

Order-Degree-Height Surfaces for Linear Operators

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Joint work with Manuel Kauers and Gargi Mukherjee

Outline

- ▶ Linear operators and their size
- ▶ Order-degree-height surfaces
 - ▶ Left common multiples
 - ▶ Creative telescoping
 - ▶ Contraction ideals

D-finite sequences

D-finite sequences

Definition. A sequence $(f(n))_{n \in \mathbb{N}}$ is called **D-finite** if there exist polynomials $p_0(n), \dots, p_r(n)$, not all zero, such that

$$p_0(n)f(n) + p_1(n)f(n+1) + \cdots + p_r(n)f(n+r) = 0.$$

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Example. Consider

$$f(n) = \sum_{k=0}^n \binom{n}{k} \binom{2n}{2k}.$$

Then

$$\begin{aligned} & (-48n^3 - 152n^2 - 144n - 40) f(n) \\ & + (-42n^3 - 154n^2 - 178n - 64) f(n+1) \\ & + (6n^3 + 25n^2 + 32n + 12) f(n+2) = 0 \end{aligned}$$

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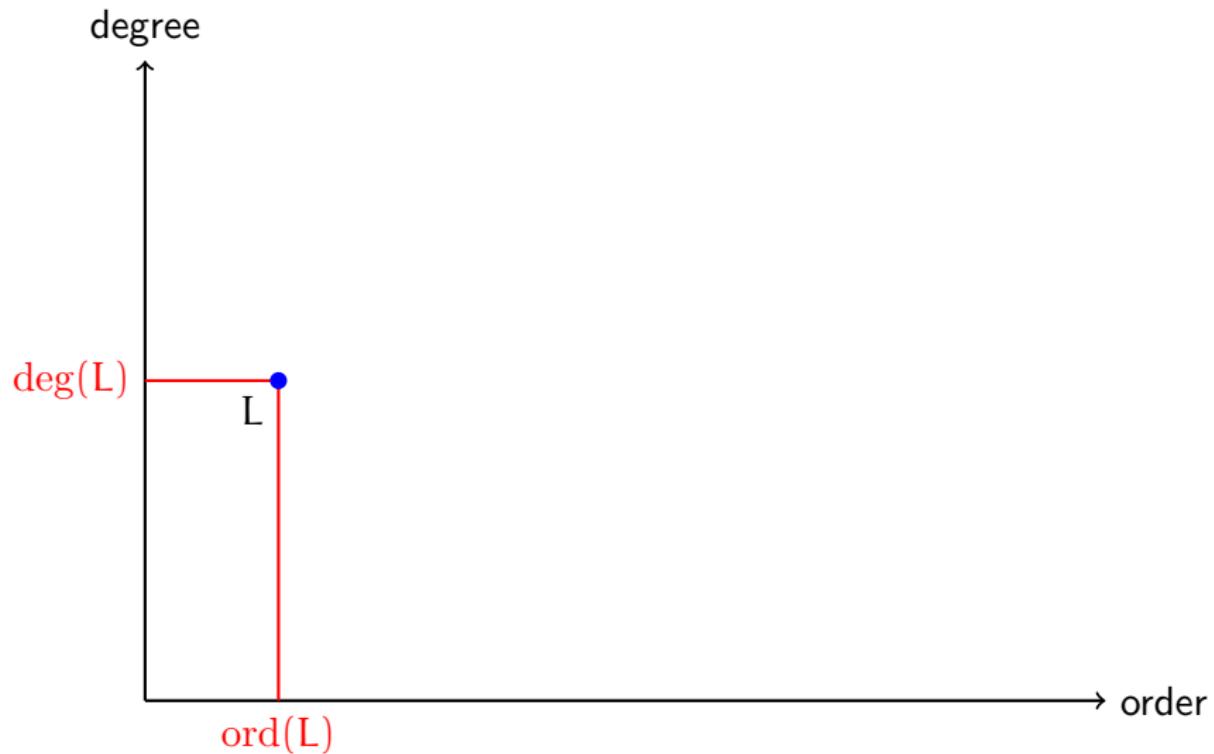
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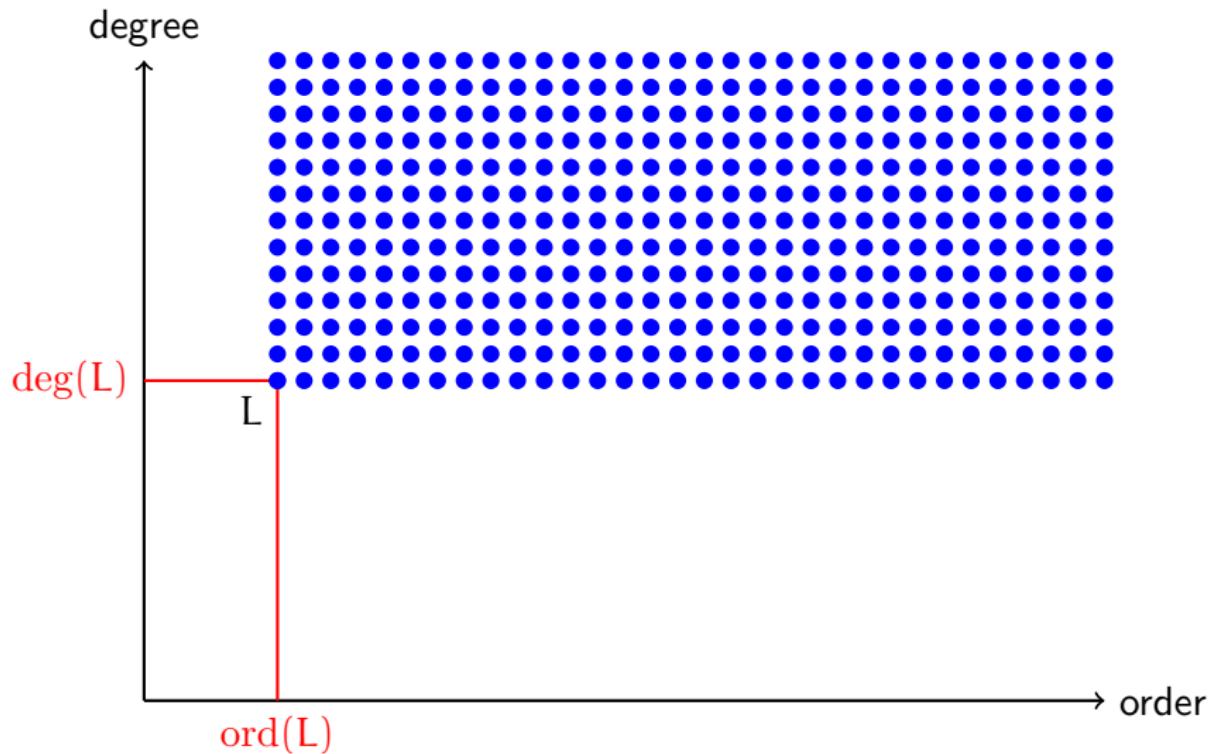
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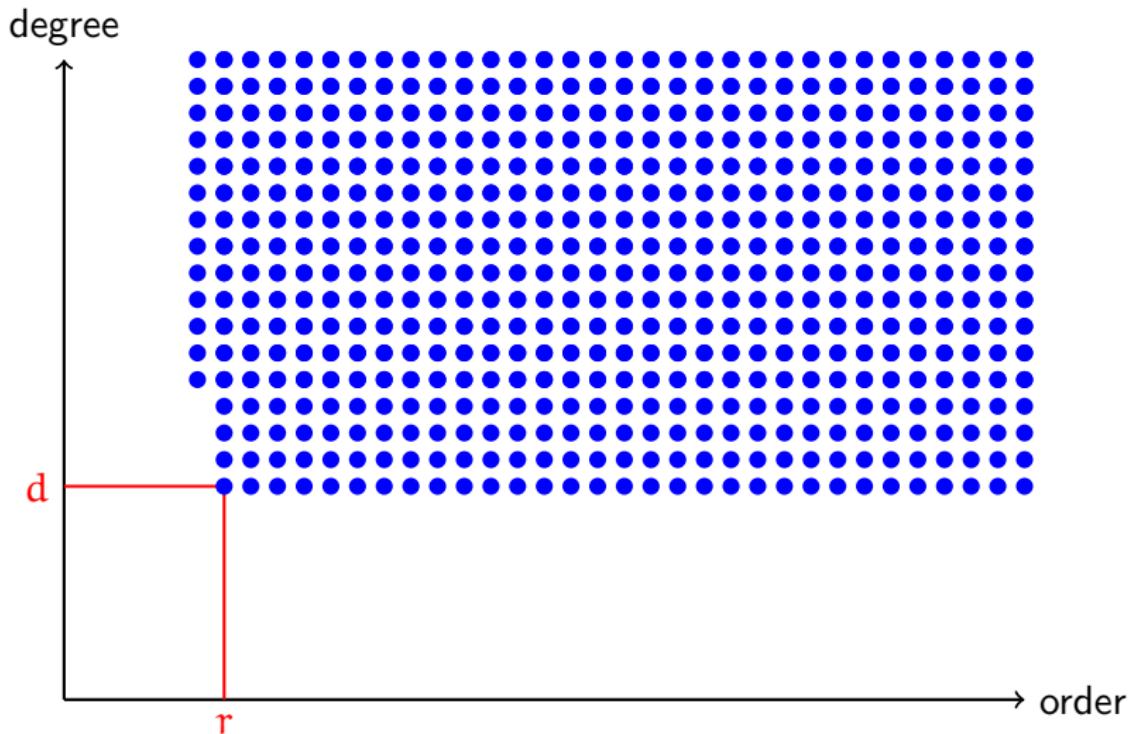
Order-degree curve



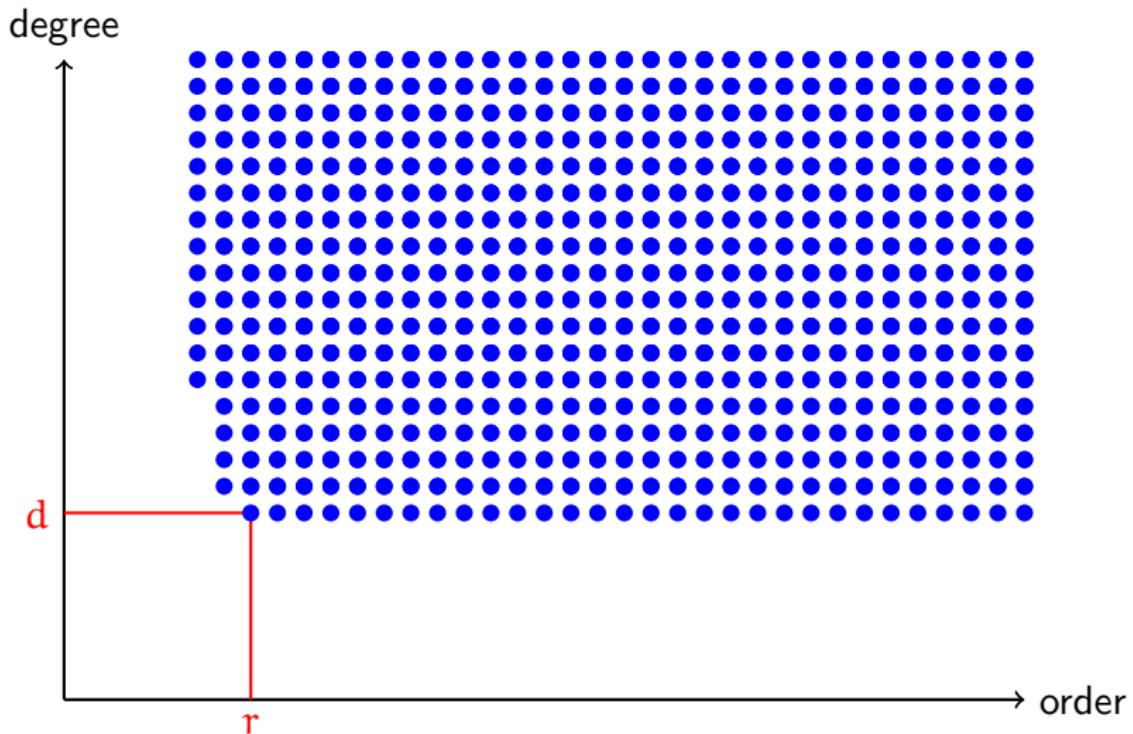
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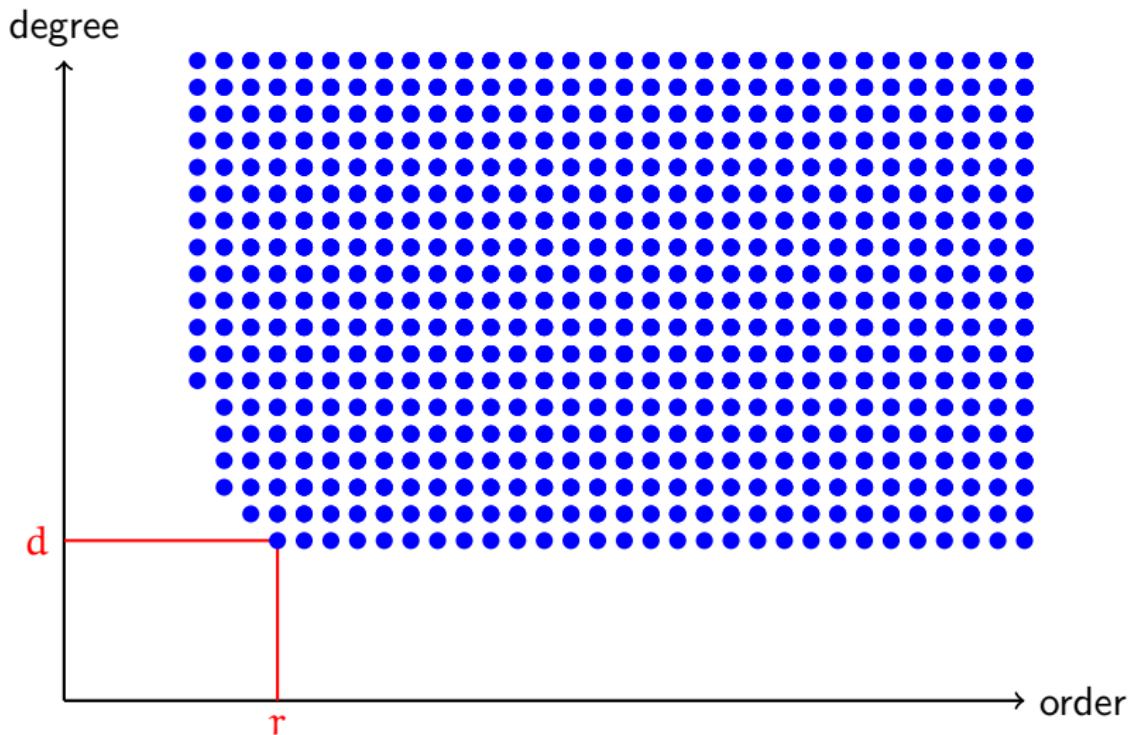
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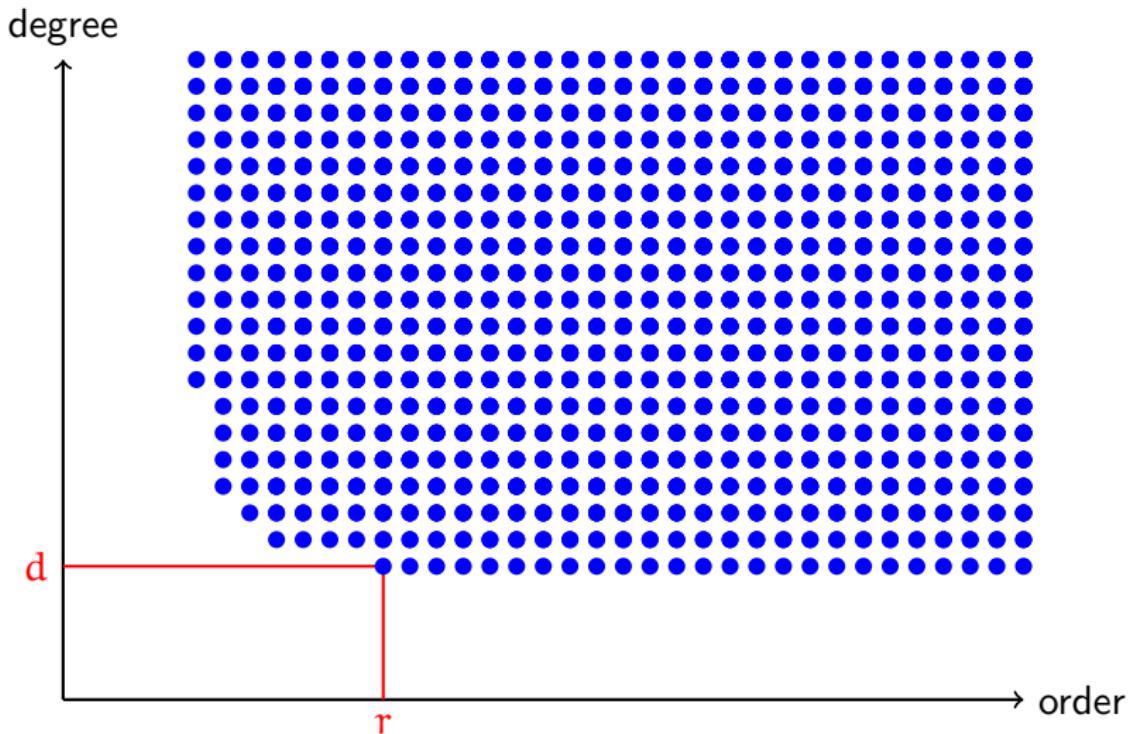
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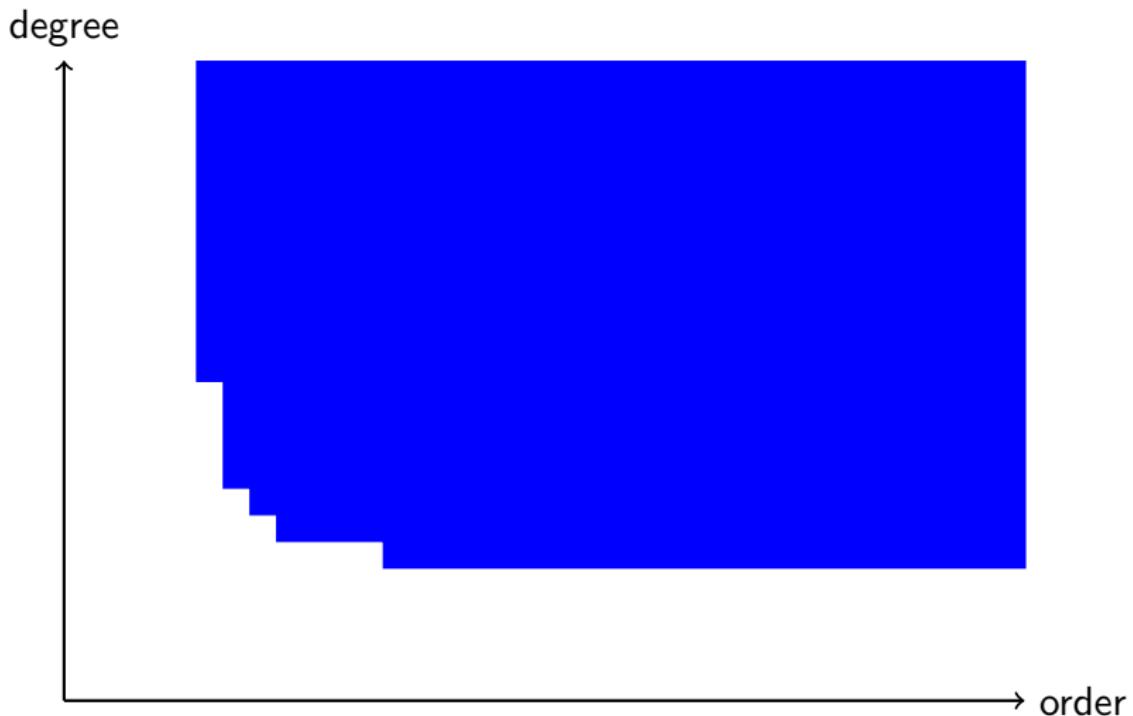
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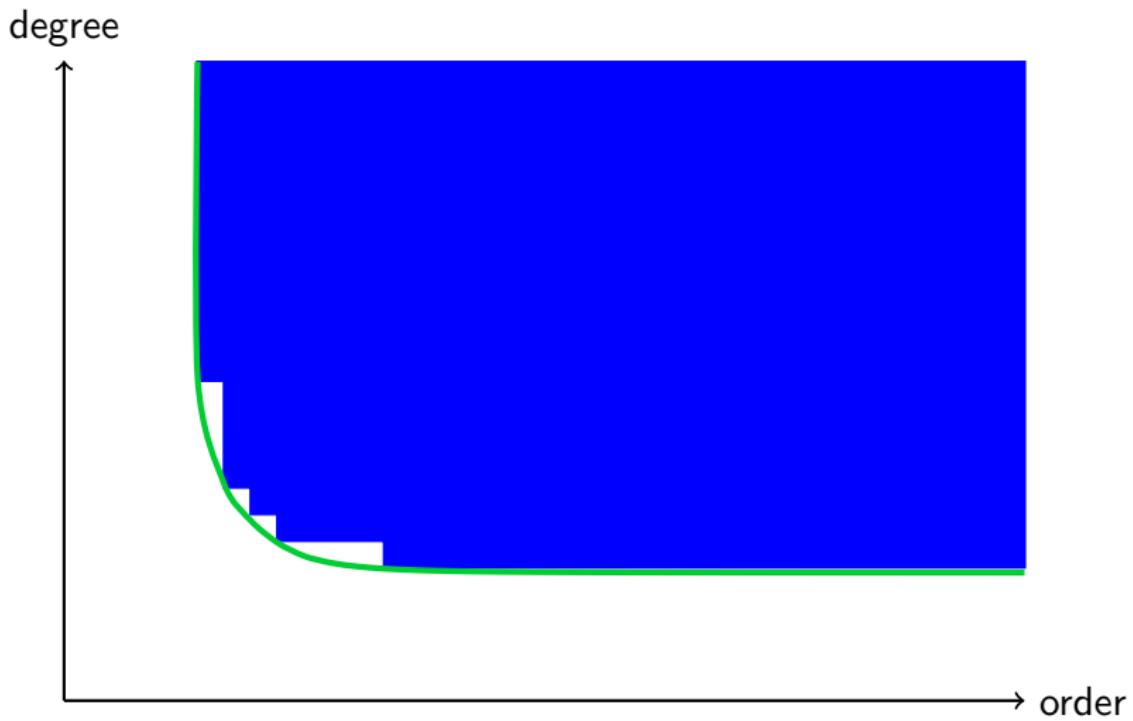
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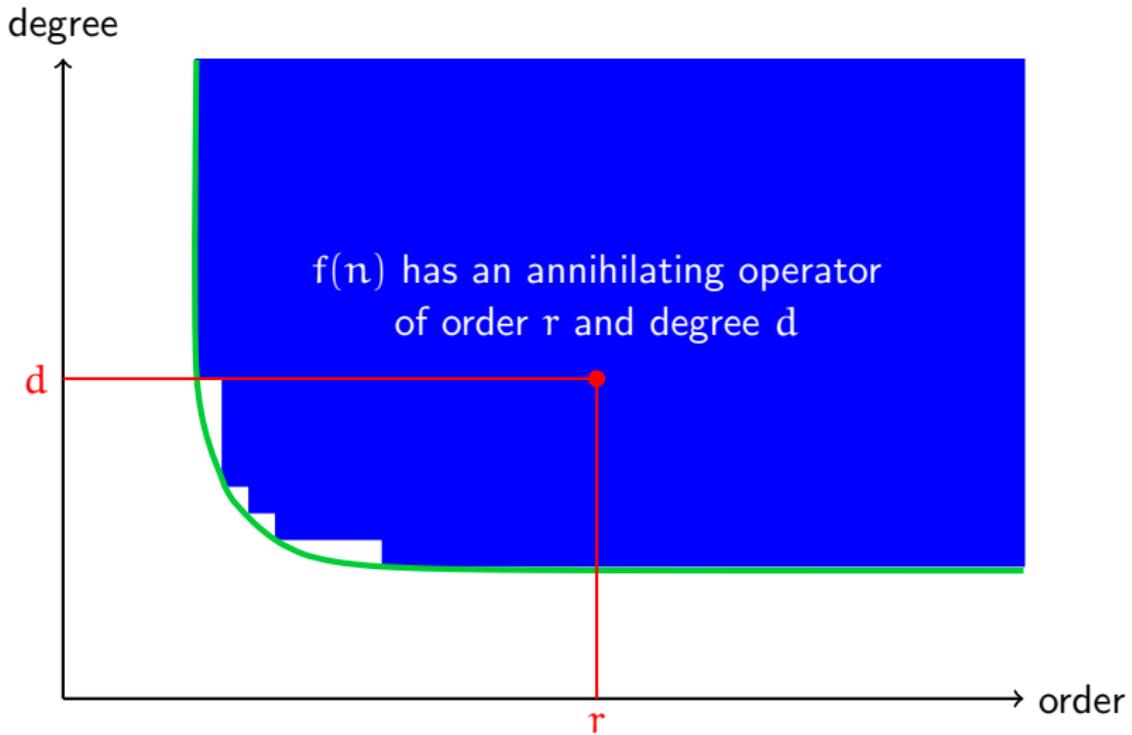
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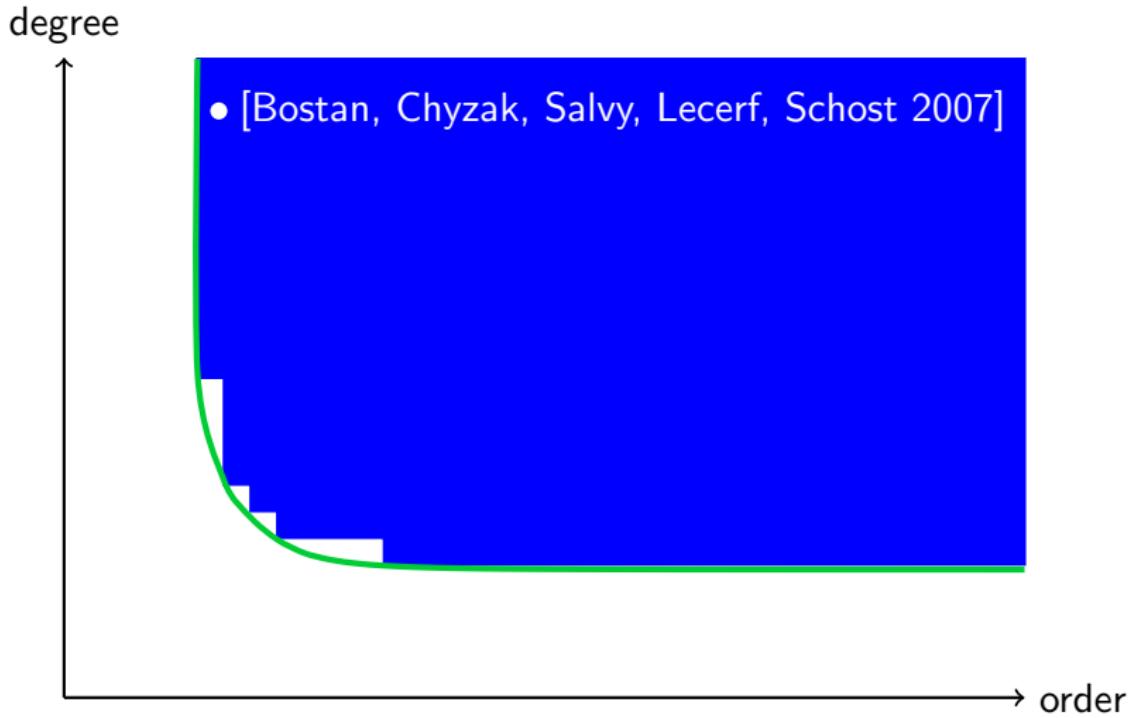
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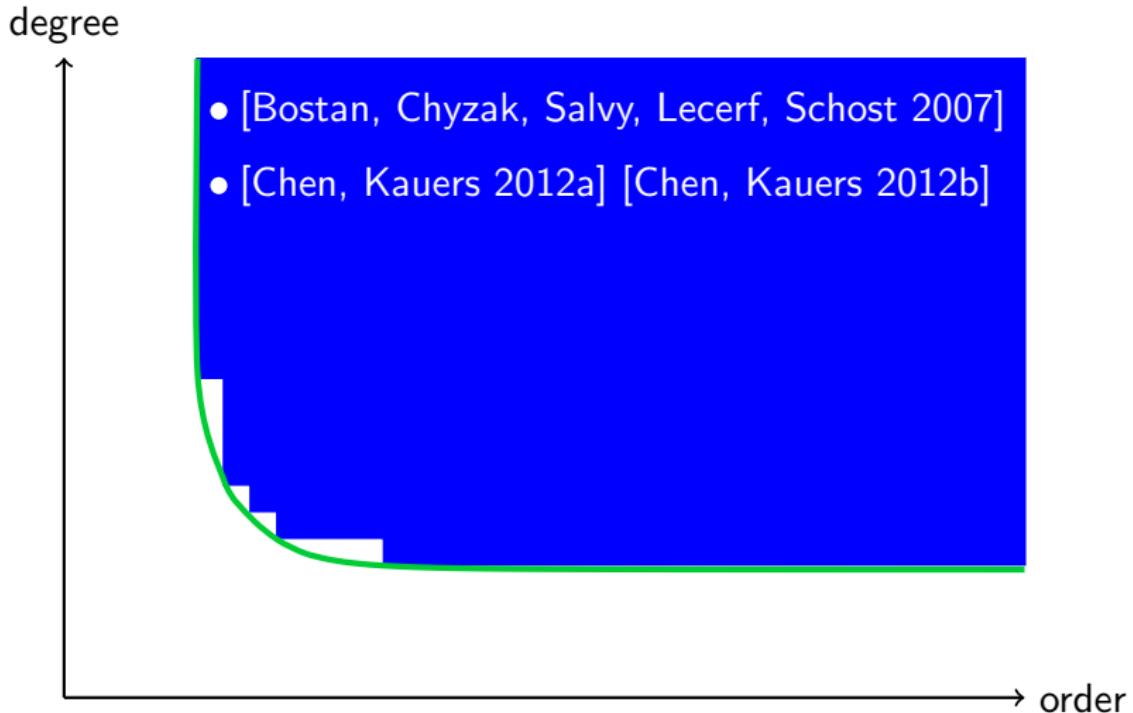
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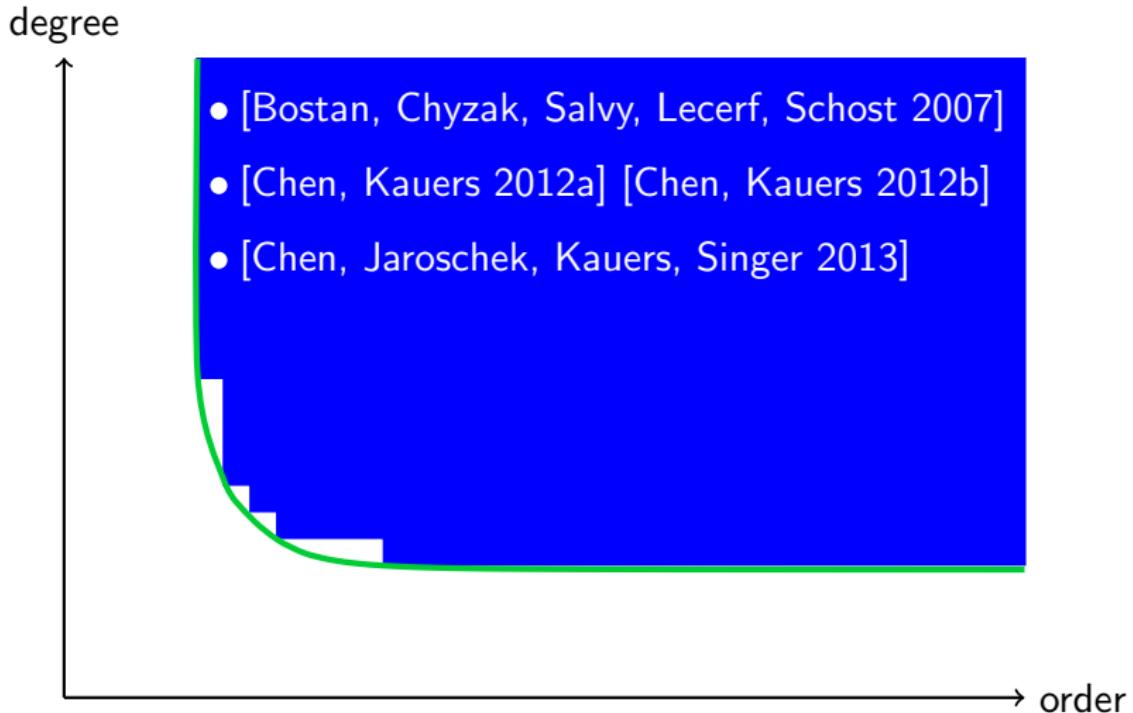
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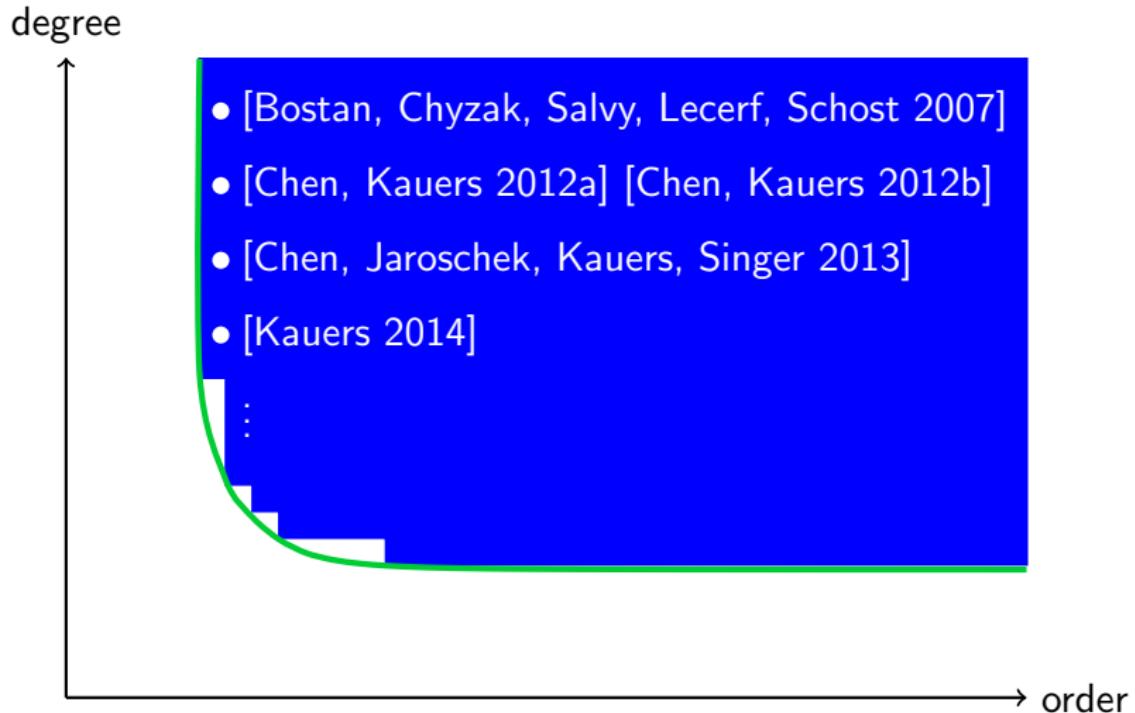
Order-degree curve



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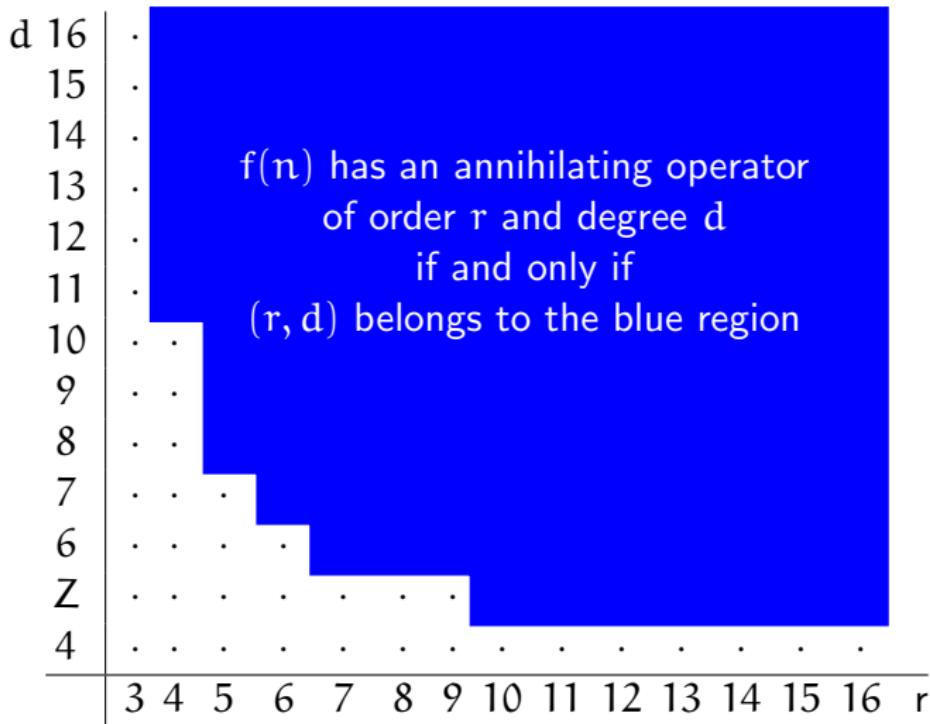


Motivating example

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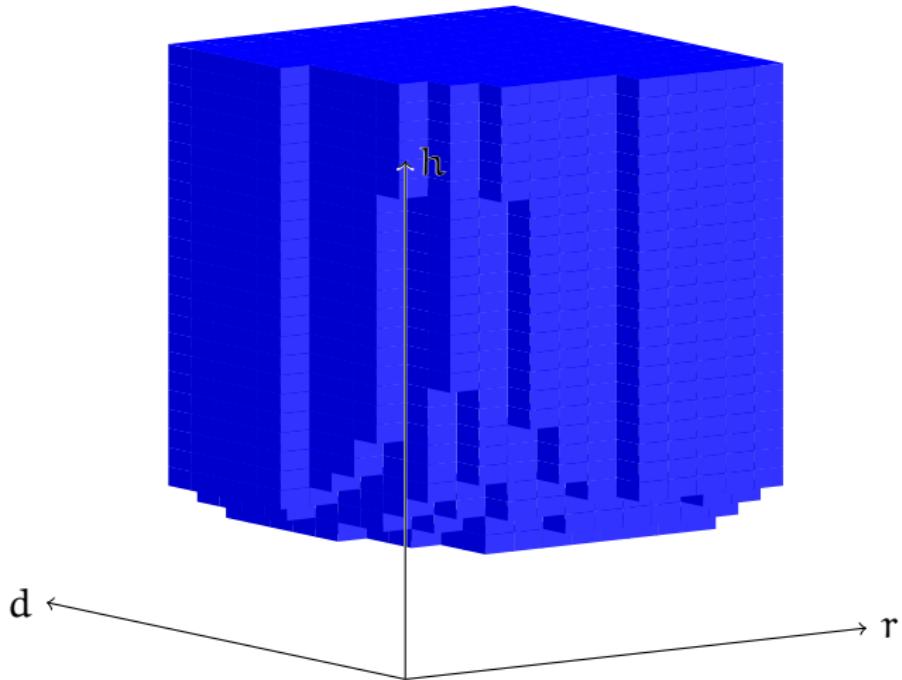
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d	16	.	8	7	7	6	7	7	7	7	8	8	8	9	8
	15	.	8	7	7	7	7	7	7	7	7	8	8	8	8
	14	.	8	7	7	7	6	7	7	7	7	8	8	8	8
	13	.	8	8	7	7	6	7	7	7	7	7	8	8	8
	12	.	8	8	7	7	7	7	7	7	7	7	7	8	8
	11	.	9	8	7	7	7	7	7	7	7	7	7	7	7
	10	.	.	9	7	7	7	7	7	7	7	7	7	7	7
	9	.	.	.	11	8	7	7	7	7	7	7	7	7	7
	8	14	9	8	7	7	7	7	7	7	7
	7	11	9	8	8	7	7	7	8	7
	6	13	10	9	8	8	8	8	8
Z	8	8	8	8	8	8	8
4

3 4 5 6 7 8 9 10 11 12 13 14 15 16 r

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Our set-up

Consider $L \in C[t][n][S_n]$, where

- ▶ C is a field of characteristic zero,
- ▶ $S_n t = t S_n$ and $S_n n = (n + 1) S_n$.

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Example.

$$\begin{aligned} L = & (2n^3 + (3t + 8)n^2 + (t^2 + 9t + 11)n + 2t^2 + 7t + 5) \\ & + (-2n^3 + (-3t - 10)n^2 + (-t^2 - 9t - 13)n - 2t^2 - 6t - 4)S_n \\ & + (2n^2 + (t + 2)n + t + 1)S_n^2 \end{aligned}$$

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degree

height
1

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Given $L_1, \dots, L_m \in C[t][n][S_n]$.

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Definition. A **common left multiple** of L_1, \dots, L_m in $C[t][n][S_n]$ is

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$$L_1 \cdot f_1(n) = 0, \dots, L_m \cdot f_m(n) = 0$$



$$L(f_1(n) + \dots + f_m(n)) = 0$$

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Theorem. [Kauers 2014] For any $r, d \in \mathbb{N}$ with

$$r \geq \sum_{\ell=1}^m r_\ell \quad \text{and} \quad d \geq \frac{(r+1) \sum_{\ell=1}^m d_\ell - \sum_{\ell=1}^m r_\ell d_\ell}{r+1 - \sum_{\ell=1}^m r_\ell},$$

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Example

Consider randomly chosen $L_1, L_2 \in \mathbb{Q}[t][n][S_n]$ with

- ▶ $\text{ord}(L_1) = 2, \deg(L_1) = 1, \text{ht}(L_1) = 1;$
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d	10	15 5	6 4	4 3	3 3	3 3	3 3	3 2	3 2
	9	21 5	6 5	4 4	4 3	3 3	3 3	3 3	3 2
	8	37 5	7 5	5 4	4 3	3 3	3 3	3 3	3 3
	7	9 6	5 4	4 3	4 3	3 3	3 3	3 3
	6	12 8	7 5	5 4	4 3	4 3	4 3	3 3
	5	31 19	10 7	7 5	5 4	5 4	4 4	4 3
	4	31 19	12 8	9 6	7 5	6 5	6 4
	3	37 5	21 5	15 5	
	2	
	1	
	0	
		0	1	2	3	4	5	6	7	8	9	10
												r

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d	10	· · · · 15 5	6 4	4 3	3 3	3 3	3 3	3 2	3 2				
	9	· · · · 21 5	6 5	4 4	4 3	3 3	3 3	3 3	3 2				
	8	· · · · 37 5	7 5	5 4	4 3	3 3	3 3	3 3	3 3				
	7	· · · · · 9 6	5 4	4 3	4 3	3 3	3 3	3 3	3 3				
	6	· · · · · 12 8	7 5	5 4	4 3	4 3	4 3	4 3	3 3				
	5	· · · · · 31 19	10 7	7 5	5 4	5 4	4 4	4 3					
	4	· · · · · · 31 19	12 8	9 6	7 5	6 5	6 4						
	3	· · · · · · · 37 5	21 5	15 5									
	2	· · · · · · · · ·											
	1	· · · · · · · · ·											
	0	· · · · · · · · ·											
		0	1	2	3	4	5	6	7	8	9	10	r

Example

Consider randomly chosen $L_1, L_2 \in \mathbb{Q}[t][n][S_n]$ with

- ▶ $\text{ord}(L_1) = 2, \deg(L_1) = 1, \text{ht}(L_1) = 1;$
- ▶ $\text{ord}(L_2) = 1, \deg(L_2) = 2, \text{ht}(L_2) = 1.$

d	10	15 5	6 4	4 3	3 3	3 3	3 3	3 2	3 2
	9	21 5	6 5	4 4	4 3	3 3	3 3	3 3	3 2
	8	37 5	7 5	5 4	4 3	3 3	3 3	3 3	3 3
	7	9 6	5 4	4 3	4 3	3 3	3 3	3 3
	6	12 8	7 5	5 4	4 3	4 3	4 3	3 3
	5	31 19	10 7	7 5	5 4	5 4	4 4	4 3
	4	31 19	12 8	9 6	7 5	6 5	6 4
	3	37 5	21 5	15 5	
	2	
	1	
	0	
		0	1	2	3	4	5	6	7	8	9	10
												r

Creative telescoping

Given a (non-rational) proper hypergeometric term

$$f(n, k) = c(n, k)x^n y^k \prod_{i=1}^m \frac{\Gamma(a_i n + a'_i k + a''_i) \Gamma(b_i n - b'_i k + b''_i)}{\Gamma(u_i n + u'_i k + u''_i) \Gamma(v_i n - v'_i k + v''_i)},$$

where $c \in C[t][n, k]$, $x, y \in C[t]$, $a_i, a'_i, b_i, b'_i, u_i, u'_i, v_i, v'_i \in \mathbb{N}$ and $a''_i, b''_i, u''_i, v''_i \in C[t]$.

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Fact. There are $p_0, \dots, p_r \in C[t][n]$ and $Q \in C(t)(n, k)$ such that

$$(p_0(n) + p_1(n)S_n + \dots + p_r(n)S_n^r) \cdot f(n, k) = (S_k - 1)Q(n, k) \cdot f(n, k).$$

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Telescopper Certificate

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Telescopers Certificates

A telescopers for $f(n, k)$ yields an annihilator of $\sum_k f(n, k)$

Creative telescoping (“summation”)

Given a (non-rational) proper hypergeometric term

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Theorem. [Chen, Kauers 2012] For $r, d \in \mathbb{N}$ with

$$r \geq v \quad \text{and} \quad d > \frac{(\mu v - 1)r + \frac{1}{2}v(2\delta + |\lambda| + 3 - (1 + |\lambda|)v) - 1}{r - v + 1},$$

there exists a telescopers for $f(n, k)$ of order r and degree d .

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Theorem. For any $r, d, h \in \mathbb{N}$ with

$$(r+1)(d+1)(h+1) - (r\mu + d + 1 + \vartheta_n)(r\mu + \vartheta_k + 1)\eta - (2r\mu + d + 2 + \vartheta_n + \vartheta_k - \nu)(r\xi + h + 1 + \vartheta_t - \eta)\nu > 0,$$

there exists a telescopers for $f(n, k)$ of order r , degree d , height h .

Remark. $\vartheta_n, \vartheta_t, \vartheta_z, \mu, \nu, \xi, \eta \in \mathbb{N}$ merely depending on $f(n, k)$.

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there exists a telescopers for $f(n, k)$ of order r , degree d , height h .

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Example

Consider

$$f(n, k) = k \frac{\Gamma(n + k + t^2)}{\Gamma(n - k + t)}.$$

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d	10	62 9	31 7	27 6	26 5	26 5	27 5	28 5	29 4
9	86 9	36 7	30 6	29 5	30 5	30 5	32 5	33 5	
8	158 9	45 7	36 6	35 5	35 5	36 5	37 5	39 5	
7 9	62 7	47 6	43 6	43 5	44 5	46 5	47 5	
6 9	114 7	69 6	61 6	59 5	60 5	61 5	63 5	
5 9	.. 7	160 7	113 6	102 5	98 5	99 5	101 5	
4 7	.. 7	.. 6	570 6	371 5	312 5	288 5	
3 10	.. 8	.. 6	.. 6	.. 6	.. 6	.. 6	
2 8	.. 8	.. 8	.. 8	.. 8	.. 8	
1	
0	

r

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d	10	· · · ·	62 9	31 7	27 6	26 5	26 5	27 5	28 5	29 4		
9	· · · ·	86 9	36 7	30 6	29 5	30 5	30 5	32 5	33 5			
8	· · · ·	158 9	45 7	36 6	35 5	35 5	36 5	37 5	39 5			
7	· · · ·	· 9	62 7	47 6	43 6	43 5	44 5	46 5	47 5			
6	· · · ·	· 9	114 7	69 6	61 6	59 5	60 5	61 5	63 5			
5	· · · ·	· 9	· 7	160 7	113 6	102 5	98 5	99 5	101 5			
4	· · · ·	· ·	· 7	· 7	· 6	570 6	371 5	312 5	288 5			
3	· · · ·	· ·	· 10	· 8	· 6	· 6	· 6	· 6	· 6			
2	· · · ·	· ·	· ·	· 8	· 8	· 8	· 8	· 8	· 8			
1	· · · ·	· ·	· ·	· ·	· ·	· ·	· ·	· ·	· ·			
0	· · · ·	· ·	· ·	· ·	· ·	· ·	· ·	· ·	· ·			
		0	1	2	3	4	5	6	7	8	9	r

Contraction ideals

Given an operator $L \in C[t][n][S_n]$.

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Definition. The **contraction ideal** of $\langle L \rangle_{C(t)(n)[S_n]}$ is

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the size of elements of $\text{Con}\langle L \rangle$?

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Theorem. [Chen, Jaroschek, Kauers, Singer 2013]

Let $L_1 \in \text{Con}\langle L \rangle$, $p \in C[t][n]$ and $P \in C[t][n][S_n]$ with

$$pL_1 = PL \quad \text{and} \quad \deg_n(p) > \deg_n(\text{lcs}_n(P)).$$

Then for any $r, d \in \mathbb{N}$ with $r \geq \text{ord}(L)$ and

$$d \geq \deg_n(L) - \left(1 - \frac{\text{ord}(L_1) - \text{ord}(L)}{r + 1 - \text{ord}(L)}\right) (\deg_n(p) - \deg_n(\text{lcs}_n(P))),$$

there exists $Q \in C(t)(n)[S_n]$ such that $QL \in C[t][n][S_n]$ has order r and degree d .

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Then for any $r, d, h \in \mathbb{N}$ with $r \geq \text{ord}(L), \text{ord}(L_1)$ and

$$\begin{aligned} & (r - \text{ord}(L) + 1) \left(-(r \deg_n(p) - \xi_n + \eta_n)(r \deg_t(p) - \xi_t + \eta_t) \right. \\ & + (r \deg_n(p) + d - \deg(L) + 1 - \xi_n)(r \deg_t(p) + h - \text{ht}(L) + 1 - \xi_t) \\ & \left. + \eta_n \eta_t + \lambda_n \lambda_t \right) - \text{ord}(P) \lambda_n \lambda_t > 0, \end{aligned}$$

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Remark. $\lambda_n, \lambda_t, \xi_n, \xi_t, \eta_n, \eta_t \in \mathbb{N}$ merely depending on L, L_1, p, P .

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Example

- ▶ L is a minimal telescopers for $k\Gamma(n+k+t^2)/\Gamma(n-k+t)$ with $\text{ord}(L) = 2$, $\deg(L) = 5$, $\text{ht}(L) = 9$ and

$$\text{lcs}_n(L) = (2n + t^2 + t)(n^2 + nt^2 + nt + t^3 - 1);$$

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- ▶ $L_1 \in \text{Con}\langle L \rangle$ with $\text{ord}(L_1) = 3$, $\deg(L_1) = 8$, $\text{ht}(L_1) = 8$ and
- $$\text{lcs}_n(L_1) = 6n^2 + 6nt^2 + 6nt + 6n + t^4 + 4t^3 + 4t^2 + 3t.$$

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d	9	.	.	9	8 7	8 6	8 5	8 5	8 5
	8	.	.	9	8 7	8 6	8 5	8 5	8 5
	7	.	.	9	10 7	12 6	13 6	15 5	17 5
	6	.	.	9	13 7	18 6	23 6	28 5	33 5
	5	.	.	9	23 7	38 7	53 6	68 5	83 5
	4	.	.	.	7	.	6	.	5
	3	.	.	.	10	.	8	.	6
	2	8	.	8
	1
		1	2	3	4	5	6	7	r

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d	9	. .	. 9	8 7	8 6	8 5	8 5	8 5	
	8	. .	. 9	8 7	8 6	8 5	8 5	8 5	
	7	. .	. 9	10 7	12 6	13 6	15 5	17 5	
	6	. .	. 9	13 7	18 6	23 6	28 5	33 5	
	5	. .	. 9	23 7	38 7	53 6	68 5	83 5	
	4 7	. 7	. 6	. 6	. 5	
	3 10	. 8	. 6	. 6	. 6	
	2 8	. 8	. 8	
	1	
		1	2	3	4	5	6	7	r

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- ▶ Order and degree can be traded against height in $C[t][n][S_n]$.

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Future work.

- ▶ Understand and eliminate the quadratic term in the order.

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Results.

- ▶ Order and degree can be traded against height in $C[t][n][S_n]$.
- ▶ Generalization for Ore operators in $C[t][x][\partial_x]$.

Future work.

- ▶ Understand and eliminate the quadratic term in the order.
- ▶ Order-degree-height surfaces for operators in $\mathbb{Z}[x][\partial_x]$.