

# CS 341: Algorithms

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Module 5: dynamic programming

# Goals

**This module:** the dynamic programming paradigm through examples

- interval scheduling, longest increasing subsequence, longest common subsequence, etc

**Computational model:**

- word RAM
- assume all weights, values, capacities, deadlines, etc, fit in a word

**What about the name?**

- **programming** as in **decision making**
- **dynamic** because it sounds cool.

# Warmup example: Fibonacci numbers

## A slow recursive algorithm

**Def:** Fibonacci numbers

- $F_0 = 0, F_1 = 1$
- $F_n = F_{n-1} + F_{n-2}$  for  $n \geq 2$

**Fib**( $n$ )

1. **if**  $n = 0$  **return** 0
2. **if**  $n = 1$  **return** 1
3. **return**  $\text{Fib}(n - 1) + \text{Fib}(n - 2)$

Assuming we count additions **at unit cost**, runtime is

$$T(0) = T(1) = 0, \quad T(n) = T(n - 1) + T(n - 2) + 1$$

This gives  $T(n) = F(n + 1) - 1$ , so  $T(n) \in \Theta(\varphi^n)$ ,  $\varphi = (1 + \sqrt{5})/2$ .

# A better algorithm

## Observations

- to compute  $F_n$ , we only need the values of  $F_0, \dots, F_{n-1}$
- the algorithm recomputes them many, many times

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## Improved recursive algorithm

let  $T = [0, 1, \bullet, \bullet, \dots]$  be a global array

**Fib**( $n$ )

1.     **if**  $T[n] = \bullet$
2.          $T[n] = \text{Fib}(n - 1) + \text{Fib}(n - 2)$
3.     **return**  $T[n]$

# A better algorithm

## Observations

- to compute  $F_n$ , we only need the values of  $F_0, \dots, F_{n-1}$
- the algorithm recomputes them many, many times

## Iterative version

**Fib**( $n$ )

1. let  $T = [0, 1, \bullet, \bullet, \dots]$
2. **for**  $i = 2, \dots, n$
3.      $T[i] = T[i - 1] + T[i - 2]$
4. **return**  $T[n]$

# A better algorithm

## Observations

- to compute  $F_n$ , we only need the values of  $F_0, \dots, F_{n-1}$
- the algorithm recomputes them many, many times

## Iterative version (enhanced, not always feasible)

**Fib**( $n$ )

1.  $(u, v) \leftarrow (0, 1)$
2. **for**  $i = 2, \dots, n$
3.      $(u, v) \leftarrow (v, u + v)$
4. **return**  $v$



# A better algorithm

## Observations

- to compute  $F_n$ , we only need the values of  $F_0, \dots, F_{n-1}$
- the algorithm recomputes them many, many times

## Iterative version (enhanced, not always feasible)

```
Fib( $n$ )  
1.    $(u, v) \leftarrow (0, 1)$   
2.   for  $i = 2, \dots, n$   
3.        $(u, v) \leftarrow (v, u + v)$   
4.   return  $v$ 
```

All these improved versions use  $O(n)$  additions

**Main feature:** solve “subproblems” bottom up, and store solutions if needed.

# Dynamic programming

## Key features

- solve problems through recursion
- use a small (polynomial) number of **nested subproblems**
- may have to store results for all subproblems
- can often be turned into one (or more) loops

## Dynamic programming vs divide-and-conquer

- dynamic programming usually deals with all input sizes  $1, \dots, n$
- DAC may not solve “subproblems”
- DAC algorithms not easy to rewrite iteratively

# Interval scheduling

# The problem

## Input:

- $n$  intervals  $I_1 = [s_1, f_1], \dots, I_n = [s_n, f_n]$  start time, finish time
- each interval has a weight  $w_i$

## Output:

- a choice  $T$  of intervals that **do not overlap** and **maximizes**  $\sum_{i \in T} w_i$
- greedy algorithm in the case  $w_i = 1$

**Example:** A car rental company has the following requests for a given day:

- $I_1 = [2, 8], w_1 = 6$
- $I_2 = [2, 4], w_2 = 2$
- $I_3 = [5, 6], w_3 = 1$
- $I_4 = [7, 9], w_4 = 2$

Answer is  $T = [I_1], W = 6$

## Sketch of the algorithm

**Basic idea:** either we choose  $I_n$  or not.

- then the optimum  $O(I_1, \dots, I_n)$  is the max of two values:
- $w_n + O(I_{m_1}, \dots, I_{m_s})$ , if we choose  $I_n$ , where  $I_{m_1}, \dots, I_{m_s}$  are the intervals that do not overlap with  $I_n$
- $O(I_1, \dots, I_{n-1})$ , if we don't choose  $I_n$

In general, we don't know what  $I_{m_1}, \dots, I_{m_s}$  look like, so runtime may look like

$$T(n) = T(n-1) + T(n-2) + c \implies T(n) \text{ exponential.}$$

**Goal:**

- find a way to ensure that  $I_{m_1}, \dots, I_{m_s}$  are of the form  $I_1, \dots, I_s$ , for some  $s < n$  (and so on for all indices  $< n$ )
- then it suffices to optimize over all  $I_1, \dots, I_j$ ,  $j = 1, \dots, n$

## Sorting intervals

Sorting  $I_1, \dots, I_n$  could help.

### Attempt 1:

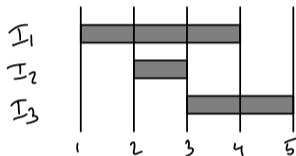
- we sort  $I_1, \dots, I_n$  by increasing **starting time**

## Sorting intervals

Sorting  $I_1, \dots, I_n$  could help.

### Attempt 1:

- we sort  $I_1, \dots, I_n$  by increasing **starting time**
- does not work

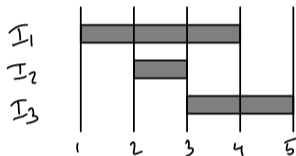


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Sorting  $I_1, \dots, I_n$  could help.

### Attempt 1:

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- does not work



### Attempt 2:

- we sort  $I_1, \dots, I_n$  by increasing **end time**

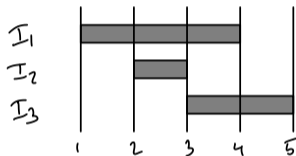


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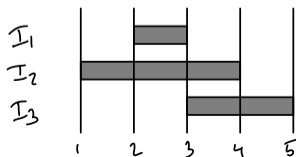
### Attempt 1:

- we sort  $I_1, \dots, I_n$  by increasing **starting time**
- does not work



### Attempt 2:

- we sort  $I_1, \dots, I_n$  by increasing **end time**
- works?



## The indices $p_j$

Assume  $I_1, \dots, I_n$  sorted by increasing end time:  $f_i \leq f_{i+1}$

**Claim:** for all  $j$ , the set of intervals  $I_k \leq I_j$  that do not overlap  $I_j$  is of the form  $I_1, \dots, I_{p_j}$  for some  $0 \leq p_j < j$  ( $p_j = 0$  if no such interval)

## The indices $p_j$

Assume  $I_1, \dots, I_n$  sorted by increasing end time:  $f_i \leq f_{i+1}$

**Claim:** for all  $j$ , the set of intervals  $I_k \leq I_j$  that do not overlap  $I_j$  is of the form  $I_1, \dots, I_{p_j}$  for some  $0 \leq p_j < j$  ( $p_j = 0$  if no such interval)

The algorithm will need the  $p_j$ 's.

- if  $-\infty \leq s_i < f_1$ ,  $p_i = 0$
- if  $f_1 \leq s_i < f_2$ ,  $p_{A[i]} = 1$
- ...

$f_1 =$  earliest finish time

(we will write  $f_0 = -\infty$ )

## Computing the $p_j$ 's

let  $A$  be a permutation of  $[1, \dots, n]$  such that

$$s_{A[1]} \leq s_{A[2]} \leq \dots \leq s_{A[n]}$$

**Exercise:** make sure you know how to find such an  $A$

**FindPj**( $A, s_1, \dots, s_n, f_1, \dots, f_n$ )

1.  $f_0 \leftarrow -\infty$
2.  $i \leftarrow 1$
3. **for**  $k = 0, \dots, n$
4.     **while**  $i \leq n$  **and**  $f_k \leq s_{A[i]} < f_{k+1}$
5.          $p_i \leftarrow k$
6.          $i++$

**Runtime:**  $O(n \log(n))$  (sorting) and  $O(n)$  (loops)

## Main procedure

**Definition:**  $M[i]$  is the maximal weight we can get with intervals  $I_1, \dots, I_i$

**Recurrence:**  $M[0] = 0$  and for  $i \geq 1$

$$M[i] = \max(M[i - 1], M[p_i] + w_i)$$

**Runtime:**  $O(n \log(n))$  (sorting twice) and  $O(n)$  (finding the  $M[i]$ 's)

**Exercise:** recover the optimum set for an extra  $O(n)$

## Flipped version

**Idea:** we can discuss whether we take  $I_1$  or not. Call

$$N[i] = \text{maximal weight we can get using } I_i, \dots, I_n$$

Then  $N[i]$  is the maximum of

- $N[i + 1]$ , if we **do not** choose  $I_i$
- $N[\text{next}_i] + w_i$ , where  $I_{\text{next}_i}$  is the first interval that starts after  $I_i$  ends

**What we get:** a similar induction, that finds the values of  $N$  in a decreasing manner. Same asymptotic runtime.

# 0/1 knapsack

# The problem

## Input:

- items  $1, \dots, n$  with **weights**  $w_1, \dots, w_n$  and **values**  $v_1, \dots, v_n$
- a **capacity**  $W$

## Output:

- a choice of items  $S \subseteq \{1, \dots, n\}$
- that satisfies the constraint  $\sum_{i \in S} w_i \leq W$
- and maximizes the value  $\sum_{i \in S} v_i$



# The problem

## Input:

- items  $1, \dots, n$  with **weights**  $w_1, \dots, w_n$  and **values**  $v_1, \dots, v_n$
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- and maximizes the value  $\sum_{i \in S} v_i$

## Example:

- $w_1 = 3, w_2 = 4, w_3 = 6, w_4 = 5$
- $v_1 = 2, v_2 = 3, v_3 = 1, v_4 = 5$
- $W = 8$
- optimum  $S = \{1, 4\}$  with weight 8 and value 7

# The problem

## Input:

- items  $1, \dots, n$  with **weights**  $w_1, \dots, w_n$  and **values**  $v_1, \dots, v_n$
- a **capacity**  $W$

## Output:

- a choice of items  $S \subseteq \{1, \dots, n\}$
- that satisfies the constraint  $\sum_{i \in S} w_i \leq W$
- and maximizes the value  $\sum_{i \in S} v_i$

## See also:

- fractional knapsack (items can be divided), solved with a greedy algorithm

## Setting up the recurrence

**Basic idea:** either we choose item  $n$  or not.

- then the optimum  $O[n, W]$  is the max of two values:
- $v_n + O[n - 1, W - w_n]$ , if we choose  $n$  (and  $w_n \leq W$ )
- $O[n - 1, W]$ , if we don't choose  $n$

**Initial conditions**

- $O[0, w] = 0$  for any  $w$

# Algorithm

**01KnapSack**( $v_1, \dots, v_n, w_1, \dots, w_n, W$ )

1. initialize an array  $O[0..n, 0..W]$
2.  $O[0, w] = 0$  **for**  $w = 0, \dots, W$
3. **for**  $i = 1, \dots, n$
4.     **for**  $w = 0, \dots, W$
5.         **if**  $w_i \leq w$
6.              $O[i, w] \leftarrow \max(v_i + O[i - 1, w - w_i], O[i - 1, w])$
7.         **else**
8.              $O[i, w] \leftarrow O[i - 1, w]$

**Runtime**  $\Theta(nW)$ .

**Exercise:** how to obtain the set  $S$ ?

## Discussion

This is called a **pseudo-polynomial** algorithm

- in our word RAM model, we have been assuming all  $v_i$ s and  $w_i$ s fit in a word
- so input size is  $\Theta(n)$  words
- but the runtime also depends on the **values** of the inputs

01-knapsack is **NP-complete**, so we don't really expect to do much better

Another example: **count sort**, with inputs  $A[1..n]$  and  $R$ , with all  $0 \leq A[i] < R$

- create a size- $R$  array  $B$  of linked lists
- for  $i = 1, \dots, n$ , append  $i$  to  $B[A[i]]$
- merge all  $B[i]$ 's into a final list

**Runtime**  $\Theta(n + R)$

## A related problem

**Subset sum:** given positive integers  $a_1, \dots, a_n$  and integer  $K$ , find  $S \subseteq \{1, \dots, n\}$  with

$$\sum_{i \in S} a_i = K$$

**Option 1:** write a “new” algorithm

- very much like knapsack
- pseudo polynomial runtime  $O(nK)$

**Option 2:** use the knapsack algorithm with

- $w_1, \dots, w_n = a_1, \dots, a_n$
- $v_1, \dots, v_n = a_1, \dots, a_n$
- $W = K$

# Longest increasing subsequence

# The problem

**Input:** An array  $A[1..n]$  of integers

**Output:** A **longest increasing subsequence** of  $A$  (or just its length)  
(does **not** need to be contiguous)

**Example:**  $A = [7, 1, 3, 10, 11, 5, 19]$  gives  $[7, \mathbf{1}, \mathbf{3}, \mathbf{10}, \mathbf{11}, 5, \mathbf{19}]$

**Remark:** there are  $2^n$  subsequences (including an empty one, which doesn't count)



# Tentative subproblems

## Attempt 1:

- **Subproblems:** the length  $\ell[i]$  of a longest increasing subsequence of  $A[1..i]$
- on the example,  $\ell[6] = 4$
- so what? not enough to deduce  $\ell[7]$

## Attempt 2:

- **Subproblems:** the length  $\ell[i]$  of a longest increasing subsequence of  $A[1..i]$ , together with its last entry
- example:  $\ell[6] = 4$ , with last element 11
- OK if we can add  $A[i + 1]$ , but what if not?

## A more complicated recurrence

### Attempt 3:

- let  $L[i]$  be the length of a longest increasing subsequence of  $A[1..i]$  **that ends with**  $A[i]$ , for  $i = 1, \dots, n$
- so  $L[1] = 1$

### Idea:

- a longest increasing subsequence  $S$  ending at  $A[i]$  looks like

$$S = [\dots, A[j], A[i]] = S' \text{ cat } [A[i]]$$

- $S'$  is a longest increasing subsequence ending at  $A[j]$  (or it is empty)
- don't know  $j$ , but we can try all  $j < i$  for which  $A[j] < A[i]$

## Iterative algorithm

```
LongestIncreasingSubsequence( $A[1..n]$ )
1.    $L[1] \leftarrow 1$ 
2.   for  $i = 2, \dots, n$  do
3.        $L[i] \leftarrow 1$ 
4.       for  $j = 1, \dots, i - 1$  do
5.           if  $A[j] < A[i]$  then
6.                $L[i] = \max(L[i], L[j] + 1)$ 
7.   return the maximum entry in  $L$ 
```

**Runtime:**  $\Theta(n^2)$

**Remark:**

- the algorithm does not return the sequence itself, but could be modified to do so

## Bonus: a faster algorithm

As before,  $\ell[i]$  = of a longest increasing subsequence of  $A[1..i]$

**Idea:** we consider a list of “best” increasing sequences in  $A[1..i]$

- one increasing sequence of length  $j$  for each  $j = 1, \dots, \ell[i]$
- for any  $j$ , a **best** increasing sequence of length  $j$  is one whose **last entry** is the **smallest**

**Example:**  $A = [2, 8, 10, 11, 1, 3, 5]$ ,  $i = 6$ ,  $\ell[6] = 4$

- $j = 1$ , best increasing sequence  $[1]$  can add **5**
- $j = 2$ , best increasing sequence  $[1, 3]$  can add **5**
- $j = 3$ , best increasing sequence  $[2, 8, 10]$  can't add **5**
- $j = 4$ , best increasing sequence  $[2, 8, 10, 11]$  can't add **5**

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**Example:**  $A = [2, 8, 10, 11, 1, 3, 5]$ ,  $i = 6$ ,  $\ell[6] = 4$

- $j = 1$ , best increasing sequence  $[1]$  can add **5**
- $j = 2$ , best increasing sequence  $[1, 3]$  can add **5**
- $j = 3$ , best increasing sequence  $[2, 8, 10]$  can't add **5**
- $j = 4$ , best increasing sequence  $[2, 8, 10, 11]$  can't add **5**

$1 < 3 < 5 < 10 < 11$  so  $\ell[7] = 4$  and we update the  $j = 3$  sequence to  $[1, 3, 5]$

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- for any  $j$ , a **best** increasing sequence of length  $j$  is one whose **last entry** is the **smallest**

**Example:**  $A = [2, 8, 10, 11, 1, 3, 15]$ ,  $i = 6$ ,  $\ell[6] = 4$

- $j = 1$ , best increasing sequence  $[1]$  can add **15**
- $j = 2$ , best increasing sequence  $[1, 3]$  can add **15**
- $j = 3$ , best increasing sequence  $[2, 8, 10]$  can add **15**
- $j = 4$ , best increasing sequence  $[2, 8, 10, 11]$  can add **15**

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**Idea:** we consider a list of “best” increasing sequences in  $A[1..i]$

- one increasing sequence of length  $j$  for each  $j = 1, \dots, \ell[i]$
- for any  $j$ , a **best** increasing sequence of length  $j$  is one whose **last entry** is the **smallest**

**Example:**  $A = [2, 8, 10, 11, 1, 3, 15]$ ,  $i = 6$ ,  $\ell[6] = 4$

- $j = 1$ , best increasing sequence  $[1]$  can add **15**
- $j = 2$ , best increasing sequence  $[1, 3]$  can add **15**
- $j = 3$ , best increasing sequence  $[2, 8, 10]$  can add **15**
- $j = 4$ , best increasing sequence  $[2, 8, 10, 11]$  can add **15**

$1 < 3 < 10 < 11 < 15$  so  $\ell[7] = 5$  and we have the  $j = 5$  sequence  $[2, 8, 10, 11, 15]$

## Bonus: a faster algorithm

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**Idea:** we consider a list of “best” increasing sequences in  $A[1..i]$

- one increasing sequence of length  $j$  for each  $j = 1, \dots, \ell[i]$
- for any  $j$ , a **best** increasing sequence of length  $j$  is one whose **last entry** is the **smallest**

**Example:**  $A = [2, 8, 10, 11, 1, 3, 0]$ ,  $i = 6$ ,  $\ell[6] = 4$

- $j = 1$ , best increasing sequence  $[1]$  can't add **0**
- $j = 2$ , best increasing sequence  $[1, 3]$  can't add **0**
- $j = 3$ , best increasing sequence  $[2, 8, 10]$  can't add **0**
- $j = 4$ , best increasing sequence  $[2, 8, 10, 11]$  can't add **0**



## Bonus: a faster algorithm

As before,  $\ell[i]$  = of a longest increasing subsequence of  $A[1..i]$

**Idea:** we consider a list of “best” increasing sequences in  $A[1..i]$

- one increasing sequence of length  $j$  for each  $j = 1, \dots, \ell[i]$
- for any  $j$ , a **best** increasing sequence of length  $j$  is one whose **last entry** is the **smallest**

**Example:**  $A = [2, 8, 10, 11, 1, 3, 0]$ ,  $i = 6$ ,  $\ell[6] = 4$

- $j = 1$ , best increasing sequence  $[1]$  can't add **0**
- $j = 2$ , best increasing sequence  $[1, 3]$  can't add **0**
- $j = 3$ , best increasing sequence  $[2, 8, 10]$  can't add **0**
- $j = 4$ , best increasing sequence  $[2, 8, 10, 11]$  can't add **0**

**0** < **1** < **3** < **10** < **11** so  $\ell[7] = 4$  and we update the  $j = 1$  sequence to  $[0]$

# Iterative algorithm

## Remarks

- sufficient to store the last entry in each best increasing sequence
- these last entries are increasing (**1** < **3** < **10** < **11**)
- so we can use binary search to find where the new  $A[i]$  fits

### **LongestIncreasingSubsequence**( $A[1..n]$ )

1.  $b \leftarrow [-\infty, \infty, \dots, \infty]$ ,  $\ell \leftarrow 0$  indexed starting from 0
2. **for**  $i = 1, \dots, n$  **do**
3.     find  $k \in \{0, \dots, \ell\}$  such that  $b[k] \leq A[i] \leq b[k + 1]$
4.      $b[k + 1] \leftarrow A[i]$
5.     **if**  $k = \ell$ ,  $\ell++$
6. **return**  $\ell$

**Runtime:**  $O(n \log(n))$

# Longest common subsequence

## The problem

**Input:** Arrays  $A[1..n]$  and  $B[1..m]$  of characters or integers

**Output:** The maximum length  $k$  of a common subsequence to  $A$  and  $B$   
(subsequences do **not** need to be contiguous)

**Example:**  $A = \text{blurry}$ ,  $B = \text{burger}$ , longest common subsequence is **burr**

**Remark:** there are  $2^n$  subsequences in  $A$ ,  $2^m$  subsequences in  $B$

**Remark:** this also solves the longest increasing subsequence problem

## A bivariate recurrence

**Definition:** let  $M[i, j]$  be the length of a longest subsequence between  $A[1..i]$  and  $B[1..j]$

- $M[0, j] = 0$  for all  $j$
- $M[i, 0] = 0$  for all  $i$
- $M[i, j]$  is the max of **up to three** values
  - $M[i, j - 1]$  (don't use  $B[j]$ )
  - $M[i - 1, j]$  (don't use  $A[i]$ )
  - **if**  $A[i] = B[j]$ ,  $1 + M[i - 1, j - 1]$

The algorithm computes all  $M[i, j]$ , using two nested loops, so runtime  $\Theta(mn)$

**Bonus:** if  $A[i] = B[j]$ , no need to consider  $M[i, j - 1]$  and  $M[i - 1, j]$

# Edit distance

# The problem

**Input:** arrays  $A[1..n]$  and  $B[1..m]$  of characters

**Output:** minimum number of {add, delete, change} operations that turn  $A$  into  $B$

**Example:**  $A = \text{snowy}$ ,  $B = \text{sunny}$

s n o w y

s u n n y

3C

s - n o w y

s u n n - y

1A, 1C, 1D

- s n o w - y

s u n - - n y

2A, 1C, 2D

**Examples:** DNA sequences made of **a, c, g, t**

## The recurrence

**Definition:** let  $D[i, j]$  be the edit distance between  $A[1..i]$  and  $B[1..j]$

- $D[0, j] = j$  for all  $j$  (add  $j$  characters to empty  $A$ )
- $D[i, 0] = i$  for all  $i$  (delete  $i$  characters from  $A$ )
- $D[i, j]$  is the min of **three** values
  - $D[i - 1, j - 1]$  (if  $A[i] = B[j]$ ) or  $D[i - 1, j - 1] + 1$  (otherwise)
  - $D[i - 1, j] + 1$  (delete  $A[i]$  and match  $A[1..i - 1]$  with  $B[1..j]$ )
  - $D[i, j - 1] + 1$  (add  $B[j]$  and match  $A[1..i]$  with  $B[1..j - 1]$ )

The algorithm computes all  $D[i, j]$ , using two nested loops, so runtime  $\Theta(mn)$



# Maximum independent set in a tree

# The problem

## Input:

- a tree  $T = (V, E)$

## Output:

- a maximum (sizewise) **independent set** in  $T$
- a subset  $S$  of vertices is **independent** if there is **no edge** in  $T$  connecting two elements of  $S$

## Remark:

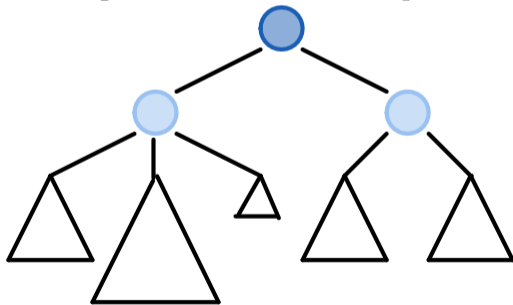
- in a general graph, INDEPENDENTSET is NP-complete

## Setting up the recurrence

**Case discussion:** is the root  $r$  of  $T$  in  $S$  or not?

**If yes:**

- none of its children can be in  $S$
- so we can look (recursively) at its **grandchildren**
- an independent set in a grandchild remains independent in  $T$

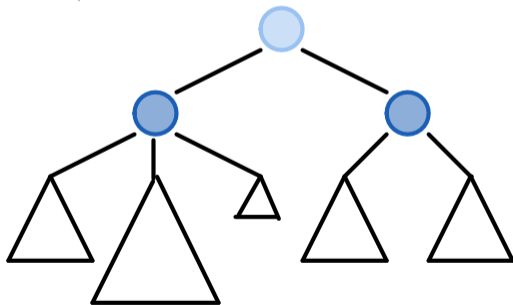


## Setting up the recurrence

**Case discussion:** is the root  $r$  of  $T$  in  $S$  or not?

**If no:**

- all its children can be in  $S$
- an independent set in a child remains independent in  $T$
- so we look (recursively) at the children of  $r$



## Setting up the recurrence

**Case discussion:** is the root  $r$  of  $T$  in  $S$  or not?

**Finally**

$$O(T) = \max\left(1 + \sum_{C \text{ grandchild of } r} O(C), \sum_{C' \text{ child of } r} O(C')\right)$$

**Runtime:** proportional to

$$\sum_{v \in V} \#\text{children}(v) + \sum_{v \in V} \#\text{grandchildren}(v)$$

- first sum is number of vertices of level at least 1
- second sum is number of vertices of level at least 2
- so  $O(n)$  altogether

**Exercise:** find the independent set itself

# Optimal binary search trees

# The problem

## Input:

- integers (or something else that can be ordered)  $1, \dots, n$
- probabilities of access  $p_1, \dots, p_n$ , with  $p_1 + \dots + p_n = 1$

## Output:

- an **optimal** BST with keys  $1, \dots, n$
- **optimal:** minimizes  $\sum_{i=1}^n p_i \text{depth}(i)$  = expected number of tests for a search (here, depths start at 1)

# The problem

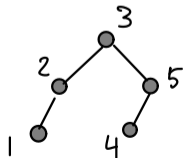
## Input:

- integers (or something else that can be ordered)  $1, \dots, n$
- probabilities of access  $p_1, \dots, p_n$ , with  $p_1 + \dots + p_n = 1$

## Output:

- an **optimal** BST with keys  $1, \dots, n$
- **optimal**: minimizes  $\sum_{i=1}^n p_i \text{depth}(i) =$  expected number of tests for a search  
(here, depths start at 1)

**Example:**  $p_1 = p_2 = p_3 = p_4 = p_5 = 1/5$



$$1 \cdot \frac{1}{5} + 2 \cdot 2 \cdot \frac{1}{5} + 2 \cdot 3 \cdot \frac{1}{5} = \frac{11}{5}$$



$$\frac{1}{5} (1+2+3+4+5) = 3$$



# The problem

## Input:

- integers (or something else that can be ordered)  $1, \dots, n$
- probabilities of access  $p_1, \dots, p_n$ , with  $p_1 + \dots + p_n = 1$

## Output:

- an **optimal** BST with keys  $1, \dots, n$
- **optimal:** minimizes  $\sum_{i=1}^n p_i \text{depth}(i)$  = expected number of tests for a search (here, depths start at 1)

## See also

- optimal static ordering for **linked lists**
- **Huffman trees**

both built using greedy algorithms

## Setting up the recurrence

**Definition** define  $M[i, j]$  by

- $M[i, j]$  = the minimal cost for items  $\{i, \dots, j\}$ ,  $1 \leq i \leq j \leq n$
- $M[i, j] = 0$  for  $j < i$

### Recurrence

$$\begin{aligned} M[i, j] &= \min_{i \leq k \leq j} \left( M[i, k-1] + \sum_{\ell=i}^{k-1} p_{\ell} + p_k + M[k+1, j] + \sum_{\ell=k+1}^j p_{\ell} \right) \\ &= \min_{i \leq k \leq j} \left( M[i, k-1] + M[k+1, j] \right) + \sum_{\ell=i}^j p_{\ell} \end{aligned}$$

**check:** gives  $M[i, i] = p_i$

# Algorithm

**Remark:** to get  $\sum_{\ell=i}^j p_\ell$ :

- compute  $S[\ell] = p_1 + \dots + p_\ell$ , for  $\ell = 1, \dots, n$
- then  $p_i + \dots + p_j = S[j] - S[i - 1]$ , with  $S[0] = 0$

**OptimalBST**( $p_1, \dots, p_n, S_0, \dots, S_n$ )

1. **for**  $i = 1, \dots, n + 1$
2.      $M[i, i - 1] \leftarrow 0$
3.     **for**  $d = 0, \dots, n - 1$               $d = j - i$
4.         **for**  $i = 1, \dots, n - d$
5.              $j \leftarrow d + i$
6.              $M[i, j] \leftarrow \min_{i \leq k \leq j} (M[i, k - 1] + M[k + 1, j]) + S[j] - S[i - 1]$

**Runtime**  $O(n^3)$

## A faster algorithm

For all  $i, j$ , let  $k_{i,j}$  be the (or any) index that gives the min at Step 6.

**Claim (a bit difficult)**

For all  $i, j$ , with  $j > i$ , we have  $k_{i,j-1} \leq k_{i,j} \leq k_{i+1,j}$

**OptimalBST**( $p_1, \dots, p_n, S_0, \dots, S_n$ )

1. **for**  $i = 1, \dots, n + 1$
2.      $M[i, i - 1] \leftarrow 0$
3.     **for**  $d = 0, \dots, n - 1$               $d = j - i$
4.         **for**  $i = 1, \dots, n - d$
5.              $j \leftarrow d + i$
6.             **if**  $d = 0$  **then**  $\text{range} \leftarrow \{i\}$  **else**  $\text{range} \leftarrow \{k_{i,j-1}, \dots, k_{i+1,j}\}$
7.              $M[i, j], k_{i,j} \leftarrow \min_{k \in \text{range}} (M[i, k - 1] + M[k + 1, j]) + S[j] - S[i - 1]$

## Runtime, revisited

Work is proportional to

$$\begin{aligned} \sum_{d=0}^{n-1} \sum_{i=1}^{n-d} (k_{i+1,j} - k_{i,j-1} + 1) &= \sum_{d=0}^{n-1} \sum_{i=1}^{n-d} (k_{i+1,i+d} - k_{i,i+d-1} + 1) \\ &\leq \sum_{d=0}^{n-1} \sum_{i=1}^{n-d} (k_{i+1,i+d} - k_{i,i+d-1}) + \sum_{d=0}^{n-1} \sum_{i=1}^{n-d} 1 \\ &\leq \sum_{d=0}^{n-1} (k_{n-d+1,n} - k_{0,d-1}) + \sum_{d=0}^{n-1} \sum_{i=1}^{n-d} 1 \\ &\leq 2n^2 \end{aligned}$$

**Conclusion:**  $\Theta(n^2)$

**Text segmentation (if time permits)**

# The problem

**Input:** a string, represented as an array  $A[1..n]$

**Output:**

- **true** if we can **segment** of  $A$  into words
- **false** otherwise

(we assume that we can test if  $A[i..j]$  is a word in  $O(1)$  using **is\_word** $[i..j]$ )

**Example:**  $A=\text{caramelow} \rightarrow$  **true**, with **car a me low**

**Remark:** there are  $2^{n-1}$  ways to segment  $A$

## Subproblems and their recurrence

**Subproblems:** can we split  $A[1..i]$  into words?

**Definition:** for  $i = 1, \dots, n$ , let  $s[i]$  be

- **true** if we can **segment** of  $A[1..i]$  into words
- **false** otherwise

we set  $s[0] = \mathbf{true}$

**Recurrence:**  $s[i] = \mathbf{or}_{j=0}^{i-1} \left( s[j] \mathbf{and} \mathbf{is\_word}(A[j + 1..i]) \right)$

Algorithm could be written recursively, but we'll focus on iterative version



## A polynomial algorithm

```
IsSplittable( $A[1..n]$ )
1.    $s[0] \leftarrow \mathbf{true}$ 
2.   for  $i = 1, \dots, n$  do
3.        $s[i] \leftarrow \mathbf{false}$ 
4.       for  $j = 0, \dots, i - 1$  do
5.            $s[i] \leftarrow s[i] \mathbf{or} (s[j] \mathbf{and} \mathbf{is\_word}(A[j + 1..i]))$ 
6.   return  $s[n]$ 
```

**Runtime:**  $\Theta(n^2)$

**Remark** the algorithm does not return the subdivision itself, but could be modified to do so

# The Bellman-Ford algorithm

# Outlook

## Bellman-Ford

- given a **directed** graph  $G = (V, E)$  with **weights**  $w(e)$  on the edges
- assuming **no negative cycles**, want the shortest (=minimal weight) path / walk between a **source  $s$**  and **all vertices**  
(write  $\delta(s, v)$  for the length of a minimal path = **distance** from  $s$  to  $v$ )
- can **detect** the existence of negative cycles
- very simple pseudo-code, but slower than Dijkstra's algorithm

# Dynamic programming for shortest paths

Assume there is **no negative cycle** and **all vertices can be reached from  $s$**

## Definition:

- for  $i = 0, \dots, n$ , set

$\delta_i(s, v)$  = length of the shortest walk  $s \rightsquigarrow v$  with at most  $i$  edges

this walk must be a path; if no walk,  $\delta_i(s, v) = \infty$

## Easy observations:

- $\delta_0(s, s) = 0$  and  $\delta_0(s, v) = \infty$  for  $v \neq s$
- $\delta_{n-1}(s, v) = \delta(s, v)$

**Recurrence:**  $\delta_i(s, v) = \min(\delta_{i-1}(s, v), \min_{(u,v) \in E} \delta_{i-1}(s, u) + w(u, v))$

## Pseudo-code

### BellmanFord( $G, s$ )

1.  $d_0 \leftarrow [0, \infty, \dots, \infty]$  ( $s$  is the first index)
2.  $\text{parent} \leftarrow [s, \bullet, \dots, \bullet]$  ( $s$  is the first index)
3. **for**  $i = 1, \dots, n - 1$  **do**
4.     **for all**  $v$  in  $V$  **do**
5.          $d_i[v] \leftarrow d_{i-1}[v]$
6.         **for all**  $(u, v)$  in  $E$  **do**
7.             **if**  $d_{i-1}[u] + w(u, v) < d_i[v]$  **then**
8.                  $d_i[v] \leftarrow d_{i-1}[u] + w(u, v)$
9.                  $\text{parent}[v] \leftarrow u$

**Correctness:**  $d_i[v] = \delta_i(s, v)$ , so  $d_{n-1}[v] = \delta(s, v)$

**Runtime:**  $\Theta(mn)$

**Remark:** need to loop over edges directed **toward**  $v$

## Saving space

**Idea:** use a single array  $d$

### **BellmanFord2.0**( $G, s$ )

1.  $d \leftarrow [0, \infty, \dots, \infty]$  ( $s$  is the first index)
2.  $\text{parent} \leftarrow [s, \bullet, \dots, \bullet]$  ( $s$  is the first index)
3. **for**  $i = 1, \dots, n - 1$  **do**
4.     **for all**  $(u, v)$  in  $E$  **do**
5.         **if**  $d[u] + w(u, v) < d[v]$  **then**
6.              $d[v] \leftarrow d[u] + w(u, v)$
7.              $\text{parent}[v] \leftarrow u$

**Runtime:**  $\Theta(mn)$

## Correctness, part 1

### Claim

For all  $i$ , after iteration  $i$ , we have  $d[v] \leq d_i[v]$  for all  $v$

**Idea:** all quantities can only go down in version 2.0

**Proof:** by induction

- true for  $i = 0$ , so we suppose true at index  $i - 1$  and prove true at  $i$
- at the beginning of the loop, for all  $v$ ,  $d[v] \leq d_{i-1}[v]$
- $d[v]$  can only decrease, so this stays true throughout the loop
- $d[v]$  is replaced by  $\min(d[v], \min_{(u,v) \in E} (\square + w(u, v)))$ , where  $\square \leq d_{i-1}[u]$
- so at the end of iteration  $i$ ,  $d[v] \leq d_i[v]$

# Relaxations

The operation  $d[v] \leftarrow \min(d[v], d[u] + w(u, v))$  is called a **relaxation**

## Claim

if  $\delta(s, u) \leq d[u]$  and  $\delta(s, v) \leq d[v]$  before relaxation, then  $\delta(s, v) \leq d[v]$  post-relaxation.

## Proof

- $\delta(s, v) \leq \delta(s, u) + w(u, v)$  (**triangle inequality**), so  $\delta(s, v) \leq d[u] + w(u, v)$
- but also  $\delta(s, v) \leq d[v]$

**Consequence:** if **all**  $d[v]$  satisfy  $\delta(s, v) \leq d[v]$ , and we apply **any number** of relaxations, all inequalities stay true



## Correctness, part 2

### Claim

For  $i = 0, \dots, n - 1$ , after iteration  $i$ ,  $\delta(\mathbf{s}, v) \leq d[v] \leq \delta_i(\mathbf{s}, v)$  for all  $v$ .

### Proof:

- correctness part 1 gives  $d[v] \leq \delta_i(\mathbf{s}, v)$
- previous slide gives  $\delta(\mathbf{s}, v) \leq d[v]$

# Summary

## If there is no negative cycle

- at the end with  $i = n - 1$ ,  $d[v] = \delta(s, v)$  for all  $v$
- in particular, for any edge  $(u, v)$ ,  $d[v] \leq d[u] + w(u, v)$  (triangle inequality)

## If there is a negative cycle $v_1, v_2, \dots, v_k$ , with $v_k = v_1$

- **claim:** there must be an edge  $(v_i, v_{i+1})$  with  $d[v_{i+1}] > d[v_i] + w(v_i, v_{i+1})$
- **else:**  $d[v_{i+1}] \leq d[v_i] + w(v_i, v_{i+1})$  for all  $i$ ; sum and derive a contradiction

**Conclusion:** for extra  $\Theta(m)$ , can check the presence of a negative cycle

## Finding a negative cycle

Using the **first algorithm**:

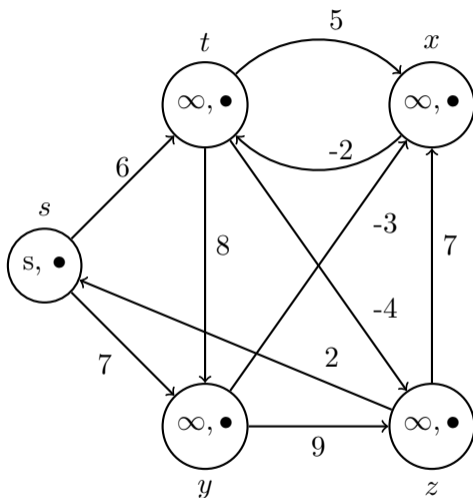
- if no negative cycle,  $d_{n-1}(v) = d_n(v)$  for all  $v$  (easy)
- if  $d_{n-1}(v) = d_n(v)$  for all  $v$ , no negative cycle (a bit more work)

If there is a negative cycle, there is  $v$  such that  $d_n(v) < d_{n-1}(v)$ .

### Exercise

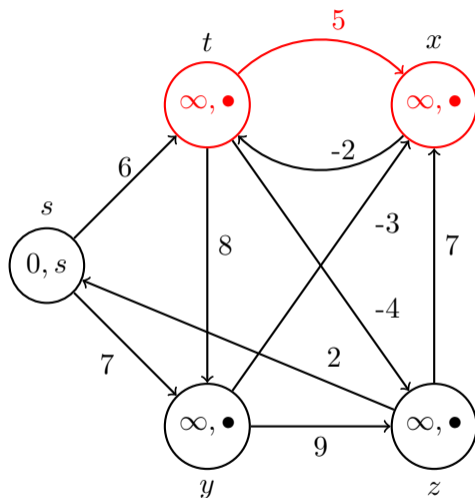
Then, there is a negative cycle on the path  $s \rightarrow v$  stored in the parent array

## Example: Bellman-Ford



$i = 1$  ||  $(t, x)$   $(t, y)$   $(t, z)$   $(x, t)$   $(y, x)$   $(y, z)$   $(z, x)$   $(z, s)$   $(s, t)$   $(s, y)$

## Example: Bellman-Ford

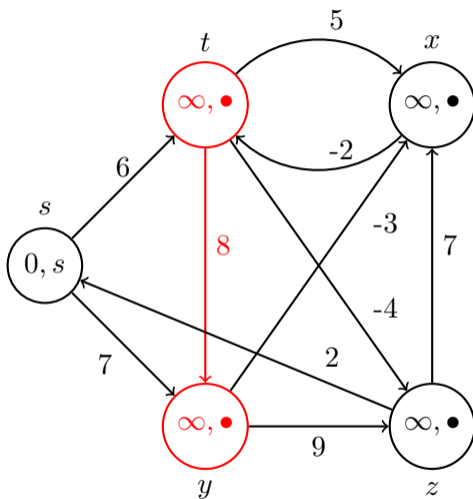


$i = 1$  || **(t, x)** (t, y) (t, z) (x, t) (y, x) (y, z) (z, x) (z, s) (s, t) (s, y)

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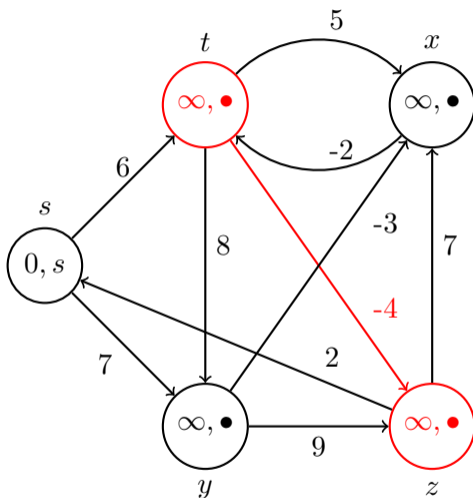
×

## Example: Bellman-Ford



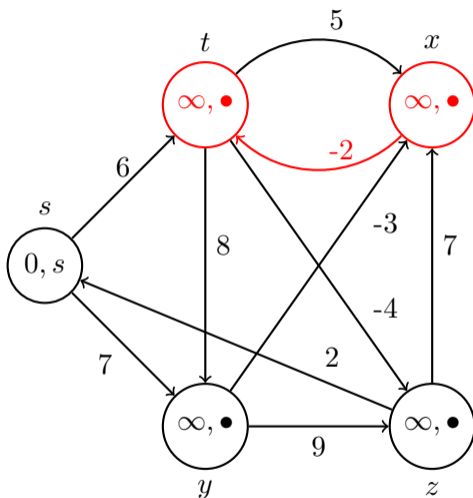
$i = 1$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	×	×								

## Example: Bellman-Ford



$i = 1$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	×	×	×							

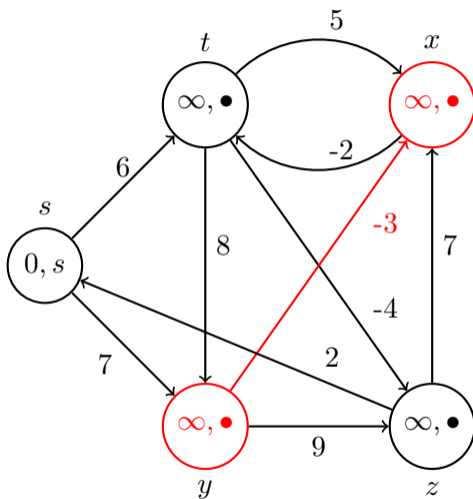
## Example: Bellman-Ford



$i = 1$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	$\times$	$\times$	$\times$	$\times$						

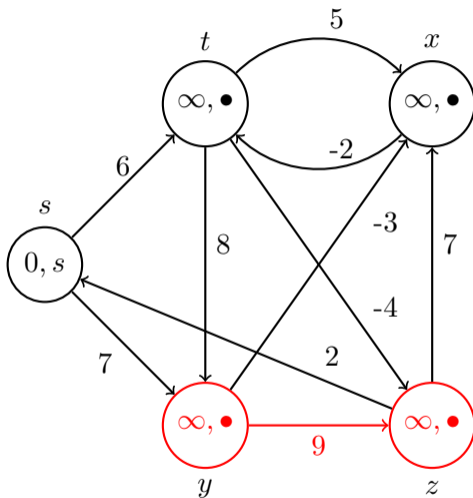


## Example: Bellman-Ford



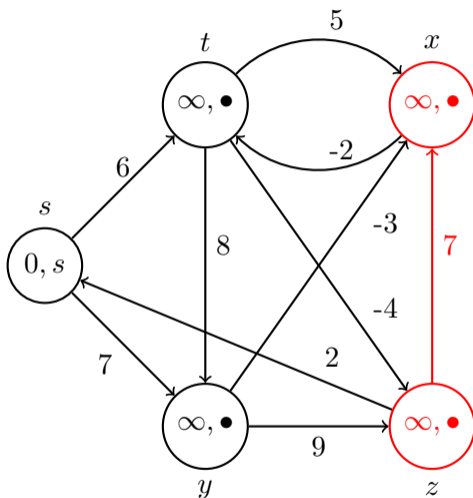
$i = 1$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x	x	x	x	x					

## Example: Bellman-Ford



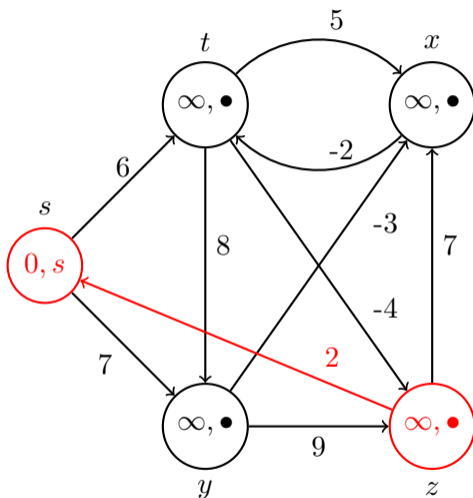
$i = 1$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	$\times$	$\times$	$\times$	$\times$	$\times$	$\times$				

## Example: Bellman-Ford



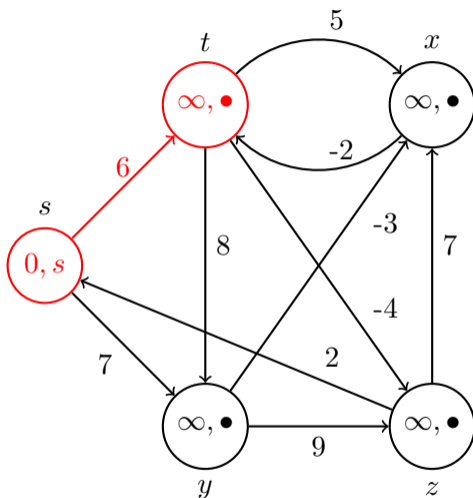
$i = 1$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x	x	x	x	x	x	x			

## Example: Bellman-Ford



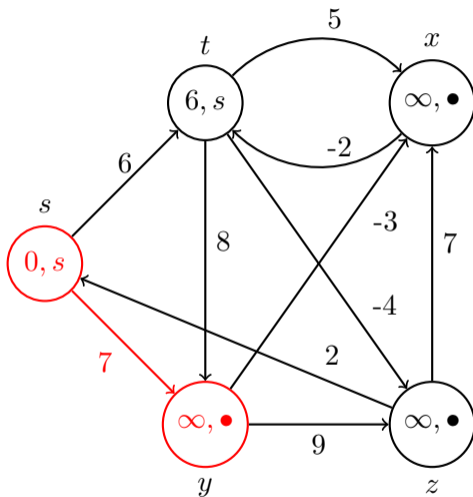
$i = 1$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x	x	x	x	x	x	x	x		

# Example: Bellman-Ford



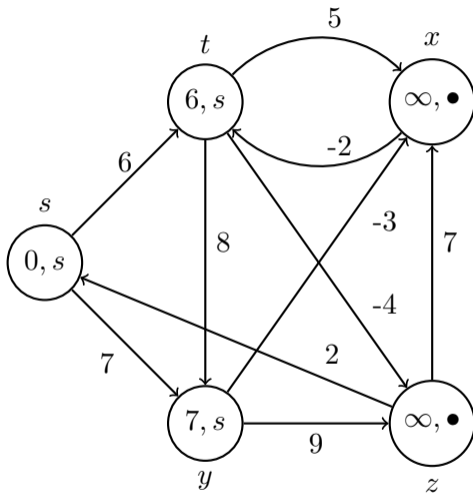
$i = 1$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x	x	x	x	x	x	x	x	✓	

# Example: Bellman-Ford



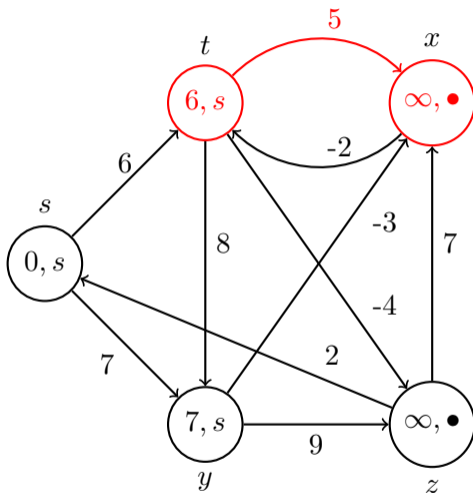
$i = 1$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	×	×	×	×	×	×	×	×	✓	✓

# Example: Bellman-Ford



$i = 1$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x	x	x	x	x	x	x	x	✓	✓

# Example: Bellman-Ford



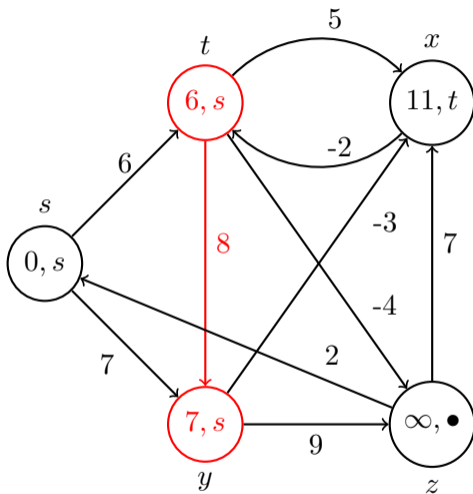
$i = 2$  || **(t, x)** (t, y) (t, z) (x, t) (y, x) (y, z) (z, x) (z, s) (s, t) (s, y)

---

✓

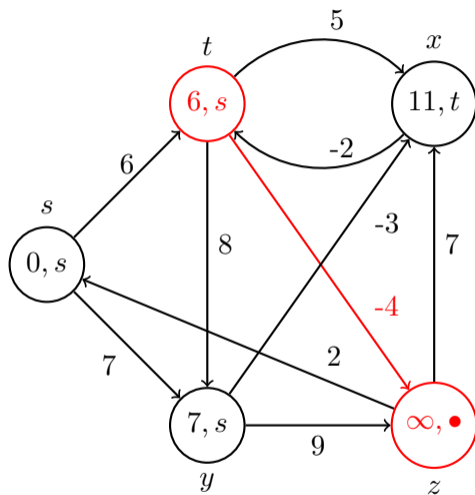


## Example: Bellman-Ford



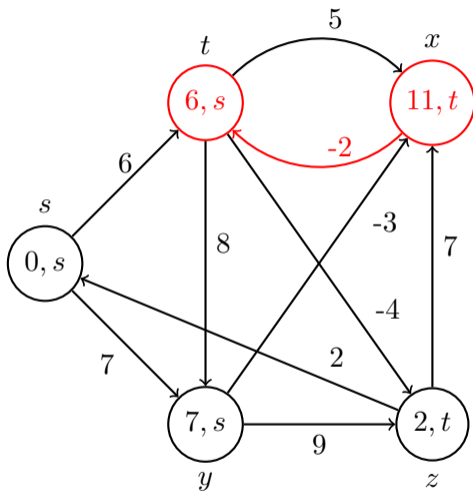
$i = 2$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	✓	×								

## Example: Bellman-Ford



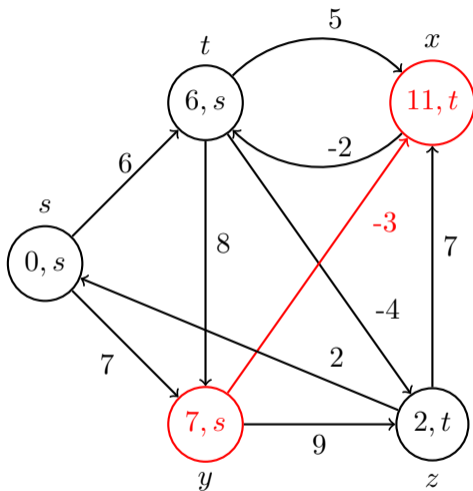
$i = 2$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	✓	×	✓							

## Example: Bellman-Ford



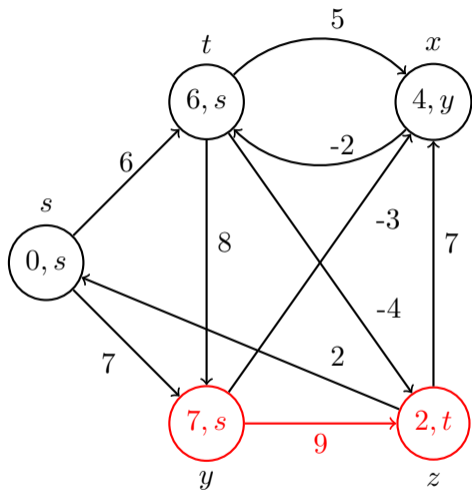
$i = 2$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	✓	×	✓	×						

## Example: Bellman-Ford



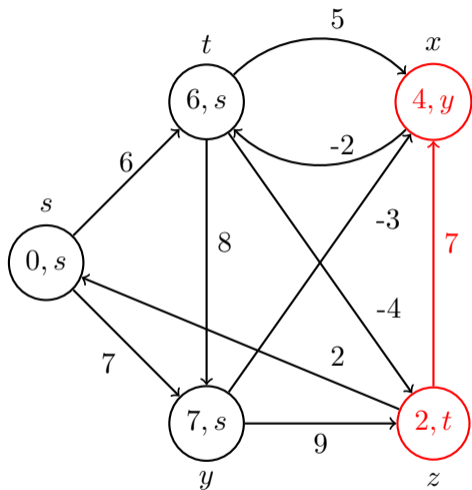
$i = 2$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	✓	×	✓	×	✓					

## Example: Bellman-Ford



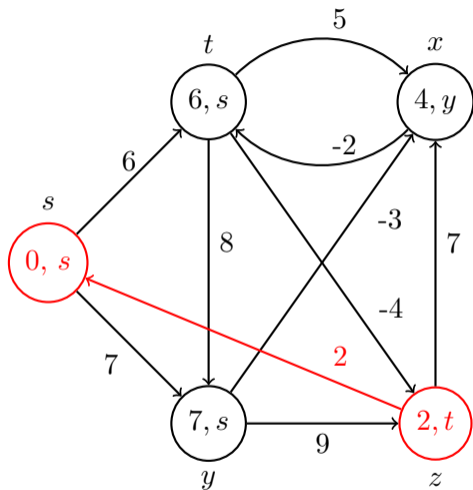
$i = 2$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	✓	×	✓	×	✓	×				

## Example: Bellman-Ford



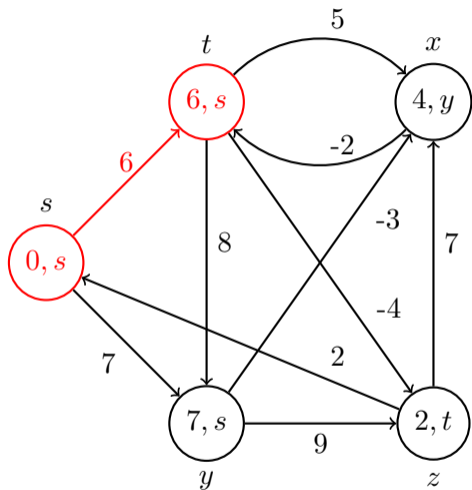
$i = 2$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	✓	×	✓	×	✓	×	×			

## Example: Bellman-Ford



$i = 2$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	✓	×	✓	×	✓	×	×	×		

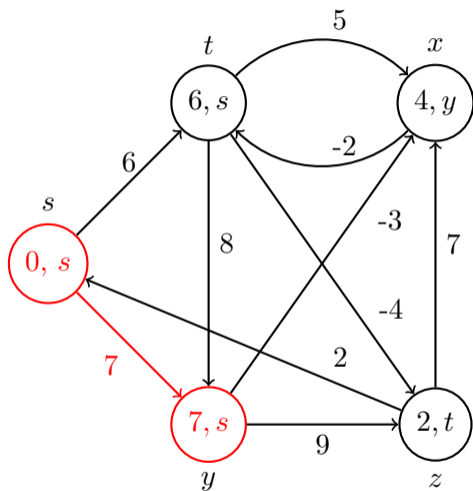
## Example: Bellman-Ford



$i = 2$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	✓	×	✓	×	✓	×	×	×	×	×

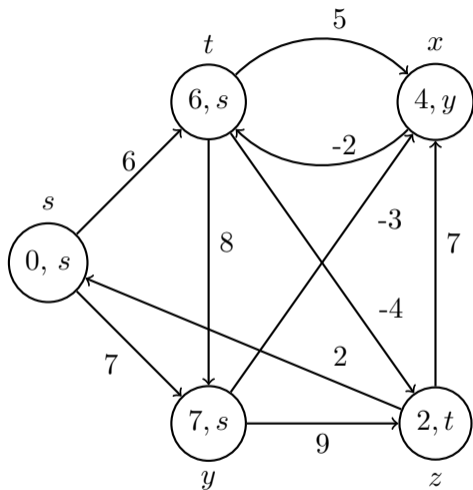


## Example: Bellman-Ford



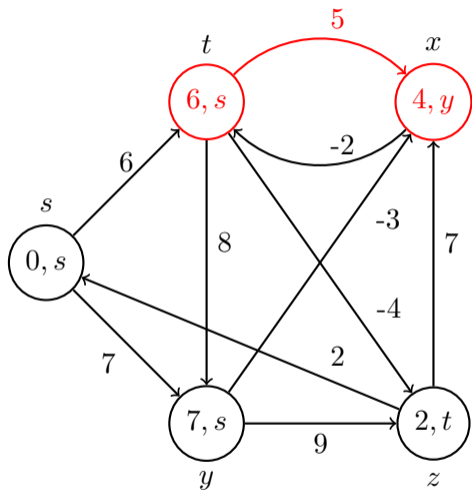
$i = 2$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	✓	×	✓	×	✓	×	×	×	×	×

## Example: Bellman-Ford



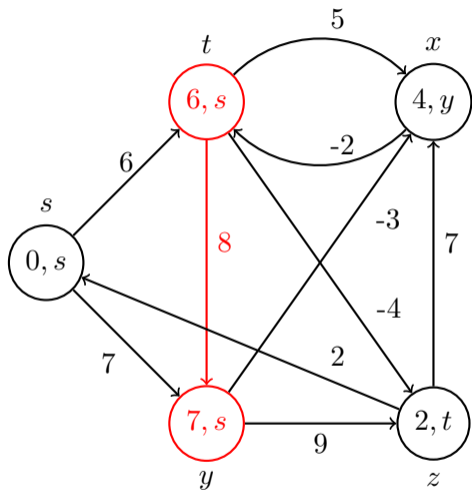
$i = 2$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	✓	×	✓	×	✓	×	×	×	×	×

## Example: Bellman-Ford



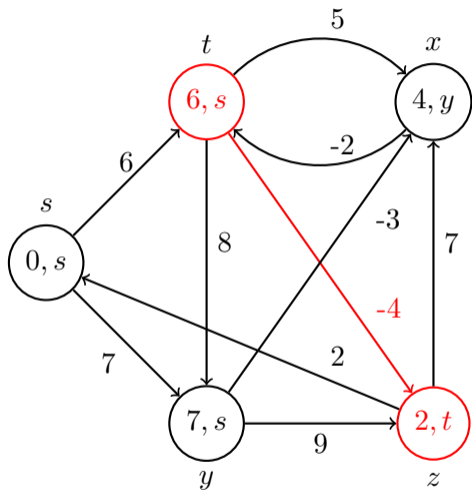
$i = 3$	<b>(t, x)</b>	(t, y)	(t, z)	(x, t)	(y, x)	(y, z)	(z, x)	(z, s)	(s, t)	(s, y)
	x									

## Example: Bellman-Ford



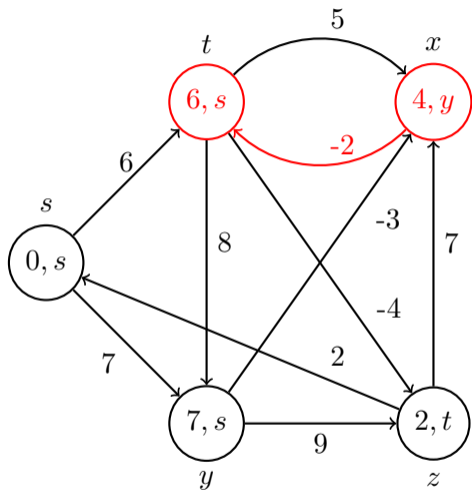
$i = 3$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	×	×								

## Example: Bellman-Ford



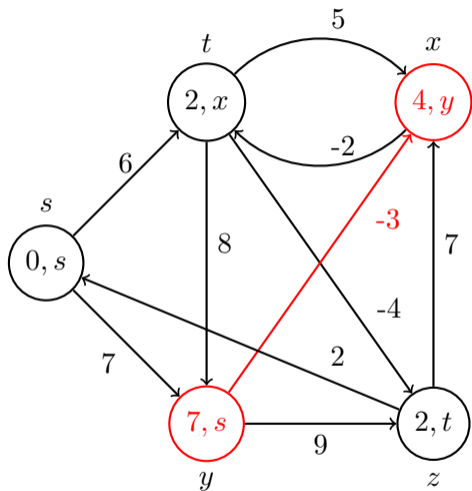
$i = 3$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	$\times$	$\times$	$\times$							

## Example: Bellman-Ford



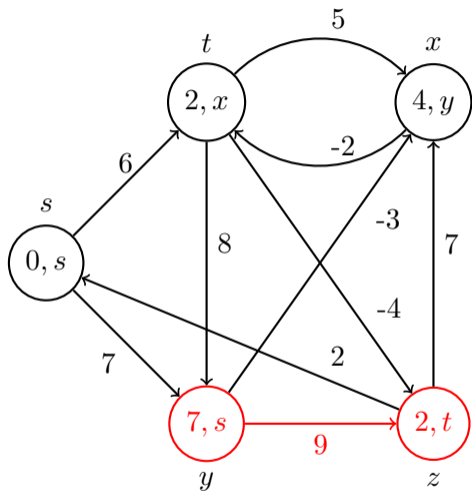
$i = 3$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	$\times$	$\times$	$\times$	$\checkmark$						

## Example: Bellman-Ford



$i = 3$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x	x	x	✓	x					

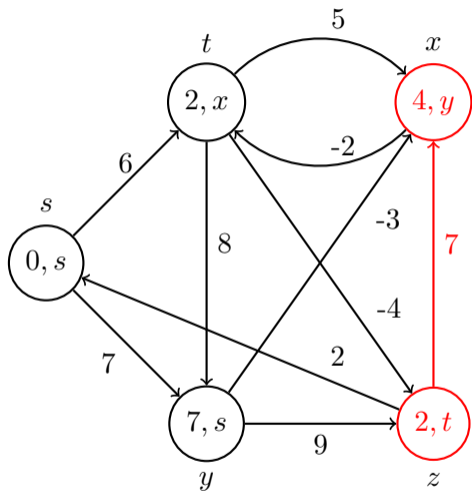
# Example: Bellman-Ford



$i = 3$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x	x	x	✓	x	x				

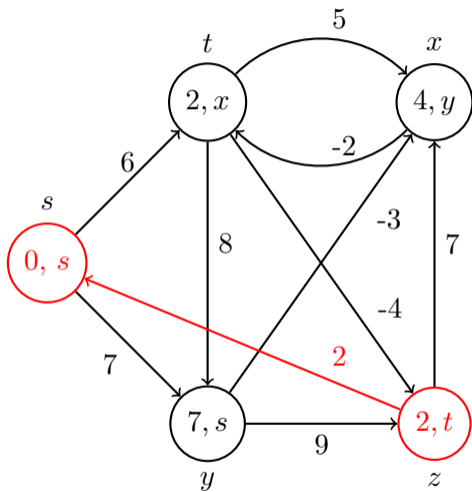


## Example: Bellman-Ford



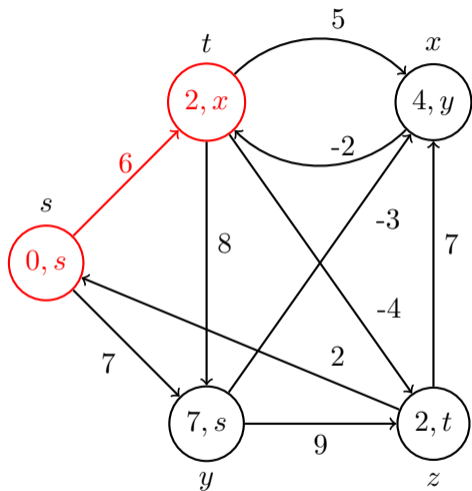
$i = 3$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x	x	x	✓	x	x	x			

## Example: Bellman-Ford



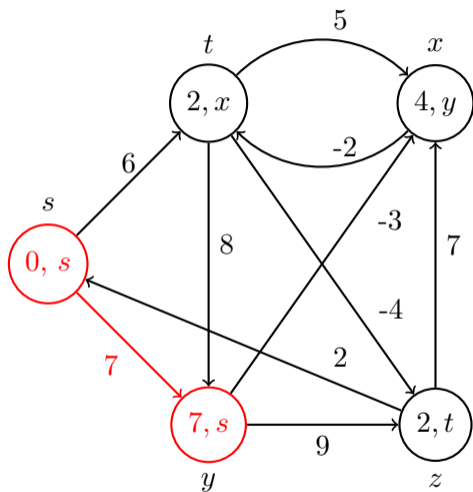
$i = 3$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x	x	x	✓	x	x	x	x		

## Example: Bellman-Ford



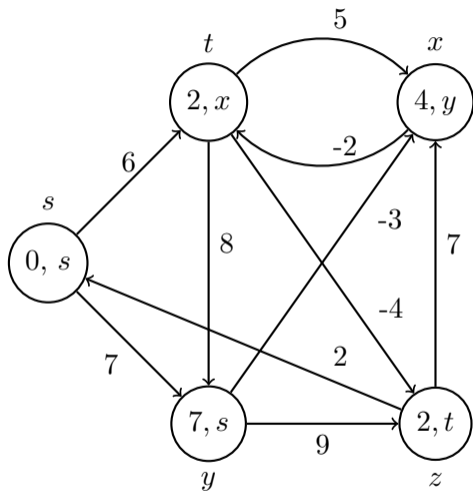
$i = 3$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x	x	x	✓	x	x	x	x	x	x

## Example: Bellman-Ford



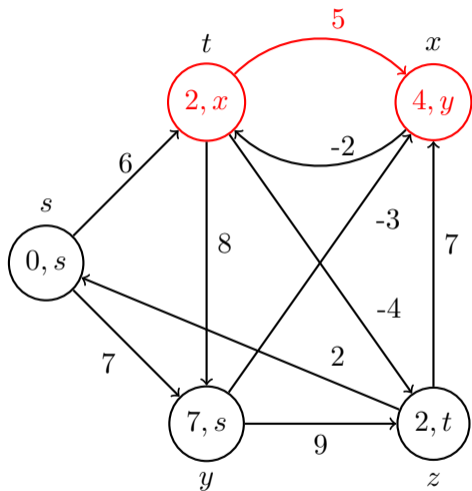
$i = 3$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x	x	x	✓	x	x	x	x	x	x

## Example: Bellman-Ford



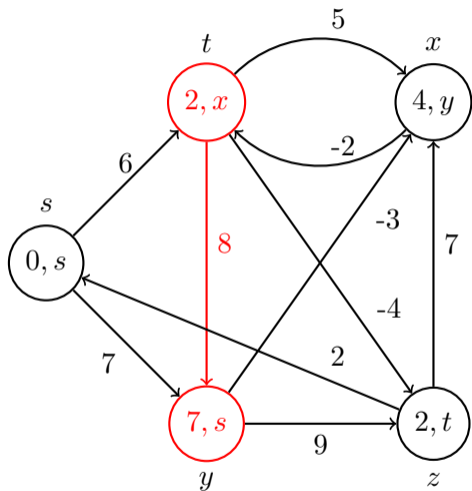
$i = 3$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x	x	x	✓	x	x	x	x	x	x

## Example: Bellman-Ford



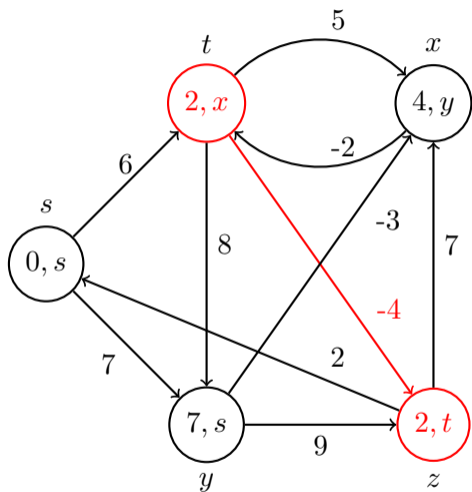
$i = 4$	<b><math>(t, x)</math></b>	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x									

## Example: Bellman-Ford



$i = 4$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	×	×								

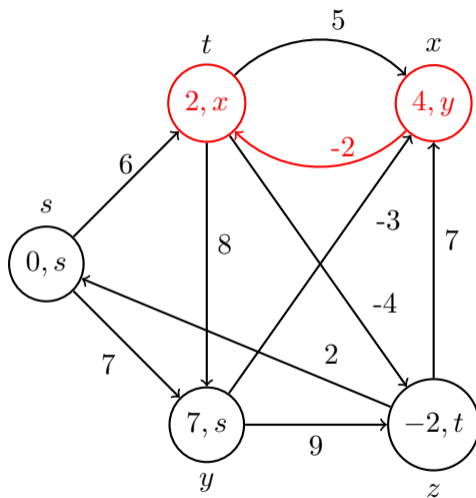
## Example: Bellman-Ford



$i = 4$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	×	×	✓							

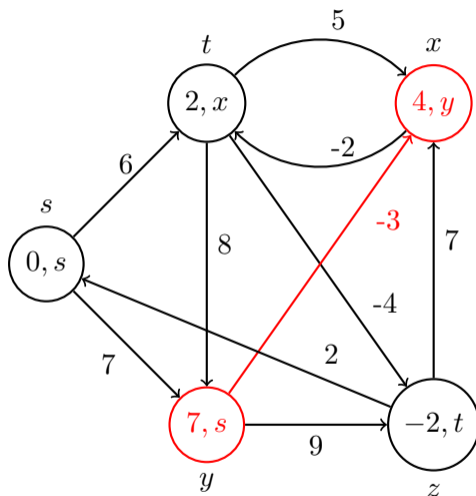


## Example: Bellman-Ford



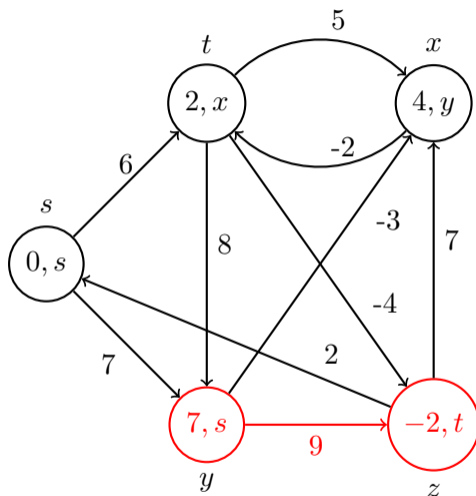
$i = 4$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	×	×	✓	×						

## Example: Bellman-Ford



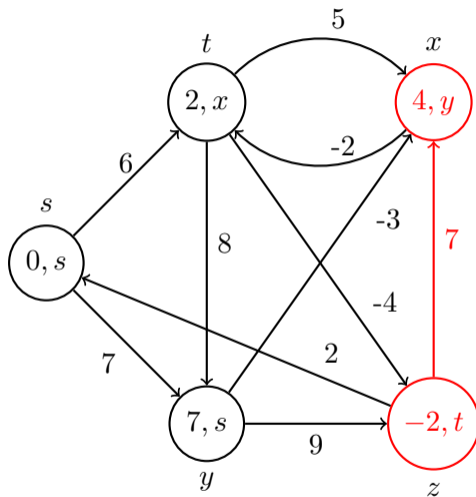
$i = 4$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	×	×	✓	×	×					

## Example: Bellman-Ford



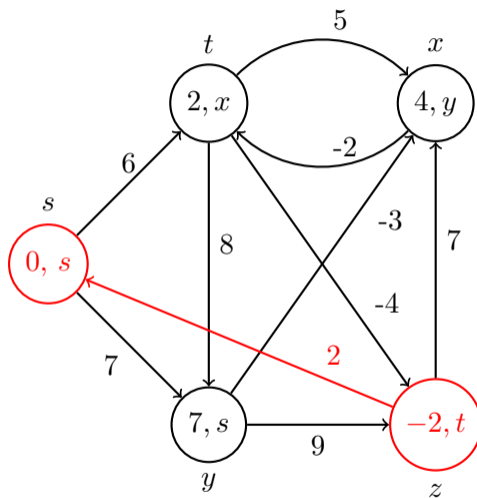
$i = 4$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	$\times$	$\times$	$\checkmark$	$\times$	$\times$	$\times$				

## Example: Bellman-Ford



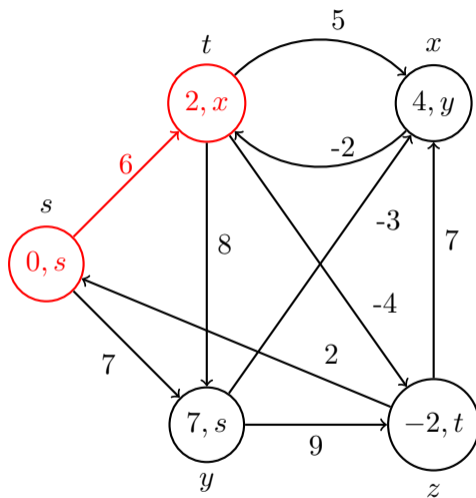
$i = 4$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	×	×	✓	×	×	×	×			

## Example: Bellman-Ford



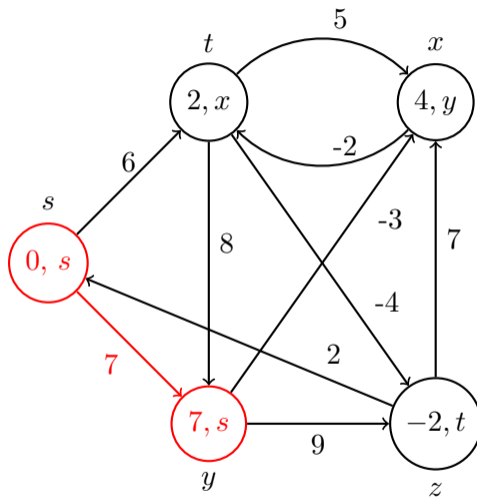
$i = 4$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	×	×	✓	×	×	×	×	×		

# Example: Bellman-Ford



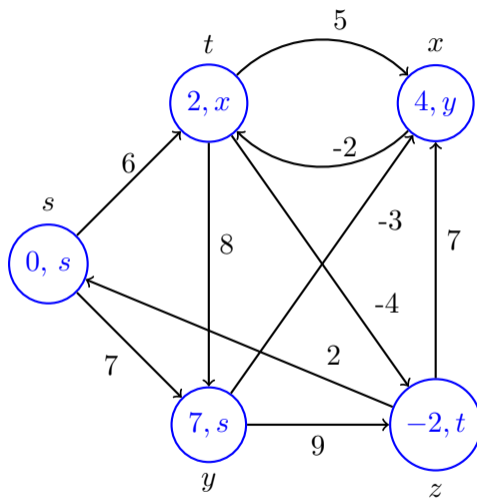
$i = 4$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	×	×	✓	×	×	×	×	×	×	×

# Example: Bellman-Ford



$i = 4$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	×	×	✓	×	×	×	×	×	×	×

## Example: Bellman-Ford



$i = 4$	$(t, x)$	$(t, y)$	$(t, z)$	$(x, t)$	$(y, x)$	$(y, z)$	$(z, x)$	$(z, s)$	$(s, t)$	$(s, y)$
	x	x	x	✓	x	x	x	x	x	x



# The Floyd-Warshall algorithm

# Outlook

## Floyd-Warshall

- **no fixed source:** computes all distances  $\delta(u, v)$
- negative weights OK but **no negative cycle**  
(can be tested in  $\Theta(mn)$  with Bellman-Ford)
- very simple pseudo-code, but slower than other algorithms
- another application of dynamic programming

**Remark:** doing Bellman-Ford from all  $u$  takes  $\Theta(mn^2)$

# Looking at subsets of vertices

## Subproblems for dynamic programming

- Bellman-Ford uses paths with **fixed numbers of steps**
- Floyd-Warshall restricts which **vertices** can be used

## Definition:

- for  $i = 0, \dots, n$ , set  $D_i(v_j, v_k)$  = length of the shortest walk  $v_j \rightsquigarrow v_k$  with all intermediate vertices in  $v_1, \dots, v_i$
- for  $i = 0$ , we get
  - $D_0(v_j, v_j) = 0$
  - $D_0(v_j, v_k) = w(v_j, v_k)$  if there is an edge  $(v_j, v_k)$
  - $D_0(v_j, v_k) = \infty$  otherwise
- $D_n(v_j, v_k) = \delta(v_j, v_k)$

## Pseudo-code

### Claim

$$D_i(v_j, v_k) = \min(D_{i-1}(v_j, v_k), D_{i-1}(v_j, v_i) + D_{i-1}(v_i, v_k))$$

**Proof:** either the shortest path does not go through  $v_i$ , or it does (if it does, it's only once)

### FloydWarshall( $G$ )

1. set up  $D_0$  above
2. **for**  $i = 1, \dots, n$  **do**
3.     **for**  $j = 1, \dots, n$  **do**
4.         **for**  $k = 1, \dots, n$  **do**
5.              $D_i[v_j, v_k] \leftarrow \min(D_{i-1}[v_j, v_k], D_{i-1}[v_j, v_i] + D_{i-1}[v_i, v_k])$

# Analysis

**Runtime and memory:**  $\Theta(n^3)$

## Exercise 1

prove that we can use only a single array  $D[v_j, v_k]$ , with

$$D[v_j, v_k] \leftarrow \min(D[v_j, v_k], D[v_j, v_i] + D[v_i, v_k])$$

**Hint:** if no negative cycle, this computes the same values (unlike Bellman-Ford)

## Exercise 2

to find all shortest paths, use an array  $P[v_j, v_k]$ , which gives the vertex following  $v_j$  on the shortest path to  $v_k$