## CS 745 / ECE 725 Computer Aided Verification

Lecture 3: Predicate Logic

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# **Predicate Logic**

Invented by Gottlob Frege (1848–1925).

Predicate Logic is also called "first order logic".



"Every good mathematician is at least half a philosopher, and every good philosopher is at least half a mathematician."

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# Today's Agenda

- Predicate Logic
- Equality

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#### **Motivation**

There are some kinds of descriptions and reasoning that we can't do in propositional logic. For example:

Every person likes ice cream. Billy is a person. Therefore, Billy likes ice cream.

In propositional logic, the best we can do is  $A \wedge B \Rightarrow C$ , which isn't a tautology. It doesn't capture the relationships between propositions.

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#### **Motivation**

We want to be able to

- refer to objects (e.g., Billy) and collections (e.g., person)
- indicate that objects have characteristics (e.g., likes ice cream)
- express relations between objects (e.g., Billy is older than Bryon)
- specify that collections or members of collections have characteristics (e.g., every member or some member)

The predicates and quantifiers of predicate logic allow us to capture these concepts.

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#### **Variables**

Variables represent arbitrary or unnamed object instances. They allow us to express properties without being specific about which object possess the property.

Variables act a placeholders for specific objects.

```
person(x)

likesIceCream(x)

older(x, y)
```

#### **Predicates**

A predicate (also called a propositional function) defines an attribute (property, characteristic) in terms of the objects that possess that attribute. The syntax is functional, where the result of the function (T or F) indicates whether the predicate's argument(s) possess the attribute.

Billy is a person. person(Billy)

Billy like ice cream. likesIceCream(Billy)

We can have n-ary predicates: older(Billy, Bryon)

For the moment, we aren't dealing with types.

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### **Quantifiers**

Quantifiers are used to express properties about the members of a collection (domain). A quantifier will introduce a variable that refers to an arbitrary member of the collection.

- Universal quantification (∀) applies to all members of a collection.
- Existential quantification (∃) asserts something about some member of a collection.

Everybody with money goes to the movies:

Some student likes logic:

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## **Quantifiers**

#### More formally:

Universal quantification  $(\forall x \bullet \phi)$  corresponds to finite or infinite conjunction of the formula  $\phi$  instantiated with every element of the collection (domain).

Existential quantification  $(\exists x \bullet \phi)$  corresponds to finite or infinite disjunction of the formula  $\phi$  instantiated with every element of the domain.

∀ and ∃ are duals of each other:

 $\exists x \bullet P(x)$  is the same as  $\neg \forall x \bullet \neg P(x)$  $\forall x \bullet P(x)$  is the same as  $\neg \exists x \bullet \neg P(x)$ 

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## **Predicate Logic**

- 1. syntax (well-formed formulas)
- 2. semantics
- 3. proof theory
  - axiom systems
  - natural deduction

#### Free and Bound Variables

Given that quantifiers introduce variables, a predicate logic formula can have two types of variables:

- bound variables, which are introduced by quantifiers; these variables represent all possible values
- free variables, whose values are determined by the formula's valuation.

A formula is closed if it contains no free occurrences of any variable.

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# **Predicate Logic: Syntax**

The syntax of predicate logic consists of:

- 1. constants
- 2. variables  $x, y, \dots$
- 3. functions
- 4. predicates
- 5. logical connectives
- 6. quantifiers ( $\forall$ ,  $\exists$ )
- 7. punctuation: , ()

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### **Predicate Logic: Syntax**

A term is an expression whose value is **not** a truth value. Terms are objects.

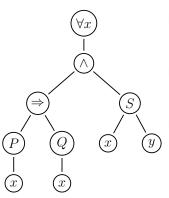
Definition. Terms are defined as follows:

- 1. Every constant is a term.
- 2. Every variable is a term.
- 3. If  $t_1, t_2, t_3, \dots t_n$  are terms then  $f(t_1, t_2, t_3, \dots t_n)$  is a term, where f is an n-ary function.
- 4. Nothing else is a term.

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## **Scope and Binding of Variables**

One can determine whether a variable is bound, and what the scope of a bound variable is, from a wff's parse tree.



- A leaf node x is a bound variable if it has an ancestor node labelled ∀x or ∃x.
- A leaf node x is a free variable if has no such ancestor node.
- The scope of a bound variable x is the subtree whose root is the quantifier that introduces x, minus any subtrees whose roots are ∀x or ∃x.

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### **Predicate Logic: Syntax**

A formula is an expression whose value is a truth value.

Definition. Well-formed formulas are defined as follows:

- 1.  $P(t_1, t_2, t_3, \dots t_n)$  is a wff, where  $t_i$  is a term, and P is an n-ary predicate. These are called atomic formulas.
- 2. If A, and B are wffs, then so are  $(\neg A)$ ,  $(A \land B)$ ,  $(A \lor B)$ ,  $(A \Rightarrow B)$ , and  $(A \Leftrightarrow B)$ .
- 3. If A is a wff, the so are  $(\forall x \bullet A)$ ,  $(\exists x \bullet A)$ .
- 4. Nothing else is a wff.

We often omit the brackets using the same precedence rules as propositional logic for the logical connectives.

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#### **Substitution**

Variables are place holders. Given a variable x, a term t and a formula P, we define P[t/x] to be the formula obtained by replacing all free occurrences of variable x in P with t.

Warning: In substitution P[t/x], both t and x must be "free for x in P". It is a problem if t includes some free variable y and if y is bound at some occurrence of x in P.

#### Example:

```
\begin{array}{l} A \text{ is } \forall y \bullet P(x) \land Q(y) \\ t \text{ is } f(y) \\ P[t/x] \text{ is } \forall y \bullet P(f(y)) \land Q(y) \end{array} \qquad \therefore t \text{ is NOT free for } x \text{ in } A.
```

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## **Predicate Logic**

- 1. syntax (well-formed formulas)
- 2. semantics
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  - natural deduction

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## **Interpretations**

#### An interpretation assigns:

- 1. a fixed element  $c' \in D$  to each constant c of the syntax
- 2. an n-ary function  $f': D^n \to D$  to each n-ary function, f, of the syntax
- 3. an n-ary relation  $P' \subseteq D^n$  to each n-ary predicate, P, of the syntax

### **Predicate Logic: Semantics**

Recall that a semantics is a mapping between two worlds.

A model for predicate logic consists of:

- 1. a non-empty domain of objects: D
- 2. a mapping I, called an interpretation that associates the terms of the syntax with objects in a domain

It's important that D be non-empty, otherwise some tautologies wouldn't hold such as  $(\forall x.A(x)) \Rightarrow (\exists x.A(x))$ .

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# **Example of a Model**

Let's say our syntax has the constant c, the function f (unary), and two predicates P and Q (both binary).

And suppose that the domain is the natural numbers. Let the model have the following interpretation:

- I(c) is 0
- I(f) is suc, the successor function
- I(P) is <</p>
- I(Q) is =

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#### **Valuations**

Definition. A valuation v of a formula  $\phi$  is an assignment of each term variable to a value in the object domain D.

Such evaluations are also called environments.

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### **Example Evaluation**

#### Let

- D be the set of natural numbers
- *g* be the function +
- h be the function suc
- c (constant) be 3
- y (variable) be 1

$$\begin{array}{rcl} v(g(h(c),y)) & = & v(h(c)) + v(y) \\ & = & \underline{\operatorname{suc}}(v(c)) + 1 \\ & = & \underline{\operatorname{suc}}(3) + 1 \\ & = & 5 \end{array}$$

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### **Evaluating Formulae**

We evaluate a formula  $\phi$  with respect to

- a model  $\mathcal{M}$  that maps each constant c, function f, and predicate p respectively to c', f', P' in domain D
- a valuation v that maps terms to objects in domain D

Evaluation is defined by structural induction on formula  $\phi$ :

$$v(c) = c' \qquad v(\phi \lor \psi) = v(\phi) \lor v(\psi)$$

$$v(f(t_1...t_n)) = f'(v(t_1)...v(t_n)) \qquad v(\phi \land \psi) = v(\phi) \land v(\psi)$$

$$v(P(t_1...t_n)) = P'(v(t_1)...v(t_n)) \qquad v(\phi \Rightarrow \psi) = v(\phi) \Rightarrow v(\psi)$$

$$v(\neg \phi) = \neg(v(\phi)) \qquad v(\phi \Leftrightarrow \psi) = v(\phi) \Leftrightarrow v(\psi)$$

$$v(\forall x \bullet A) = \bigwedge_{d \in D} v(A[d/x]) \qquad v(\exists x \bullet A) = \bigvee_{d \in D} v(A[d/x])$$

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# **Terminology**

Definition. A predicate logic formula is satisfiable iff there is **some** valuation in **some** model such that the formula evaluates to T.

Definition. A predicate logic formula is logically valid (tautology) iff it evaluates to T for **every** valuation in **every** model.

Definition. A predicate logic formula is a contradiction iff it evaluates to F for **every** valuation in **every** model.

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#### **Semantic Entailment**

Semantic entailment has a similar meaning in predicate logic as it has in propositional logic.

$$\phi_1, \phi_2, \phi_3 \models \psi$$

means that for **every** valuation v in **every** model, if  $v(\phi_1) = v(\phi_2) = v(\phi_3) = T$ , then  $v(\psi) = T$ .

Which is equivalent to saying that  $(\phi_1 \land \phi_2 \land \phi_3) \Rightarrow \psi$  is a tautology:

$$(\phi_1, \phi_2, \phi_3 \models \psi) \equiv (\models (\phi_1 \land \phi_2 \land \phi_3) \Rightarrow \psi)$$

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# **Counterexamples**

Definition. A counterexample for a closed formula is a model in which the formula does not evaluate to T.

We can "prove" that a formula is not a tautology by providing a counterexample.

# **Proof by Refutation**

A closed formula is valid (a tautology) iff its negation is not satisfiable.

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# **Counterexamples**

Show that  $(\forall x \bullet P(x) \lor Q(x)) \iff ((\forall x \bullet P(x)) \lor (\forall x \bullet Q(x)))$  is not a tautology.

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# **Predicate Logic**

- 1. syntax (well-formed formulas)
- 2. semantics
- 3. proof theory
  - axiom systems
  - natural deduction

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#### **Rules of Inference**

Two rules of inference:

- 1. (modus ponens MP) From A and  $A \Rightarrow B$ , B can be derived, where A and B are any well-formed formulas.
- 2. (generalization) From A,  $\forall x \bullet A$  can be derived, where A is any well-formed formula and x is any variable.

# **An Axiomatic System for Predicate Logic**

An extension of the axiomatic system for propositional logic. Uses only:  $\Rightarrow$ ,  $\neg$ ,  $\forall$ 

Five axiom (schemes):

1. 
$$A \Rightarrow (B \Rightarrow A)$$

**2.** 
$$(A \Rightarrow (B \Rightarrow C)) \Rightarrow ((A \Rightarrow B) \Rightarrow (A \Rightarrow C))$$

**3.** 
$$(\neg A \Rightarrow \neg B) \Rightarrow (B \Rightarrow A)$$

4. 
$$(\forall x \bullet A(x)) \Rightarrow A(t)$$
, where t is free for x in A

5. 
$$\forall x \bullet (A \Rightarrow B(x)) \Rightarrow (A \Rightarrow (\forall x \bullet B(x)))$$
, where  $A$  contains no free occurrences of  $x$ 

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# **Example**

Prove  $\forall x \bullet \forall y \bullet A \vdash_{\mathsf{ph}} \forall y \bullet \forall x \bullet A$ 

| 1 | $\forall x \bullet \forall y \bullet A$ | premise |
|---|---|---------|
|   |   |         |

2 
$$(\forall x \bullet \forall y \bullet A) \Rightarrow \forall y \bullet A$$
 Ax4  
3  $\forall y \bullet A$  MP 1, 2

4 
$$(\forall y \bullet A) \Rightarrow A$$
 Ax4

6 
$$\forall x \bullet A$$
 Gen of 5

7 
$$\forall y \bullet \forall x \bullet A$$
 Gen of 6

#### **Deduction Theorem**

Theorem. If  $S \cup \{A\} \vdash_{\text{ph}} B$  by a derivation containing no application of generalization to a variable that occurs free in A, then  $S \vdash_{\text{ph}} A \Rightarrow B$ .

Corollary. If A is closed and if  $S \cup \{A\} \vdash_{\text{ph}} B$ , then  $S \vdash_{\text{ph}} (A \Rightarrow B)$ .

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# **Predicate Logic**

- 1. syntax (well-formed formulas)
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# **Soundness and Completeness**

First-order axiomatic logic is sound and complete.

Completeness was proven by Kurt Gödel in 1929 in his doctoral dissertation.

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# **Predicate Logic: Natural Deduction**

Extend the set of rules we use for propositional logic with ones to handle quantifiers.

Rules for Universal Quantification

forall-elimination

$$\frac{\forall x \bullet P}{P[t/x]} \forall \mathsf{e}$$

t must be free for x in P.

$$\begin{array}{c}
x_0 \\
\vdots \\
P[x_0/x] \\
\hline
\forall x \bullet P
\end{array}$$

 $x_0$  must be arbitrary, meaning it doesn't appear outside the subproof.

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# **Predicate Logic: Natural Deduction**

Rules for Existential Quantification

exists-introduction

exists-elimination

$$\frac{P[l/x]}{\exists x \bullet P} \exists i$$
must be free for  $x$ 
in  $P$ .

Ideally, we would derive Q from all substitutions for x in P (proof by cases). But as with the proof rules for universal quantification, deriving Q from an arbitrary substitution is sufficient.

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#### **Exercises**

1. 
$$P(a), \forall x \bullet P(x) \Rightarrow \neg Q(x) \vdash_{ND} \neg Q(a)$$

2. 
$$\neg \forall x \bullet P(x) \vdash_{ND} \exists x . \neg P(x)$$

# **Example**

$$\begin{array}{cccc} \text{Show } \forall x \bullet P(x) \Rightarrow Q(x), \forall x \bullet P(x) \models_{\mathsf{ND}} \forall x \bullet Q(x) \\ & \mathbf{1} & \forall x \bullet P(x) \Rightarrow Q(x) & \mathsf{premise} \\ & \mathbf{2} & \forall x \bullet P(x) & \mathsf{premise} \\ & \begin{bmatrix} \mathbf{3} & x_0 \\ \mathbf{4} & P(x_0) \Rightarrow Q(x_0) & \forall \mathbf{e} \ 1 \\ \mathbf{5} & P(x_0) & \forall \mathbf{e} \ 2 \\ \mathbf{6} & Q(x_0) & \Rightarrow \mathbf{e} \ 4, 5 \\ \mathbf{7} & \forall x \bullet Q(x) & \forall \mathbf{i} \ 3 - 6 \\ \end{array}$$

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# **Theory of Equality**

So far we've made no restrictions on models (as long as they provide **some** interpretation for each constant, function, and predicate in our logic). This is a very liberal notion of models.

Sometimes we want to assume at least something about the semantics of our logic.

The least common denominator to all sensible models is the notion of equality. That is, there is a distinguished predicate = whose meaning is defined to relate equivalent terms.

#### Example:

Given  $D = \{c_1, c_2\}$ , the semantics of predicate = is defined to

$$\{(c_1, c_1), (c_2, c_2)\}$$

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# **An Axiomatic System with Equality**

To the previous axioms and rules of inference, we add:

EAx1  $\forall x \bullet x = x$ 

 $\mathsf{EAx2} \ \forall x \bullet \forall y \bullet x = y \Rightarrow (A(x, x) \Rightarrow A(x, y))$ 

EAx3  $\forall x \bullet \forall y \bullet x = y \Rightarrow f(x) = f(y)$ 

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# **Natural Deduction Rules for Equality**

Substitution

$$\begin{array}{cccc} t_1=t_2 & P[t_2/x] & & & t_1=t_2 & P[t_1/x] \\ \hline & & & & \hline \\ P[t_1/x] & & & & \hline \\ P[t_2/x] & & & \end{array} = \mathrm{e}$$

where  $t_1$  and  $t_2$  are free in x in P.

# **Natural Deduction Rules for Equality**

Reflexivity

$$t = t$$

This inference rule is called an axiom, because it has no premises.

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# **Examples**

From these two inference rules, we can derive two other properties that we expect equality to have:

- 1. Symmetry:  $\vdash_{ND} \forall x, y \bullet (x = y) \Rightarrow (y = x)$
- 2. Transitivity  $\vdash_{ND} \forall x, y, z \bullet (x = y) \land (y = z) \Rightarrow (x = z)$

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## **Example**

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## **Extensional Equality**

Equality in the domain is extensional, meaning it is equality in meaning rather than form.

This is in contrast to intensional equality which is equality in form rather than meaning.

In logic, we are interested in whether two terms represent the same object, not whether they are the same symbols.

If two terms are intensionally equal then they are also extensionally equal, but not necessarily the other way around.

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#### Example

$$\vdash_{\mathrm{ND}} \forall x,y,z \bullet (x=y) \land (y=z) \Rightarrow (x=z)$$

$$\begin{bmatrix} 1 & x_0 \\ 2 & y_0 \\ \end{bmatrix} \begin{bmatrix} 3 & z_0 \\ 4 & (x_0 = y_0) \land (y_0 = z_0) & \text{assumption} \\ 5 & x_0 = y_0 & \land e \ 3 \\ 6 & y_0 = z_0 & \land e \ 3 \\ 7 & x_0 = z_0 & = e \ 4, 5 \\ 8 & (x_0 = y_0) \land (y_0 = z_0) \Rightarrow (x_0 = z_0) & \Rightarrow i \ 4 - 7 \\ 9 & \forall z \bullet (x_0 = y_0) \land (y_0 = z) \Rightarrow (x_0 = z) & \forall i \ 3 - 8 \\ 10 & \forall y, z \bullet (x_0 = y) \land (y = z) \Rightarrow (x_0 = z) & \forall i \ 2 - 9 \\ 11 & \forall x, y, z \bullet (x = y) \land (y = z) \Rightarrow (x = z) & \forall i \ 1 - 10 \end{bmatrix}$$

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#### What to Remember

#### **Predicate Logic**

- motivation
- syntax (well-formed formulae)
- semantics (models, valuations)

#### **Axiomatic proofs**

- 5 axioms
- 2 inference rules (*modus ponens* and generalization)
- sound and complete (but not decidable)

#### **Natural deduction**

- 1 axiom (for equality)
- inference rules eliminate or introduce logical operator, quantifier, equality
- sound and complete (not decidable)

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# **Summary**

#### Predicate Logic

- motivation
- syntax
- semantics
- proof procedures
  - axiom system (with equality)
  - natural deduction (with equality)

Next Lecture: Introduction to Model Checking References: Model Checking, Chapters 1-3

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