# Hierarchical Task Recognition and Planning in Smart Homes with Partial Observability

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Abstract. This paper proposes a goal recognition and planning algorithm, HTN-GRP-PO, to enable intelligent assistant agents to recognize older adults' goals and reason about desired further steps. It will be used in a larger system aimed to help older adults with cognitive impairments to accomplish activities of daily living independently. The algorithm addresses issues including partial observability due to unreliable or missing sensors, concurrent goals, and incorrectly executed steps. The algorithm has a Hierarchical Task Network basis, which enables it to deal with partially ordered subtasks and alternative plans. We test on simulated cases of different difficulties. The algorithm works very well on simple cases, with accuracy close to 100%. Even for the hardest cases, the performance is acceptable when sensor reliabilities are above 0.95.

**Keywords:** Hierarchical task network, goal recognition, partial observability, cognitive impairments

## 1 Introduction

Nowadays, more and more older adults suffer from cognitive impairments, which cause difficulties in activities of daily living (ADLs) [3]. Developing intelligent assistant agents (IAAs) in smart homes to guide them on ADLs becomes urgent. IAAs are intelligent real-time reminders, prompting the older adult whenever he/she is confused in ADLs. IAAs should at least gather sensor signals, be aware of situations [2], recognize ongoing goals, and present effective assistances [9].

Due to limitations of sensors and privacy concerns, not all attributes of physical objects can be measured. Thus IAAs should cope with partial observability due to missing or unreliable sensors. Older adults with cognitive impairments commonly execute ADLs with irrational, repeated and disordered steps. IAAs are required to identify these improper behaviors and present help. Geib et al. [5] discussed several critical considerations of goal recognition for older adults, including multiple concurrent goals, actions used for multiple effects, and failure to observe. According to Hoey et al. [8], smart home assistance should be as passive as possible, so as to maintain feelings of independence.

An IAA helping older adults with cognitive impairments on their ADLs should address the following aspects: (1) Tolerate partial observability caused by

missing and unreliable sensors; (2) Recognize concurrent goals; (3) Detect improper steps and rectify the older adult from mistakes; and (4) Present hints or prompts of various detail levels, such as desired next steps or higher level tasks. The proposed HTN-GRP-PO algorithm <sup>1</sup> addresses these issues. It adopts the hierarchical paradigm as defined in Hierarchical Task Network (HTN) planning [4]. With HTN, the goal recognition process to recognize ongoing goals and the planning process to generate feasible next steps (or tasks) are combined together. Partially ordered subtasks, alternative ways to achieve a goal, and preconditions of tasks and steps are considered thanks to the expressive power of HTN. When the algorithm is running, it tracks the ongoing goals, updates beliefs based on new observations, reports wrong steps, and presents prompts with different details. Issues like unreliable or missing sensors, concurrent goals, and wrong steps make the problem more difficult, and decrease the performance of the algorithm.

The paper is arranged as follows. Section 2 discusses related works. Section 3 defines the problem, Section 4 details the HTN-GRP-PO algorithm, Section 5 reports on simulations *in silico*, and Section 6 concludes the work.

### 2 Related Works

Kautz et al. defined goal recognition as finding possible top level tasks (goals) to explain a set of observed steps [10]. The definition of goal recognition indicates its hierarchical nature. In [1], goal recognition is classified as either single layer or hierarchical. For single layer approaches, the reasoning process matches the raw data observations directly to goals. The inference from data to goals, without considering intermediate level tasks, makes status tracking not feasible. So a non-hierarchical method is inappropriate for the IAA in this work.

Hierarchical approaches recognize the highest level goals and inner level subgoals. A milestone in goal recognition is the conceptual framework published by Kautz and Allen[10]. They proposed the hierarchy format to represent top level tasks and low level steps, and the concept of decomposition. Advantages of hierarchical approaches are summarized in [1], including the suitability for recognizing high level tasks with complex structures, interactions with humans and incorporating prior knowledge into the representation. HTN-based and ontology-based hierarchical approaches are two main streams relating to this work.

HTN, a terminology in planning, is firstly proposed by Sacerdoti and Earl in 1975 [15]. The seminal work by Goldman et al. [7] proposed HTN for goal recognition. Their framework is called PHATT (Probabilistic Hostile Agent Task Tracker), which can deal with partially ordered subtasks, overloaded steps, contextual influence on choices of steps and goals, and observation failures. An additional module is added to PHATT to identify abandoned goals in [5]. Follow-up work [6] summarized previous works and integrated PHATT with a constraint reasoning module for parametrized actions and temporal constraints. The PHATT framework is very powerful, but assumes full observability of steps, which is impossible in reality.

<sup>&</sup>lt;sup>1</sup> "Hierarchical Task Network based Goal Recognition and Planning with Partial Observability"

Ontology-based approaches highlight the modeling of activities and behaviors with rich semantics. They characterized activities into atomic, simple, and composite ones [13]. Composite activities are formulated using both ontological and temporal modeling formalisms. In [14], two types of composite activities (concurrent and interleaved) and sequential activities are handled. They use ontological reasoning for simple activity recognition and rule-based temporal inference to recognize composite activities. To reason about temporal constraints among subtasks of a composite task, Okeyo et al. [12] proposed a hybrid ontological and temporal approach to model composite activities. Their work focused on temporal constraints, not addressing partial observability.

Our algorithm is a HTN-based approach, where knowledge base is expressed in methods and operators using similar formats described in SHOP2 [11]. It adopts the plan execution concept in [7]. However, our algorithm explicitly considers partial observation of steps and the feasibility of pending steps (or tasks). The algorithm integrates goal recognition in planning by utilizing HTN. It can not only recognize what the older adults is trying to do, but also what are the proper next steps and tasks in order to achieve the recognized goals.

# 3 Problem Description

Helping older adults with cognitive impairments to implement ADLs needs to recognize ongoing goals and to present prompts for next steps, which is a combination of goal recognition and planning. The definition of the goal recognition and planning problem in this work is given in Definition 1.

Definition 1 (Goal Recognition and Planning Problem). It is a tuple

$$P^{rp} = (bs, obs, G, prior, D, PROB, PS),$$

where bs is belief state, obs is sensor readings, G is a set of goals, prior is the prior probabilities of goals in G. PROB is a distribution showing the goal recognition result, PS is the planning result with multiple levels, showing the next tasks and steps in order to achieve PROB together with probabilities. Its step level is  $PS_{step}$ . D = (O, M) is the knowledge base (methods and operators).

Table 1 (left) shows method prepare-hot-water. mName is the task name that m can be applied to. A method having multiple branches with each has precondition and subtasks indicates multiple ways to accomplish a task. parent specifies methods whose subtasks contains mName. startStep are the beginning steps of a goal. It is present only when m stands for a goal. Table 1 (right) is an

Table 1: Method (left) and Operator (right) example

|                           | prepare-hot-water   |
|---------------------------|---|
| $\overline{precondition}$ | {[(kettle-1, has-water, yes),   |
|                           | (kettle-1, switch, off),  |
|                           | {[(kettle-1, has-water, yes),<br>(kettle-1, switch, off),<br>(kettle-1, water-hot, not)]} |
| subtasks                  | {[kettle-1-heat-water:<br>{pre: [], dec:[]}]}   |
|                           | {pre: [], dec:[]}]}   |
|                           | [make-coffee, make-tea]   |
| startStep                 | NA (not a goal)   |

| oName  | turn-on-faucet-1        |
|--------|-------------------------|
|        | (faucet-1, state, off)  |
|        | [(faucet-1, state, on)] |
| parent | [wash-hand,             |
|        | kettle-1-add-water]     |

Table 2: Problem Categories

| Songer Config  | Single Goal  |            | Multiple Goals |            |
|----------------|--------------|------------|----------------|------------|
| Sensor Config. | Correct Step | Wrong step | Correct Step   | Wrong step |
| Reliability    | p1           | <b>p2</b>  | р3             | <b>p4</b>  |
| Missing Sensor | <b>p5</b>    | <b>p6</b>  | p7             | <b>p8</b>  |

operator which can be applied to step turn-on-faucet-1. effect contains fluents which become true after executing the step. It has similar format to that of precondition. parent specifies all methods whose subtasks contains oName.

The problem in this work is classified into eight categories (Table 2) based on three properties: the number of goals that the executed steps account for, present wrong steps or not, with unreliable sensor or missing sensor. In the "Sensor Config." column, "Reliability" means that every sensor has a reliability. "Missing Sensor" means that some sensors are missing and the agent knows about which ones are missing. As one can imagine, **p1** is the easiest problem category, while **p8** is the hardest one.

## 4 Algorithm

### 4.1 Terminologies

Changes of sensor measurements at a time point will trigger an algorithm **iteration**. An iteration reasons about the new bs and the new PROB and PS result by adding the observations from the just happened step. Simultaneous steps are not considered in this work. An example iteration, shown in Table 3, changes  $P_0^{rp}$  to  $P_1^{rp}$ . Note G, prior, and D are neglected because of no change.  $PS_1$  has several levels to provide help in different details. Only the step level (level 0) and a task level (level 1) are shown to save space. obs is not the outcome but the trigger of an iteration. The iteration in Table 3 is triggered by  $obs_1$ . Similarly,  $obs_2$  will trigger the next iteration.

The proposed algorithm lies on explanations. Typically, a goal recognition result should explain observations so far. Multiple explanations exist when considering partial observability. The recognition result would be a distribution over possible explanations. To obtain next steps or tasks hint, ongoing statuses of goals should be tracked. Based on those considerations, definition 2 shows the structure used to explain observations so far in this work.

Table 3: The Outcome of an Algorithm Iteration

|          | $P_0^{rp}$                    | $P_1^{rp}$ |  |  |
|----------|-------------------------------|------------|--|--|
| Variable | Value                         | Variable   | Value  |  |
| $bs_0$   | (faucet-1, state,             | $bs_1$     | (faucet-1, state,                                      |  |
|          | $\{off: 0.999, on: 0.001\})$  |            | $\{off: 0.0001, on: 0.9999\})$                         |  |
| $obs_1$  | $[(faucet-1, \{state, on\})]$ | $obs_2$    | $\big[[(hand\text{-}1, \{soapy: yes, dry: no\})]\big]$ |  |
| $PROB_0$ | wash-hand: 0.333,             | $PROB_1$   | wash-hand: 0.3574,                                     |  |
|          | make-coffee: 0.333,           |            | make-coffee: 0.3213,                                   |  |
|          | make-tea: $0.333$             |            | make-tea: $0.3213$                                     |  |
| $PS_0$   | level-0:                      | $PS_1$     | level-0:   |  |
|          | turn-on-faucet-1: 0.666,      |            | use-soap: 0.357,                                       |  |
|          | switch-on-kettle-1:0.333      |            | add-water-kettle-1: 0.643                              |  |
|          |                               |            | level-1:   |  |
|          |                               |            | clean-hand:0.357,                                      |  |
|          |                               |            | prepare-hot-water:0.643                                |  |

Table 4: Explanations after the Iteration shown in Table 3

| Variable                 | $expla_1$                 | $expla_2$                                | $expla_3$                                |
|--------------------------|---------------------------|--|--|
| prob                     | 0.3574                    | 0.3213                                   | 0.3213                                   |
| $\overline{forest}$      | $[goal N_1]$ , in Table 5 | $[goal N_2]$                             | $[goal N_3]$                             |
| $\overline{pendingStep}$ | [use-soap]                | $[add	ext{-}water	ext{-}kettle	ext{-}1]$ | $[add	ext{-}water	ext{-}kettle	ext{-}1]$ |
| startGoal                | wash-hand: True,          | wash-hand: False,                        | wash-hand: False,                        |
|                          | make-tea: $False$ ,       | make-tea: <b>True</b> ,                  | make-tea: $False$ ,                      |
|                          | make-coffee: False        | make-coffee: False                       | make-coffee: True                        |

**Definition 2 (Explanation).** An explanation,  $expla \in ExplaSet$ , is a tuple

$$expla = (prob, forest \ [\ ], pendingStep \ [\ ], startGoal\{\}),$$

where prob tells to which degree we can rely on this explanation. forest is a list, with each element recording the **ongoing status** of a goal. pendingStep is the next steps suggested by the explanation to proceed towards ongoing goals. startGoal records goals that are ongoing in this explanation.

Each iteration computes PROB and PS based on explanations (see Definition 2), which are stored in ExplaSet. Multiple explanations might exist to explain a given observation series. The iteration in Table 3 gets ExplaSet containing  $expla_1$ ,  $expla_2$  and  $expla_3$ , with each a complete explanation for

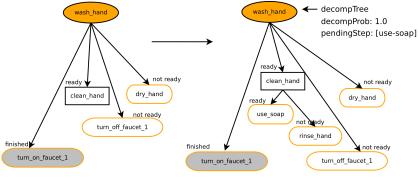
 $obs = \{obs_1\}$ . They are shown

Table 5:  $goalN_1$  for  $expla_1$  in Table 4

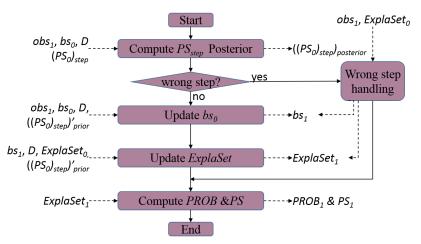
Variable Value goalName wash-hand tree  $tree_1$ , in Fig. 1 expandProb 1.0 pendingGoalNet  $[decompGN_1]$  in Fig. 1 completeness False executeSequence  $\{turn-on-faucet-1, (faucet-1, state, on)\}$ 

in Table 4.  $expla_1$  believes that wash-hand is ongoing and the supposed next step is  $use-soap.\ goal N_1$  records the ongoing status of wash-hand. Table 5 and Fig. 1 explains the **goal network**. tree is a hierarchical task network reflecting the ongoing status of the goal goal Name. For example,  $tree_1$  in Fig. 1 shows that turn-on-faucet-1 for wash-hand has been finished. expandProb tells the probability of this way being chosen. pendingGoalNet is the results of decomposing

Fig. 1:  $tree_1$  and  $decompGN_1$  in  $goalN_1$ 



 $tree\_1$  decompGN\_1



tree, which is a list. Each element in pendingGoalNet is a feasible way to proceed towards goalName from the status in tree. Fig. 1 tells use-soap proceeds from  $tree_1$  towards wash-hand. Only  $decompGN_1$  is derived means that there is only one way to decompose clean-hand which explains decompProb = 1.0.

In summary, an iteration reasons with **Explanations**, which are stored in **Explanation Set**. A **goal network** in an explanation's *forest* explains the ongoing status of a goal. More than one goal networks in *forest* indicate concurrent goals. A **decomposed goal network** in a goal network's *pendingGoalNet* stands for a specific way to proceed towards the corresponding goal.

## 4.2 The HTN-GRP-PO Algorithm

Fig. 2 is the algorithm flow chart, with the iteration in Table 3 as the example. Inputs and outputs of each module are included. **Compute**  $PS_{step}$  **Posterior** is the step recognition process adopting Bayesian inference as shown in Equation 1 and 2. Its output is  $(PS_{step})_{posterior}$ . Equation 1 is applied to every step in  $(PS_0)_{step}$ . Note that 0.999 is used in Equation 2 because when the precondition of  $st_t$  is not satisfied, it is usually impossible to happen.  $p(st_t)$  in Equation 1 takes the corresponding probability in  $(PS_0)_{step}$ . Equation 3 explains wrong steps detection. Comprehensive experiment results show that if otherHappenProb is bigger than **0.75**, a wrong step happens.

**Update** bs also adopts Bayesian inference. Because the "wrong step" branch is dropped,  $(PS_{step})_{posterior}$  is normalized to get  $(PS_{step})'_{prior}$  which become the new priors of steps. The algorithm applies Equation 4 and 2 to every attribute related to the current iteration. Given an attribute, the sum over  $s_{t-1}$  in Equation 4 enumerates all possible values of the attribute.

$$p(st_t|obs_t) = \frac{p(st_t, obs_t)}{p(obs_t)} \propto p(st_t, obs_t) = \sum_{s_t} \sum_{s_{t-1}} p(st_t, s_t, s_{t-1}, obs_t)$$

$$= \sum_{s_t} \sum_{s_{t-1}} p(s_t|s_{t-1}, st_t) \times p(obs_t|s_t) \times p(s_{t-1}) \times p(st_t)$$
(1)

$$p(s_t|s_{t-1}, st_t) = \begin{cases} 0.999, & \text{if } st_t(precondition) \subset s_{t-1} \text{ and } \theta(st_t, s_{t-1}) \subset s_t \\ 0.001, & \text{otherwise} \end{cases}$$
 (2)

$$other Happen Prob = 1 - \sum_{st \in PS_{step}} (PS_{step})_{posterior}(st)$$
(3)

$$p(s_t|obs_t) = \sum_{s_{t-1}} \sum_{st'_t \in (PS_{step})'_{prior}} p(s_t|s_{t-1}, st'_t) \times p(obs_t|s'_t) \times p(s_{t-1}) \times p(st'_t)$$
(4)

$$new\_expla(prob) = st_{prob} \times goalNet(expandProb) \times expla(prob)$$
 (5)

**Update** ExplaSet. Given a step  $st \in (PS_{step})'_{prior}$ , each explanation  $expla \in ExplaSet_0$  will be updated to several new ones, which are stored in  $ExplaSet_1$ . It includes two procedures: recognition and decomposition. The **recognition** procedure adopts a new goalNet to represent the new ongoing status of the corresponding goal and computes the new explanation probability using Equation 5. The creation of the new goalNets has two cases.

Case 1, st starts a new goal. Thus there is no  $goalNet_{base}$  for creating the new one. A bottom up procedure is used to create a new goalNet from scratch. For example, with st = turn-on-faucet-1, when creating  $goalN_1$  for  $expla_1$  in Table 4, the bottom up procedure creates  $tree_1$  as shown in Table 1. Note that case 1 enables the algorithm handle concurrent goals. Case 2, st continues an ongoing goal. In this case, a proper decomposed goal network is chosen from the given goalNet(pendingGoalNet) as the new goalNet. For example, given  $expla_1$  in Table 4 and st = use-soap,  $decompGN_1$  (Fig. 1, right) will replace  $goalN_1$ , becoming the new goalNet in the new explanation.

The **decomposition** procedure creates pendingGoalNet for a goalNet. In Table 5,  $goalN_1(pendingGoalNet)$  is obtained through the decomposition procedure. The decomposition result is shown in the right part of Fig. 1. When applying methods for decomposition, the probability that a precondition is satisfied is computed and accumulated to derive decompProb, which indicates to which degree the corresponding decomposition path is feasible in bs. The decomposition process that ends every leaf in tree is either a node standing for a step or a node standing for a task satisfying node(data)(readiness) == False.

Wrong Step Handling. This module rectifies existing explanations so as to restore from the wrong step. Fig. 3 is an visualization example. Assume that

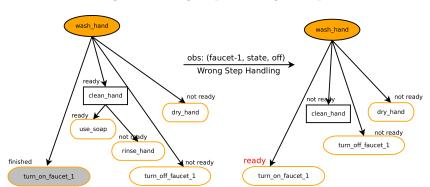
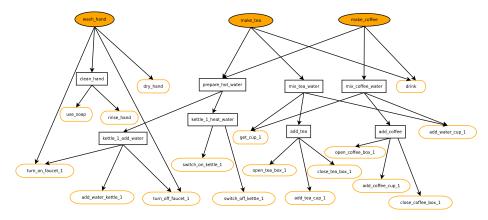


Fig. 3: A Wrong Step Handling Example



expla contains ongoing status of wash-hand as shown in the left tree of Fig. 3. So the desired next step is use-soap. However, a wrong step is reported during the computation of  $(PS_{step})_{posterior}$ . The observation indicates that the effect of step turn-on-faucet-1 has been destroyed by the wrong step. The wrong step handling module rectifies the ongoing status of wash-hand to the point as shown in the right tree of Fig. 3. Consequently, the algorithm will remind the the older adult to do turn-on-faucet-1 again.

Compute PROB and PS. This module purely depends on the latest ExplaSet. The probability of goal g in PROB is the sum of probabilities of explanations whose startGoal contains g. The probability of a task t(or step st) in PS is the sum of probabilities of explanations whose forest contains a node standing for t (or st) with completeness being false while readiness being true.

## 5 Experimental Simulations

#### 5.1 Knowledge Base, Sensors, and Simulator

Scenario. Helen is an older adult with mild Alzheimer's disease. She has problems doing three daily tasks in the kitchen: washing hands, making a cup of tea, and making a cup of coffee. Her caregiver reports her common mistakes. When washing hands, she might forget to use soap or turn the faucet off, or repeatedly rinse her hands. Similar issues happen when making a cup of tea or coffee. The caregiver hopes an IAA can help her complete those tasks independently.

The **Knowledge Base** has three goals: wash-hand, make-tea and make-coffee. Although M and O are individual pieces, they implicitly indicate a hierarchical plan graph, as shown in Fig. 4. Root nodes stand for goals G. Leaf nodes are the lowest level steps. Other internal nodes are inner level tasks. Each goal or task node corresponds to a method in M. Each step node corresponds to a step in O. To save space, details of M and O are not given.

According to the knowledge base, 18 virtual binary **sensors** (Table 6) are set up for the sake of simulation. Sensor reliability has four values, [0.99, 0.95, 0.9, 0.8]. We use ID to refer an sensor. obj and att determines which attribute the sensor is monitor. The **simulator** simulates real environment state changes

Table 6: Sensors Used in the Experiment (Initial values are in **boldface**)

| $\overline{ID}$ | Obj      | Att       | Value              | ID | Obj          | Att        | Value          |
|-----------------|----------|-----------|--------------------|----|--------------|------------|----------------|
| 1               | hand-1   | soapy     | no, yes            | 10 | kettle-1     | water-hot  | no, yes        |
| 2               | hand-1   | dirty     | yes, no            | 11 | cup-1        | location   | cabinet, table |
| 3               | hand-1   | dry       | yes, no            | 12 | cup-1        | has-water  | no, yes        |
| 4               | faucet-1 | state     | on, off            | 13 | cup-1        | has-tea    | no, yes        |
| 5               | faucet-1 | location  | kitchen, washroom  | 14 | cup-1        | has-coffee | no, yes        |
| 6               | person-1 | location  | kitchen, washroom  | 15 | tea-box-1    | location   | table, cabinet |
| 7               | person-1 | ability   | <b>0.6</b> , [0-1] | 16 | tea-box-1    | open       | no, yes        |
| 8               | kettle-1 | has-water | no, yes            | 17 | coffee-box-1 | location   | table, cabinet |
| 9               | kettle-1 | switch    | off, on            | 18 | coffee-box-1 | open       | no, yes        |

Table 7: Test Cases for Problem Categories

| Songer Config  | Single Goal  |            | Multiple Goals |            |
|----------------|--------------|------------|----------------|------------|
| Sensor Config. | Correct Step | Wrong step | Correct Step   | Wrong step |
| Reliability    | Case 1-3     | Case 6-9   | Case 4-5       | Case 10-11 |
| Missing Sensor | Case 1-3     | Case 6-9   | Case 4-5       | Case 10-11 |

and step executions. No real human are involved in the experiment, however, our study is applicable to cases in reality. Given an input step, the simulator firstly updates real state according to the effects of the step, and then changes sensor measurements based on the simulated real state and sensor reliability.

#### 5.2 Test Cases and Evaluation Criteria

Each test case is a list of steps in the order of execution. It accounts for one single goal or multiple goals. Noisy wrong steps can exist in the list. The algorithm reasons about PROB and PS for each step. The ground truth of each step's PROB and PS in a test case can be obtained from the knowledge base shown in Figure 4. Table 7 presents test cases for each problem category. All test cases are based on the knowledge base in Section 5.1. Table 8 selects one case for each category to show.

An iteration computes PROB and PS after each step. Given a step, PROB is correct if the ongoing goal has the highest probability. PS can be partially correct since it involves different levels. To simplify evaluation, we measure PS in a strict way. PS is correct only when its lowest step level is correct, which guarantees a complete correct PS. Note that recognizing the older adult's intent and providing proper hints are both important for an IAA. Thus PROB and PS are considered with equal weights. Assume that the number of steps in a test case is N, the number of iterations with correct PROB is  $PROB_C$ , and the number of iterations with correct PS is  $PS_C$ . The performance is computed using Equation 6. Thanks to the strict criterion on PS, the real performance of the algorithm is better than the computed performance. Each test case is run 20 times and the average performance is computed. The algorithm removes explanations with probability smaller than **0.001** to avoid too much calculation.

$$Performance = \frac{0.5 \times PROB_C + 0.5 \times PS_C}{N} \times 100\%$$
 (6)

| Case 1                                 | Case 5  | Case 10                   |
|--|---|---------------------------|
| wash-hand                              | $wash\mbox{-}hand,\ make\mbox{-}coffee$                   | wash-hand, make-coffee    |
| turn-on-faucet-1                       | turn-on-faucet-1  | turn-on-faucet-1          |
| use-soap                               | add-water-kettle-1  | use-soap                  |
| rinse-hand                             | turn-off-faucet-1   | rinse-hand                |
| turn-off-faucet-1                      | switch-on-kettle-1  | <u>rinse-hand</u>         |
| dry-hand                               | ${ m turn}	ext{-}{ m on}	ext{-}{ m faucet}	ext{-}{ m 1}$  | turn-off-faucet-1         |
| Case 8                                 | use-soap  | turn-on-faucet-1          |
| wash-hand                              | rinse-hand  | dry-hand                  |
| turn-on-faucet-1                       | ${ m turn}	ext{-}{ m off}	ext{-}{ m faucet}	ext{-}{ m 1}$ | add-water-kettle-1        |
| use-soap                               | dry-hand  | turn-off-faucet-1         |
| use-soap                               | switch-off-kettle-1                                       | switch-on-kettle-1        |
| $\underline{\text{turn-off-faucet-1}}$ | get-cup-1   | switch-off-kettle-1       |
| turn-on-faucet-1                       | open-coffee-box-1   | get-cup-1                 |
| use-soap                               | add-coffee-cup-1  | open-coffee-box-1         |
| rinse-hand                             | close-coffee-box-1  | add-water-cup-1           |
| $\underline{\text{rinse-hand}}$        | add-water-cup-1   | <u>close-coffee-box-1</u> |
| dry-hand                               | $\operatorname{drink}$                                    | open-coffee-box-1         |
| turn-off-faucet-1                      |   | add-coffee-cup-1          |
|  |   | close-coffee-box-1        |
|  |   | drink                     |

#### 5.3 Results and Discussion

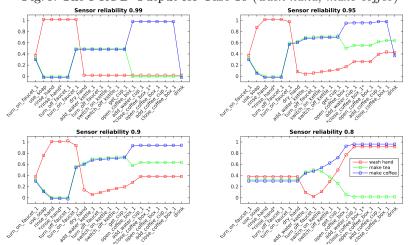
The average accuracies of all the test cases with changing reliabilities is presented in Table 9, for which we conclude: (1) The performances positively correlate with sensor reliabilities. When sensor reliabilities reduce, the average accuracies deteriorate as well. (2) The easiest problem category **p1**, which targets problems with single goal and correct steps, has the best performance. The average accuracies are very high even when sensor reliabilities are only 0.8. (3) The hardest problem category **p4**, which targets problems with multiple goals and wrong steps, has the worst performance. The accuracies are acceptable only when sensor reliabilities are above 0.95. This result is reasonable since the algorithm has to deal with noisy sensors, multiple goals and wrong steps. (4) The other two categories, **p2** and **p3**, have similar performances, which are acceptable when sensor reliabilities are above 0.9. The results in Table 9 demonstrate the proposed algorithm's capacity to solve the goal recognition and planning problem described in Definition 1. Our algorithm can efficiently handle issues including partial observability, wrong steps, unordered steps, and simultaneous goals.

The influence of sensor reliabilities on *PROB*. Fig. 5 shows the *PROB* of case 10. Wrong steps are marked with \*. The convergence of *PROB* is correlated with sensor reliability. The probabilities of ongoing goals outweigh those of non-happening goals after the second or third steps. The probabilities of goal *make-tea* and *make-coffee* align with each other until step *get-cup-1* because they have the same step sequence before *get-cup-1* (refer Fig. 4). A goal's probability drops to 0.0 when it is finished. The horizontally straight lines in plots with sensor reliability 0.9 and 0.8 means the algorithm gets lost and does not

Case Num. 0.99 0.950.90 0.80 Case 1 100% 97% 95% 93%Case 2100%99%99%97%Case 3 100%100%98%98%Case 4 99%99%79%90%  ${\it Case}\ 5$ 100%99%93%86%Case 6 100%98%93%44%Case 7 100% 99% 98%96%Case 8 100%96% 94%59%Case 9 100%92%83%62%Case 10 100% 90% 70% 66%Case 11 100% 94%79%69%

Table 9: Average Performances on Test Cases (Sensor reliabilities, in bold)

Fig. 5: The PROB Output for Case 10 (wash-hand, make-coffee)



update the explanations any more. The algorithm usually get lost when wrong sensor measurements, improper priors, and wrong steps happen together.

A missing sensor is the same as a sensor having reliability 0.5. The algorithm deals with known missing sensors by regarding their reliabilities as 0.5. Experiments with missing sensors suggest how to set up sensors. (1) Sensors related to start steps of goals should not be missing. (2) If a step related to multiple sensors, one of the sensors is missing can be tolerated. (3) A sensor relates to many steps should not be missing. (4) If the older adult repeatedly makes mistakes on some steps, the related sensors should not be missing.

# 6 Conclusion

This proposed HTN-GRP-PO algorithm is a HTN framework based goal recognition and planning process. The recognition and planning procedures are highly coupled. The HTN framework reduces the search space for goal recognition. The

planning procedure generates the desired next steps to proceed towards ongoing goals. It addresses issues including partial observability due to unreliable or missing sensors, concurrent goals, and incorrectly executed steps. The algorithm is tested on cases with different difficulties. An interesting future direction is extending the algorithm to handle step duration and shared steps.

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