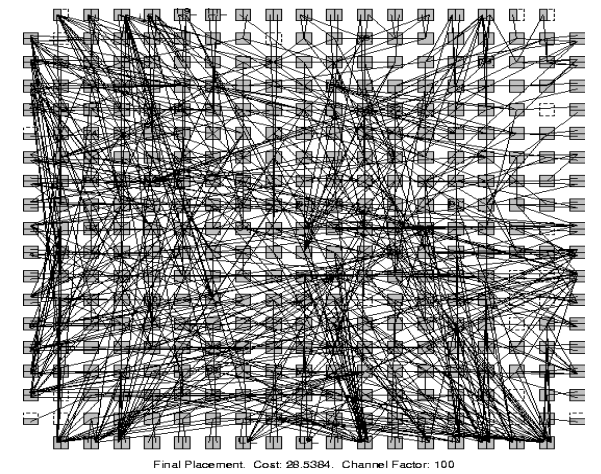
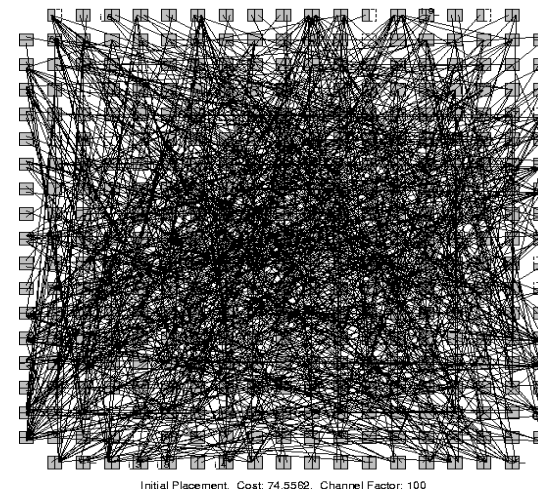
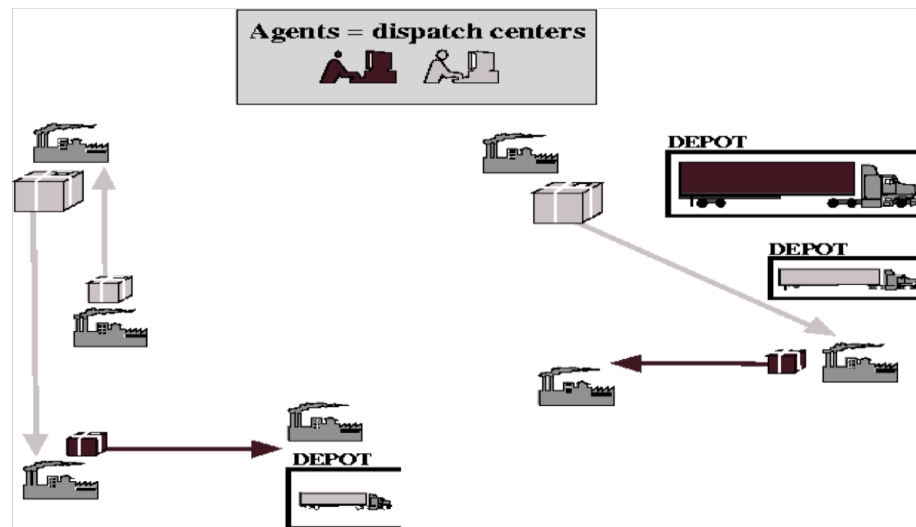
Keep 1 or more paths in memory

**Solution is a path to the goal**



**For many problems the path is unimportant**

# Examples



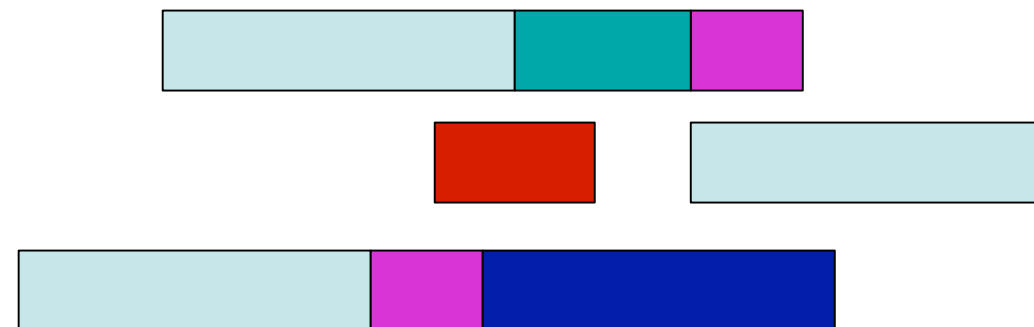
**AV ~B V C**

**~A V C V D**

**B V D V ~E**

**~C V ~D V ~E**

...



# Informal Characterization

Combinatorial structure being optimized

Constraints have to be satisfied

There is a cost function

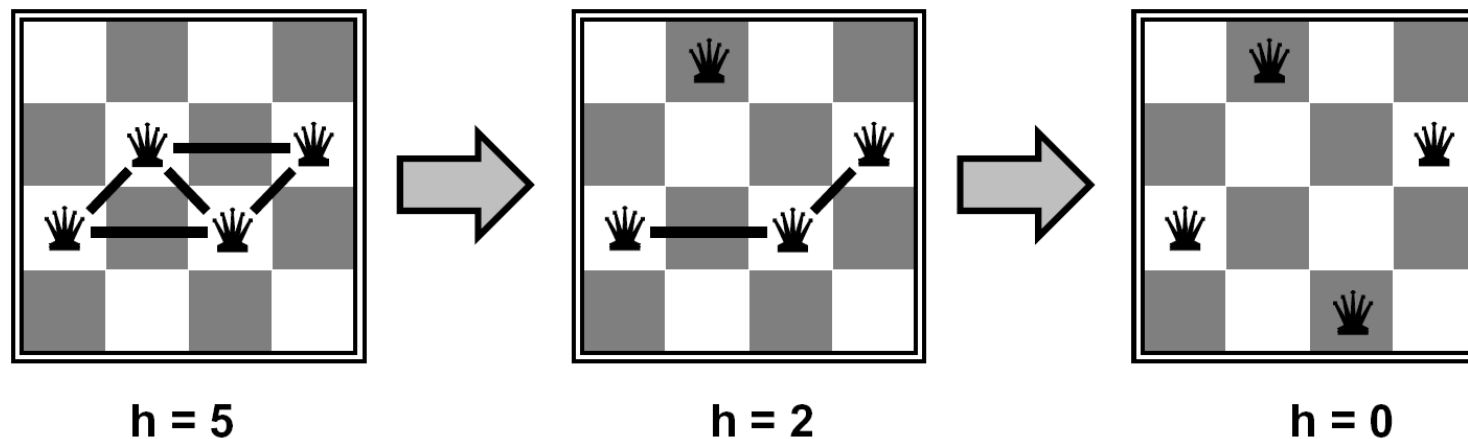
We want to find a **good** solution

Search all possible states is infeasible

Often easy to find **some solution** to the problem

Often provably **hard** (NP-complete) to find the **best** solution

# Typical Example: 4 Queens



Start with a “complete” state

Operators reassign variables

Choose variable at random

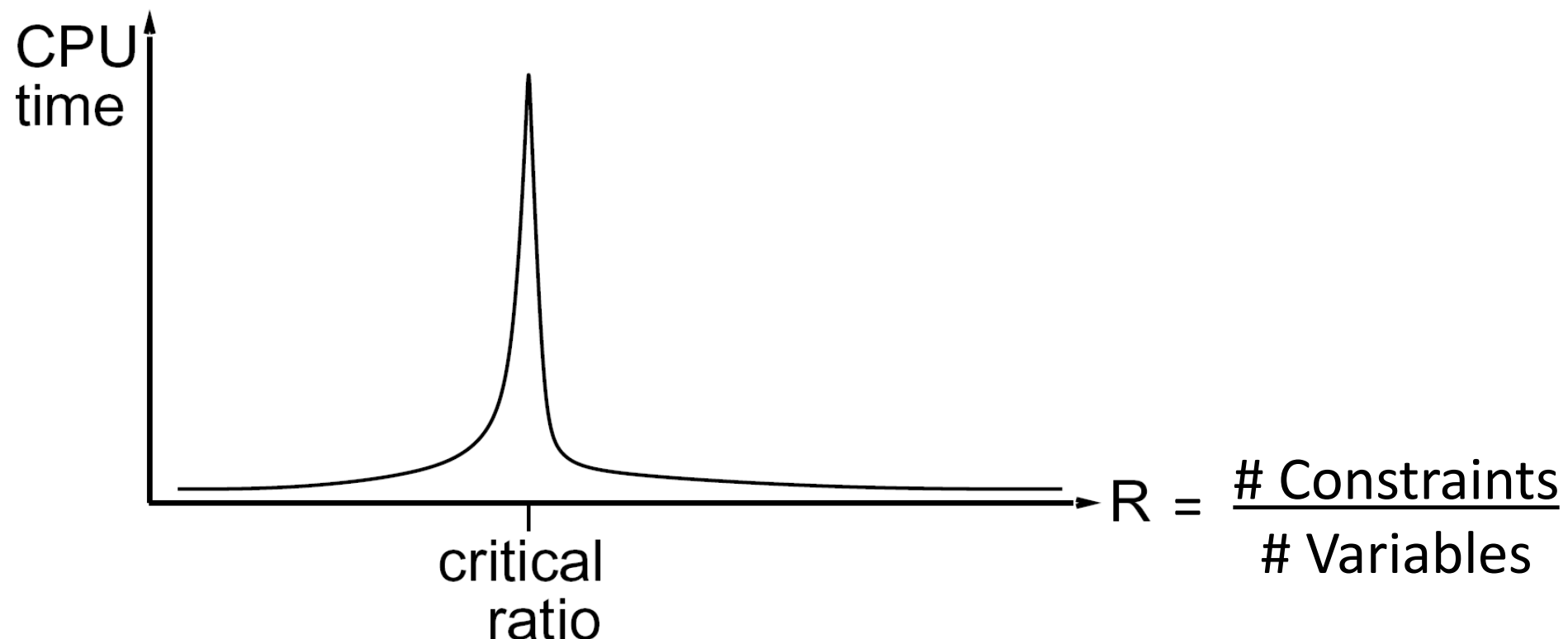
Choose value using **min-conflicts** heuristic

Continue until solved

# Performance for N-Queens

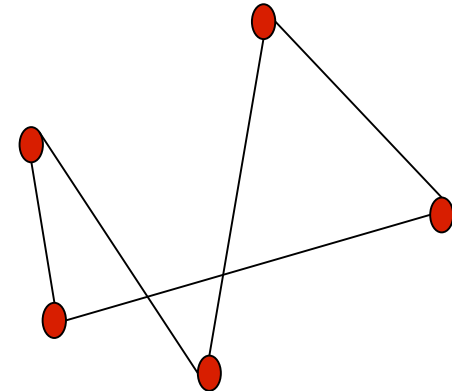
Given a random initial state, can solve n-queens in almost constant time for arbitrary  $n$  *with high probability* ( $n=10,000,000$ )!

This seems to hold for almost any randomly generated CSP except for a small set!



# Typical Example: TSP

Goal is to minimize the length of the route



**Constructive method:** Start from scratch and build up a solution (using  $A^*$  etc)

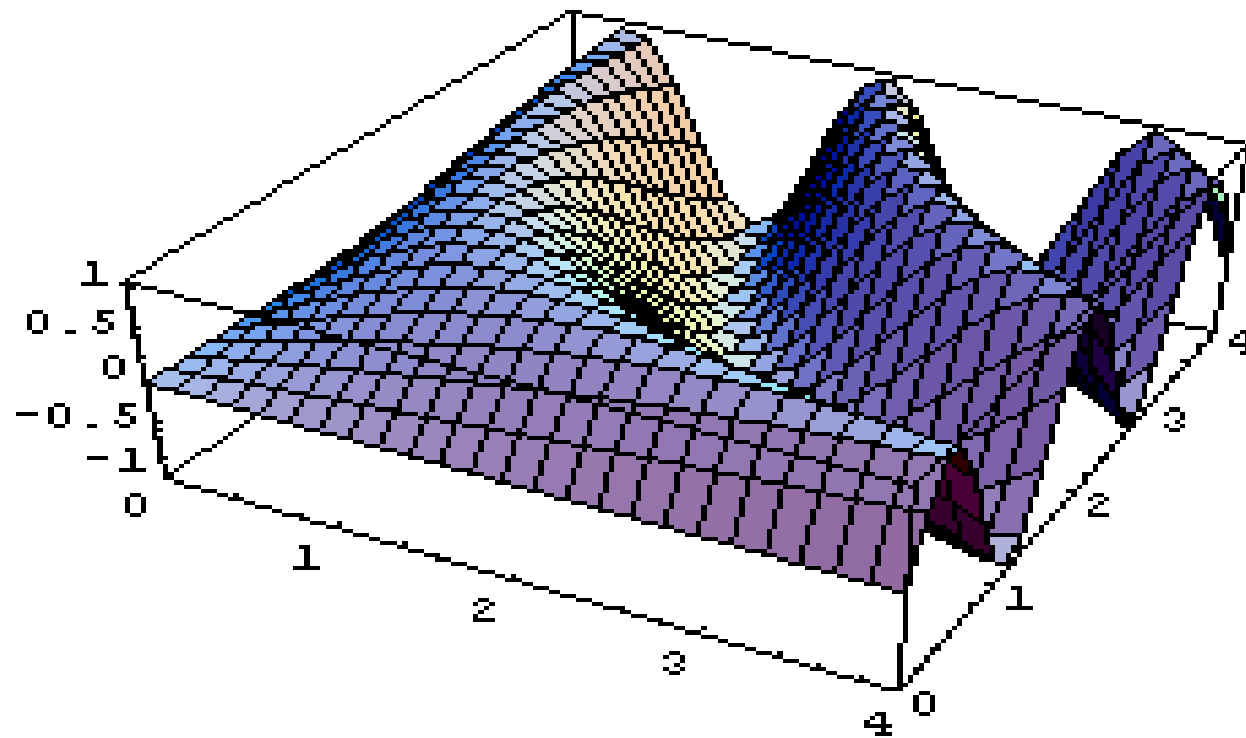
**Iterative improvement method:** Start with solution (may be suboptimal or broken) and improve it



# Iterative Improvement Methods

**Idea:** Imagine all possible solutions laid out on a landscape

**Goal:** find the highest (or lowest) point



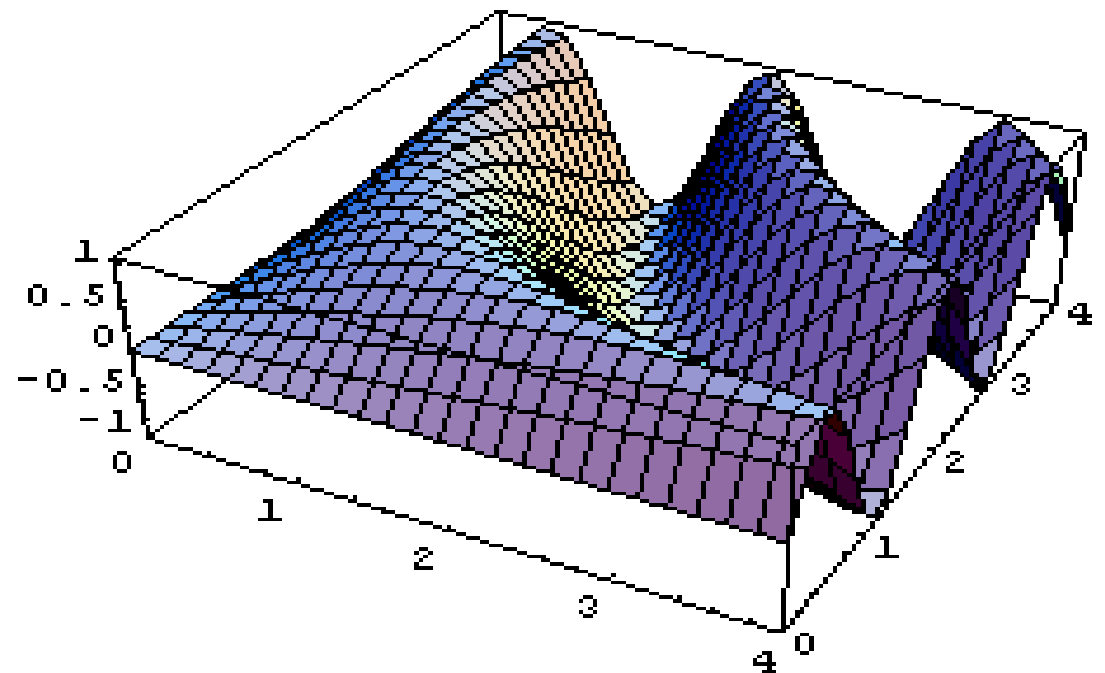
# Iterative Improvement Methods

Start at some random point  
(potential solution)

Generate all possible points to  
move to

If the set is not empty, choose  
a point and move to it

If you are stuck (set is empty),  
then restart



# Hill Climbing (Gradient Descent)

**Main idea:** Always take a step in the direction that improves the current solution value the most

**Note:** Variation of best-first search

**Application:** Very popular for learning algorithms

"...like trying to find the top of Mt Everest in a thick fog while suffering from amnesia", Russell and Norvig



# Hill Climbing (Discrete Version)

1. Start with some initial configuration  $S$ , with value  $V(S)$
2. Generate  $\text{Moveset}(S) = \{S_1, \dots, S_n\}$
3.  $S_{\max} = \text{argmax}_{S_i} V(S_i)$
4. If  $V(S_{\max}) < V(S)$  return  $S$  (local optimum)
5. Let  $S \leftarrow S_{\max}$  Go to 2

# Judging Hill Climbing

## **Good news**

Easy to program!

Requires no memory of where we have been!

# Judging Hill Climbing

## Good news

### Easy to program!

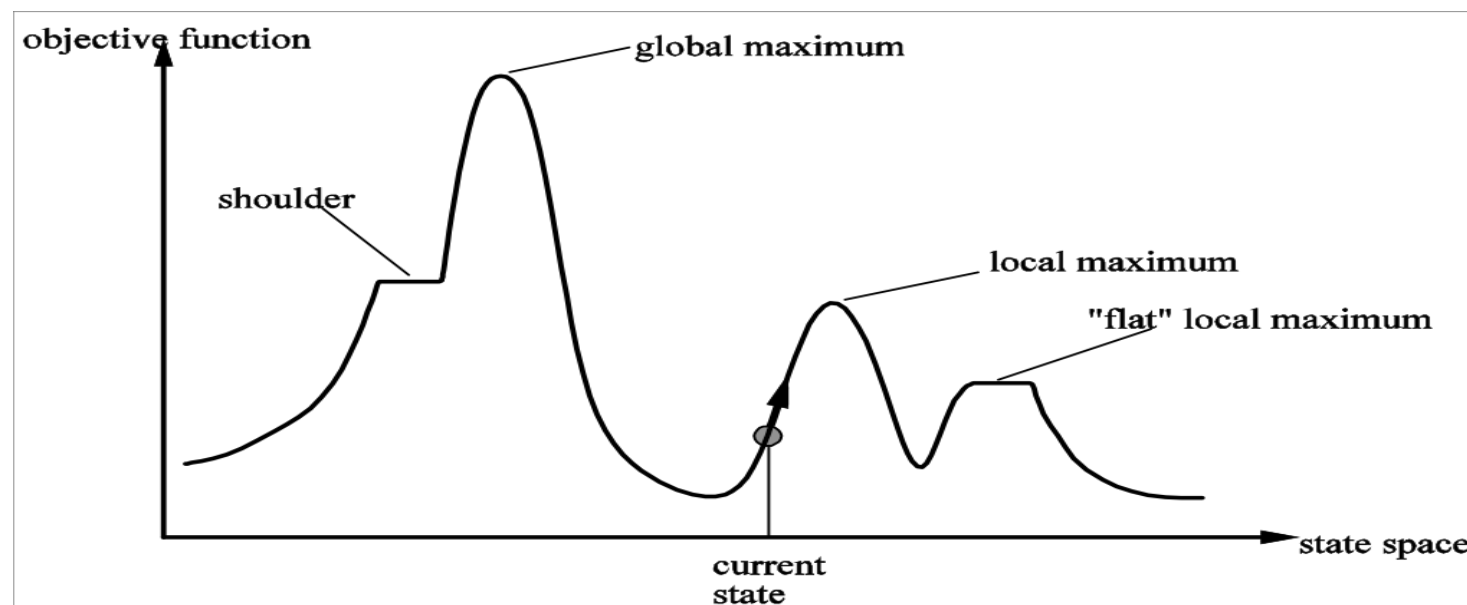
Requires no memory of where we have been!

## Bad news

Not necessarily complete

Not optimal

It can get stuck in local optima/plateaus



# Improving Hill Climbing

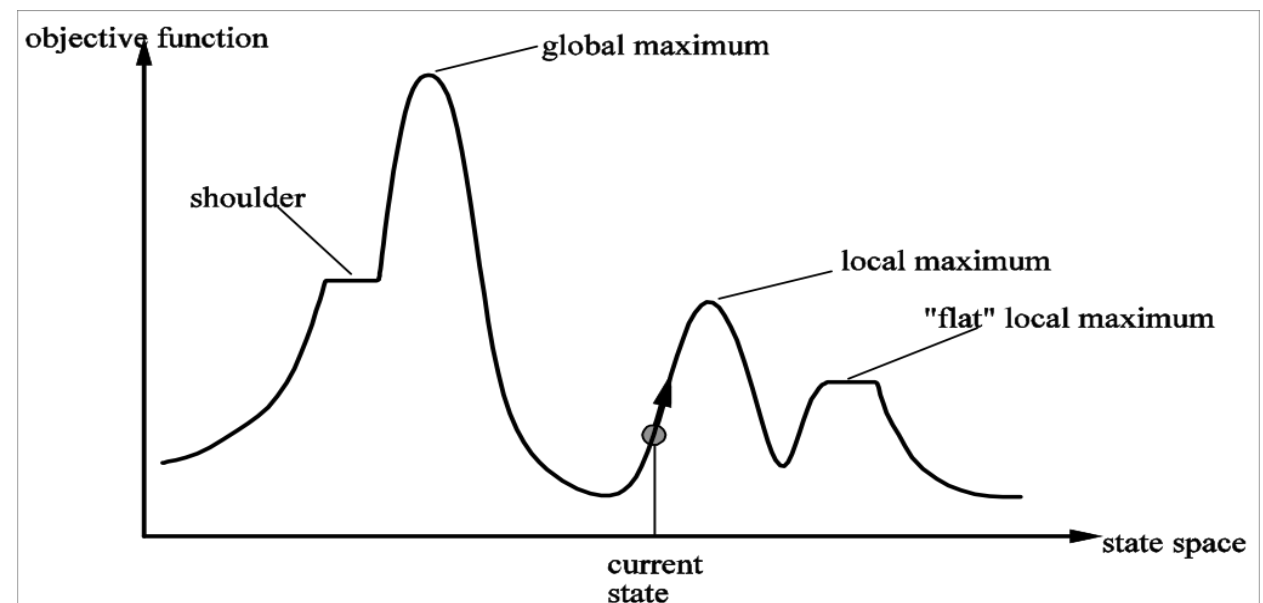
## Plateaus

- Allow for sideways moves
- But be careful since might move sideways forever

## Local Maxima

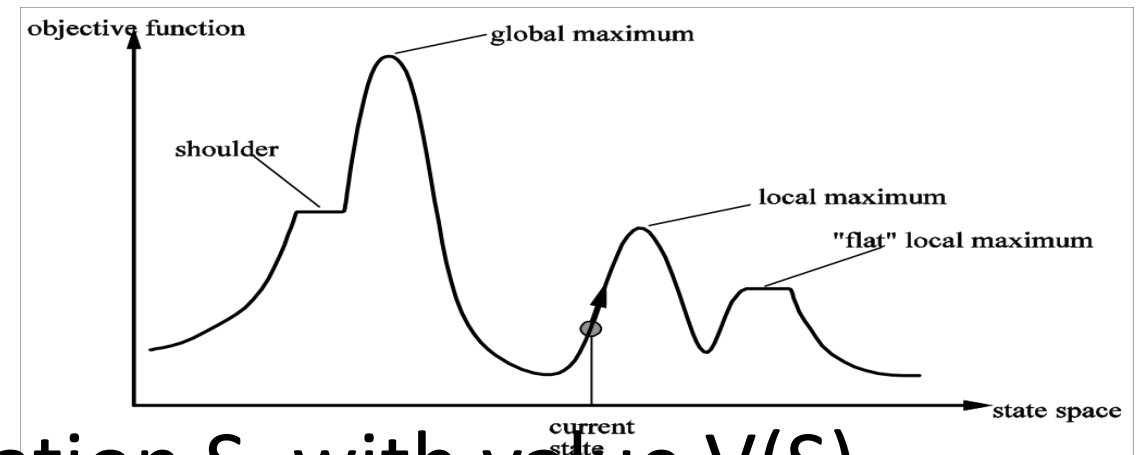
- **Random restarts:**

*If at first you do not succeed, try, try again!*



# Simulated Annealing

**Escape local maxima by allowing “downhill moves”**



1. Start with some initial configuration  $S$ , with value  $V(S)$
2. Generate  $\text{Moveset}(S) = \{S_1, \dots, S_n\}$
3. Choose  $S_i$  **randomly** from  $\text{Moveset}(S)$
4. Define  $\Delta V = V(S_i) - V(S)$
5. If  $\Delta V > 0$  then  $S \leftarrow S_i$   
else **with probability  $p$ ,  $S \leftarrow S_i$**
6. Go to 2



# What About $p$ ?

**Main Issue:** How should we choose the probability of making a “bad” move?

## Ideas:

$p=0.1$  (or some fixed value)?

Decrease  $p$  with time?

Make  $p$  a function of  $|V-V_i|$ ?

...

# Selecting Moves in Simulated Annealing

- If new value  $V_i$  is **better** than old value  $V$  then **definitely** move to new solution
- If new value  $V_i$  is **worse** than old value  $V$  then move to new solution with *some probability*

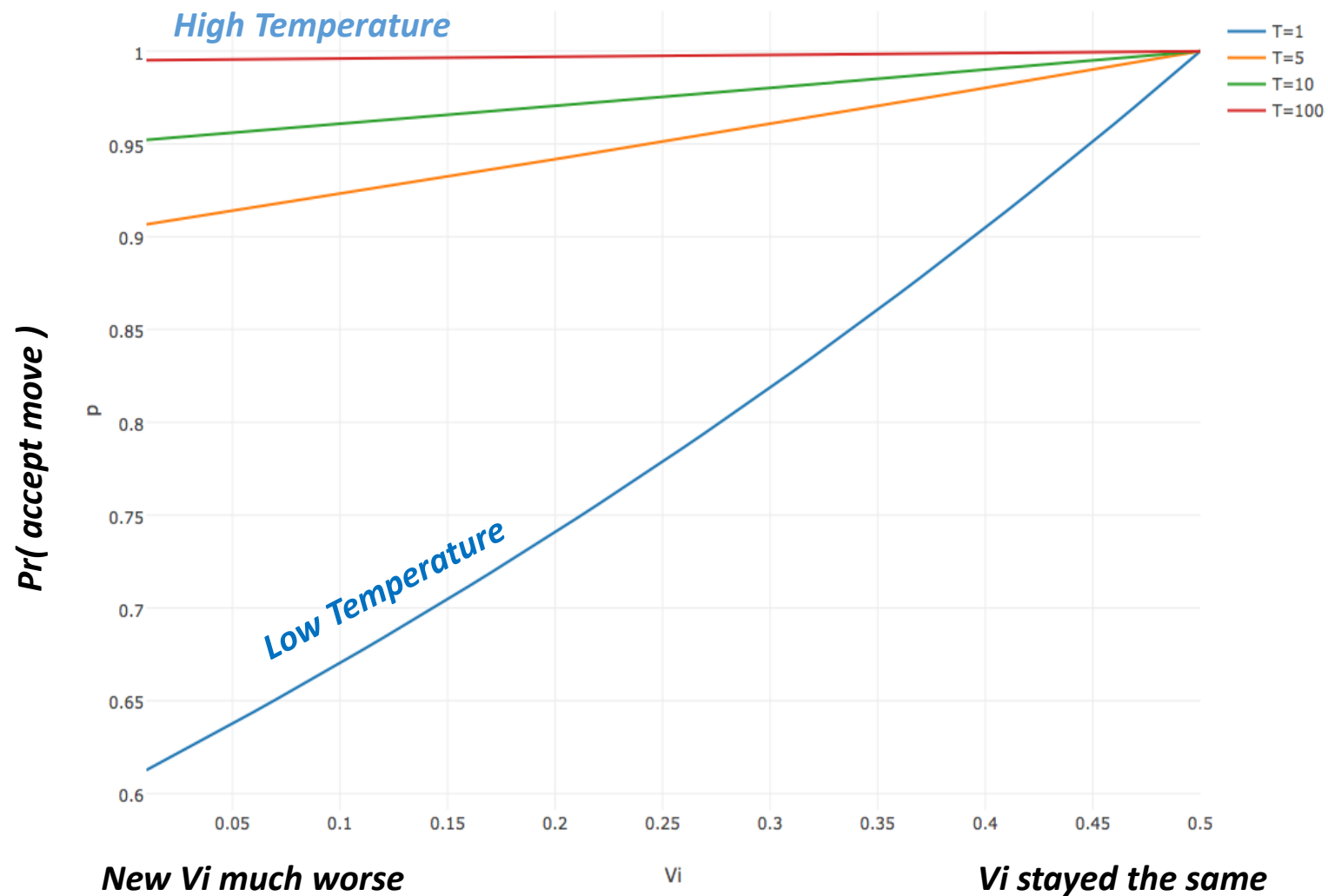
## Boltzmann Distribution

$$e^{-\frac{\Delta V}{T}}$$

# Selecting Moves in Simulated Annealing

**Boltzmann Distribution**:  $T > 0$  is a parameter called temperature. It starts high and decreases over time towards 0. If  $T$  is close to 0 then the prob. of making a bad move is almost 0.

$$e^{-\frac{\Delta V}{T}}$$



# Properties to Simulated Annealing

When  $T$  is high:

**Exploratory phase:** even bad moves have a chance of being picked ( $\sim$  random walk)

When  $T$  is low:

**Exploitation phase:** “bad” moves have low probability of being chosen (randomized hill climbing)

If  $T$  is decreased slowly enough then simulated annealing is (theoretically) guaranteed to reach optimal solution

# Genetic Algorithms

- Populations are encoded into a representation which allows certain operations to occur
- An encoded candidate solution is an **individual**
- Each individual has a **fitness**
  - Numerical value associated with its quality of solution
- A **population** is a set of individuals
- Populations change over **generations** by applying operators to them
  - Operations: selection, mutation, crossover

# Typical Genetic Algorithm

- Initialize: Population  $P \leftarrow N$  random individuals
- Evaluate: For each  $x$  in  $P$ , compute  $\text{fitness}(x)$
- Loop
  - For  $i=1$  to  $N$ 
    - **Select** 2 parents each with probability proportional to fitness scores
    - **Crossover** the 2 parents to produce a new bitstring (child)
    - With some small probability **mutate** child
    - Add child to population
  - Until some child is fit enough or you get bored
- Return best child in the population according to fitness function

# Selection

- Fitness proportionate selection:
  - Can lead to overcrowding
- Tournament selection
  - Pick  $i, j$  at random with uniform probability
  - With probability  $p$  select fitter one
- Rank selection
  - Sort all by fitness
  - Probability of selection is proportional to rank
- Softmax (Boltzmann) selection:

$$P(i) = \frac{\text{fitness}(i)}{\sum_j \text{fitness}(j)}$$

$$P(i) = \frac{e^{\text{fitness}(i)/T}}{\sum_j e^{\text{fitness}(j)/T}}$$

# Crossover

- Combine parts of individuals to create new ones
- For each pair, choose a random crossover point
- Cut the individuals there and swap the pieces

101 0101	011 1110
Cross over	
011 0101	101 1110

Implementation: use a crossover mask  $m$

Given two parents  $a$  and  $b$  the offspring are

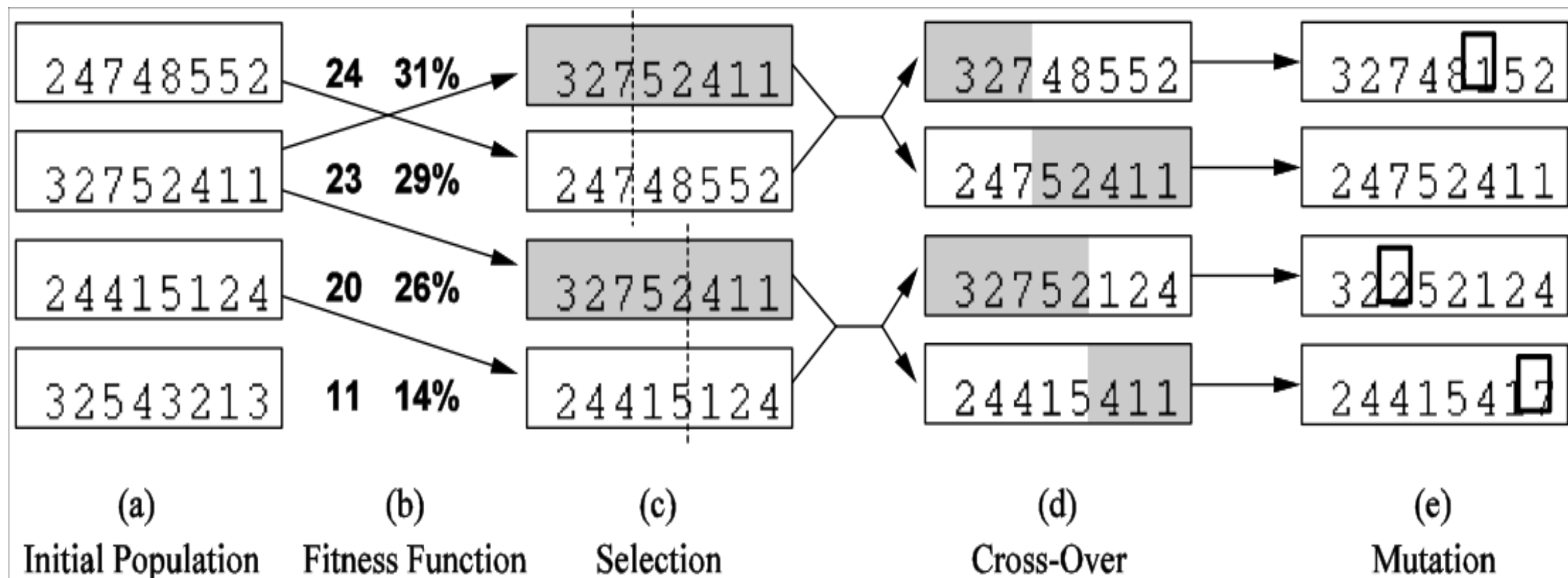
$(a^m) \vee (b^{\sim m})$  and  $(a^{\sim m}) \vee (b^m)$



# Mutation

- Mutation generates new features that are not present in original population
- Typically means flipping a bit in the string
- $100111$  mutates to  $100101$   
Can allow mutation in all individuals or just in new offspring

# Example



# Summary

Useful for optimization problems

Often the **second-best way** to solve a problem

If you can, use A\* or linear programming or ...

But there are cool applications: Scheduling umpires for US Open, solving jigsaw puzzles,...

Need to think about how to escape from local optima

Random restarts

Allowing for bad moves

...