On Simultaneous Row and Column Reduction of Higher-Order Linear Differential Systems

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Consider system of differential equations

$$a_n(x)\vec{y}^n(x) + \cdots + a_1(x)\vec{y}'(x) + a_0(x)\vec{y}(x) = \vec{f}(x)$$

with $a_i(x) \in \mathbb{K}^{m \times n}[[x]]$ and $\vec{y}(x) \in \mathbb{K}^n[[x]]$. (i.e. working locally about point 0).

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Usually convert to 1st order systems

$$A(t)Y'(t) = B(t)Y(t) + C(t)$$

Various techniques used to build solutions or solution types (e.g. existence of rational function or exponential solutions).

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We instead want to work directly with the higher order system.

View problem as

$$L(D)\vec{y}(x) = \vec{f}(x)$$

with $L(D) \in \mathbb{K}^{m \times n}[[x]][D]$ and transform L(D).

Outline

- Motivation
- 2 Tools : Matrix Normal Forms
- 3 Higher Order Reduction
- Example
- 5 Future Work

Matrix Normal Forms

Given : $\mathbf{L}(D) \in \mathbb{K}^{m \times m}[D]$.

Do row and column operations

$$L(D)$$
 = easier

Matrix Normal Forms

Given : $\mathbf{L}(D) \in \mathbb{K}^{m \times m}[D]$.

Do row and column operations U(D) and V(D) so that

$$\mathbf{U}(D)\mathbf{L}(D)\mathbf{V}(D) = \text{easier}$$

(easier = $\mathbf{L}^*(D) \in \mathbb{K}^{m \times m}[D]$ in some sort of normal form)

 $\mathbf{U}(D), \mathbf{V}(D) \in \mathbb{K}^{m \times m}[D]$ invertible

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 $\mathbf{U}(D), \mathbf{V}(D) \in \mathbb{K}^{m \times m}[D]$ invertible

Gives $\mathbf{L}^*(D)\vec{y}^*(x) = \vec{f}^*(x)$ with $\mathbf{L}^*(D) \in \mathbb{K}^{m \times m}[[x]][D]$

Normal Forms: Hermite

Hermite forms of L.

$$\mathbf{U} \cdot \mathbf{L} = \begin{bmatrix} h_{11} & \cdots & \cdots & h_{1k} \\ 0 & h_{22} & & \vdots \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \cdots & 0 & h_{kk} \end{bmatrix}$$

with h_{ii} larger than h_{ii} .

Problem: Degrees of elements growth

Normal Forms: Smith

Smith form of L.

$$\mathbf{U} \cdot \mathbf{L} \cdot \mathbf{V} = \begin{bmatrix} s_{11} & 0 & & 0 \\ 0 & s_{22} & \ddots & \vdots \\ \vdots & \ddots & \ddots & 0 \\ 0 & \cdots & 0 & s_{kk} \end{bmatrix}$$

with $s_{i,i}$ dividing $s_{i+1,i+1}$.

Problem: Trivial in case of differential domain, i.e. $s_{11} = \cdots = s_{k-1,k-1} = 1$ (Johannes Middeke)

Normal Forms: Popov

Popov form of L.

$$\mathbf{U} \cdot \mathbf{L} = \begin{bmatrix} p_{11} & \cdots & \cdots & p_{1k} \\ \vdots & & & \vdots \\ \vdots & & & \vdots \\ p_{k1} & \cdots & \cdots & p_{kk} \end{bmatrix}$$

with each row having a highest degree pivot

Problem:

Normal Forms: Popov

Popov form of L.

$$\mathbf{U} \cdot \mathbf{L} = \begin{bmatrix} p_{11} & \cdots & \cdots & p_{1k} \\ \vdots & & & \vdots \\ \vdots & & & \vdots \\ p_{k1} & \cdots & \cdots & p_{kk} \end{bmatrix}$$

with each row having a highest degree pivot

No Problem: We like this one.

Example: Algorithms Based on Popov Forms

Popov transforms L(D) so that $L(D)\vec{y}(x) = \vec{f}(x)$ converts naturally to larger first order system.

- Popov to First Order
- Solution method of First Order
- Convert first order solution method back to original higher order system (possible since conversion to Popov is reversible)

First Order System: Harris Algorithm (1968)

$$L(D) = a_1(x)D + a_2(x)$$
 with $a_i(x) \in \mathbb{K}^{n \times n}[[x]]$

Harris algorithm produces matrices $S, T \in \mathbb{K}^{n \times n}[[x]]$ such that

$$S \cdot L(D) \cdot T = \begin{bmatrix} L_1(D) & 0 & \cdots & \cdots & 0 \\ 0 & c_1(x) & 0 & & & \\ & & \ddots & & & \\ & & & c_k(x) & & \\ 0 & \cdots & & & 0 \end{bmatrix}$$

with $L_1(D) = x^p I_q D + b(x)$, $b(x) \in \mathbb{K}^{q \times q}[[x]]$ and $c_i(x) \in \mathbb{K}^{n_i \times n_i}((x))$ invertible for all i.

Second Order System

$$L(D) = a_2(x)D^2 + a_1(x)D + a_2(x)$$
 with $a_i(x) \in \mathbb{K}^{n \times n}[[x]]$

Theorem: Barkatou, El Bacha, Pflügel (ISSAC 2010)

There exist $S \in \mathbb{K}((x))^{m \times m}[D]$ and $T \in \mathbb{K}((x))^{n \times n}[D]$ invertible such that

$$S \cdot L(D) \cdot T = \left[\begin{array}{cccc} a_{11}(x)D^2 + b_{11}(x)D + c_{11}(x) & c_{12}(x) & 0 & 0 \\ \\ c_{21}(x) & b_{22}(x)D + c_{22}(x) & 0 & 0 \\ \\ 0 & 0 & c_{33}(x) & 0 \\ \\ 0 & 0 & 0 & 0 \end{array} \right],$$

with $a_{11}(x)$, $b_{22}(x)$ and $c_{33}(x)$ invertible matrices.

Second Order System (cont.)

Thus second order system $L(D)\vec{y}(x) = \vec{f}(x)$ is converted to

$$\begin{bmatrix} a_{11}(x)D^2 + b_{11}(x)D + c_{11}(x) & c_{12}(x) & 0 & 0 \\ c_{21}(x) & b_{22}(x)D + c_{22}(x) & 0 & 0 \\ 0 & 0 & c_{33}(x) & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} \vec{z}_1 \\ \vec{z}_2 \\ \vec{z}_3 \\ \vec{z}_4 \end{bmatrix} \cdot \begin{bmatrix} \vec{f}_1 \\ \vec{f}_2 \\ \vec{f}_3 \\ \vec{f}_4 \end{bmatrix}.$$

Resulting system is decoupled into differential, algebraic and arbitary components.

Decoupling?

The decoupled system

$$\begin{bmatrix} a_{11}(x)D^2 + b_{11}(x)D + c_{11}(x) & c_{12}(x) \\ c_{21}(x) & b_{22}(x)D + c_{22}(x) \end{bmatrix} \begin{bmatrix} \vec{z}_1 \\ \vec{z}_2 \end{bmatrix} = 0,$$

can be transformed to the first-order systems of ODEs:

$$\left(\left[\begin{array}{cccc} I & & & \\ & a_{11}(x) & & \\ & & b_{22}(x) \end{array} \right] D + \left[\begin{array}{cccc} 0 & -I & 0 \\ c_{11}(x) & b_{11}(x) & c_{12}(x) \\ c_{21}(x) & 0 & c_{22}(x) \end{array} \right] \left[\begin{array}{c} \vec{z}_1 \\ \vec{z}_1 \\ \vec{z}_2 \end{array} \right] = 0.$$

Row Reduction

Definition: L(D), $\tilde{L}(D) \in \mathbb{K}((x))^{m \times n}[D]$ are said to be equivalent if there exist $U(D) \in \mathbb{K}((x))^{m \times m}[D]$ and $V(D) \in \mathbb{K}((x))^{n \times n}[D]$ invertible s.t. $L(\tilde{D}) = U(D) \cdot L(D) \cdot V(D)$.

Row operations on our operator L(D):

- multiplying a row of L(D) by a nonzero element of $\mathbb{K}((x))$,
- adding to any row of L(D) another row multiplied by an element of $\mathbb{K}((x))[D]$,
- \odot interchanging any two rows of L(D).

Row Reduced Form

Want to find $U(D) \in \mathbb{K}^{m \times m}[[x]][D]$, invertible, such that

$$L^*(D) = U(D) \cdot L(D) = D^{\vec{n}} \ell_{\vec{n}}(x) + \text{l.o.t. s}$$

where $\ell_{\vec{n}}(x) \in \mathbb{K}^{m \times m}[[x]]$ is nonsingular.

- L*(D) is said to be in row-reduced form
- \vec{n} is the row order of $\mathbf{L}^*(D)$
- $\ell_{\vec{n}}(x)$ is the leading row coefficient matrix

Reducing Row Degree

If L(D) not row-reduced implies : there exists nonzero vector of the form $v = (v_1, \ldots, v_m) \in \mathbb{K}[[x]]^{1 \times m}$ such that $v \ell_{\vec{n}} = 0$.

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Need $n_i \ge n_i$ whenever $v_i \ne 0$. Tells us how to choose j!

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Note: $U_{11}(D)L(D)$ has lower row degree. Continue until row reduced.

B-L (1997), Abramov-Bronstein (2001), B-C-L (2006)

Simultaneous Row and Column Reduction

Row reduction followed by column reduction does not imply simultaneous Row and Column reduction.

We have two algorithms for computing simultaneous row-column reduced forms

- Row then column then row then · · ·
- A better use row. column combinations

Both depend on : Leading row and column matrix becomes

$$\left[\begin{array}{cc} \ell c(L_{11}) & 0 \\ * & * \end{array}\right] \text{ and } \left[\begin{array}{cc} \ell c(L_{11}) & * \\ 0 & * \end{array}\right],$$

Then iterate.

Row then Column then Row · · ·

Consider the matrix differential operator given by

$$L = \begin{bmatrix} D^3 + x & 2D^2 & x^2 + x \\ D^2 & xD^2 & 2x^2 + 1 \\ D & xD & 1 \end{bmatrix},$$

Row reduction gives:

$$L^{(1)} = U_1 L = \begin{bmatrix} D^3 + x & 2D^2 & x^2 + x \\ 0 & -D & -D + 2x^2 + 1 \\ D & xD & 1 \end{bmatrix}.$$

Not column reduced.

Row then Column then Row · · ·

Consider the matrix differential operator given by

$$L = \begin{bmatrix} D^3 + x & 2D^2 & x^2 + x \\ D^2 & xD^2 & 2x^2 + 1 \\ D & xD & 1 \end{bmatrix},$$

Column reduction then gives;

$$L^{(2)} = L^{(1)}V_1 = \begin{bmatrix} 2x & 2D^2 & x^2 + x \\ D^2 & -D & -D + 2x^2 + 1 \\ -xD^2 + 2D & xD & 1 \end{bmatrix}.$$

Not row reduced.

Row then Column then Row · · ·

Consider the matrix differential operator given by

$$L = \begin{bmatrix} D^3 + x & 2D^2 & x^2 + x \\ D^2 & xD^2 & 2x^2 + 1 \\ D & xD & 1 \end{bmatrix},$$

Row reduction then gives;

$$L^{(3)} = U_2 L^{(2)} = \begin{bmatrix} 2x & 2D^2 & x^2 + x \\ 2D & 0 & -xD + 2x^3 + x + 1 \\ -xD^2 + 2D & xD & 1 \end{bmatrix}.$$

Now row and column reduced.

Form of Simultaneous Row-Column Form

Theorem

Let $L(D) \in \mathbb{K}[[x]]^{m \times n}[D]$ be simultaneous row-column reduced. Can permute the rows and columns of L(D) so it has block form :

$$\begin{bmatrix} L_{11} & \cdots & L_{1k} & 0 \\ \vdots & \ddots & \vdots & \vdots \\ L_{k1} & \cdots & L_{kk} & 0 \\ \hline 0 & \cdots & 0 & 0 \end{bmatrix}$$

Lii are block square matrices satisfying

- (a) Lii row & column reduced, same row and column degree,
- (b) $deg(L_{ii}) > deg(L_{i+1,i+1})$ for all i,
- (c) $deg(L_{ij}) \le deg(L_{ii})$ for j < i, $deg(L_{ij}) < deg(L_{ii})$ for j > i,
- (d) $deg(L_{ij}) \le deg(L_{jj})$ for i < j, $deg(L_{ij}) < deg(L_{jj})$ for i > j.

Example: Operator of Degree 8

[8	8	8	8	8	8	8	8	8	8	8	8	8
	8	8	8	8	8	8	8	8	8	8	8	8	8
	8	8	8	8	8	8	8	8	8	8	8	8	8
	8	8	8	8	8	8	8	8	8	8	8	8	8
	8	8	8	8	8	8	8	8	8	8	8	8	8
	8	8	8	8	8	8	8	8	8	8	8	8	8
	8	8	8	8	8	8	8	8	8	8	8	8	8
	8	8	8	8	8	8	8	8	8	8	8	8	8
	8	8	8	8	8	8	8	8	8	8	8	8	8
	8	8	8	8	8	8	8	8	8	8	8	8	8
	8	8	8	8	8	8	8	8	8	8	8	8	8
	8	8	8	8	8	8	8	8	8	8	8	8	8
	8	8	8	8	8	8	8	8	8	8	8	8	8

Example; Row Reduced Form

8	8	8	8	8	8	8	8	8	8	8	8	8
8	8	8	8	8	8	8	8	8	8	8	8	8
8	8	8	8	8	8	8	8	8	8	8	8	8
8	8	8	8	8	8	8	8	8	8	8	8	8
6	6	6	6	6	6	6	6	6	6	6	6	6
6	6	6	6	6	6	6	6	6	6	6	6	6
5	5	5	5	5	5	5	5	5	5	5	5	5
3	3	3	3	3	3	3	3	3	3	3	3	3
3	3	3	3	3	3	3	3	3	3	3	3	3
2	2	2	2	2	2	2	2	2	2	2	2	2
2	2	2	2	2	2	2	2	2	2	2	2	2
2	2	2	2	2	2	2	2	2	2	2	2	2
2	2	2	2	2	2	2	2	2	2	2	2	2

Example; Row Reduced Form

8	8	8	8	8	8	8	8	8	8	8	8	8
8	8	8	8	8	8	8	8	8	8	8	8	8
8	8	8	8	8	8	8	8	8	8	8	8	8
8	8	8	8	8	8	8	8	8	8	8	8	8
6	6	6	6	6	6	6	6	6	6	6	6	6
6	6	6	6	6	6	6	6	6	6	6	6	6
_5	5	5	5	5	5	5	5	5	5	5	5	5
3	3	3	3	3	3	3	3	3	3	3	3	3
3	3	3	3	3	3	3	3	3	3	3	3	3
2	2	2	2	2	2	2	2	2	2	2	2	2
2	2	2	2	2	2	2	2	2	2	2	2	2
2	2	2	2	2	2	2	2	2	2	2	2	2
2	2	2	2	2	2	2	2	2	2	2	2	2 .

Example

8	8	8	8	8	8	8	8	8	8	8	8	8
8	8	8	8	8	8	8	8	8	8	8	8	8
8	8	8	8	8	8	8	8	8	8	8	8	8
8	8	8	8	8	8	8	8	8	8	8	8	8
6	6	6	6	6	6	6	6	6	6	6	6	6
6	6	6	6	6	6	6	6	6	6	6	6	6
5	5	5	5	5	5	5	5	5	5	5	5	5
3	3	3	3	3	3	3	3	3	3	3	3	3
3	3	3	3	3	3	3	3	3	3	3	3	3
2	2	2	2	2	2	2	2	2	2	2	2	2
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2	2	2	2	2	2	2	2	2	2	2	2	2
2	2	2	2	2	2	2	2	2	2	2	2	2

Example

8	8	8	8	7	7	7	7	7	7	7	7	7
8	8	8	8	7	7	7	7	7	7	7	7	7
8	8	8	8	7	7	7	7	7	7	7	7	7
8	8	8	8	7	7	7	7	7	7	7	7	7
6	6	6	6	6	6	6	6	6	6	6	6	6
6	6	6	6	6	6	6	6	6	6	6	6	6
5	5	5	5	5	5	5	5	5	5	5	5	5
3	3	3	3	3	3	3	3	3	3	3	3	3
3	3	3	3	3	3	3	3	3	3	3	3	3
2	2	2	2	2	2	2	2	2	2	2	2	2
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Form of Leading Coefficient

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Example

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8	8	8	8	7 7	7	7	7	7	7	7	7
8	8	8	8	7 7	7	7	7	7	7	7	7
6	6	6	6	6 6	6	6	6	6	6	6	6
6	6	6	6	6 6	6	6	6	6	6	6	6
5	5	5	5	5 5	5	5	5	5	5	5	5
3	3	3	3	3 3	3	3	3	3	3	3	3
3	3	3	3	3 3	3	3	3	3	3	3	3
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Form of Leading Coefficient

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*	*	*	*	0	0	0	0	0	0	0	0	0
*	*	*	*	*	*	0	0	0	0	0	0	0
*	*	*	*	*	*	0	0	0	0	0	0	0
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Example

8	8	8	8 7	7 7	7	7	7	7	7	7
8	8	8	8 7	7 7	7	7	7	7	7	7
8	8	8	8 7	7 7	7	7	7	7	7	7
8	8	8	8 7	7 7	7	7	7	7	7	7
6	6	6	6 6	6 5	5	5	5	5	5	5
6	6	6	6 6	6 5	5	5	5	5	5	5
5	5	5	5 5	5 5	5	5	5	5	5	5
3	3	3	3 3	3 3	3	3	3	3	3	3
3	3	3	3 3	3 3	3	3	3	3	3	3
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Example

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    8
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    8
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    3
    3
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Future Directions

- Handle coefficient growth in computation
 - Do all arithmetic in $\mathbb{K}[[x]][D]$ (not $\mathbb{K}((x))[D]$)
 - Control growth via fraction-free algorithm
 - Method: structured linear systems of equations
 - Difficulties: everything mentioned in Mark's talk
- Direct methods for higher order eqns via normal forms
 - Translate : convert (H) → solve (F) → convert (H)