

# Question Answering Techniques for the World Wide Web

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## Abstract

Question answering systems have become increasingly popular because they deliver users short, succinct answers instead of overloading them with a large number of irrelevant documents. The vast amount of information readily available on the World Wide Web presents new opportunities and challenges for question answering. In order for question answering systems to benefit from this vast store of useful knowledge, they must cope with large volumes of useless data.

Many characteristics of the World Wide Web distinguish Web-based question answering from question answering on closed corpora such as newspaper texts. The Web is vastly larger in size and boasts incredible "data redundancy," which renders it amenable to statistical techniques for answer extraction. A data-driven approach can yield high levels of performance and nicely complements traditional question answering techniques driven by information extraction.

In addition to enormous amounts of unstructured text, the Web also contains pockets of structured and semistructured knowledge that can serve as a valuable resource for question answering. By organizing these resources and annotating them with natural language, we can successfully incorporate Web knowledge into question answering systems.

This tutorial surveys recent Web-based question answering technology, focusing on two separate paradigms: knowledge mining using statistical tools and knowledge annotation using database concepts. Both approaches can employ a wide spectrum of techniques ranging in linguistic sophistication from simple "bag-of-words" treatments to full syntactic parsing.

## Introduction

- Why question answering?
  - Question answering provides intuitive information access
  - Computers should respond to human information needs with “just the right information”
- What role does the World Wide Web play in question answering?
  - The Web is an enormous store of human knowledge
  - This knowledge is a valuable resource for question answering

**How can we effectively utilize the World Wide Web to answer natural language questions?**

## Different Types of Questions

What does Cog look like?



Who directed Gone with the Wind?

[Gone with the Wind \(1939\)](#) was directed by George Cukor, Victor Fleming, and Sam Wood.

How many cars left the garage yesterday between noon and 1pm?



What were the causes of the French Revolution?



## “Factoid” Question Answering

- Modern systems are limited to answering fact-based questions
  - Answers are typically named-entities
    - Who discovered Oxygen?
    - When did Hawaii become a state?
    - Where is Ayer’s Rock located?
    - What team won the World Series in 1992?
- Future systems will move towards “harder questions”, e.g.,
  - *Why* and *how* questions
  - Questions that require simple inferences

This tutorial focuses on using the Web to answer factoid questions...

## Two Axes of Exploration

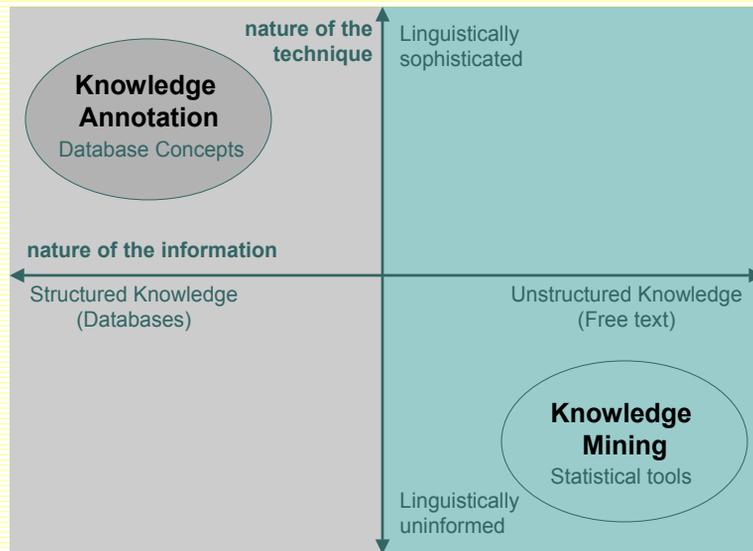
- Nature of the information
  - What type of information is the system utilizing to answer natural language questions?

Structured Knowledge (Databases) ←-----→ Unstructured Knowledge (Free text)

- Nature of the technique
  - How linguistically sophisticated are the techniques employed to answer natural language questions?

Linguistically Sophisticated (e.g., syntactic parsing) ←-----→ Linguistically Uninformed (e.g., *n*-gram generation)

## Two Techniques for Web QA



QA Techniques for the WWW: Introduction

## Outline: Top-Level

- **General Overview:** Origins of Web-based Question Answering
- **Knowledge Mining:** techniques that effectively employ unstructured text on the Web for question answering
- **Knowledge Annotation:** techniques that effectively employ structured and semistructured sources on the Web for question answering

QA Techniques for the WWW: Introduction



## Outline: General Overview

- Short history of question answering
  - Natural language interfaces to databases
  - Blocks world
  - Plans and scripts
  - Modern question answering systems
- Question answering tracks at TREC
  - Evaluation methodology
  - Formal scoring metrics



## Outline: Knowledge Mining

- Overview
  - How can we leverage the enormous quantities of unstructured text available on the Web for question answering?
- Leveraging data redundancy
- Survey of selected end-to-end systems
- Survey of selected knowledge mining techniques
- Challenges and potential solutions
  - What are the limitations of data redundancy?
  - How can linguistically-sophisticated techniques help?



## Outline: Knowledge Annotation

- Overview
  - How can we leverage structured and semistructured Web sources for question answering?
- START and Omnibase
  - The first question answering system for the Web
- Other annotation-based systems
- Challenges and potential solutions
  - Can research from related fields help?
  - Can we discover structured data from free text?
  - What role will the Semantic Web play?



## General Overview

Question Answering Techniques for the World Wide Web



## A Short History of QA

- Natural language interfaces to databases
- Blocks world
- Plans and scripts
- Emergence of the Web
- IR+IE-based QA and large-scale evaluation
- Re-discovery of the Web

Overview: History of QA



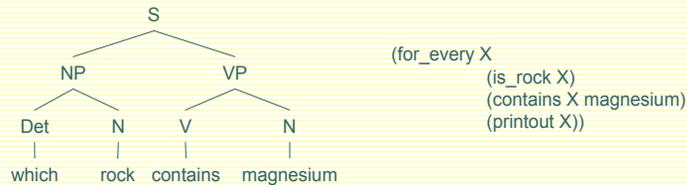
## NL Interfaces to Databases

- Natural language interfaces to relational databases
  - BASEBALL – baseball statistics [Green *et al.* 1961]  
Who did the Red Sox lose to on July 5?  
On how many days in July did eight teams play?
  - LUNAR – analysis of lunar rocks [Woods *et al.* 1972]  
What is the average concentration of aluminum in high alkali rocks?  
How many Brescias contain Olivine?
  - LIFER – personnel statistics [Hendrix 1977ab]  
What is the average salary of math department secretaries?  
How many professors are there in the compsci department?

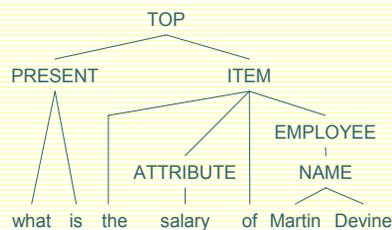
Overview: History of QA

## Typical Approaches

**Direct Translation:** determine mapping rules between syntactic structures and database queries (e.g., LUNAR)



**Semantic Grammar:** parse at the semantic level directly into database queries (e.g., LIFER)



Overview: History of QA

## Properties of Early NL Systems

- Often brittle and not scalable
  - Natural language understanding process was a mix of syntactic and semantic processing
  - Domain knowledge was often embedded implicitly in the parser
- Narrow and restricted domain
  - Users were often presumed to have some knowledge of underlying data tables
- Systems performed syntactic and semantic analysis of questions
  - Discourse modeling (e.g., anaphora, ellipsis) is easier in a narrow domain

Overview: History of QA



## Blocks World

- Interaction with a robotic arm in a world filled with colored blocks [Winograd 1972]
  - Not only answered questions, but also followed commands

What is on top of the red brick?  
Is the blue cylinder larger than the one you are holding?  
Pick up the yellow brick underneath the green brick.
- The “blocks world” domain was a fertile ground for other research
  - Near-miss learning [Winston 1975]
  - Understanding line drawings [Waltz 1975]
  - Acquisition of problem solving strategies [Sussman 1973]

Overview: History of QA



## Plans and Scripts

- QUALM [Lehnert 1977,1981]
  - Application of scripts and plans for story comprehension
  - Very restrictive domain, e.g., restaurant scripts
  - Implementation status uncertain – difficult to separate discourse theory from working system
- UNIX Consultant [Wilensky 1982; Wilensky *et al.* 1989]
  - Allowed users to interact with UNIX, e.g., ask “How do I delete a file?”
  - User questions were translated into goals and matched with plans for achieving that goal: paradigm not suitable for general purpose question answering
  - Effectiveness and scalability of approach is unknown due to lack of rigorous evaluation

Overview: History of QA



## Emergence of the Web

- Before the Web...
  - Question answering systems had limited audience
  - All knowledge had to be hand-coded and specially prepared
- With the Web...
  - Millions can access question answering services
  - Question answering systems could take advantage of already-existing knowledge: “virtual collaboration”

Overview: History of QA



## START MIT: [Katz 1988,1997; Katz *et al.* 2002a]

- The first question answering system for the World Wide Web
  - On-line and continuously operating since 1993
  - Has answered millions of questions from hundreds of thousands of users all over the world
  - Engages in “virtual collaboration” by utilizing knowledge freely available on the Web
- Introduced the knowledge annotation approach to question answering

<http://www.ai.mit.edu/projects/infolab>

Overview: History of QA

## Additional START Applications

START is easily adaptable to different domains:

- Analogy/explanation-based learning [Winston *et al.* 1983]
- Answering questions from the GRE [Katz 1988]
- Answering questions in the JPL press room regarding the Voyager flyby of Neptune (1989) [Katz 1990]
- START Bosnia Server dedicated to the U.S. mission in Bosnia (1996)
- START Mars Server to inform the public about NASA's planetary missions (2001)
- START Museum Server for an ongoing exhibit at the MIT Museum (2001)

Overview: History of QA

## START in Action



Overview: History of QA

## START in Action



Overview: History of QA

## START in Action



Overview: History of QA

## START in Action



Overview: History of QA

## Related Strands: IR and IE

- Information retrieval has a long history
  - Origins can be traced back to Vannevar Bush (1945)
  - Active field since mid-1950s
  - Primary focus on document retrieval
  - Finer-grained IR: emergence of passage retrieval techniques in early 1990s
- Information extraction seeks to “distill” information from large numbers of documents
  - Concerned with filling in pre-specified templates with participating entities
  - Started in the late 1980s with the Message Understanding Conferences (MUCs)

Overview: History of QA



## IR+IE-based QA

- Recent question answering systems are based on information retrieval and information extraction
  - Answers are extracted from closed corpora, e.g., newspaper and encyclopedia articles
  - Techniques range in sophistication from simple keyword matching to some parsing
- Formal, large-scale evaluations began with the TREC QA tracks
  - Facilitated rapid dissemination of results and formation of a community
  - Dramatically increased speed at which new techniques have been adopted

Overview: History of QA



## Re-discovery of the Web

- IR+IE-based systems focus on answering questions from a closed corpus
  - Artifact of the TREC setup
- Recently, researchers have discovered a wealth of resource on the Web
  - Vast amounts of unstructured free text
  - Pockets of structured and semistructured sources
- This is where we are today...

**How can we effectively utilize the Web to answer natural language questions?**

Overview: History of QA



## The Short Answer

- **Knowledge Mining:** techniques that effectively employ unstructured text on the Web for question answering
- **Knowledge Annotation:** techniques that effectively employ structured and semistructured sources on the Web for question answering

Overview: History of QA



**General Overview:**

## TREC Question Answering Tracks

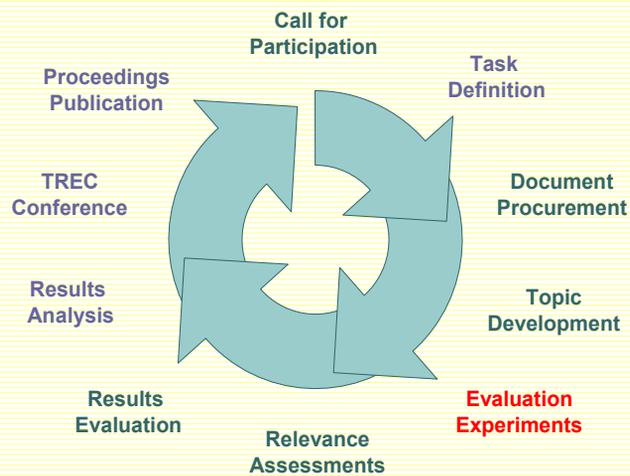
Question Answering Techniques for the World Wide Web

## TREC QA Tracks

- Question answering track at the Text Retrieval Conference (TREC)
  - Large-scale evaluation of question answering
  - Sponsored by NIST (with later support from ARDA)
  - Uses formal evaluation methodologies from information retrieval
- Formal evaluation is a part of a larger “community process”

Overview: TREC QA

## The TREC Cycle



Overview: TREC QA



## TREC QA Tracks

- TREC-8 QA Track [Voorhees and Tice 1999,2000b]
  - 200 questions: backformulations of the corpus
  - Systems could return up to five answers  
answer = [ answer string, docid ]
  - Two test conditions: 50-byte or 250-byte answer strings
  - MRR scoring metric
- TREC-9 QA Track [Voorhees and Tice 2000a]
  - 693 questions: from search engine logs
  - Systems could return up to five answers  
answer = [ answer string, docid ]
  - Two test conditions: 50-byte or 250-byte answer strings
  - MRR scoring metric

Overview: TREC QA



## TREC QA Tracks

- TREC 2001 QA Track [Voorhees 2001,2002a]
  - 500 questions: from search engine logs
  - Systems could return up to five answers  
answer = [ answer string, docid ]
  - 50-byte answers only
  - Approximately a quarter of the questions were definition questions (unintentional)
- TREC 2002 QA Track [Voorhees 2002b]
  - 500 questions: from search engine logs
  - Each system could only return one answer per question  
answer = [ exact answer string, docid ]
  - All answers were sorted by decreasing confidence
  - Introduction of “exact answers” and CWS metric

Overview: TREC QA

## Evaluation Metrics

- Mean Reciprocal Rank (MRR) (through TREC 2001)
  - Reciprocal rank = inverse of rank at which first correct answer was found: {1, 0.5, 0.33, 0.25, 0.2, 0}
  - MRR = average over all questions
  - Judgments: correct, unsupported, incorrect
    - Correct: answer string answers the question in a “responsive” fashion and is supported by the document
    - Unsupported: answer string is correct but the document does not support the answer
    - Incorrect: answer string does not answer the question
  - Strict score: unsupported counts as incorrect
  - Lenient score: unsupported counts as correct

Overview: TREC QA

## Evaluation Metrics

- Confidence-Weighted Score (CWS) (TREC 2002)
  - Evaluates how well “systems know what they know”
$$\frac{\sum_{i=1}^Q i_c / i}{Q}$$
    - $i_c$  = number of correct answers in first  $i$  questions
    - $Q$  = total number of questions
  - Judgments: correct, unsupported, inexact, wrong

Exact answers {  
Mississippi  
the Mississippi  
the Mississippi River  
Mississippi River  
mississippi

Inexact answers {  
At 2,348 miles the Mississippi River is  
the longest river in the US.  
2,348; Mississippi  
Missipp

Overview: TREC QA



# Knowledge Mining

Question Answering Techniques for the World Wide Web



## Knowledge Mining: **Overview**

Question Answering Techniques for the World Wide Web



## Knowledge Mining

- **Definition:** techniques that effectively employ unstructured text on the Web for question answering
- **Key Ideas:**
  - Leverage data redundancy
  - Use simple statistical techniques to bridge question and answer gap
  - Use linguistically-sophisticated techniques to improve answer quality

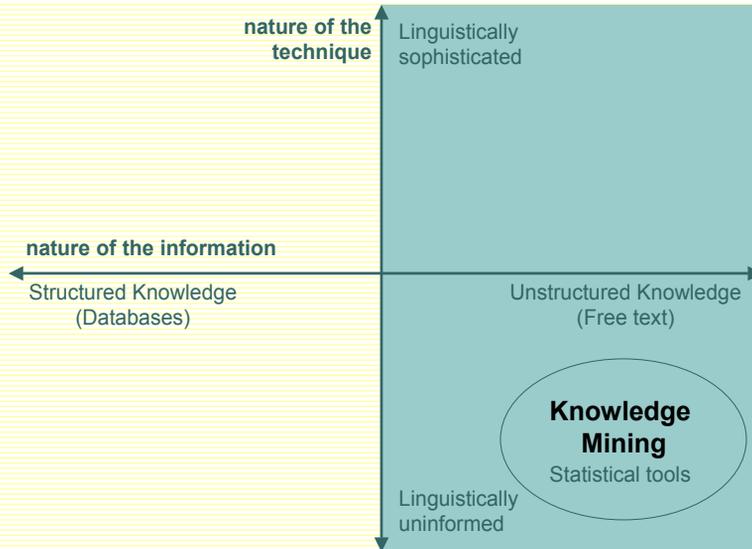


## Key Questions

- How is the Web different from a closed corpus?
- How can we quantify and leverage data redundancy?
- How can data-driven approaches help solve some NLP challenges?
- How do we make the most out of existing search engines?

**How can we effectively employ unstructured text on the Web for question answering?**

# Knowledge Mining



Knowledge Mining: Overview

## “Knowledge” and “Data” Mining

How is knowledge mining related to data mining?

### Knowledge Mining

- Answers specific natural language questions
- Benefits from well-specified input and output
- Primarily utilizes textual sources

### Data Mining

- Discovers interesting patterns and trends
- Often suffers from vague goals
- Utilizes a variety of data from text to numerical databases

### Similarities:

- Both are driven by enormous quantities of data
- Both leverage statistical and data-driven techniques

Knowledge Mining: Overview

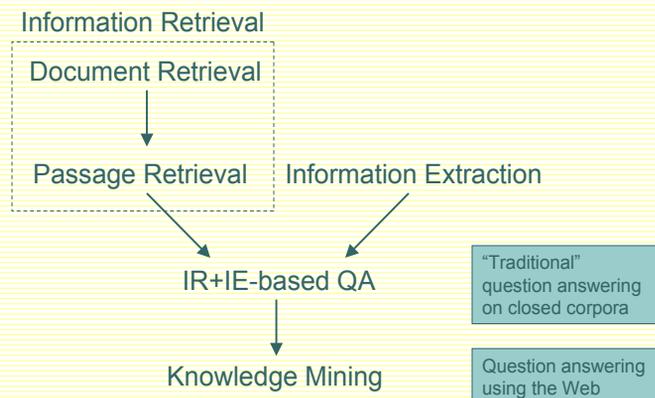
## Present and Future

- Current state of knowledge mining:
  - Most research activity concentrated in the last two years
  - Good performance using statistical techniques
- Future of knowledge mining:
  - Build on statistical techniques
  - Overcome brittleness of current natural language techniques
  - Address remaining challenges with linguistic knowledge
  - Selectively employ linguistic analysis: use it only in beneficial situations

Knowledge Mining: Overview

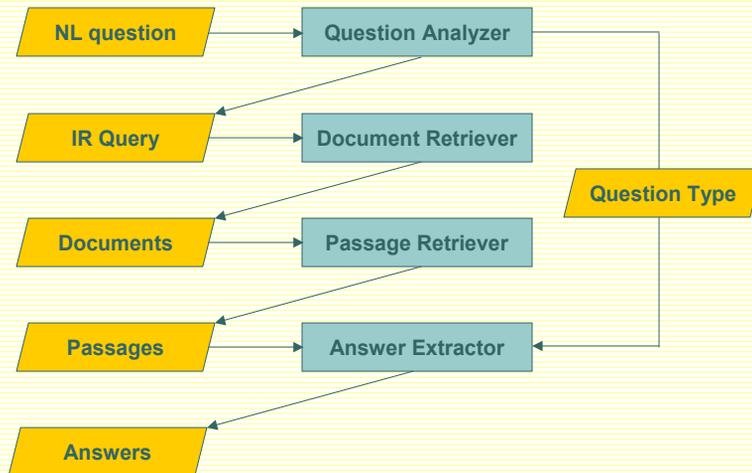
## Origins of Knowledge Mining

The origins of knowledge mining lie in information retrieval and information extraction



Knowledge Mining: Overview

## “Traditional” IR+IE-based QA



Knowledge Mining: Overview

## “Traditional” IR+IE-based QA

- Question Analyzer      Input = natural language question
  - Determines expected answer type
  - Generates query for IR engine
- Document Retriever      Input = IR query
  - Narrows corpus down to a smaller set of potentially relevant documents
- Passage Retrieval      Input = set of documents
  - Narrows documents down to a set of passages for additional processing
- Answer Extractor      Input = set of passages + question type
  - Extracts the final answer to the question
  - Typically matches entities from passages against the expected answer type
  - May employ more linguistically-sophisticated processing

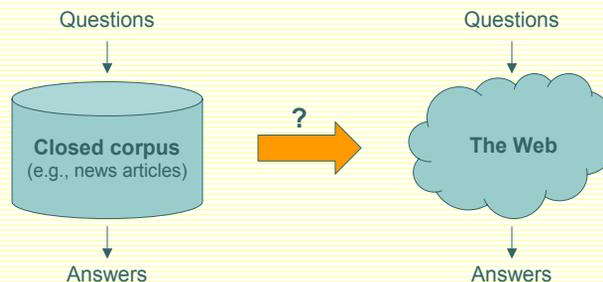
Knowledge Mining: Overview

## References: IR+IE-based QA

- General Survey [Hirschman and Gaizauskas 2001]
- Sample Systems
  - Cymfony at TREC-8 [Srihari and Li 1999]
    - Three-level information extraction architecture
  - IBM at TREC-9 (and later versions) [Prager *et al.* 1999]
    - Predictive annotations: perform named-entity detection at time of index creation
  - FALCON (and later versions) [Harabagiu *et al.* 2000a]
    - Employs question/answer logic unification and feedback loops
- Tutorials [Harabagiu and Moldovan 2001, 2002]

## Just Another Corpus?

- Is the Web just another corpus?
- Can we simply apply traditional IR+IE-based question answering techniques on the Web?





## Not Just Another Corpus...

- The Web is qualitatively different from a closed corpus
- Many IR+IE-based question answering techniques will still be effective
- But we need a different set of techniques to capitalize on the Web as a document collection



## Size and Data Redundancy

- How big?
  - Tens of terabytes? No agreed upon methodology to even measure it
  - Google indexes over 3 billion Web pages (early 2003)
- Size introduces engineering issues
  - Use existing search engines? Limited control over search results
  - Crawl the Web? Very resource intensive
- **Size gives rise to data redundancy**
  - Knowledge stated multiple times...
    - { in multiple documents }
    - { in multiple formulations }



## Other Considerations

- Poor quality of many individual pages
  - Documents contain misspellings, incorrect grammar, wrong information, etc.
  - Some Web pages aren't even "documents" (tables, lists of items, etc.): not amenable to named-entity extraction or parsing
- Heterogeneity
  - Range in genre: encyclopedia articles vs. weblogs
  - Range in objectivity: CNN articles vs. cult websites
  - Range in document complexity: research journal papers vs. elementary school book reports



## Ways of Using the Web

- Use the Web as the primary corpus of information
  - If needed, "project" answers onto another corpus (for verification purposes)
- Combine use of the Web with other corpora
  - Employ Web data to supplement a primary corpus (e.g., collection of newspaper articles)
  - Use the Web only for some questions
  - Combine Web and non-Web answers (e.g., weighted voting)

## Capitalizing on Search Engines

Data redundancy would be useless unless we could easily access all that data...

- Leverage existing information retrieval infrastructure [Brin and Page 1998]
  - The engineering task of indexing and retrieving terabyte-sized document collections has been solved
- Existing search engines are “good enough”
  - Build systems on top of commercial search engines, e.g., Google, FAST, AltaVista, Teoma, etc.



Knowledge Mining: Overview

## Knowledge Mining: Leveraging Data Redundancy

Question Answering Techniques for the World Wide Web

## Leveraging Data Redundancy

- Take advantage of different reformulations
  - The expressiveness of natural language allows us to say the same thing in multiple ways
  - This poses a problem for question answering

**Question asked in one way**

"When did Colorado become a state?"

← How do we bridge these two? →

**Answer stated in another way**

"Colorado was admitted to the Union on August 1, 1876."

- With data redundancy, it is likely that answers will be stated in the same way the question was asked
- Cope with poor document quality
  - When many documents are analyzed, wrong answers become "noise"

Knowledge Mining: Leveraging Data Redundancy

## Leveraging Data Redundancy

**Data Redundancy = Surrogate for sophisticated NLP**  
Obvious reformulations of questions can be easily found

**Who killed Abraham Lincoln?**

- (1) John Wilkes Booth **killed Abraham Lincoln**.
- (2) John Wilkes Booth altered history with a bullet. He will forever be known as the man who ended Abraham Lincoln's life.

**When did Wilt Chamberlain score 100 points?**

- (1) **Wilt Chamberlain scored 100 points** on March 2, 1962 against the New York Knicks.
- (2) On December 8, 1961, Wilt Chamberlain scored 78 points in a triple overtime game. It was a new NBA record, but Warriors coach Frank McGuire didn't expect it to last long, saying, "He'll get 100 points someday." McGuire's prediction came true just a few months later in a game against the New York Knicks on March 2.

Knowledge Mining: Leveraging Data Redundancy

## Leveraging Data Redundancy

Data Redundancy can overcome poor document quality

Lots of wrong answers, but even more correct answers

What's the rainiest place in the world?

- (1) Blah blah **Seattle** blah blah **Hawaii** blah blah blah blah blah
- (2) Blah **Sahara Desert** blah blah blah blah blah blah **Amazon**
- (3) Blah blah blah blah blah blah blah **Mount Waiale'ale in Hawaii** blah
- (4) Blah blah blah **Hawaii** blah blah blah blah **Amazon** blah blah
- (5) Blah **Mount Waiale'ale** blah blah blah blah blah blah blah blah

What is the furthest planet in the Solar System?

- (1) Blah **Pluto** blah blah blah blah **Planet X** blah blah
- (2) Blah blah blah blah **Pluto** blah blah blah blah blah blah
- (3) Blah blah blah **Planet X** blah blah blah blah blah blah **Pluto**
- (4) Blah **Pluto** blah blah blah blah blah blah blah **Pluto** blah blah

## General Principles

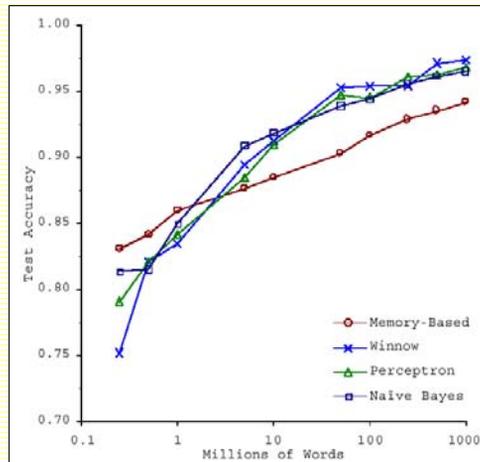
- Match answers using surface patterns
  - Apply regular expressions over textual snippets to extract answers
  - Bypass linguistically sophisticated techniques, e.g., parsing
- Rely on statistics and data redundancy
  - Expect many occurrences of the answer mixed in with many occurrences of wrong, misleading, or lower quality answers
  - Develop techniques for filtering, sorting large numbers of candidates

Can we “quantify” data redundancy?

## Leveraging Massive Data Sets

[Banko and Brill 2001]

**Grammar Correction:** {two, to, too} {principle, principal}



Knowledge Mining: Leveraging Data Redundancy

## Observations: Banko and Brill

- For some applications, learning technique is less important than amount of training data
  - In the limit (i.e., infinite data), performance of different algorithms converges
  - It doesn't matter if the data is (somewhat) noisy
  - Why compare performance of learning algorithms on (relatively) small corpora?
- In many applications, data is free!
- Throwing more data at a problem is sometimes the easiest solution (hence, we should try it first)

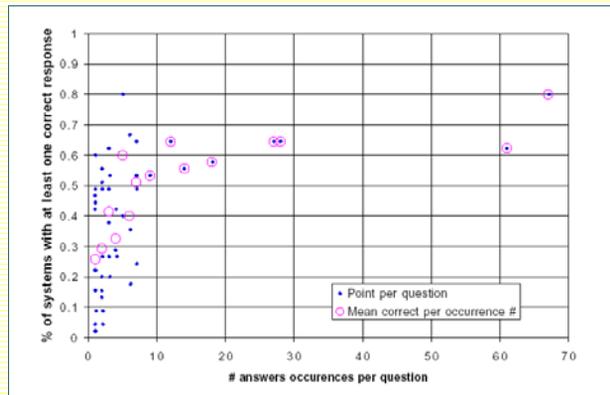
Knowledge Mining: Leveraging Data Redundancy

## Effects of Data Redundancy

[Breck *et al.* 2001; Light *et al.* 2001]

### Are questions with more answer occurrences “easier”?

Examined the effect of answer occurrences on question answering performance (on TREC-8 results)



~27% of systems produced a correct answer for questions with 1 answer occurrence.

~50% of systems produced a correct answer for questions with 7 answer occurrences.

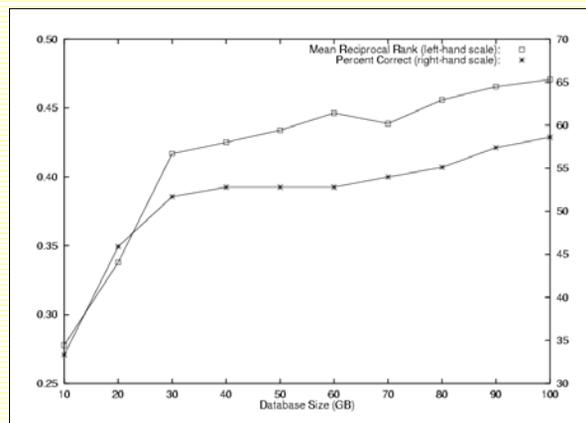
Knowledge Mining: Leveraging Data Redundancy

## Effects of Data Redundancy

[Clarke *et al.* 2001a]

### How does corpus size affect performance?

Selected 87 “people” questions from TREC-9; Tested effect of corpus size on passage retrieval algorithm (using 100GB TREC Web Corpus)



Conclusion: having more data improves performance

Knowledge Mining: Leveraging Data Redundancy

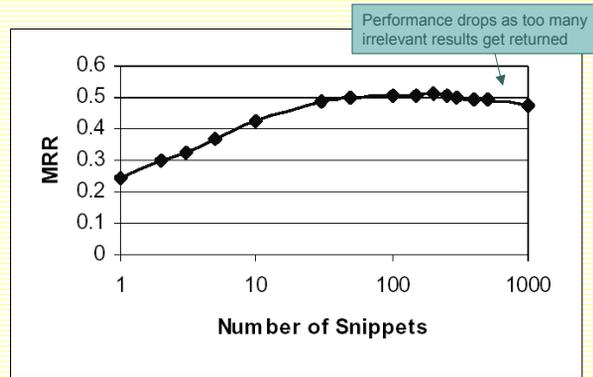
## Effects of Data Redundancy

[Dumais *et al.* 2002]

### How many search engine results should be used?

Plotted performance of a question answering system against the number of search engine snippets used

# Snippets	MRR
1	0.243
5	0.370
10	0.423
50	0.501
200	0.514



MRR as a function of number of snippets returned from the search engine. (TREC-9, q201-700)

Knowledge Mining: Leveraging Data Redundancy

## Knowledge Mining: System Survey

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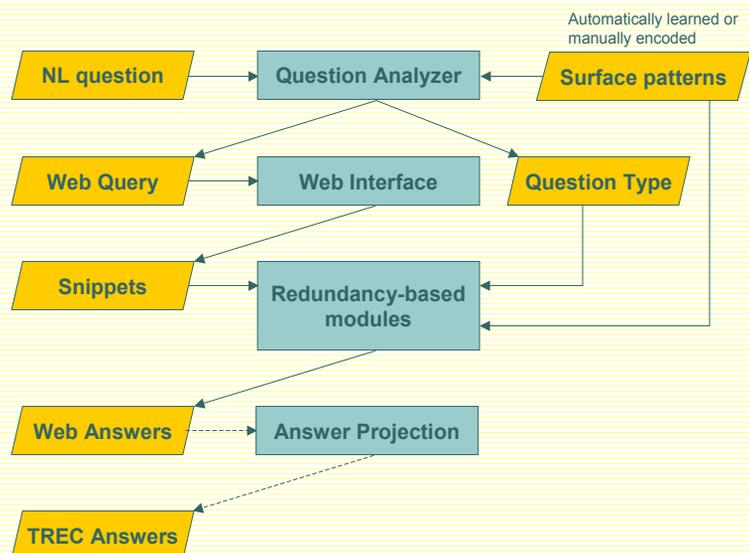
## Knowledge Mining: Systems

- Ionaut (AT&T Research)
- MULDER (University of Washington)
- AskMSR (Microsoft Research)
- InsightSoft-M (Moscow, Russia)
- MultiText (University of Waterloo)
- Shapaqa (Tilburg University)
- Aranea (MIT)
- TextMap (USC/ISI)
- LAMP (National University of Singapore)
- NSIR (University of Michigan)
- PRIS (National University of Singapore)
- AnswerBus (University of Michigan)

Knowledge Mining: System Survey

Selected systems, apologies for any omissions

## “Generic System”



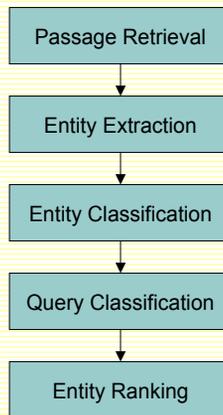
Knowledge Mining: System Survey

## Common Techniques

- Match answers using surface patterns
    - Apply regular expressions over textual snippets to extract answers
- Surface patterns may also help in generating queries; they are either learned automatically or entered manually
- Leverage statistics and multiple answer occurrences
    - Generate n-grams from snippets
    - Vote, tile, filter, etc.
  - Apply information extraction technology
    - Ensure that candidates match expected answer type

## Ionaut AT&T Research: [Abney *et al.* 2000]

Application of IR+IE-based question answering paradigm on documents gathered from a Web crawl





## Ionaut: Overview

- Passage Retrieval
  - SMART IR System [Salton 1971; Buckley and Lewit 1985]
  - Segment documents into three-sentence passages
- Entity Extraction
  - Cass partial parser [Abney 1996]
- Entity Classification
  - Proper names: person, location, organization
  - Dates
  - Quantities
  - Durations, linear measures



## Ionaut: Overview

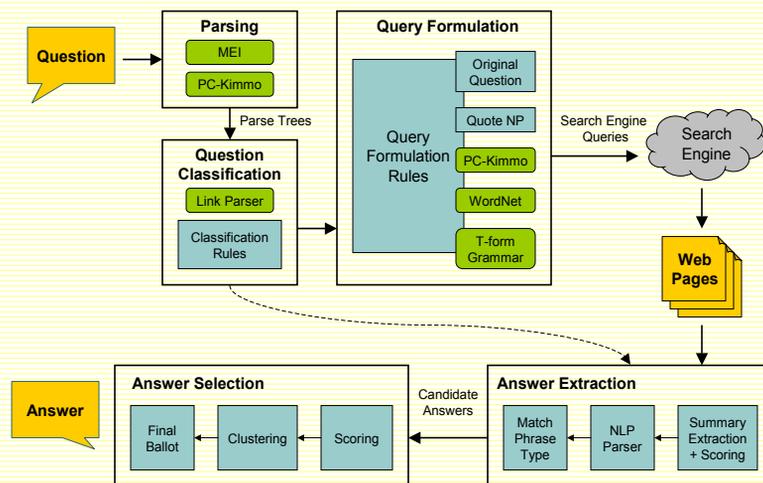
- Query Classification: 8 hand-crafted rules
  - Who, whom → Person
  - Where, whence, whither → Location
  - When → Date
  - And other simple rules
- Criteria for Entity Ranking:
  - Match between query classification and entity classification
  - Frequency of entity
  - Position of entity within retrieved passages

## Ionaut: Evaluation

- End-to-end performance: TREC-8 (informal)
  - Exact answer: 46% answer in top 5, 0.356 MRR
  - 50-byte: 39% answer in top 5, 0.261 MRR
  - 250-byte: 68% answer in top 5, 0.545 MRR
- Error analysis
  - Good performance on person, location, date, and quantity (60%)
  - Poor performance on other types

Knowledge Mining: System Survey

## MULDER U. Washington: [Kwok *et al.* 2001]



Knowledge Mining: System Survey



## MULDER: Parsing

- Question Parsing
  - Maximum Entropy Parser (MEI) [Charniak 1999]
  - PC-KIMMO for tagging of unknown words [Antworth 1999]
- Question Classification
  - Link Parser [Sleator and Temperly 1991,1993]
  - Manually encoded rules (e.g., How ADJ = measure)
  - WordNet (e.g., find hypernyms of object)



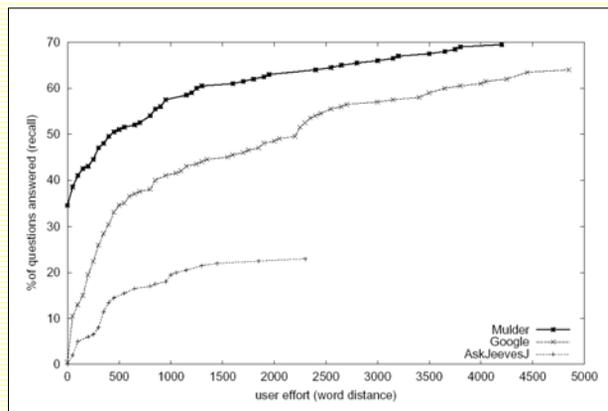
## MULDER: Querying

- Query Formulation
  - Query expansion (use “attribute nouns” in WordNet)  
How tall is Mt. Everest → “the height of Mt. Everest is”
  - Tokenization  
question answering → “question answering”
  - Transformations  
Who was the first American in space → “was the first American in Space”, “the first American in space was”  
  
Who shot JFK → “shot JFK”  
  
When did Nixon visit China → “Nixon visited China”
- Search Engine: submit results to Google

## MULDER: Answer Extraction

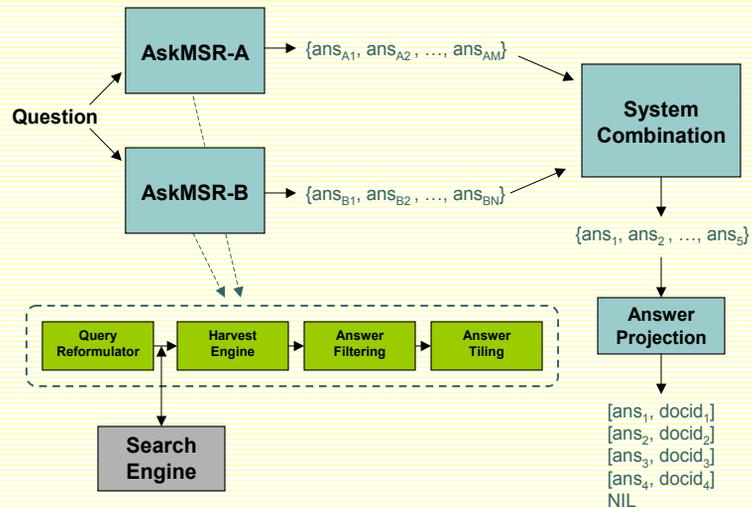
- Answer Extraction: extract summaries directly from Web pages
  - Locate regions with keywords
  - Score regions by keyword density and keyword *idf* values
  - Select top regions and parse them with MEI
  - Extract phrases of the expected answer type
- Answer Selection: score candidates based on
  - Simple frequency – voting
  - Closeness to keywords in the neighborhood

## MULDER: Evaluation



- Evaluation on TREC-8 (200 questions)
  - Did not use MRR metric: results not directly comparable
  - “User effort”: how much text users must read in order to find the correct answer

## AskMSR [Brill et al. 2001; Banko et al. 2002; Brill et al. 2002]



Knowledge Mining: System Survey

## AskMSR: N-Gram Harvesting

Use text patterns derived from question to extract sequences of tokens that are likely to contain the answer

**Question: Who is Bill Gates married to?** Look five tokens to the right

→ <"Bill Gates is married to", right, 5>

... It is now the largest software company in the world. Today, Bill Gates is married to co-worker Melinda French. They live together in a house in the Redmond ...

... I also found out that Bill Gates is married to Melinda French Gates and they have a daughter named Jennifer Katharine Gates and a son named Rory John Gates. I ...

... of Microsoft, and they both developed Microsoft. \* Presently Bill Gates is married to Melinda French Gates. They have two children: a daughter, Jennifer, and a ...

Generate N-Grams from Google summary snippets (bypassing original Web pages)

co-worker, co-worker Melinda, co-worker Melinda French, Melinda, Melinda French, Melinda French they, French, French they, French they live...

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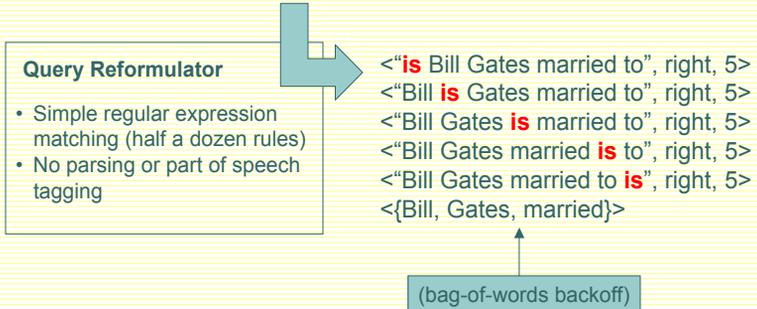
## AskMSR: Query Reformulation

- Transform English questions into search engine queries
- Anticipate possible answer fragments

Question: Who is Bill Gates married to?

### Query Reformulator

- Simple regular expression matching (half a dozen rules)
- No parsing or part of speech tagging

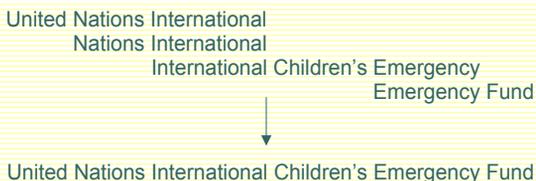


<"is Bill Gates married to", right, 5>  
<"Bill is Gates married to", right, 5>  
<"Bill Gates is married to", right, 5>  
<"Bill Gates married is to", right, 5>  
<"Bill Gates married to is", right, 5>  
<{Bill, Gates, married}>

(bag-of-words backoff)

## AskMSR: Filter/Vote/Tile

- **Answer Filtering:** filter by question type
  - Simple regular expressions, e.g., for dates
- **Answer Voting:** score candidates by frequency of occurrence
- **Answer Tiling:** combine shorter candidates into longer candidates



United Nations International  
Nations International  
International Children's Emergency Fund  
Emergency Fund

↓

United Nations International Children's Emergency Fund

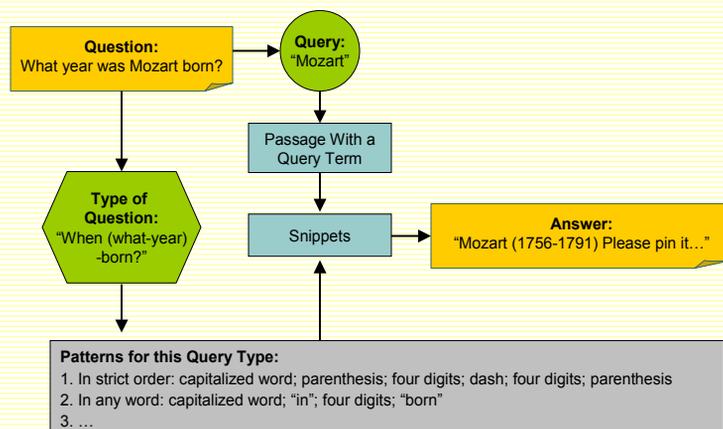
## AskMSR: Performance

- End-to-end performance: TREC-2001 (official)
  - MRR: 0.347 (strict), 0.434 (lenient)
- Lenient score is 25% higher than strict score
- Answer projection = weakest link
  - For 20% of correct answers, no adequate supporting document could be found
- Observations and questions
  - First question answering system to truly embrace data redundancy: simple counting of  $n$ -grams
  - How would MULDER and AskMSR compare?

Knowledge Mining: System Survey

## InsightSoft-M [Soubotin and Soubotin 2001,2002]

Application of surface pattern matching techniques directly on the TREC corpus



Knowledge Mining: System Survey

## InsightSoft-M: Patterns

Some patterns for "What is" questions:

<A; is/are:[a/an/the]; X>

<X; is/are:[a/an/the]; A>

Example: "Michigan's state flower is the apple blossom"  
(23 correct responses in TREC 2001)

<A; comma; [a/an/the]; X; [comma/period]>

<X; comma; [a/an/the]; A; [comma/period]>

Example: "Moulin Rouge, a cabaret "  
(26 correct responses)

<A; [comma]; or; X; [comma]>

Example: "shaman, or tribal magician,"  
(12 correct responses)

<A; [comma]; [also] called; X [comma]>

< X; [comma]; [also] called; A [comma]>

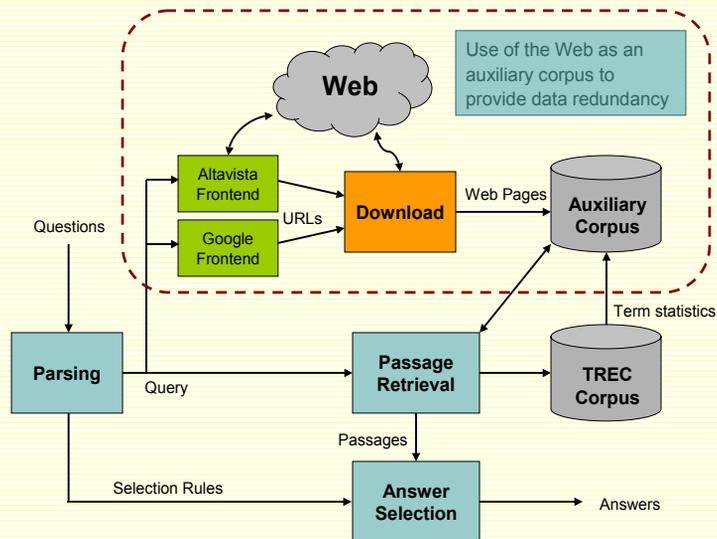
<X; is called; A> <A; is called; X>

Example: "naturally occurring gas called methane"  
(10 correct responses)

## InsightSoft-M: Evaluation

- End-to-end performance:
  - TREC 2001: MRR 0.676 (strict) 0.686 (lenient)
  - TREC 2002: CWS 0.691, 54.2% correct
- Observations:
  - Unclear how precision of patterns is controlled
  - Although the system used only the TREC corpus, it demonstrates the power of surface pattern matching

## MultiText U. Waterloo: [Clarke *et al.* 2001b, 2002]



Knowledge Mining: System Survey

## MultiText: TREC 2001

- Download top 200 Web documents to create an auxiliary corpus
- Select 40 passages from Web documents to supplement passages from TREC corpus
- Candidate term weighting:

$$w_t = c_t \log(N/f_t)$$

$N$  = sum of lengths of all documents in the corpus  
 $f_t$  = number of occurrences of  $t$  in corpus  
 $c_t$  = number of distinct passages in which  $t$  occurs

“Redundancy factor” where Web passages help

- End-to-end performance: TREC 2001 (official)
  - MRR 0.434 (strict) 0.457 (lenient)
  - Web redundancy contributed to 25% of performance

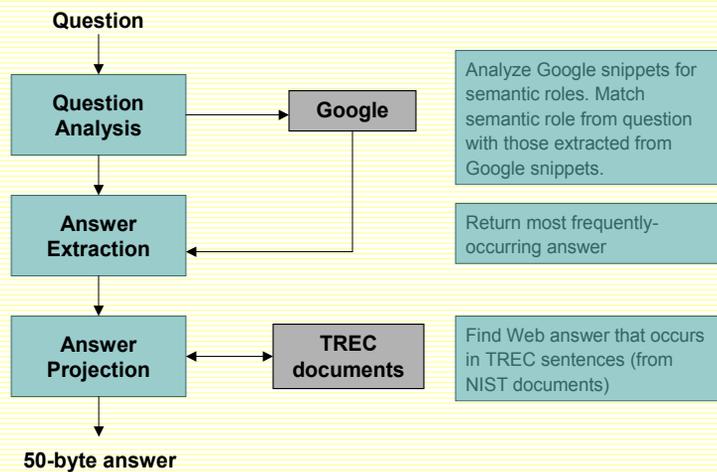
Knowledge Mining: System Survey

## MultiText: TREC 2002

- Same basic setup as MultiText in TREC 2001
- Two sources of Web data:
  - One terabyte crawl of the Web from mid-2001
  - AltaVista
- End-to-end performance: TREC 2002 (official)
  - 36.8% correct, CWS 0.512
  - Impact of AltaVista not significant (compared to using 1TB of crawled data)

Knowledge Mining: System Survey

## Shapaqa ILK, Tilburg University: [Buchholz 2001]



Knowledge Mining: System Survey

## Shapaqa: Overview

- Extracts answers by determining the semantic role the answer is likely to play
  - **SBJ** (subject), **OBJ** (object), **LGC** (logical subjects of passive verbs), **LOC** (locative adjunct), **TMP** (temporal adjunct), **PRP** (adjust of purpose and reason), **MNR** (manner adjunct), **OTH** (unspecified relation between verb and PP)
  - Does not utilize named-entity detection

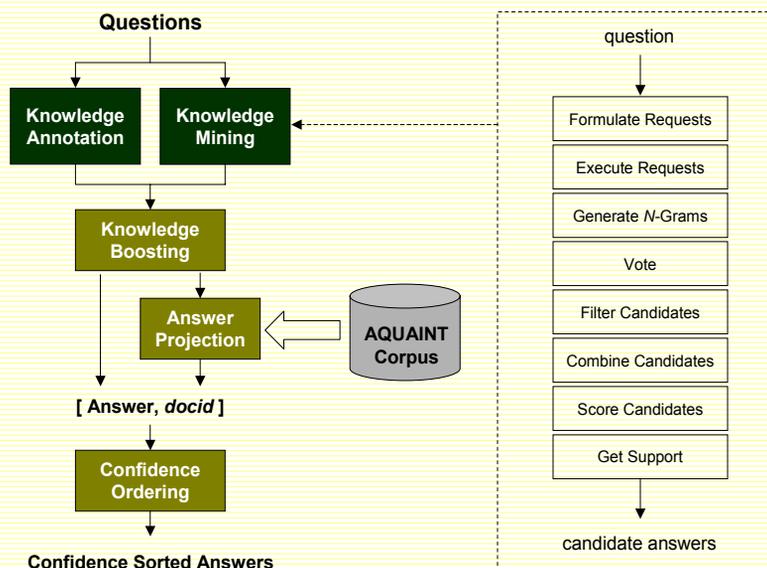
When was President Kennedy shot?

VERB = shot  
 OBJ = President Kennedy  
 TMP = ? ←

Semantic realization of answer.  
 Parse Google snippets to  
 extract the temporal adjunct

- End-to-end performance: TREC-2001, official
  - MRR: 0.210 (strict), 0.234 (lenient)

## Aranea MIT: [Lin, J. et al. 2002]



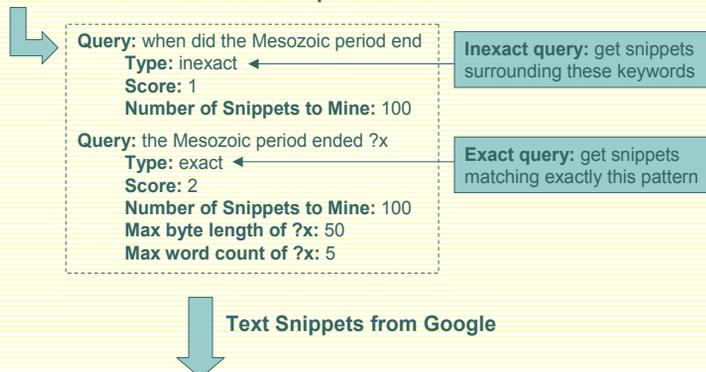
## Aranea: Overview

- Integrates knowledge mining and knowledge annotation techniques in a single framework
- Employs a modular XML framework
  - Modules for manipulating search results
  - Modules for manipulating  $n$ -grams: voting, filtering, etc.
- Scores candidates using a  $tf.idf$  metric
  - $tf$  = frequency of candidate occurrence (from voting)
  - $idf$  = “intrinsic” score of candidate ( $idf$  values extracted from the TREC corpus)
- Projects Web answer back onto the TREC corpus
  - Major source of errors

## Aranea: Querying the Web

A flexible query language for mining candidate answers

Question: When did the Mesozoic period end?



## Aranea: Evaluation

- End-to-end performance: TREC 2002 (official)
  - Official score: 30.4% correct, CWS 0.433
  - Knowledge mining component contributed 85% of the performance
- Observations:
  - Projection performance: ~75%
  - Without answer projection: 36.6% correct, CWS 0.544
  - Knowledge mining component: refinement of many techniques introduced in AskMSR

## Textmap USC/ISI: [Hermjakob *et al.* 2002]

- Natural language based reformulation resource

cf. S-Rules [Katz and Levin 1988], DIRT [Lin and Pantel 2001ab]

:anchor-pattern "SOMEBODY\_1 died of SOMETHING\_2."  
:is-equivalent-to "SOMEBODY\_1 died from SOMETHING\_2."  
:is-equivalent-to "SOMEBODY\_1's death from SOMETHING\_2."  
:answers "How did SOMEBODY\_1 die?" :answer SOMETHING\_2

:anchor-pattern "PERSON\_1 invented SOMETHING\_2."  
:is-equivalent-to "PERSON\_1's invention of SOMETHING\_2"  
:answers "Who is PERSON\_1?" :answer "the inventor of SOMETHING\_2"

- Reformulations are used in two ways:
  - Query expansion: retrieve more relevant documents
  - Answer selection: rank and choose better answers

**Question: Who was Johan Vaaler?**

Reformulation: Johan Vaaler's invention of <what>

Text: ... Johan Vaaler's invention of the paper clip ...

**Answer: the inventor of the paper clip**

## Textmap

- Applied reformulations to two sources
  - IR on TREC collection: modules developed for Webclopedia [Hovy *et al.* 2001ab,2002]
  - IR on the Web: manually specified query expansion, e.g., morphological expansion, adding synonyms, etc.
- End-to-end performance: TREC 2002 (official)
  - 29.8% correct, CWS 0.498

Reformulations in TextMap are manual generalizations of automatically derived patterns...

## Pattern Learning [Ravichandran and Hovy 2002]

Automatically learn surface patterns for answering questions from the World Wide Web

BIRTHYEAR questions: When was <NAME> born?

<NAME> was born on <BIRTHYEAR>  
<NAME> (<BIRTHYEAR>-  
born in <BIRTHYEAR>, <NAME>

cf. [Zhang and Lee 2002]

...

1. Start with a "seed", e.g. (Mozart, 1756)
2. Download Web documents using a search engine
3. Retain sentences that contain both question and answer terms
4. Construct a suffix tree for extracting the longest matching substring that spans <QUESTION> and <ANSWER>
  - Suffix Trees: used in computational biology for detecting DNA sequences [Gusfield 1997; Andersson 1999]
5. Calculate precision of patterns
  - Precision for each pattern = # of patterns with correct answer / # of total patterns

# Pattern Learning

Example: DISCOVERER questions

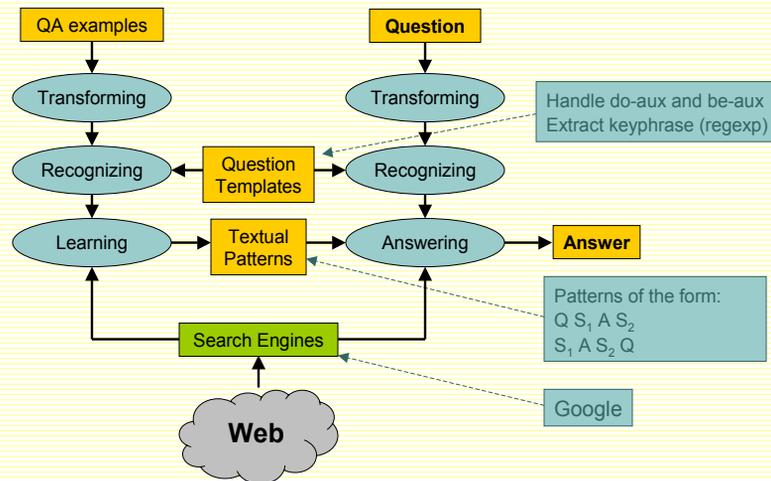
1.0	when <ANSWER> discovered <NAME>
1.0	<ANSWER>'s discovery of <NAME>
1.0	<ANSWER>, the discoverer of <NAME>
1.0	<ANSWER> discovers <NAME>
1.0	<ANSWER> discover <NAME>
1.0	<ANSWER> discovered <NAME>, the
1.0	discovery of <NAME> by <ANSWER>
0.95	<NAME> was discovered by <ANSWER>
0.91	of <ANSWER>'s <NAME>
0.9	<NAME> was discovered by <ANSWER> in

## Observations

- Surface patterns perform better on the Web than on the TREC corpus
- Surface patterns could benefit from notion of constituency, e.g., match not words but NPs, VPs, etc.

# LAMP

National University of Singapore: [Zhang and Lee 2002]



[http://www.comp.nus.edu.sg/~smadellz/lamp/lamp\\_index.html](http://www.comp.nus.edu.sg/~smadellz/lamp/lamp_index.html)

## LAMP: Overview

- Reformulate question

Analysis with MEI [Charniak 1999] and PC-KIMMO [Antworth 1990]

- Undo movement of auxiliary verbs

When did Nixon visit China → Nixon visited China...

When was oxygen discovered → oxygen was discovered...

- Extract keyphrase ( $\_Q\_$ ):

- Classify questions into 22 classes using regular expression templates (which bind to keyphrases)

- Mine patterns from Google:

cf. [Ravichandran and Hovy 2002]

- Patterns of the following forms

- $\_Q\_ <intermediate> \_A\_ <boundary>$

$\_A\_ =$  answers matched by answer regexps

- $<boundary> \_A\_ <intermediate> \_Q\_$

- Score confidence based on accuracy of mined patterns

## LAMP: Overview

**Learning Example:**

**Who was the first American in space?**

Keyphrase ( $\_Q\_$ ) = "the first American in space"

Answer ( $\_A\_$ ) = ((Alan (Bl. )?)?Shepard)

From NIST-supplied "answer key"

Examples of learned patterns:

$\_A\_ became \_Q\_ (0.09)$

$\_A\_ was \_Q\_ 0.11 (0.11)$

$\_A\_ made history as \_Q\_ (1.00)$

- Answering Questions:

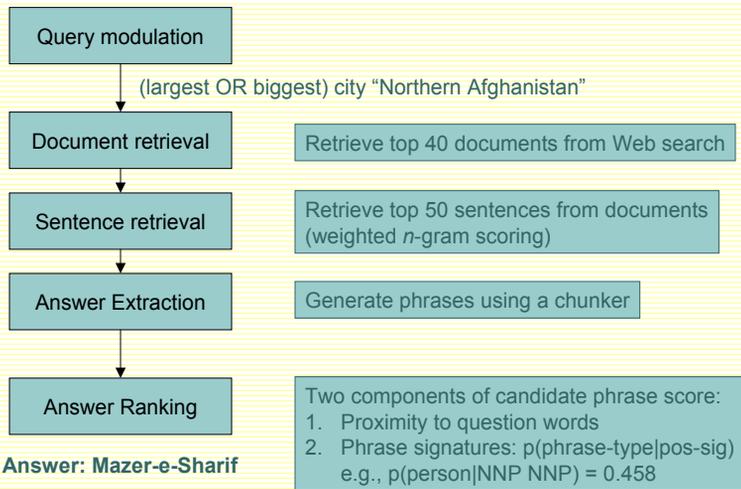
- Obtain search results from Google
- Extract answers by applying learned patterns
- Score candidates by confidence of pattern (duplicate answers increase score)

- End-to-end performance: TREC 2002 (official)

- 21% correct, 0.396 CWS

## NSIR for WWW U. Michigan: [Radev et al. 2002]

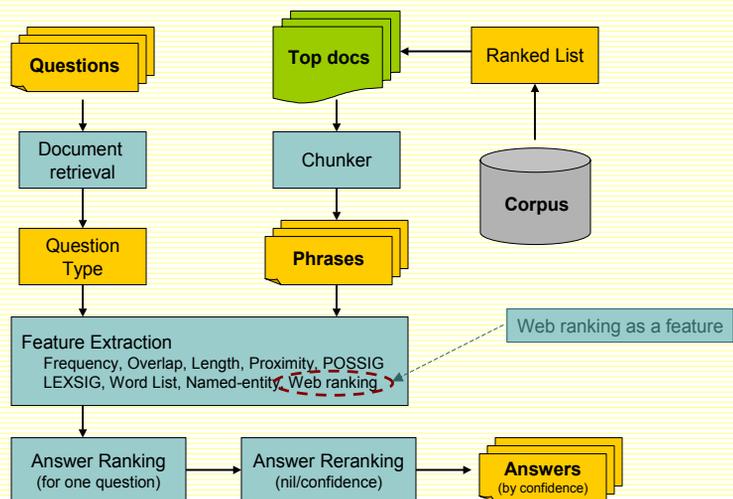
Question: What is the largest city in Northern Afghanistan?



Performance: MRR 0.151 (TREC-8 Informal)

Knowledge Mining: System Survey

## NSIR for TREC U. Michigan: [Qi et al. 2002]



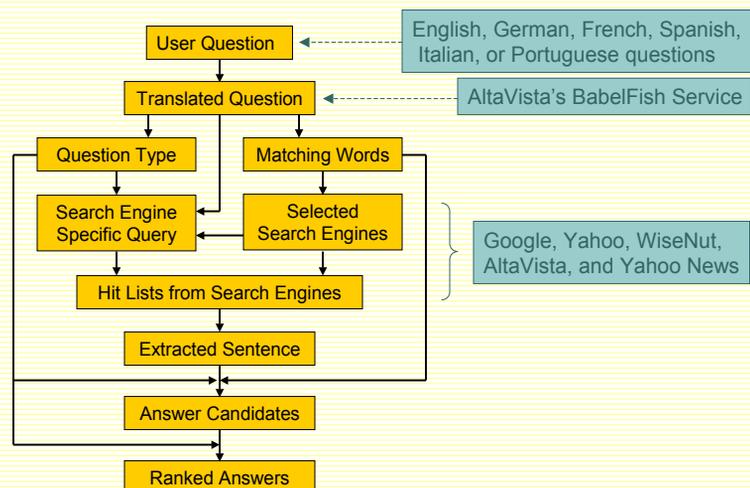
Knowledge Mining: System Survey

## NSIR: TREC

- Question classification: allow multiple categories with a probabilistic classifier
- Phrase Extraction: extract phrases from top 20 NIST documents using LT-Chunk
- Feature Extraction: compute nine features of each phrase
  - Web ranking is one such feature
- Answer Ranking: linearly combine individual features to produce final score for each candidate
  - Feature weights specific to each question type
- End-to-end performance: TREC 2002 (official)
  - 17.8% correct, CWS 0.283

Knowledge Mining: System Survey

## AnswerBus U. Michigan: [Zheng 2002ab]



<http://misshoover.si.umich.edu/~zzheng/qa-new/>

Knowledge Mining: System Survey

## AnswerBus: Overview

- Search query
  - Stopword filtering, low *tf* keyword filtering, some verb conjugation
- Simple sentence scoring:

$$\text{Score} = \begin{cases} q & \text{if } q \geq \lfloor \sqrt{Q-1} \rfloor + 1 \\ 0 & \text{otherwise} \end{cases}$$

Similar to the MITRE Algorithm  
[Breck *et al.* 2001; Light *et al.* 2001]

$q$  = number of matching words in query  
 $Q$  = total number of query words

- Other techniques:
  - Question type classification
  - Coreference resolution (in adjacent sentences)

## Knowledge Mining: Selected Techniques

Question Answering Techniques for the World Wide Web



## Knowledge Mining Techniques

- Projecting answers onto another corpus
- Using the Web (and WordNet) to rerank answers
- Using the Web to validate answers
  - Verifying the correctness of question answer pairs
  - Estimating the confidence of question answer pairs
- Tweaking search engines: getting the most out of a search
  - Query expansion for search engines
  - Learning search engine specific reformulations



## Answer Projection

- Just an artifact of TREC competitions?
  - TREC answers require [answer, docid] pair
  - Document from the TREC corpus must support answer
  - If answers were extracted from an outside source, a supporting TREC document must still be found
- Perhaps not...
  - People prefer paragraph-sized answers [Lin, J. et al. 2003]  
find exact answers from the Web (using data redundancy),  
but present answers from another source
- Sample answer projection algorithms:
  - Use document-retrieval or passage retrieval algorithms
  - query = keywords from question + keywords from answer

## Answer Projection Performance

- AskMSR answer projection: [Brill *et al.* 2001]
  - Used the Okapi IR engine (bm25 weighting)
  - Generated query = question + answer
  - Selected top-ranking document as support
  - Performance: ~80% (i.e., 20% of “supporting documents” did not actually support the answer)
- Aranea answer projection: [Lin, J. *et al.* 2002]
  - Projected answer onto NIST-supplied documents
  - Used sliding window technique
    - Window score = # keywords from question + # keywords from answer (neither term could be zero)
  - Selected document of highest scoring window as support
  - Performance: ~75%

Knowledge Mining: Selected Techniques

## Answer Projection: Analysis

**Question:** Who was the first black heavyweight champion?

**Answer:** Jack Johnson

... Louis was the first African-American **heavyweight** since **Jack Johnson** who was allowed to get close to that symbol of ultimate manhood, the **heavyweight** crown ...

**Question:** Who was the Roman god of the sea?

**Answer:** Neptune

... Romanian Foreign Minister Petre **Roman** Wednesday met at the **Neptune** resort of the Black **Sea** shore with his Slovenian counterpart, Alojz Peterle, ...

**Question:** What is the nickname of Oklahoma?

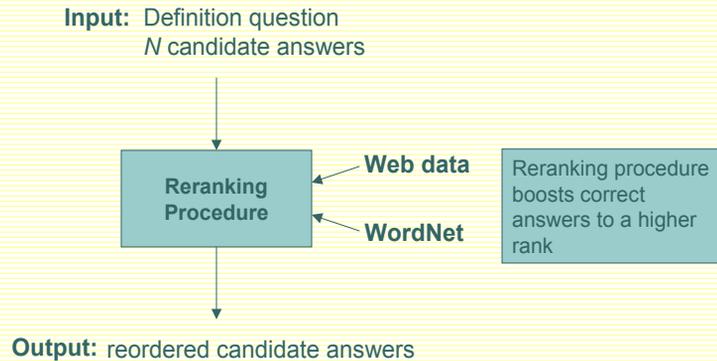
**Answer:** Sooner State

... The victory makes the **Sooners** the No. 3 seed in the conference tournament. **Oklahoma State** (23-5, 12-4) will be the fourth seed...

Knowledge Mining: Selected Techniques

## Answer Reranking [Lin, C.Y. 2002]

Use the Web and WordNet to rerank answers to definition questions



Knowledge Mining: Selected Techniques

## Answer Reranking

- Web reranking
  - Obtain pages from Google and calculate *tf.idf* values for keywords
  - matching score = sum of *tf.idf* values of keywords in answer candidates
  - new score = original candidate score  $\times$  matching score
- WordNet reranking
  - Create a definition database from WordNet glosses; calculate *idf* values for keywords
  - matching score = sum of *idf* values of keywords in answer candidates
  - new score = original candidate score  $\times$  matching score

Knowledge Mining: Selected Techniques

## Answer Reranking

### What is Wimbledon?

	Original	Web Reranking
1	the French Open and the U.S. Open	the most famous front yard in tennis
2	which includes a Japanese-style garden	the French Open and the U.S. Open
3	the most famous front yard in tennis	NIL
4	NIL	Sampras' biggest letdown of the year
5	Sampras' biggest letdown of the year	Lawn Tennis & Croquet Club

### What is Autism?

	Original	WordNet Reranking
1	Down's syndrome	the inability to communicate with others
2	mental retardation	mental disorder
3	the inability to communicate with others	NIL
4	NIL	Down's syndrome
5	a group of similar-looking diseases	mental retardation

### Performance

Either method: +19% MRR  
Both methods: +25% MRR

## Answer Validation [Magnini et al. 2002ac]

- Can we use the Web to validate answers?
  - To automatically score and evaluate QA systems
  - To rerank and rescore answers from QA systems

**The basic idea:** compute a continuous function that takes both the question and answer as input (as “bag of words”)

**Answer validation function:**  $f(\text{question}, \text{answer}) = x$   
if  $x > \text{threshold}$ , then answer is valid,  
otherwise, answer is invalid

What functions satisfy this property?  
Can these functions be easily calculated using Web data?

## Answer Validation

**Three different answer validation functions:**  
(various statistical measures of co-occurrence)

**All three can be easily calculated from search engine results**

1. Pointwise Mutual Information (PMI)
2. Maximal Likelihood Ratio (MLHR)
3. Corrected Conditional Probability (CCP)

$$CCP(Qsp, Asp) = \frac{p(Asp | Qsp)}{p(Asp)^{2/3}} \approx \frac{\text{hits}(Qsp \text{ NEAR } Asp)}{\text{hits}(Qsp) \text{ hits}(Asp)} \text{MaxPages}^{2/3}$$

Treat questions and answers as "bag of words"

**Qsp** = question sub-pattern (content words + expansions)

**Asp** = answer sub-pattern

**MaxPages** = total number of pages in search engine index

## Answer Validation Performance

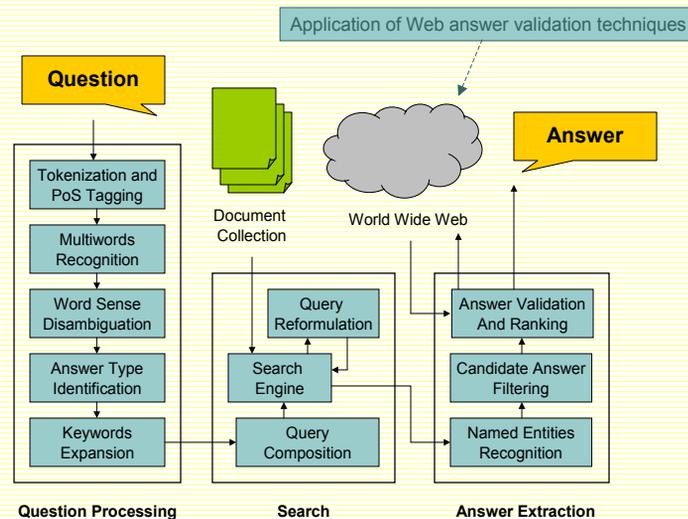
**Evaluation metric:** agreement between machine algorithm and human judgment (from TREC)

	Agreement
CCP – relative	81.25%
CCP – absolute	78.42%
PMI – relative	79.56%
PMI – absolute	77.79%
MLHR – relative	79.60%
MLHR – absolute	77.40%

**Absolute threshold:** fixed threshold

**Relative threshold:** threshold set to a percentage of the score of the highest scoring answer

# DIogene [Magnini *et al.* 2001, 2002b]



Knowledge Mining: Selected Techniques

## DIogene: Answer Validation

- Two measures
  - “Statistical approach”: corrected conditional probability (using Web page hit counts only)
  - “Content-based approach”: co-occurrence between question and answer (from downloaded snippets)
- Performance: TREC 2002 (official)
  - 38.4%, CWS 0.589 (content-based measure)
  - Content-based measure beat statistical measure and combination of both measures
  - Overall contribution of answer validation techniques is unclear

Knowledge Mining: Selected Techniques

## Confidence Estimation [Xu *et al.* 2002]

Estimating the probability that a question answer pair is correct

- Result useful for confidence estimation
- Similar to Magnini *et al.* except without thresholding

### BBN2002B

$$p(\text{correct}|Q,A) \approx p(\text{correct}|T, F) \approx p(\text{correct}|T) \times 0.5 + p(\text{correct}|F) \times 0.5$$

T = question type

F = frequencies of A in Google summaries

### BBN2002C

$$p(\text{correct}|Q,A) \approx p(\text{correct}|F, \text{INTREC})$$

F = frequencies of A in Google summaries

INTREC = boolean indicator variable, true iff answer also found in TREC

TREC-9 and TREC 2001 questions used for parameter estimation

## Confidence Estimation

- Performance: TREC 2002 (official)
  - Baseline (without Web): 18.6% correct, CWS 0.257
  - BBN2002B: 28.8% correct, CWS 0.468
  - BBN2002C: 28.4% correct, CWS 0.499
- Observations
  - Use of Web significantly boosts performance
  - Performance contribution of confidence estimation procedure is unclear



## Tweaking Search Engines

### “Getting the most out of an existing search engine”

- Large IR literature on query expansion
  - Expand queries based on synonyms and lexical-semantic relations (from WordNet) [Voorhees 1994]  
Even with sense disambiguated queries, synonymy expansion provides little benefit
  - Expand queries based on relevant terms in top-ranking documents [Mitra *et al.* 1998]
  - Expand queries with terms from top-ranking documents that co-occur with query terms [Xu and Croft 2000]



## Query Expansion for the Web

- Query expansion is difficult with Web search engines
  - Search algorithm is hidden: the service must be treated like an opaque black box
  - No principled way for developing query expansion techniques: trial and error required
  - It is beneficial to use more than one service, but how do we assess the relative strengths and weaknesses of each search engine?

## Expanding Boolean Queries

[Magnini and Prevete 2000]

Exploiting lexical expansions and boolean compositions

Expand keywords: synonyms and morphological derivations



How do we combine these keywords into boolean queries?

Knowledge Mining: Selected Techniques

## Query Expansion Strategies

### KAS: Keyword “AND” composition Search

Conjoin original keywords

$(inventore \wedge luce\_elettrica)$

### KIS: Keyword Insertion Search

OR of ANDs; each AND clause = original keywords + one derived word

$( (inventore \wedge luce\_elettrica \wedge scopritore)$   
 $\vee (inventore \wedge luce\_elettrica \wedge ideatore)$   
 $\vee (inventore \wedge luce\_elettrica \wedge invenzione)$   
 $\dots)$

### KCS: Keyword Cartesian Search

OR of ANDs; AND clauses = Cartesian product of all derivations

$( (inventore \wedge luce\_elettrica)$   
 $\vee (inventore \wedge lampada\_a\_incandescenza)$   
 $\vee (scopritore \wedge luce\_elettrica)$   
 $\vee (scopritore \wedge lampada\_a\_incandescenza)$   
 $\dots)$

Knowledge Mining: Selected Techniques

## KAS vs. KIS vs. KCS

- Evaluation: 20 questions, documents from Excite
- Relevance determined by three human judges
- Measures: compared to KAS baseline
  - With  $f^-$ , document ordering is not taken into account
  - With  $f^+$ , document ordering is taken into account

	KIS		KCS	
	$f^-$	$f^+$	$f^-$	$f^+$
QS1	+7%	-15%	+7%	-15%
QS2	-3%	+19%	+59%	+77%
QS3	+18%	+17%	+23%	+17%
All	+19%	+13%	+33%	+22%

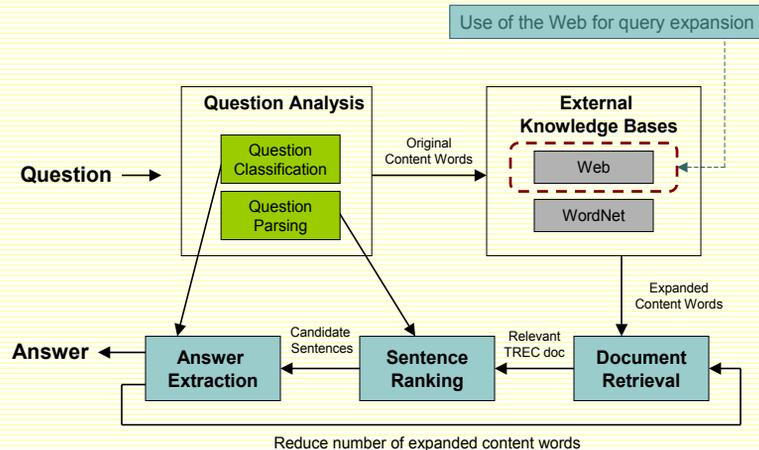
QS1: Subset of questions where number of morphological derivations and synonyms is greater than 3

QS2: equal to 2 or 3

QS3: less than 2

## Web Query Expansion: PRIS

[Yang and Chua 2002]





## PRIS: Overview

- Use the Web for query expansion: supplement original query with keywords that co-occur with the question
  - Technique similar to [Xu and Croft 2000]
- Performance: TREC 2002 (official)
  - 58% correct, CWS 0.61
  - 3<sup>rd</sup> highest scoring system
  - However, the contribution of the Web is unclear

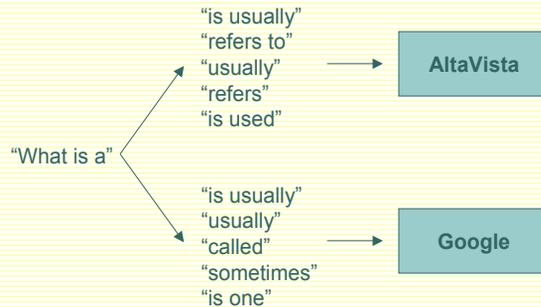


## Search Engine Specific Queries

- Specific Expressive Forms: query transformation rules that improve search results
  - [Lawrence and Giles 1998; Joho and Sanderson 2000]
  - Focus is on improving document retrieval, not question answering per se
    - “What is x” → “x is”
    - “x refers to”
    - ...
- Shortcomings:
  - Transformation rules were hand crafted
  - Transformation rules did not take into account “quirks” of different search engines

## Tritus [Agichtein et al. 2001]

Learn query transformations optimized for each search engine



Transformations capture the "quirks" of different search engines

## Tritus: Transformation Learning

**Select Question Phrase (QP):** Group questions by their initial tokens

Who was Albert Einstein?  
How do I fix a broken television?  
Where can I find a Lisp Machine?  
What is a pulsar?

**Generate Candidate Transformations (TR):** From  $\langle Q, A \rangle$  pairs, generate all  $n$ -grams of answers that do not contain content words

"What is a" → "refers to"  
"refers"  
"meets"  
"driven"  
"named after"  
"often used"  
"to describe"

**Two components to TR score:**

- Frequency of co-occurrence between TR and QP
- Okapi bm25 weighting on TR

[Robertson and Walker 1997; Robertson et al. 1998]

# Tritus: Transformation Learning

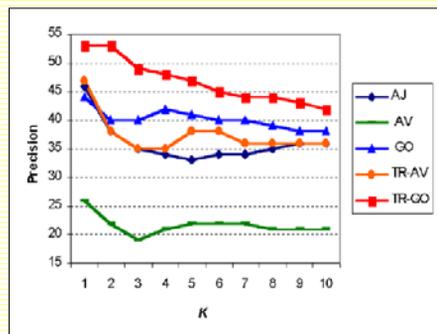
## Train Candidate Transformations (TR) against search engines

1. Break questions into {QP C} C = question – question phrase
2. Submit the query {TR C} to various search engines
3. Score TR with respect to known answer (Okapi bm25 weighting)
4. Keep highest scoring TR for each particular search engine

## Experimental Setting:

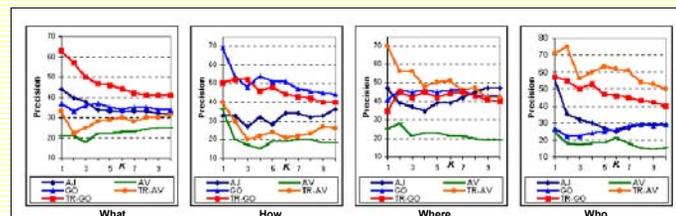
- Training Set
  - ~10k <Question, Answer> pairs from Internet FAQs
  - Seven question types
  - Three search Engines (Google, AltaVista, AskJeeves)
- Test Set
  - 313 questions in total (~50 per question type)
  - Relevance of documents manually evaluated by human judges

# Tritus: Results



Indeed, transformations learned for each search engine were slightly different

Tritus + search engine performs better than search engine alone



## QASM [Radev *et al.* 2001]

- QASM = Question Answering using Statistical Models cf. [Mann 2001, 2002]
- Query reformulation using a noisy channel translation model



**Setup:** the keyword query is somehow “scrambled” in the noisy channel and converted into a natural language question

**Task:** given the natural language question and known properties about the noisy channel, recover the keyword query

Applications of similar techniques in other domains: machine translation [Brown *et al.* 1990], speech processing [Jelinek 1997], information retrieval [Berger and Lafferty 1999]

## QASM: Noisy Channels



What is the noisy channel “allowed to do”?

**Channel Operators** = possible methods by which the message can be corrupted

DELETE: e.g., delete prepositions, stopwords, etc.

REPLACE: e.g., replace the  $n$ -th noun phrase with WordNet expansions

DISJUNCT: e.g., replace the  $n$ -th noun phrase with OR disjunction

Once the properties of the noisy channel are learned, we can “decode” natural language questions into keyword queries



## QASM: Training

- Training using EM Algorithm
  - Use {Question, Answer} pairs from TREC (and from custom collection)
  - Measure the “fitness” of a keyword query by scoring the documents it returns
  - Maximize total reciprocal document rank
- Evaluation: test set of 18 questions
  - Increase of 42% over the baseline
  - For 14 of the questions, sequence of same two operators were deemed the best: delete stopwords and delete auxiliary verbs

Couldn't we have hand-coded these two operators from the beginning?

Knowledge Mining: Selected Techniques



## Knowledge Mining: Challenges and Potential Solutions

Question Answering Techniques for the World Wide Web



## Knowledge Mining: Challenges

- Search engine behavior changes over time
- Sheer amount of useless data floods out answers
- Anaphora poses problems

Andorra is a tiny land-locked country in southwestern Europe, between France and Spain.

...

Tourism, the largest sector of **its** tiny, well-to-do economy, accounts for roughly 80% of GDP...

**What is the biggest sector in Andorra's economy?** I don't know



## More Challenges

- Answers change over time

**Who is the governor of Alaska?**

**What is the population of Gambia?**

- Relative time and temporal expressions complicate analysis

- Documents refer to events in the past or future (relative to the date the article was written)

Date: January 2003 ... Five years ago, when **Bill Clinton** was still the president of the United States...

**Who is the president of the United States?** Bill Clinton

## Even More Challenges

- Surface patterns are often wrong

- No notion of constituency

In **May Jane Goodall** spoke at Orchestra Hall in Minneapolis/St. Paul...

**Who spoke at Orchestra Hall?** May Jane Goodall

- Patterns can be misleading

The **55 people in Massachusetts** that have suffered from the recent outbreak of...

**What is the population of Massachusetts?** 55 people

- Most popular  $\neq$  correct

**What is the tallest mountain in Europe?**

Most common incorrect answer = Mont Blanc (4807m)

Correct answer = Mount Elbrus (5642m)

## Still More Challenges

- “Bag-of-words” approaches fail to capture syntactic relations

- Named-entity detection alone isn't sufficient to determine the answer!

**Lee Harvey Oswald**, the gunman who assassinated President **John F. Kennedy**, was later shot and killed by **Jack Ruby**.

**Who killed Lee Harvey Oswald?** John F. Kennedy

- Knowledge coverage is not consistent

**When was Albert Einstein born?** March 14, 1879

**When was Alfred Einstein born?** [Who's Alfred Einstein?]

Albert Einstein is more famous than Alfred Einstein, so questions about Alfred are “overloaded” by information about Albert.

## Really Hard Challenges

### ○ Myths and Jokes

In March, 1999, **Trent Lott** claimed to have invented the paper clip in response to Al Gore's claim that he invented the Internet

**Who invented the paper clip?** Trent Lott

George Bush Jokes...George Bush thinks that **Steven Spielberg** is the Prime Minister of Israel...

**Who is the Prime Minister of Israel?** Steven Spielberg

Because: Who is the Prime Minister of Israel?

→ **X** is the Prime Minister of Israel

**Where does Santa Claus live?**

**What does the Tooth Fairy leave under pillows?**

**How many horns does a unicorn have?**

**We really need semantics to solve these problems!**

## NLP Provides Some Solutions

### ○ Linguistically-sophisticated techniques:

- Parse embedded constituents (Bush thinks that...)
- Determine the correct semantic role of the answer (Who visited whom?)
- Resolve temporal referring expressions (Last year...)
- Resolve pronominal anaphora (It is the tallest...)

### ○ Genre classification [Biber 1986; Kessler *et al.* 1997]

- Determine the type of article
- Determine the "authority" of the article (based on sentence structure, etc.)

## Logic-based Answer Extraction

- Parse text and questions into logical form
- Attempt to “prove” the question
  - Logical form of the question contains unbound variables
  - Determine bindings (i.e., the answer) via unification

Example from [Aliod *et al.* 1998], cf. [Zajac 2001]

**Question:** Which command copies files?

**Answer:** cp copies the contents of *filename1* onto *filename2*

```
?- findall(S, (object(command,X)/S,  
              (evt(copy,E,[X,Y])/S;  
                evt(duplicate,E,[X,Y])/S;  
                object(N,Y)/S), R).
```

```
holds(e1)/s1.  
object(cp,x1)/s1.  
object(command,x1)/s1.  
evt(copy,e1,[x1,x2])/s1.  
object(content,x2)/s1.  
object(filename1,x3)/s1.  
object(file,x3)/s1. of(x2,x3)/s1.  
object(filename2,x4)/s1.  
object(file,x4)/s1. onto(e1,x4)/s1.
```

## Logic-based Answer Validation

[Harabagiu *et al.* 2000ab; Moldovan *et al.* 2002]

Use abductive proof techniques to justify answer

1. Parse text surrounding candidate answer into logical form
2. Parse natural language question into logical form
3. Can the question and answer be logically unified?
4. If unification is successful, then the answer justifies the question

## How Can Relations Help?

- Lexical content alone cannot capture meaning

{ The bird ate the snake. }    { the largest planet's volcanoes }  
  { The snake ate the bird. }    { the planet's largest volcanoes }

{ the meaning of life }    { the house by the river }  
  { a meaningful life }    { the river by the house }

- Two phenomena where syntactic relations can overcome failures of “bag-of-words” approaches

[Katz and Lin 2003]

- **Semantic Symmetry** – selectional restrictions of different arguments of the same head overlap
- **Ambiguous Modification** – certain modifiers can potentially modify a large number of heads

## Semantic Symmetry

The selectional restrictions of different arguments of the same head overlap, e.g., when  $verb(x,y)$  and  $verb(y,x)$  can both be found in the corpus

**Question: What do frogs eat?**

Correct lexical content, correct syntactic relations

- (1) Adult **frogs eat** mainly insects and other small animals, including earthworms, minnows, and spiders.

Correct lexical content, incorrect syntactic relations

- (2) Alligators **eat** many kinds of small animals that live in or near the water, including fish, snakes, **frogs**, turtles, small mammals, and birds.
- (3) Some bats catch fish with their claws, and a few species **eat** lizards, rodents, small birds, tree **frogs**, and other bats.

## Ambiguous Modification

Some modifiers can potentially modify a large number of co-occurring heads

**Question: What is the largest volcano in the Solar System?**

Correct lexical content, correct syntactic relations

- (1) Mars boasts many extreme geographic features; for example, Olympus Mons, is the **largest volcano in the solar system**.
- (2) Olympus Mons, which spans an area the size of Arizona, is the **largest volcano in the Solar System**.

Correct lexical content, incorrect syntactic relations

- (3) The Galileo probe's mission to Jupiter, the **largest planet in the Solar system**, included amazing photographs of the **volcanoes** on Io, one of its four most famous moons.
- (4) Even the **largest volcanoes** found on Earth are puny in comparison to others found around our own cosmic backyard, **the Solar System**.

## Sapere: Using NLP Selectively

[Lin, J. 2001; Katz and Lin 2003]

- Sophisticated linguistic techniques are too brittle to apply indiscriminately
  - Natural language techniques often achieve high precision, but poor recall
- Simple and robust statistical techniques should not be abandoned
- Sophisticated linguistic techniques should be applied only when necessary, e.g., to handle
  - Semantic symmetry
  - Ambiguous modification
- Our prototype Sapere system is specially designed to handle these phenomena

## Using Syntactic Relations

- Automatically extract syntactic relations from questions and corpus, e.g.,
  - Subject-verb-object relations
  - Adjective-noun modification relations
  - Possessive relations
  - NP-PP attachment relations
- Match questions and answers at the level of syntactic relations

## Why Syntactic Relations?

Syntactic relations can approximate “meaning”

**The bird ate the snake.**

< bird subject-of eat >  
< snake object-of eat >

**The snake ate the bird.**

< bird object-of eat >  
< snake subject-of eat >

**the largest planet's volcanoes**

< largest mod planet >  
< planet poss volcanoes >

**the planet's largest volcanoes**

< planet poss volcanoes >  
< largest mod volcanoes >

**the meaning of life**

< life poss meaning >

**a meaningful life**

< meaning mod life >

**the house by the river**

< house by river >

**The river by the house**

< river by house >

## Benefit of Relations

Preliminary experiments with the WorldBook Encyclopedia show significant increase in precision

	Sapere	Baseline
Avg. # of sentence returned	4	43.88
Avg. # of correct sentences	3.13	5.88
Avg. precision	0.84	0.29

**Sapere:** entire corpus is parsed into syntactic relations, relations are matched at the sentential level

**Baseline:** standard boolean keyword retriever (indexed at sentential level)

Test set = 16 question hand-selected questions designed to illustrate semantic symmetry and ambiguous modification

## TREC Examples

Ambiguous modification is prevalent in the TREC corpus

(Q1003) What is the highest dam in the U.S.?

Typical wrong answers from the TREC corpus:

Extensive flooding was reported Sunday on the Chattahoochee River in Georgia as it neared its crest at Tailwater and George **Dam**, its **highest** level since 1929.

A swollen tributary the Ganges River in the capital today reached its **highest** level in 34 years, officials said, as soldiers and volunteers worked to build **dams** against the rising waters.

Two years ago, the numbers of steelhead returning to the river was the **highest** since the **dam** was built in 1959.



## **Knowledge Mining:** **Conclusion**

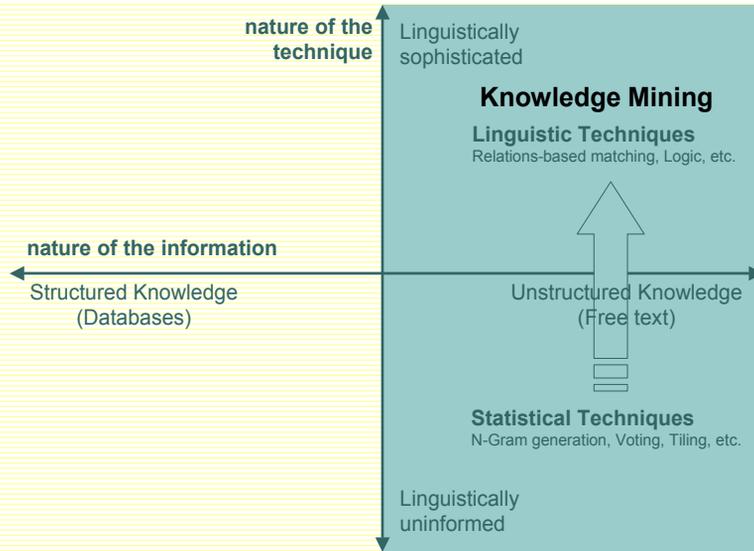
Question Answering Techniques for the World Wide Web



## **Summary**

- The enormous amount of text available on the Web can be successfully utilized for QA
- Knowledge mining is a relatively new, but active field of research
- Significant progress has been made in the past few years
- Significant challenges have yet to be addressed
- Linguistically-sophisticated techniques promise to further boost knowledge mining performance

# The Future



Knowledge Mining: Conclusion

# Knowledge Annotation

Question Answering Techniques for the World Wide Web



## **Knowledge Annotation: General Overview**

Question Answering Techniques for the World Wide Web



## **Knowledge Annotation**

- **Definition:** techniques that effectively employ structured and semistructured sources on the Web for question answering
- **Key Ideas:**
  - “Wrap” Web resources for easy access
  - Employ annotations to connect Web resources to natural language
  - Leverage “Zipf’s Law of question answering”

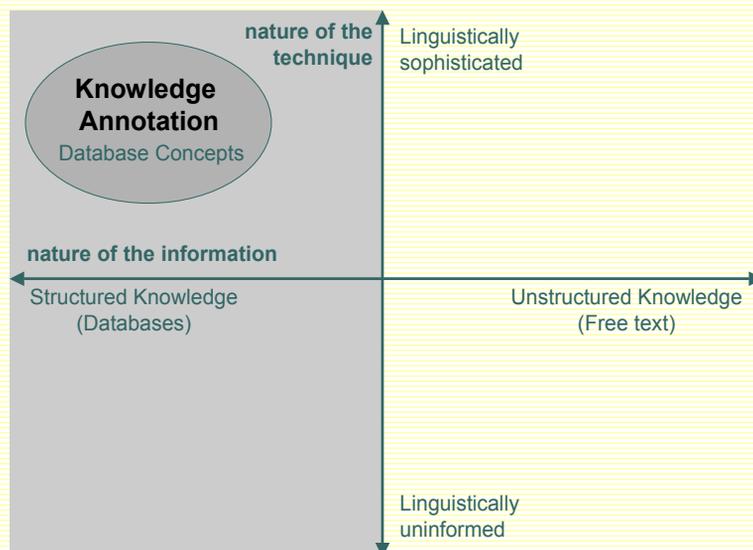
## Key Questions

- How can we organize diverse, heterogeneous, and semistructured sources on the Web?
- Is it possible to “consolidate” these diverse resources under a unified framework?
- Can we effectively integrate this knowledge into a question answering system?
- How can we ensure adequate knowledge coverage?

**How can we effectively employ structured and semistructured sources on the Web for question answering?**

Knowledge Annotation: Overview

## Knowledge Annotation



Knowledge Annotation: Overview



## The Big Picture

- Start with structured or semistructured resources on the Web
- Organize them to provide convenient methods for access
- “Annotate” these resources with metadata that describes their information content
- Connect these annotated resources with natural language to provide question answering capabilities



## Why Knowledge Annotation?

- The Web contains many databases that offer a wealth of information
- They are part of the “hidden” or “deep” Web
  - Information is accessible only through specific search interfaces
  - Pages are dynamically generated upon request
  - Content cannot be indexed by search engines
  - Knowledge mining techniques are not applicable
- With knowledge annotation, we can achieve high-precision question answering

## Sample Resources

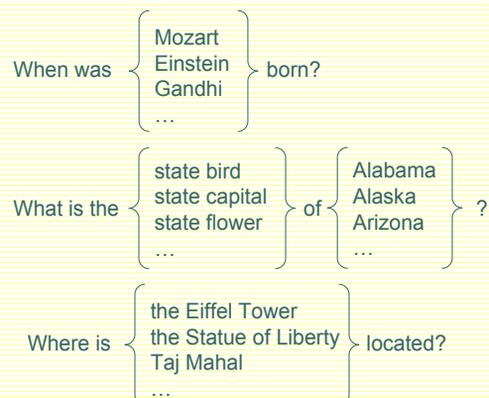
- Internet Movie Database
  - Content: cast, crew, and other movie-related information
  - Size: hundreds of thousands of movies; tens of thousands of actors/actresses
- CIA World Factbook
  - Content: geographic, political, demographic, and economic information
  - Size: approximately two hundred countries/territories in the world
- Biography.com
  - Content: short biographies of famous people
  - Size: tens of thousands of entries

Knowledge Annotation: Overview

## “Zipf’s Law of QA”

**Observation:** a few “question types” account for a large portion of all question instances

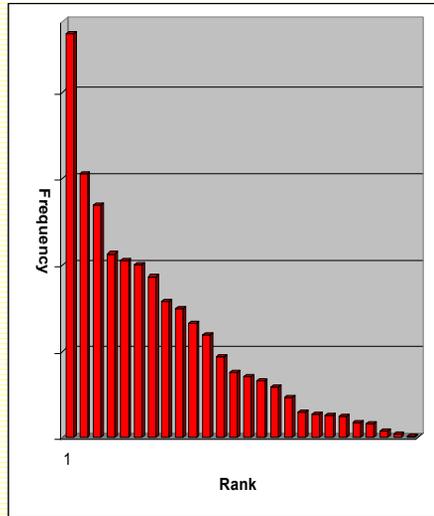
Similar questions can be parameterized and grouped into question classes, e.g.,



Knowledge Annotation: Overview

## Zipf's Law in Web Search [Lowe 2000]

Frequency distribution of user queries from AskJeeves' search logs

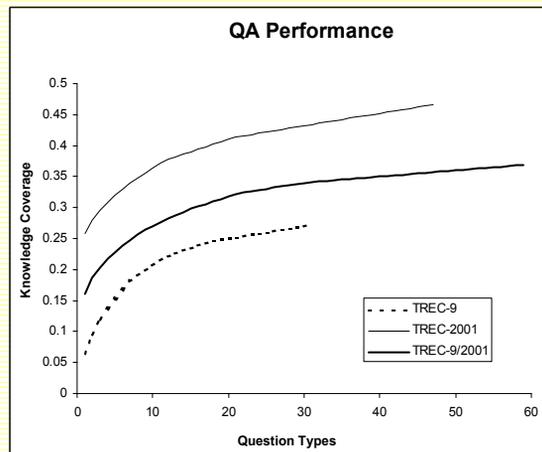


Frequently occurring questions dominate all questions

Knowledge Annotation: Overview

## Zipf's Law in TREC [Lin, J. 2002]

Cumulative distribution of question types in the TREC test collections



Ten question types alone account for ~20% of questions from TREC-9 and ~35% of questions from TREC-2001

Knowledge Annotation: Overview

## Applying Zipf's Law of QA

- Observation: frequently occurring questions translate naturally into database queries

What is the population of  $x$ ?  $x \in \{\text{country}\}$

↳ get **population** of  $x$  from **World Factbook**

When was  $x$  born?  $x \in \{\text{famous-person}\}$

↳ get **birthdate** of  $x$  from **Biography.com**

- How can we organize Web data so that such “database queries” can be easily executed?

## Slurp or Wrap?

- Two general ways for conveniently accessing structured and semistructured Web resources

- **Wrap**

- Also called “screen scraping”
- Provide programmatic access to Web resources (in essence, an API)
- Retrieve results dynamically by
  - Imitating a CGI script
  - Fetching a live HTML page

- **Slurp**

- “Vacuum” out information from Web sources
- Restructure information in a local database



## Tradeoffs: Wrapping

### Advantages:

- Information is always up-to-date (even when the content of the original source changes)
- Dynamic information (e.g., stock quotes and weather reports) is easy to access

### Disadvantages:

- Queries are limited in expressiveness
  - Queries limited by the CGI facilities offered by the website
  - Aggregate operations (e.g., max) are often impractical
- Reliability issues: what if source goes down?
- Wrapper maintenance: what if source changes layout/format?



## Tradeoffs: Slurping

### Advantages:

- Queries can be arbitrarily expressive
  - Allows retrieval of records based on different keys
  - Aggregate operations (e.g., max) are easy
- Information is always available (high reliability)

### Disadvantages:

- Stale data problem: what if the original source changes or is updated?
- Dynamic data problem: what if the information changes frequently? (e.g., stock quotes and weather reports)
- Resource limitations: what if there is simply too much data to store locally?

## Data Modeling Issues

- How can we impose a data model on the Web?

### Two constraints:

1. The data model must accurately capture both structure and content
2. The data model must naturally mirror natural language questions

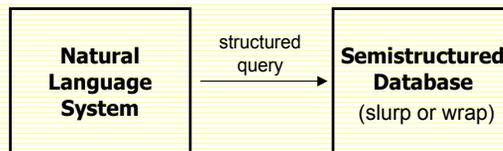
- Difficulties

- Data is often inconsistent or incomplete
- Data complexity varies from resource to resource

Knowledge Annotation: Overview

## Putting it together

Connecting natural language questions to structured and semistructured data



What is the population of  $x$ ?  $x \in \{\text{country}\}$

└───> get **population** of  $x$  from **CIA Factbook**

When was  $x$  born?  $x \in \{\text{famous-person}\}$

└───> get **birthdate** of  $x$  from **Biography.com**

Knowledge Annotation: Overview



## Knowledge Annotation: **START and Omnibase**

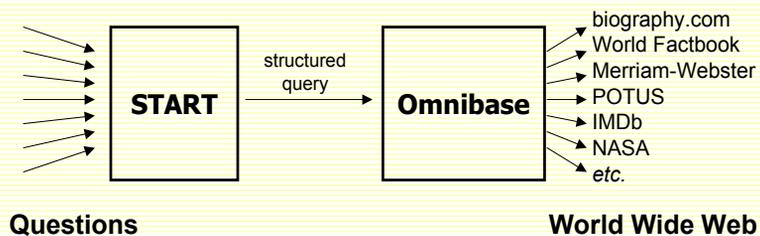
Question Answering Techniques for the World Wide Web



## **START and Omnibase**

[Katz 1988,1997; Katz *et al.* 2002a]

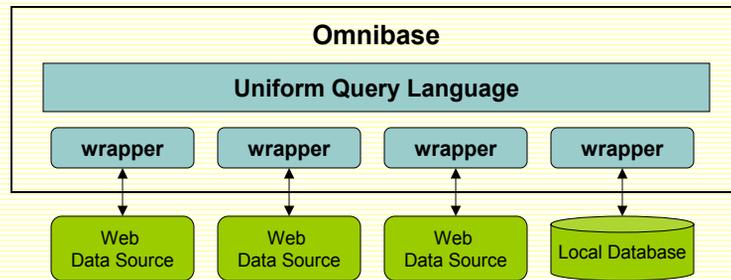
The first question answering system for the World Wide Web – employs knowledge annotation techniques



- How does Omnibase work?
- How does START work?
- How is Omnibase connected to START?

## Omnibase: Overview

- A “virtual” database that integrates structured and semistructured data sources
- An abstraction layer over heterogeneous sources



Knowledge Annotation: START and Omibase

## Omnibase: OPV Model

- The Object-Property-Value (OPV) data model
  - Relational data model adopted for natural language
  - Simple, yet pervasive

**Sources** contain **objects**  
**Objects** have **properties**  
**Properties** have **values**

Many natural language questions can be analyzed as requests for the value of a property of an object

- The “get” command:

(get source object property) → value

Knowledge Annotation: START and Omibase

## Omnibase: OPV Examples

- “What is the population of Taiwan?”
  - **Source:** CIA World Factbook
  - **Object:** Taiwan
  - **Property:** Population
  - **Value:** 22 million
- “When was Andrew Johnson president?”
  - **Source:** Internet Public Library
  - **Object:** Andrew Johnson
  - **Property:** Presidential term
  - **Value:** April 15, 1865 to March 3, 1869

Knowledge Annotation: START and Omibase

## Omnibase: OPV Coverage

10 Web sources mapped into the Object-Property-Value data model cover 27% of the TREC-9 and 47% of the TREC-2001 QA Track questions

Question	Object	Property	Value
Who wrote the music for the Titanic?	Titanic	composer	John Williams
Who invented dynamite?	dynamite	inventor	Alfred Nobel
What languages are spoken in Guernsey?	Guernsey	languages	English, French
Show me paintings by Monet.	Monet	works	

Knowledge Annotation: START and Omibase

## Omnibase: Wrappers

### Omnibase Query

(get IPL "Abraham Lincoln" spouse)

Abraham Lincoln

16th President of the United States  
(March 4, 1861 to April 15, 1865)

Nicknames: "Honest Abe", "Illinois Rail-Splitter"

Born: February 12, 1809, in Hardin (now Larue) County, Kentucky  
Died: April 15, 1865, at Petersen's Boarding House in Washington, D.C.

Father: Thomas Lincoln  
Mother: Nancy Hanks Lincoln  
Stepmother: Sarah Bush Johnston Lincoln  
Married: Mary Todd (1818-1882), on November 4, 1842  
Children: Robert Todd Lincoln (1843-1926), Edward Baker Lincoln (1846-50), William Wallace Lincoln (1850-82), Thomas "Tad" Lincoln (1853-71)

Religion: No formal affiliation  
Education: No formal education  
Occupation: Lawyer  
Political Party: Republican  
Other Government Positions:

- Elected to Illinois State Legislature, 1834
- Member of U.S. House of Representatives, 1847-49

Presidential Salary: \$25,000/year

Mary Todd (1818-1882), on November 4, 1842

Knowledge Annotation: START and Omnibase

## Omnibase: Wrapper Operation

### 1. Generate URL

- Map symbols onto URL

Sometimes URLs can be computed directly from symbol  
Sometimes the mapping must be stored locally

"Abraham Lincoln"  
"Abe Lincoln"  
"Lincoln" } <http://www.ipl.org/div/potus/alincoln.html>

### 2. Fetch Web page

### 3. Extract relevant information

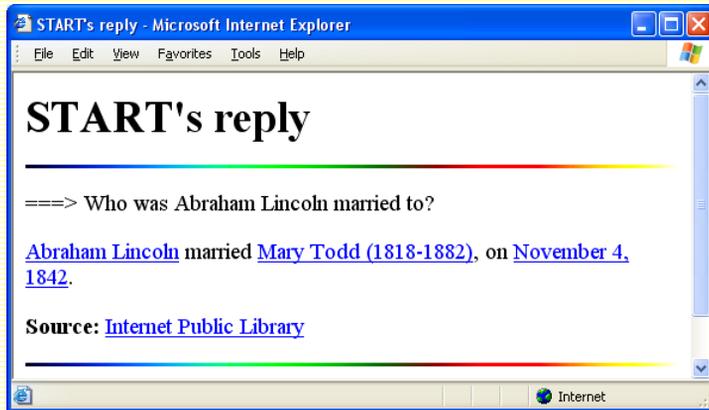
- Search for textual landmarks that delimit desired information (usually with regular expressions)

`<strong>Married: </strong>(.*)<br>`

↑ Relevant information

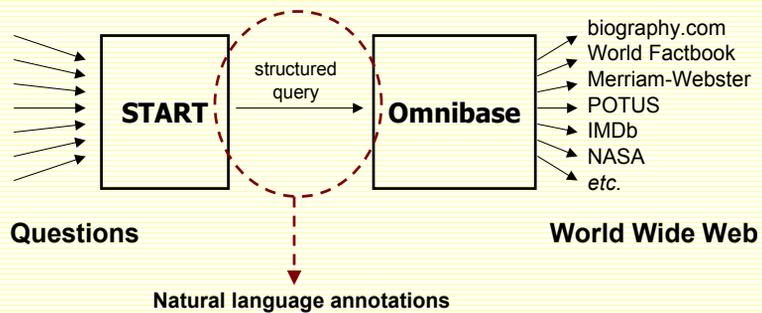
Knowledge Annotation: START and Omnibase

## Connecting the Pieces



Knowledge Annotation: START and Omnibase

## START and Omnibase

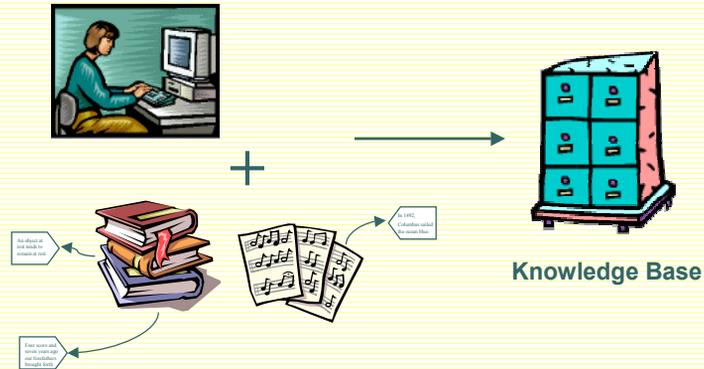


- Natural language annotation technology connects START and Omnibase
- Detour into annotation-based question answering...

Knowledge Annotation: START and Omnibase

# Natural Language Annotations

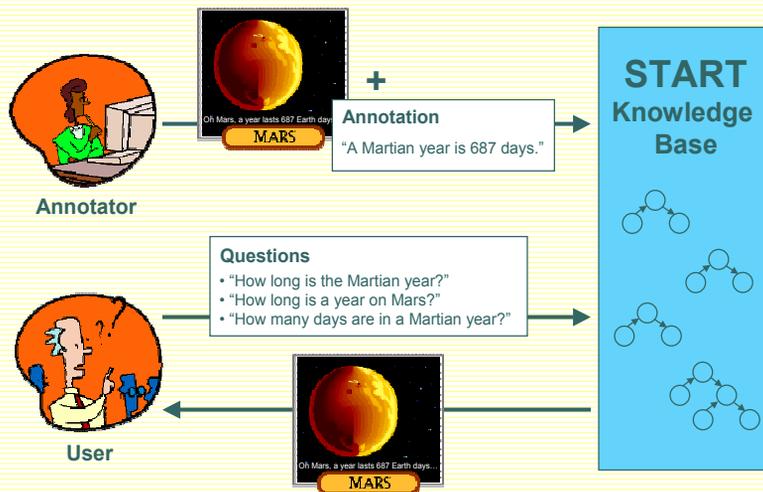
[Katz 1997]



**Natural Language Annotations:** sentences/phrases that describe the content of various information segments

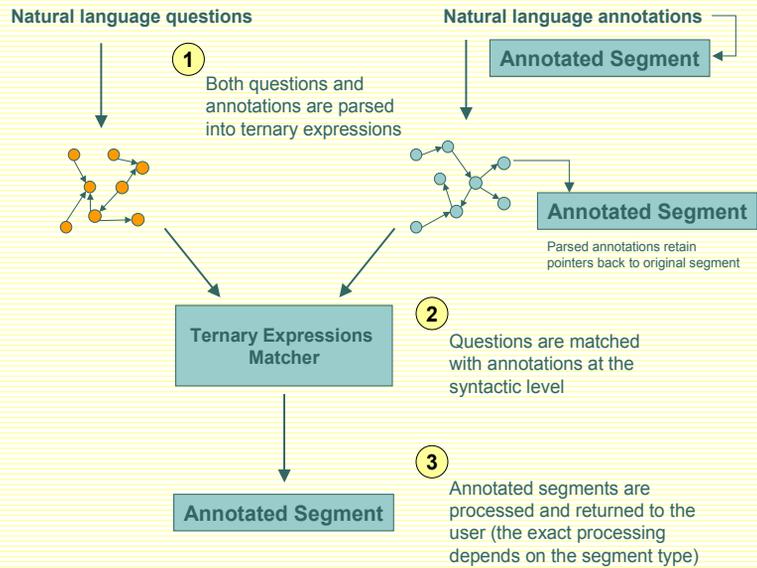
Knowledge Annotation: START and Omibase

# Annotation Flow



Knowledge Annotation: START and Omibase

## Matching Annotations



Knowledge Annotation: START and Omibase

## Syntactic Matching

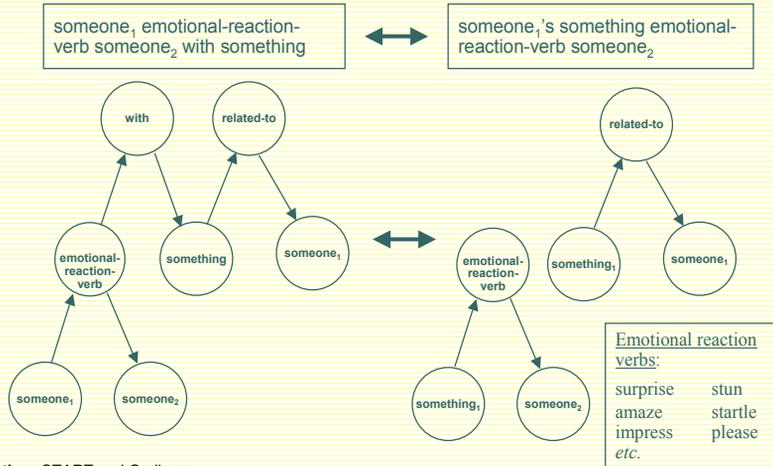
- Allows utilization of linguistic techniques to aid in the matching process:
  - Synonyms
  - Hypernyms and hyponyms
  - Transformation rules to handle syntactic alternations

Knowledge Annotation: START and Omibase

## Transformation Rules [Katz and Levin 1988]

The president impressed the country with his determination. ↔ The president's determination impressed the country.

### S-rule for the Property Factoring alternation:

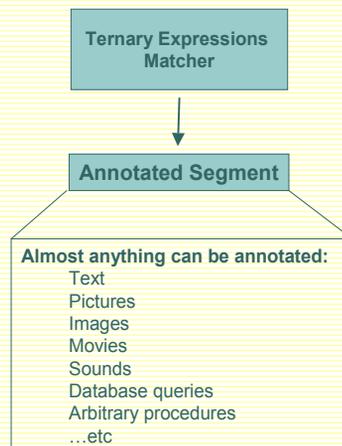


Knowledge Annotation: START and Omibase

## Matching and Retrieval

- 1 Both questions and annotations are parsed into ternary expressions
- 2 Questions are matched with annotations at the syntactic level
- 3 Annotated segments are processed and returned to the user

The action taken when an annotation matches a question depends on the type of annotated segment



Knowledge Annotation: START and Omibase

# What Can We Annotate?

## Direct Parseables

The annotated segment is the annotation itself. This allows us to assert facts and answer questions about them

## Multimedia Content



Annotating pictures, sounds, images, etc. provides access to content we otherwise could not analyze directly

## Structured Queries

(get "imdb-movie" x "director")



Annotating Omnibase queries provides START access to semistructured data

## Arbitrary Procedures

get-time



Annotating procedures (e.g., a system call to a clock) allows START to perform a computation in response to a question

Knowledge Annotation: START and Omnibase

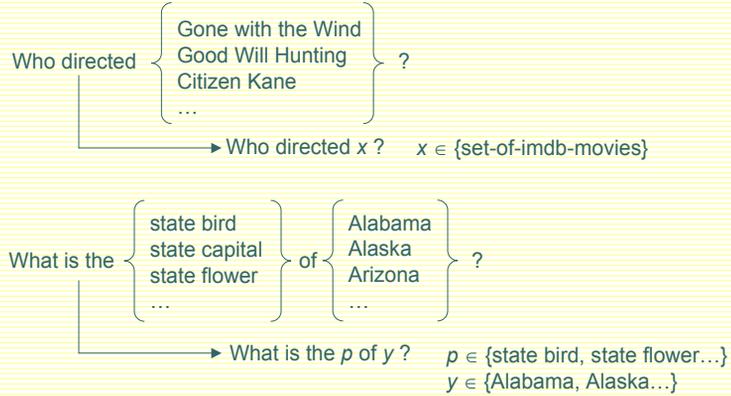
# Retrieving Knowledge

- Matching of natural language annotations triggers the retrieval process
- Retrieval process depends on the annotated segment:
  - Direct parseables – generate the sentence
  - Multimedia content – return the segment directly
  - Arbitrary procedures – execute the procedure
  - Database queries – execute the database query
- Annotations provide access to content that our systems otherwise could not analyze

Knowledge Annotation: START and Omnibase

## Parameterized Annotations

Natural language annotations can contain parameters that stand in for large classes of lexical entries



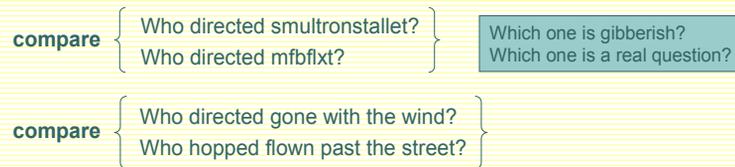
Natural language annotations can be sentences, phrases, or questions

Knowledge Annotation: START and Omibase

## Recognizing Objects

In order for parameterized annotations to match, objects have to be recognized

**Extraction of objects makes parsing possible:**



**Ombibase serves as a gazetteer for START (to recognize objects)**

Who directed smultronstallet?  
→ Who directed  $x$  ?  
 $x$  = "Smultronstället (1957)" ("Wild Strawberries") from imdb-movie

Who directed gone with the wind?  
→ Who directed  $x$  ?  
 $x$  = "Gone with the Wind (1939)" from imdb-movie

Knowledge Annotation: START and Omibase

## The Complete QA Process

- START, with the help of Omnibase, figures out which sources can answer the question
- START translates the question into a structured Omnibase query
- Omnibase executes the query by
  - Fetching the relevant pages
  - Extracting the relevant fragments
- START performs additional generation and returns the answer to the user

Knowledge Annotation: START and Omnibase

## START: Performance

From January 2000 to December 2002, about a million questions were posed to START and Omnibase

	2000	2001	2002
<b>Answer: Omnibase</b>	<b>85k (27.1%)</b>	<b>100k (37.6%)</b>	<b>129k (37.9%)</b>
<b>Answer: START native</b>	<b>123k (39.3%)</b>	<b>74k (27.9%)</b>	<b>107k (31.5%)</b>
Don't know	72k (22.9%)	65k (24.3%)	78k (22.8%)
Don't understand	19k (6.0%)	15k (5.5%)	14k (4.2%)
Unknown word	15k (4.8%)	12k (4.7%)	12k (3.6%)
<b>Total</b>	<b>313k (100%)</b>	<b>266k (100%)</b>	<b>342k (100%)</b>

Don't know = question successfully parsed, but no knowledge available

Don't know = question couldn't be parsed

Of those, 619k questions were successfully answered

	2000	2001	2002
<b>Total Answered Correctly</b>	<b>208k (66.4%)</b>	<b>174k (65.5%)</b>	<b>237k (69.4%)</b>
Answered using Omnibase	40.9%	57.4%	54.6%
Answer with native KB	59.1%	42.6%	45.4%

Knowledge Annotation: START and Omnibase



## **Knowledge Annotation: Other Annotation-based Systems**

Question Answering Techniques for the World Wide Web



## **Annotation-Based Systems**

- AskJeeves
- FAQ Finder (U. Chicago)
- Aranea (MIT)
- KSP (IBM)
- “Early Answering” (U. Waterloo)
- Annotation-based Image Retrieval

## AskJeeves [www.ask.com](http://www.ask.com)

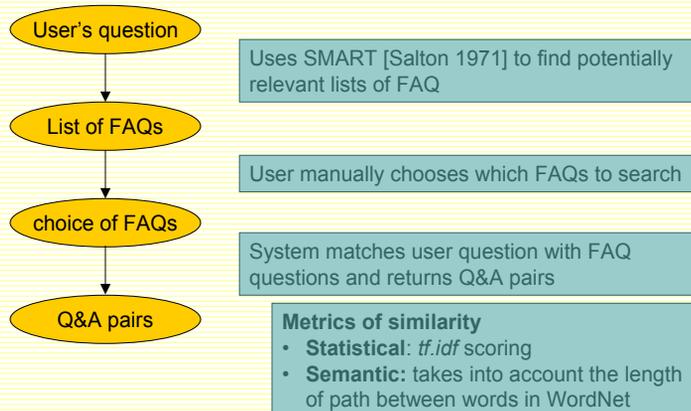
- Lots of manually annotated URLs
- Includes keyword-based matching
- Licenses certain technologies pioneered by START



Knowledge Annotation: Other Annotation-based Systems

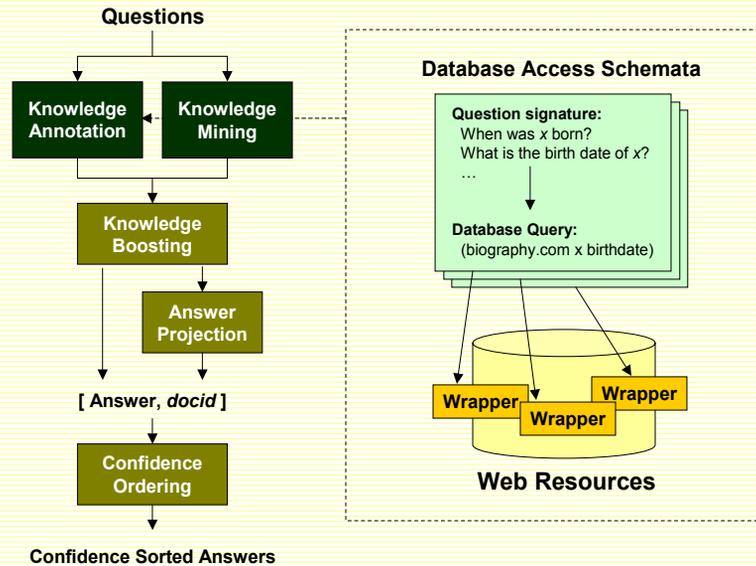
## FAQ Finder [U. Chicago: \[Burke et al. 1997\]](#)

Question answering using lists of frequently asked questions (FAQ) mined from the Web: the questions from FAQ lists can be viewed as annotations for the answers



Knowledge Annotation: Other Annotation-based Systems

## Aranea MIT: [Lin, J. et al. 2002]



Knowledge Annotation: Other Annotation-based Systems

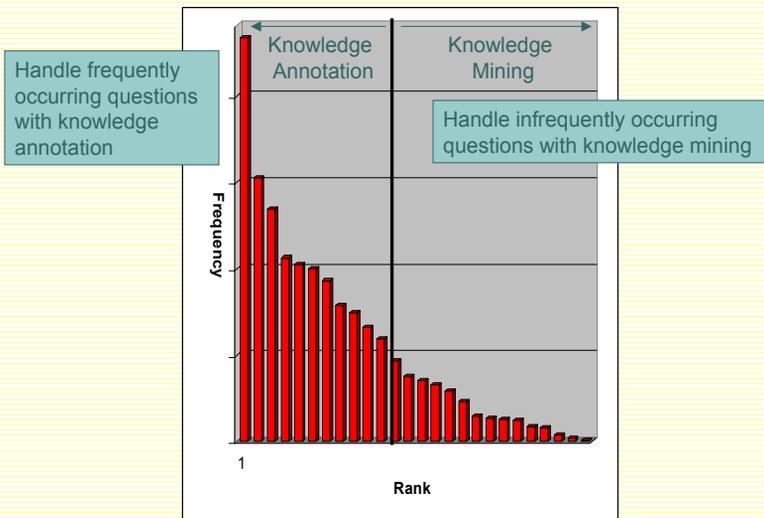
## Aranea: Overview

- Database access schemata
  - Regular expressions connect question signatures to wrappers
  - If user question matches question signature, database query is executed (via wrappers)
- Overall performance: TREC 2002 (official)
  - Official score: 30.4% correct, CWS 0.433
  - Knowledge annotation component contributed 15% of the performance (with only six sources)
- Observations:
  - High precision, lower recall
  - Failure modes: question signature mismatch, wrapper malfunction

Knowledge Annotation: Other Annotation-based Systems

## Aranea: Integration

Capitalize on the Zipf's Law of question distribution:



Knowledge Annotation: Other Annotation-based Systems

## KSP IBM: [Chu-Carroll *et al.* 2002]

- KSP = Knowledge Server Portal
  - A “structured knowledge agent” in a multi-agent QA architecture: IBM’s entry to TREC 2002
  - Composed of a set of knowledge-source adaptors
  - Performance contribution is unclear
- Supports queries that the question analysis component is capable of recognizing, e.g.,
  - “What is the capital of Syria?”
  - “What is the state bird of Alaska?”
- Sample sources
  - US Geological Survey
  - [www.uselessknowledge.com](http://www.uselessknowledge.com)
  - WordNet

Knowledge Annotation: Other Annotation-based Systems

## “Early Answering” U. Waterloo: [Clarke *et al.* 2002]

Answer specific types of questions using a structured database gathered from Web sources

### Sample Resources:

Table	# elements
Airports (code, name, location)	1,500
Rulers (location, period, title)	25,000
Acronyms	112,000
Colleges and Universities (name, location)	5,000
Holidays	171
Animal Names (baby, male, female, group)	500

**Performance:** +10-14% in correct answers +16-24% CWS

Knowledge Annotation: Other Annotation-based Systems

## Image Retrieval

- Annotation-based techniques are commonly used for image retrieval

e.g., [Flank *et al.* 1995; Smeaton and Quigley 1996]

- Image captions are natural sources of annotations



This *Viking 1* Orbiter image shows clouds to the north of Valles Marineris that look similar to cirrus clouds on Earth

Knowledge Annotation: Other Annotation-based Systems



## **Knowledge Annotation: Challenges and Potential Solutions**

Question Answering Techniques for the World Wide Web



### **Four Challenges** [Katz and Lin 2002b; Katz *et al.* 2002b]

- The Knowledge Integration Problem:
  - How can we integrate information from multiple sources?
- The Scaling Problem:
  - Annotations are simple and intuitive, but...
  - There is simply too much data to annotate
- The Knowledge Engineering Bottleneck:
  - Only trained individuals can write wrappers
  - “Knowledge engineers” are required to integrate new data sources
- The Fickle Web Problem:
  - Layout changes, content changes, and...
  - Our wrappers break



## Cross Pollination

Can research from other fields help tackle these challenges?

Managing structured and semistructured data is a multidisciplinary endeavor:

- Question answering
- Information retrieval
- Database systems
- Digital libraries
- Knowledge management
- Wrapper induction (machine learning)

Knowledge Annotation: Challenges and Potential Solutions



## Semistructured Databases

○ Semistructured databases is an active field of research:

- Ariadne USC/ISI: [Knoblock *et al.* 2001]
- ARANEUS Università di Roma Tre: [Atzeni *et al.* 1997]
- DISCO INRIA Rocquencourt/U. Maryland: [Tomasich *et al.* 1996]
- Garlic IBM: [Haas *et al.* 1997]
- LORE Stanford: [McHugh *et al.* 1997]
- Information Manifold U. Washington: [Levy *et al.* 1996]
- TSIMMIS Stanford: [Hammer *et al.* 1997]

○ What can we learn from this field?

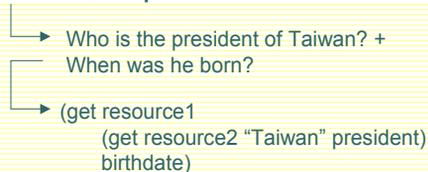
- Query planning and efficient implementations thereof
- Formal models of both structure and content
- Alternative ways of building wrappers

Knowledge Annotation: Challenges and Potential Solutions

## Knowledge Integration

- How can we integrate knowledge from different sources?
- Knowledge integration requires cooperation from both language and database systems
  - Language-side: complex queries must be broken down into multiple simpler queries
  - Database-side: “join” queries across multiple sources must be supported

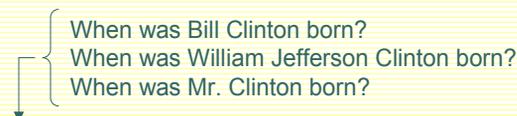
When was the president of Taiwan born?



Knowledge Annotation: Challenges and Potential Solutions

## Integration Challenges

- Name variations must be equated



How does a system know that these three questions are asking for the birth date of the same person?

The Omnibase solution: “synonym scripts” proceduralize domain knowledge about name variants

- Name variation problem is exacerbated by multiple resources

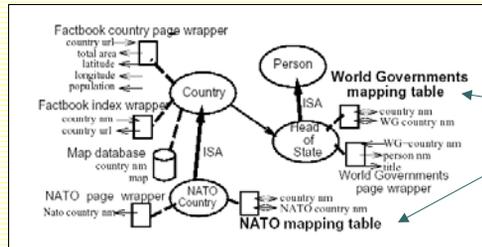
In resource1: Chen Shui-bian  
In resource2: Shui Bian, Chen

How do we equate name variants?

Knowledge Annotation: Challenges and Potential Solutions

## Two Working Solutions

- Ariadne: manual “mapping tables” [Knoblock *et al.* 2001]



Manually specify mappings between object names from different sources

- WHIRL: “soft joins” [Cohen 2000]

- Treat names as term vectors (with *tf.idf* weighting)
- Calculate similarity score from the vectors:

$$Sim(\vec{u}, \vec{v}) = \frac{\vec{u} \cdot \vec{v}}{\|\vec{u}\| \cdot \|\vec{v}\|}$$

Knowledge Annotation: Challenges and Potential Solutions

## Complex and Brittle Wrappers

- Most wrappers are written in terms of textual “landmarks” found in a document, e.g.,
  - Category headings (such as “population:”)
  - HTML tags (such as “<B>...</B>”)
- Disadvantages of this approach:
  - Requires knowledge of the underlying encoding language (i.e., HTML), which is often very complex
  - Wrappers are brittle and may break with minor changes in page layout (tags change, different spacing, etc.)

Knowledge Annotation: Challenges and Potential Solutions

## LaMeTH MIT: [Katz et al. 1999]

- “Semantic wrapper” approach: describe relevant information in terms of content elements, e.g.
  - Tables (e.g., 4<sup>th</sup> row, 3<sup>rd</sup> column)
  - Lists (e.g., 5<sup>th</sup> bulleted item)
  - Paragraphs (e.g., 2<sup>nd</sup> paragraph on the page)
- Advantages of this approach:
  - Wrappers become more intuitive and easier to write
  - Wrappers become more resistant to minor changes in page layout

Knowledge Annotation: Challenges and Potential Solutions

## LaMeTH: Example

Sun Microsystems	
Revenues:	\$ millions 8,598
	% change from 1996 21.2
Profits:	\$ millions 762
	% change from 1996 60.0
Assets:	\$ millions 4,697
Stockholder's Equity:	\$ millions 2,742
Market Value:	\$ millions 16,614.1
	3/18/98
Profits as % of:	Revenues 8.9
	Assets 16.2
	Stockholders' Equity 27.8
Earnings:	1997 \$ 1.96

`(get-column 3 (get-row 1 (get-table 5 (get-profile "Sun Microsystems"))))`  
“Get the 3<sup>rd</sup> column from the 1<sup>st</sup> row of the 5<sup>th</sup> table in Sun’s profile”

Write wrappers in terms of content blocks,  
not in terms of the underlying encoding

Knowledge Annotation: Challenges and Potential Solutions





## Wrapper Induction

- Apply machine learning algorithms to generate wrappers automatically
- From a set of labeled training examples, induce a wrapper that
  - Parses new sample documents
  - Extracts the relevant information

### For Example:

Restaurants Review Site →

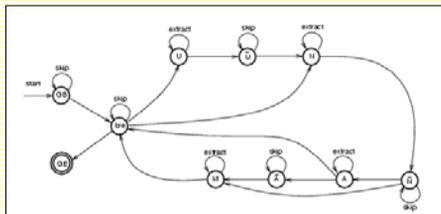
```
{ (name1, location1, cuisine-type1, rating1, ...),  
  (name2, location2, cuisine-type2, rating2, ...),  
  ...  
}
```

- Output of a wrapper is generally a set of tuples

Knowledge Annotation: Challenges and Potential Solutions

## Finite State Wrapper Induction

- HLRT Approach [Kushmerick *et al.* 1997; Kushmerick 1997]
  - Finds Head-Left-Right-Tail delimiters from examples and induces a restricted class of finite-state automata
  - Works only on tabular content layout
- SoftMealy [Hsu 1998; Hsu and Chang 1999]
  - Induces finite-state transducers from examples; single-pass or multi-pass (hierarchical) variants
  - Works on tabular documents and tagged-list documents
  - Requires very few training examples

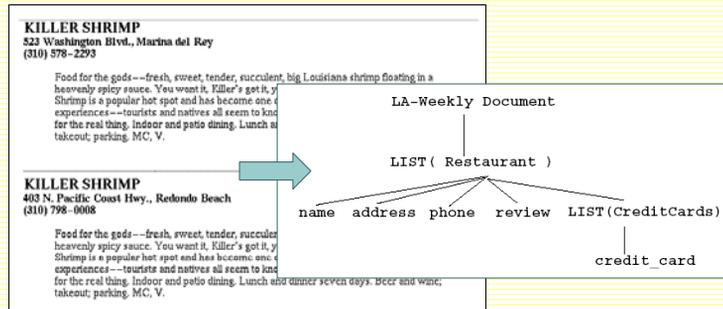


Knowledge Annotation: Challenges and Potential Solutions

# Hierarchical Wrapper Induction

STALKER [Muslea *et al.* 1999]

EC (Embedded catalog) formalism: Web documents are analyzed as trees where non-terminal nodes are lists of tuples



Extraction rules are attached to edges  
List iteration rules are attached to list nodes  
Rules implemented as finite state automata

Example:  
R1 = SkipTo(</b>)  
"ignore everything until a </b> marker"

Knowledge Annotation: Challenges and Potential Solutions

# Wrapper Induction: Issues

- Machine learning approaches require labeled training examples
  - Labeled examples are not reusable in other domains and for other applications
  - What is the time/effort tradeoff between labeling training examples and writing wrappers manually?
- Automatically induced wrappers are more suited for "slurping"
  - Wrapper induction is similar in spirit to information extraction: both are forms of template filling
  - All relations are extracted from a page at the same time
  - Less concerned with support services, e.g., dynamically generating URLs and fetching documents

Knowledge Annotation: Challenges and Potential Solutions



## Discovering Structure

- The Web contains mostly unstructured documents
- Can we organize unstructured sources for use by knowledge annotation techniques?
- Working solutions: automatically discover structured data from free text
  - DIPRE
  - Snowball
  - WebKB



## Extract Relations from Patterns

- Duality of patterns and relations
  - Relations can be gathered by applying surface patterns over large amounts of text

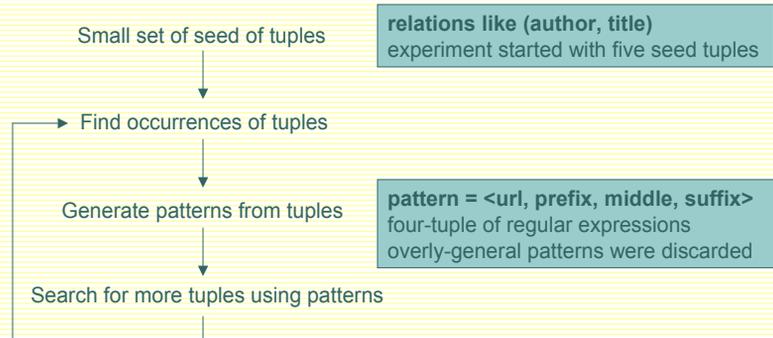
For example, the relation between NAME and BIRTHDATE can be used for question answering
  - Surface patterns can be induced from sample relations by searching through large amounts of text

For example, starting with the relation "Albert Einstein" and "1879", a system can induce the pattern "was born in"
- What if...

relations → patterns → more relations →  
more patterns → more relations ...

## DIPRE [Brin 1998; Yi and Sundaresan 1999]

DIPRE = Dual Iterative Pattern Relation Extraction



Knowledge Annotation: Challenges and Potential Solutions

## DIPRE: Results

Example of a learned pattern:

`www.sff.net/locus/c.* <LI><B>title</B> by author (`  
`<url, prefix, middle, suffix>`

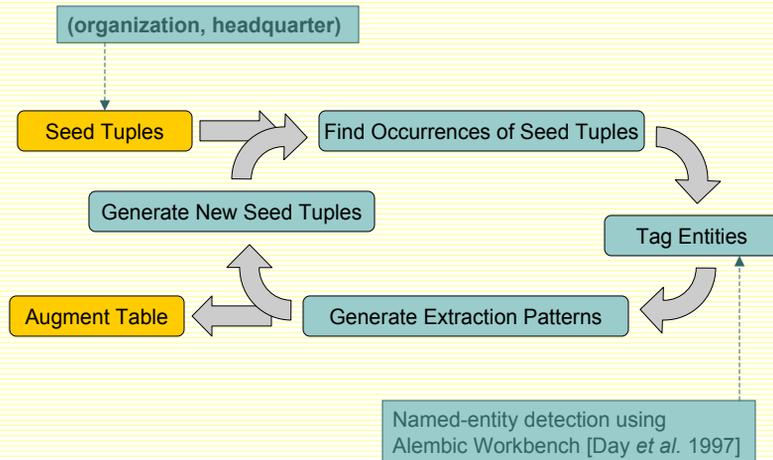
- Results: Extracted 15,257 (author, title) relations
- Evaluation: randomly selected 20 books
  - 19 out of 20 were real books
  - 5 out of 20 were not found on Amazon
- Control of error propagation is critical
  - Are the relations correct?
  - Are the patterns correct?

bogus relations → bad patterns →  
more bogus relations → even more bad patterns ...

Knowledge Annotation: Challenges and Potential Solutions

## Snowball [Agichtein et al. 2000]

Snowball: several enhancements over DIPRE



Knowledge Annotation: Challenges and Potential Solutions

## Snowball: Features

- Pattern: <left, tag1, mid, tag2, right>
  - **left, mid, and right** are vectors of term weights

**Example Pattern:**

<{<'the', 0.2>, LOCATION, {<'-', 0.5>, <'based', 0.5>}, ORGANIZATION, {}>  
left tag1 mid tag2 right

**Example Text:**

the Irving-based Exxon Corporation → (Exxon, Irving)

**Matching Patterns with Text:** take sum of dot products between term vectors

$$\text{Match}(t_p, t_s) = \begin{cases} l_p \cdot l_s + m_p \cdot m_s + r_p \cdot r_s & \text{if tags match} \\ 0 & \text{otherwise} \end{cases}$$

- Pattern learning: using tuples, find all pattern occurrences; cluster left, mid, and right vectors

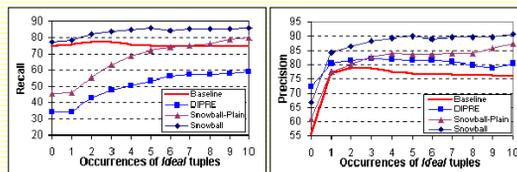
Knowledge Annotation: Challenges and Potential Solutions

## Snowball: Features

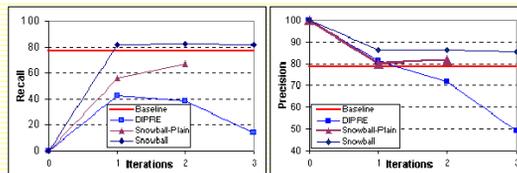
- Confidence of a pattern is affected by
  - Accuracy of a pattern
  - Number of relations it generates
- Confidence of a tuple is affected by
  - Confidence of the patterns that generated it
  - Degree of match between relations and patterns
- “Learning rate” is used to control increase in pattern confidence
  - Dampening effect: system trusts new patterns less on each iteration

Knowledge Annotation: Challenges and Potential Solutions

## Snowball: Results



The more often a tuple occurs, the more likely it will be extracted



DIPRE has a tendency to “blow up” as irrelevant results are accumulated during each iteration. Snowball achieves both higher precision and recall

**Snowball:** punctuation used  
**Snowball-plan:** punctuation ignored  
**DIPRE:** from [Brin 1998]  
**Baseline:** frequency of co-occurrence

**Ground Truth** = 13k organizations from Hoover’s Online crossed with extracted relations from Snowball

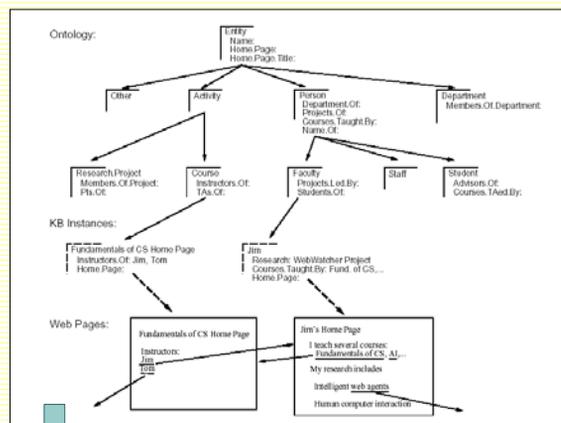
Knowledge Annotation: Challenges and Potential Solutions

## WebKB [Craven et al. 1998ab]

- Input:
  - Ontology that specifies classes and relations
  - Training examples that represent instances of relevant classes and relations
- Output:
  - A set of general procedures for extracting new instances of classes and relations

Knowledge Annotation: Challenges and Potential Solutions

## WebKB: Overview



Automatically learns extraction rules such as:

**members-of-project(A,B) :- research\_project(A), person(B), link\_to(C,A,D), link\_to(E,D,B), neighborhood\_word\_people(C).**

Translation: Person B is a member of project A if there is a link from B to A near the keyword "people"

Knowledge Annotation: Challenges and Potential Solutions

## WebKB: Machine Learning

- Learns extraction rules using FOIL

FOIL = a greedy covering algorithm for learning function free Horn clauses [Quinlan and Cameron-Jones 1993]

- Background relations used as “features”, e.g.,
  - `has_word`: boolean predicate that indicates the presence of a word on a page
  - `link_to`: represents a hyperlink between two pages
  - `length`: the length of a particular field
  - `position`: the position of a particular field
- Experimental results
  - Extracting relations from a CS department Web site (e.g., student, faculty, project, course)
  - Typical performance: 70-80% accuracy

Knowledge Annotation: Challenges and Potential Solutions

## Extracting Relations: Issues

- How useful are these techniques?
- Can we extract relations that we don't already have lists for?

**{author, title}**: Amazon.com or the Library of Congress already possess comprehensive book catalogs

**{organization, headquarter}**: Sites like Yahoo! Finance contains such information in a convenient form

- Can we extract relations that have hierarchical structure? It is an open research question

Knowledge Annotation: Challenges and Potential Solutions

## From WWW to SW

- The World Wide Web is a great collection of knowledge...
- But it was created by and for humans
- How can we build a “Web of knowledge” that can be easily understood by computers?
- This is the Semantic Web effort...

[Berners-Lee 1999; Berners-Lee *et al.* 2001]

## What is the Semantic Web?

- Make Web content machine-understandable
- Enable agents to provide various services (one of which is information access)

### “Arrange my trip to EACL.”

- My personal **travel agent** knows that arranging conference trips involves booking the flight, registering for the conference, and reserving a hotel room.
- My **travel agent** talks to my **calendar agent** to find out when and where EACL is taking place. It also checks my appointments around the conference date to ensure that I have no conflicts.
- My **travel agent** talks to the **airline reservation agent** to arrange a flight. This requires a few (automatic) iterations because I have specific preferences in terms of price and convenience. For example, my **travel agent** knows that I like window seats, and makes sure I get one.
- ...



## Components of Semantic Web

- Syntactic standardization (XML)
- Semantic standardization (RDF)
- Service layers
- Software agents

Knowledge Annotation: Challenges and Potential Solutions



## Syntactic Standardization

- Make data machine-readable
- XML is an interchange format
- XML infrastructure exists already:
  - Parsers freely available
  - XML databases
  - XML-based RPC (SOAP)
- Broad industry support and adoption

In our fictional “arrange trip to EACL scenario”, XML allows our software agents to exchange information in a standardized format

Knowledge Annotation: Challenges and Potential Solutions



## Semantic Standardization

- Make data machine-understandable
- RDF (Resource Description Framework)
  - Portable encoding of a general semantic network
  - Triples model (subject-relation-object)
  - Labeled directed graph
  - XML-based encoding
- Sharing of ontologies, e.g., Dublin Core
- Grassroots efforts to standardize ontologies

In our fictional “arrange trip to EACL scenario”, RDF encodes ontologies that inform our software agents about the various properties of conferences (e.g., dates, locations, etc.), flights (e.g., origin, destination, arrival time, departure time, etc.), and other entities.

Knowledge Annotation: Challenges and Potential Solutions



## Service Layers and Agents

- **Service layers:** utilize XML and RDF as foundations for inference, trust, proof layer, etc.
  - Important considerations: reasoning about uncertainty, reasoning with contradicting/conflicting information

In our fictional “arrange trip to EACL scenario”, the service layers allow us to purchase tickets, reserve hotel rooms, arrange shuttle pick-up, etc.

- **Software agents:** help users locate, compare, cross-reference content
  - In the Semantic Web vision, communities of cooperative agents will interact on behalf of the user

In our fictional “arrange trip to EACL scenario”, the software agents ultimately do our bidding

## Semantic Web: What's Missing?

- Where in the loop is the human?
- How will we communicate with our software agents?
- How will we access information on the Semantic Web?

Obviously, we cannot expect ordinary Semantic Web users to manually manipulate ontologies, query with formal logic expressions, etc.

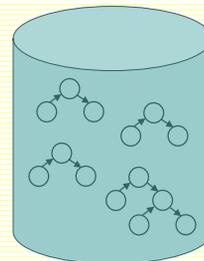
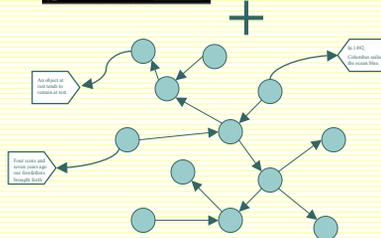
We would like to communicate with software agents in natural language...

### What is the role of natural language in the Semantic Web?

Knowledge Annotation: Challenges and Potential Solutions

## RDF + NL Annotations

[Katz and Lin 2002a; Katz *et al.* 2002c; Karger *et al.* 2003]



The Semantic Web

Annotate RDF as if it were any other type of content segment, i.e., describe RDF fragments with natural language sentences and phrases

Knowledge Annotation: Challenges and Potential Solutions



## NL and the Semantic Web

- Natural language should be an integral component of the Semantic Web
- General strategy:
  - Weave natural language annotations directly into the RDF (Resource Description Framework)
  - Annotate RDF ontology fragments with natural language annotations

In effect, we want to create “Sticky notes” for the Semantic Web [Karger *et al.* 2003]
- Prototype: START-Haystack collaboration
  - Haystack: a Semantic Web platform [Huynh *et al.* 2002]
  - + START: a question answering system
  - = A question answering system for the Semantic Web

Knowledge Annotation: Challenges and Potential Solutions



**Knowledge Annotation:**

## Conclusion

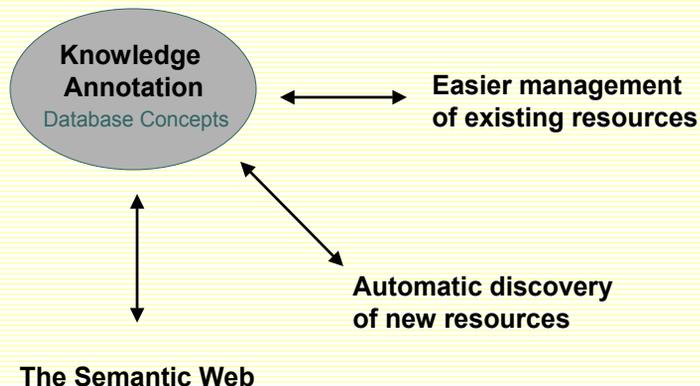
Question Answering Techniques for the World Wide Web

## Summary

- Structured and semistructured Web resources can be organized to answer natural language questions
- Linguistically-sophisticated techniques for connecting questions with resources permit high precision question answering
- Knowledge annotation brings together many related fields of research, most notably NLP and database systems
- Future research focuses on discovery and management of semistructured resources, and the Semantic Web

Knowledge Annotation: Conclusion

## The Future



Knowledge Annotation: Conclusion



## Conclusion

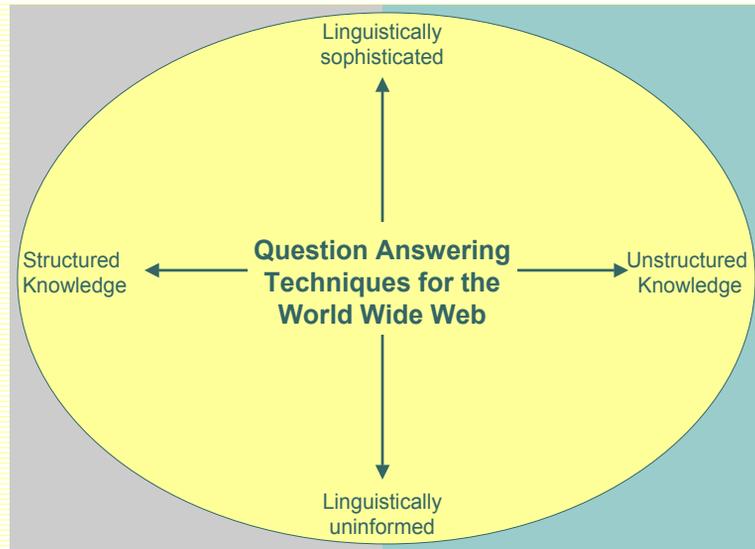
Question Answering Techniques for the World Wide Web



## The Future of Web QA

- Two dimensions for organizing Web-based question answering strategies
  - Nature of the information
  - Nature of the technique
- The Web-based question answering system of the future...
  - Will be able to utilize the entire spectrum of available information from free text to highly structured databases
  - Will be able to seamlessly integrate robust, simple techniques with highly accurate linguistically-sophisticated ones

## The Future of Web QA



QA Techniques for the WWW: Conclusion

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