

# CS 341: Algorithms

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Module 2: recurrences - master theorem -  
divide-and-conquer

## An example: merge sort

# Merge sort

**Goal:** sort an array of size  $n$ .

**Idea:** divide-and-conquer

- split the array in halves
- sort both halves
- merge

Call  $t(n)$  the maximum number of comparisons done for inputs of length  $n$

**Remarks** (from CS240)

- merging two sorted arrays of size  $n/2$  uses at most  $n - 1$  comparisons
- should not allocate new arrays, work in place

## Intro: a useful divide-and-conquer recurrence

The function  $t(n)$  satisfies

$$t(1) = 0, \quad t(n) = 2t(n/2) + n - 1 \quad (n \text{ a power of } 2)$$

**Remark:**  $\leq$  is easy,  $=$  needs a little care

Let  $T(n)$  be such that

$$T(1) = 0, \quad T(n) = 2T(n/2) + n \quad (n \text{ a power of } 2)$$

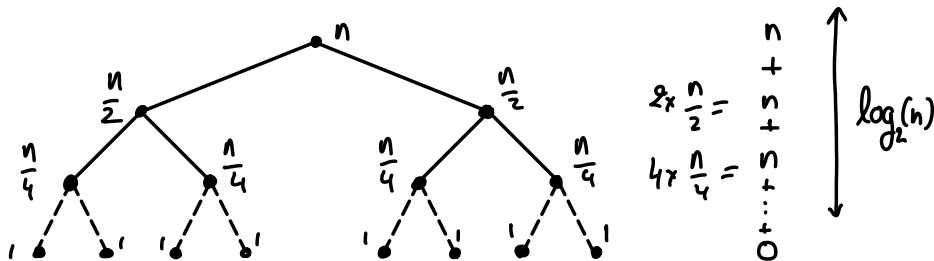
**Observation:**  $t(n) \leq T(n)$ , by induction

## Unrolling the recurrence

$$\begin{aligned}T(n) &= 2T(n/2) + n \\&= 2(2T(n/4) + n/2) + n \\&= 4T(n/4) + n + n \\&= 4T(n/4) + 2n \\&= 8T(n/8) + 3n \\&= \dots \\&= nT(n/n) + \log_2(n)n \\&= nT(1) + n\log_2(n) = n\log_2(n)\end{aligned}$$

( $n$  a power of two)

## Alternative: the recursion tree



Overall,  $T(n) = n \log_2(n)$ ,  $n$  a power of 2

**Remark:** expression for  $t(n)$  a bit less nice:  $t(n) = n(\log_2(n) - 1) + 1$ .

## Alternative: guess and prove

**Guess:**  $T(n) = n$

$$n \stackrel{?}{=} 2(n/2) + n$$

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**Guess:**  $T(n) = kn$ ,  $k$  TBD?

$$kn \stackrel{?}{=} 2(kn/2) + n = kn + n$$

**Guess:**  $T(n) = kn \log_2(n)$ ,  $k$  TBD?

$$kn \log_2(n) \stackrel{?}{=} 2(k(n/2) \log_2(n/2)) + n$$

RHS is  $kn \log_2(n/2) + n = kn \log_2(n) - kn + n$ , so OK if  $k = 1$ .

# The master theorem

## Master theorem

Consider two integers  $a, b \geq 1$ , a real number  $c \geq 0$  and a function  $T(n)$  s.t.

$$T(n) = aT\left(\frac{n}{b}\right) + \Theta(n^c), \quad T(1) = C$$

for  $n$  a power of  $b$ .

**Note:** for the analysis of a recursive algorithm,

- $b$  is the factor by which we reduce the problem size
- $a$  is the number of recursive calls
- $\Theta(n^c)$  is the cost to prepare the recursive calls and combine their results

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for  $n$  a power of  $b$ .

### Theorem (clean)

$$T(b^k) = \begin{cases} \Theta((b^k)^c) & \text{if } a < b^c \iff c > \log_b(a) \\ \Theta((b^k)^c \log(b^k)) & \text{if } a = b^c \iff c = \log_b(a) \\ \Theta((b^k)^{\log_b(a)}) & \text{if } a > b^c \iff c < \log_b(a) \end{cases}$$

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### Theorem (dirty)

$$T(n) = \begin{cases} \Theta(n^c) & \text{if } a < b^c \iff c > \log_b(a) \\ \Theta(n^c \log(n)) & \text{if } a = b^c \iff c = \log_b(a) \\ \Theta(n^{\log_b(a)}) & \text{if } a > b^c \iff c < \log_b(a) \end{cases}$$

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for  $n$  a power of  $b$ .

### Theorem (dirty)

$$T(n) = \begin{cases} \Theta(n^c) & \text{root-heavy} \\ \Theta(n^c \log(n)) & a = b^c \\ \Theta(n^{\log_b(a)}) & a > b^c \end{cases}$$

## Master theorem

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Only doing the proof for  $C = 1$  and  $\Theta(n^c) = n^c$ , general case is just a bit longer.

**Remark:** similar results with big-O and big-Omega instead of  $\Theta$



## Examples

$$T(n) = 4T(n/2) + n$$

multiplying polynomials

- $a = 4, b = 2, c = 1$  so  $\log_b(a) = 2$  and  $T(n) = \Theta(n^2)$

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- $a = 2, b = 2, c = 2$  so  $\log_b(a) = 1$  and  $T(n) = \Theta(n^2)$

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$$T(n) = 2T(n/2) + n^2$$

- $a = 2, b = 2, c = 2$  so  $\log_b(a) = 1$  and  $T(n) = \Theta(n^2)$

$$T(n) = 2T(n/4) + 1$$

kd-trees

- $a = 2, b = 4, c = 0$  so  $\log_b(a) = 1/2$  and  $T(n) = \Theta(\sqrt{n})$

## Examples

$$T(n) = T(n/2) + 1$$

binary search

- $a = 1, b = 2, c = 0$  so  $\log_b(a) = 0$  and  $T(n) = \Theta(\log(n))$

## Examples

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$$T(n) = T(n/2) + n$$

- $a = 1, b = 2, c = 1$  so  $\log_b(a) = 0$  and  $T(n) = \Theta(n)$

## Examples

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$$T(n) = T(n/2) + n$$

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$$T(n) = T(n/2)$$

- ?

## Examples

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- $a = 1, b = 2, c = 1$  so  $\log_b(a) = 0$  and  $T(n) = \Theta(n)$

$$T(n) = T(n/2)$$

- ?

$$T(n) = 2T(n/2) + n \log(n)$$

- ?

# Proof

**1: a formula for  $n = b^k$ .** The definition becomes

$$\begin{aligned}T(b^k) &= aT(b^{k-1}) + b^{kc} \\&= a(aT(b^{k-2}) + b^{(k-1)c}) + b^{kc} \\&= a^2T(b^{k-2}) + ab^{(k-1)c} + b^{kc} \\&= \dots \\&= a^k + a^{k-1}b^c + a^{k-2}b^{2c} + \dots + ab^{(k-1)c} + b^{kc}.\end{aligned}$$

**Note:** recursion tree has **1** root,  **$a$**  children,  **$a^2$**  grand-children,  $\dots$ ,  **$a^k$**  leaves



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$$\begin{aligned}T(b^k) &= a^k \left( 1 + \frac{b^c}{a} + \dots + \left( \frac{b^c}{a} \right)^k \right) \\&= (b^c)^k \left( 1 + \frac{a}{b^c} + \dots + \left( \frac{a}{b^c} \right)^k \right)\end{aligned}$$

# Proof

## 2: case discussion.

For  $n = b^k$ ,

- $k = \log_b(n)$  so  $a^k = a^{\log_b(n)} = n^{\log_b(a)}$
- $(b^c)^k = n^c$

# Proof

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so

- if  $a = b^c$ ,  $T(n) = a^k(k+1) = n^c(\log_b(n) + 1)$
- if  $a > b^c$ , the first sum is in  $[1, K_1)$  so  $n^{\log_b(a)} \leq T(n) \leq K_1 n^{\log_b(a)}$
- if  $a < b^c$ , the second sum is in  $[1, K_2)$  so  $n^c \leq T(n) \leq K_2 n^c$

This is **only** for  $n = b^k$ .

## Application to runtime analysis

Suppose algorithm  $A$  does the following on inputs  $I$  of size  $n$ .

**If  $1 \leq n < b$ , unit cost, else**

- $a$  recursive calls in sizes  $\lfloor n/b \rfloor$  or  $\lfloor n/b \rfloor + 1$  (if  $b$  does not divide  $n$ ), or exactly  $n/b$  (if  $b$  divides  $n$ )
- $X(I)$  extra operations.

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**Example:**

- merge-sort, counting only comparisons:  $a = b = 2$  and

$$\lfloor n/2 \rfloor \leq X(I) \leq n - 1$$

for input  $I$  of size  $n$ .

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- $X(I)$  extra operations.

### Theorem

Suppose that  $X(I) \leq h(|I|)$ , with  $h(n) \in O(n^c)$ . Then

$$T_{\text{worst}}(n) = \begin{cases} O(n^c) & \text{if } a < b^c \iff c > \log_b(a) \\ O(n^c \log(n)) & \text{if } a = b^c \iff c = \log_b(a) \\ O(n^{\log_b(a)}) & \text{if } a > b^c \iff c < \log_b(a) \end{cases}$$

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- $X(I)$  extra operations.

### Theorem

Suppose that  $\ell(|I|) \leq X(I)$ , with  $\ell(n) \in \Omega(n^c)$ . Then

$$T_{\text{worst}}(n) = \begin{cases} \Omega(n^c) & \text{if } a < b^c \iff c > \log_b(a) \\ \Omega(n^c \log(n)) & \text{if } a = b^c \iff c = \log_b(a) \\ \Omega(n^{\log_b(a)}) & \text{if } a > b^c \iff c < \log_b(a) \end{cases}$$

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### Theorem

Suppose that  $\ell(|I|) \leq X(I) \leq h(|I|)$ , with  $\ell(n), h(n) \in \Theta(n^c)$ . Then

$$T_{\text{worst}}(n) = \begin{cases} \Theta(n^c) & \text{if } a < b^c \iff c > \log_b(a) \\ \Theta(n^c \log(n)) & \text{if } a = b^c \iff c = \log_b(a) \\ \Theta(n^{\log_b(a)}) & \text{if } a > b^c \iff c < \log_b(a) \end{cases}$$



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- $X(I)$  extra operations.

**Example:**

- merge-sort, counting only comparisons:  $a = b = 2$ ,  $\ell(n) = \lfloor n/2 \rfloor$ ,  $h(n) = n - 1$   
Both  $\ell(n)$  and  $h(n)$  are in  $\Theta(n)$ , so  $c = 1$  and  $T_{\text{worst}}(n) \in \Theta(n \log(n))$ .

# Divide-and-conquer algorithms

# The framework

To solve a problem in size  $n$ :

## Divide

- break the input into **smaller** problems
- ideally few such problems, all of size  $n/b$  for some constant  $b$

## Conquer

- solve these subproblems recursively

## Recombine

- deduce the solution of the main problem from the subproblems

# Multiplying polynomials

**Goal:** given  $F = f_0 + \cdots + f_{n-1}x^{n-1}$  and  $G = g_0 + \cdots + g_{n-1}x^{n-1}$ , compute

$$H = FG = f_0g_0 + (f_0g_1 + f_1g_0)x + \cdots + f_{n-1}g_{n-1}x^{2n-2}$$

**Remark:** assume all  $f_i$  and  $g_i$  fit in one word. Then, input and output size  $\Theta(n)$ , easy algorithm in  $\Theta(n^2)$ .

<pre>1.    for <math>i = 0, \dots, n - 1</math> do 2.        for <math>j = 0, \dots, n - 1</math> do 3.            <math>h_{i+j} = h_{i+j} + f_i g_j</math></pre>
---

# Divide-and-conquer

**Idea:** write  $F = F_0 + F_1x^{n/2}$ ,  $G = G_0 + G_1x^{n/2}$ . Then

$$H = F_0G_0 + (F_0G_1 + F_1G_0)x^{n/2} + F_1G_1x^n$$

**Analysis:**

- 4 recursive calls in size  $n/2$
- $\Theta(n)$  additions to compute  $F_0G_1 + F_1G_0$
- multiplications by  $x^{n/2}$  and  $x^n$  are free
- $\Theta(n)$  additions to handle overlaps

**Recurrence:**  $T(n) = 4T(n/2) + \Theta(n)$

- $a = 4$ ,  $b = 2$ ,  $c = 1$  so  $T(n) = \Theta(n^2)$

Not better than the naive algorithm. We do the same operations.

# Karatsuba's algorithm

**Idea:** use the identity

$$(F_0 + F_1 x^{n/2})(G_0 + G_1 x^{n/2}) = \mathbf{F_0 G_0} + ((\mathbf{F_0} + \mathbf{F_1})(\mathbf{G_0} + \mathbf{G_1}) - \mathbf{F_0 G_0} - \mathbf{F_1 G_1})x^{n/2} + \mathbf{F_1 G_1}x^n$$

**Analysis:**

- 3 recursive calls in size  $n/2$
- $\Theta(n)$  additions to compute  $F_0 + F_1$  and  $G_0 + G_1$
- multiplications by  $x^{n/2}$  and  $x^n$  are free
- $\Theta(n)$  additions and subtractions to combine the results

**Recurrence:**  $T(n) = 3T(n/2) + \Theta(n)$

- $a = 3, b = 2, c = 1$  so  $\mathbf{T(n) = \Theta(n^{\log_2(3)})}$

$$\log_2(3) = 1.58 \dots$$

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**Analysis:**

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**Recurrence:**  $T(n) = 3T(n/2) + \Theta(n)$

- $a = 3, b = 2, c = 1$  so  $\mathbf{T(n) = \Theta(n^{\log_2(3)})}$   $\log_2(3) = 1.58 \dots$

**Remark:** key idea = a formula for degree-1 polynomials that does **3** multiplications

# Toom-Cook and FFT

## Toom-Cook:

- a family of algorithms based on similar expressions as Karatsuba
- for  $k \geq 2$ ,  $2k - 1$  recursive calls in size  $n/k$
- so  $T(n) = \Theta(n^{\log_k(2k-1)})$
- gets as close to exponent 1 as we want (but very slowly)

## FFT:

- if we use complex coefficients, FFT can be used to multiply polynomials
- FFT follows the same recurrence as merge sort,  $T(n) = 2T(n/2) + \Theta(n)$
- so we can multiply polynomials in  $\Theta(n \log(n))$  ops over  $\mathbb{C}$



# Multiplying matrices

**Goal:** given  $A = [a_{i,j}]_{1 \leq i,j \leq n}$  and  $B = [b_{j,k}]_{1 \leq j,k \leq n}$  compute  $C = AB$

**Remark:** input and output size  $\Theta(n^2)$ , easy algorithm in  $\Theta(n^3)$

```
1.   for  $i = 1, \dots, n$  do
2.       for  $j = 1, \dots, n$  do
3.           for  $k = 1, \dots, n$  do
4.                $c_{i,k} = c_{i,k} + a_{i,j}b_{j,k}$ 
```

# Divide-and-conquer

**Setup:** write

$$A = \begin{pmatrix} A_{1,1} & A_{1,2} \\ A_{2,1} & A_{2,2} \end{pmatrix} \quad B = \begin{pmatrix} B_{1,1} & B_{1,2} \\ B_{2,1} & B_{2,2} \end{pmatrix}$$

with all  $A_{i,k}, B_{i,j}$  of size  $n/2 \times n/2$ . Then

$$C = \begin{pmatrix} A_{1,1}B_{1,1} + A_{1,2}B_{2,1} & A_{1,1}B_{1,2} + A_{1,2}B_{2,2} \\ A_{2,1}B_{1,1} + A_{2,2}B_{2,1} & A_{2,1}B_{1,2} + A_{2,2}B_{2,2} \end{pmatrix}$$

**Naively:** 8 recursive calls in size  $n/2 + \Theta(n^2)$  additions  $\implies T(n) = \Theta(n^3)$

**Goal:** find a better formula for  $2 \times 2$  matrices

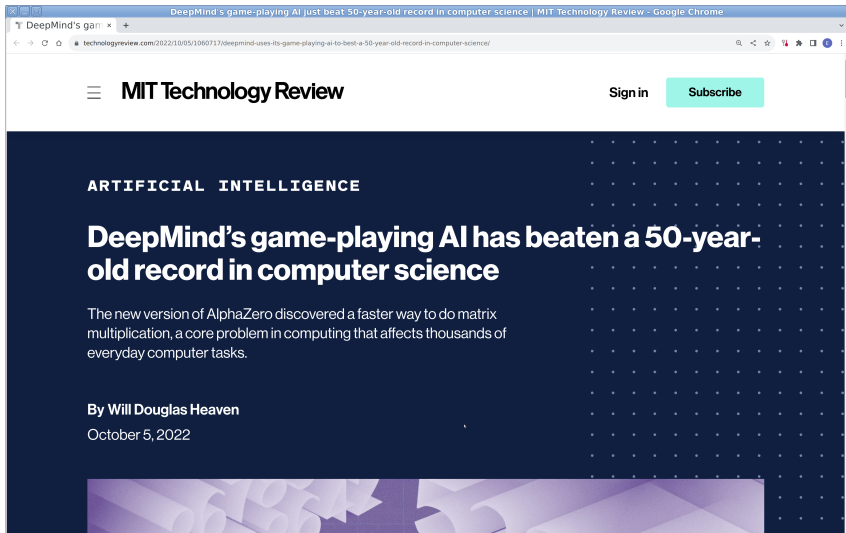
# Strassen's algorithm

Compute

$$\left| \begin{array}{lcl} Q_1 & = & (A_{1,1} - A_{1,2})B_{2,2} \\ Q_2 & = & (A_{2,1} - A_{2,2})B_{1,1} \\ Q_3 & = & A_{2,2}(B_{1,1} + B_{2,1}) \\ Q_4 & = & A_{1,1}(B_{1,2} + B_{2,2}) \\ Q_5 & = & (A_{1,1} + A_{2,2})(B_{2,2} - B_{1,1}) \\ Q_6 & = & (A_{1,1} + A_{2,1})(B_{1,1} + B_{1,2}) \\ Q_7 & = & (A_{1,2} + A_{2,2})(B_{2,1} + B_{2,2}) \end{array} \right. \quad \text{and} \quad \left| \begin{array}{lcl} C_{1,1} & = & Q_1 - Q_3 - Q_5 + Q_7 \\ C_{1,2} & = & Q_4 - Q_1 \\ C_{2,1} & = & Q_2 + Q_3 \\ C_{2,2} & = & -Q_2 - Q_4 + Q_5 + Q_6 \end{array} \right.$$

**Analysis:** 7 recursive calls in size  $n/2 + \Theta(n^2)$  additions  $\implies T(n) = \Theta(n^{\log_2(7)})$   
 $\log_2(7) = 2.80 \dots$

# Faster algorithms: AI to the rescue



# What this means

## Direct generalization

- an algorithm that does  $k$  multiplications for matrices of size  $\ell$  gives  
 $T(n) \in \Theta(n^{\log_\ell(k)})$

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**One challenge:** find best  $k$  for small values of  $\ell$

- SAT solving, gradient descent, ...
- AlphaTensor found some better values, but none beats Strassen (except for matrices over  $\{0, 1\}$ , with operations modulo 2)

# What this means

## Direct generalization

- an algorithm that does  $k$  multiplications for matrices of size  $\ell$  gives  $T(n) \in \Theta(n^{\log_\ell(k)})$

**One challenge:** find best  $k$  for small values of  $\ell$

- SAT solving, gradient descent, ...
- AlphaTensor found some better values, but none beats Strassen (except for matrices over  $\{0, 1\}$ , with operations modulo 2)

**Best exponent to date** (using more than just divide-and-conquer)

- $O(n^{2.37188})$ , improves from previous record  $O(n^{2.37286})$
- galactic algorithms

# Counting inversions

**Goal:** given an unsorted array  $A[1..n]$ , find the number of **inversions** in it.

**Def:**  $(i, j)$  is an inversion if  $i < j$  and  $A[i] > A[j]$

**Example:** with  $A = [1, 5, 2, 6, 3, 8, 7, 4]$ , we get

$(2, 3), (2, 5), (2, 8), (4, 5), (4, 8), (6, 7), (6, 8), (7, 8)$



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**Remark:** we show the **indices** where inversions occur

**Remark:** easy algorithm (two nested loops) in  $\Theta(n^2)$

**Remark:** to do better than  $n^2$ , we cannot **list** all inversions

# Toward a divide-and-conquer algorithm

**Idea** (for  $n$  a power of two)

- $c_\ell$  = number of inversions in  $A[1..n/2]$
- $c_r$  = number of inversions in  $A[n/2 + 1..n]$
- $c_t$  = number of **transverse** inversions with  $i \leq n/2$  and  $j > n/2$
- return  $c_\ell + c_r + c_t$

**Example:** with  $A = [1, 5, 2, 6, 3, 8, 7, 4]$

- $c_\ell = 1$  (2, 3)
- $c_r = 3$  (6, 7), (6, 8), (7, 8)
- $c_t = 4$  (2, 5), (2, 8), (4, 5), (4, 8)

$c_\ell$  and  $c_r$  done recursively. What about  $c_t$ ?

## Transverse inversions

**Goal:** how many pairs  $(i, j)$  with  $i \leq n/2$ ,  $j > n/2$ ,  $A[i] > A[j]$ ?

**Example:** with  $A = [1, 5, 2, 6, 3, 8, 7, 4]$

$c_t = \#i$ 's greater than 3 +  $\#i$ 's greater than 8 +  $\#i$ 's greater than 7 +  
 $\#i$ 's greater than 4

**or**

$c_t = \#j$ 's less than 1 +  $\#j$ 's less than 5 +  $\#j$ 's less than 2 +  $\#j$ 's less than 6

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**or**

$c_t = \#j$ 's less than 1 +  $\#j$ 's less than 5 +  $\#j$ 's less than 2 +  $\#j$ 's less than 6

**Observation:** this number does not change if both sides are **sorted**

So assume that left and right are sorted after the recursive calls.

**Example:** starting from  $[1, 5, 2, 6, 3, 8, 7, 4]$ , we get

$[1, 2, 5, 6, 3, 4, 7, 8]$

# Enhancing mergesort

**Idea:** find  $c_t$  during merge.

**Merge**( $A[1..n]$ ) (both halves of  $A$  assumed sorted)

1.     copy  $A$  into a new array  $S$
2.      $i = 1; j = n/2 + 1;$
3.     **for** ( $k \leftarrow 1; k \leq n; k++$ ) **do**
4.         **if** ( $i > n/2$ )  $A[k] \leftarrow S[j++]$
5.         **else if** ( $j > n$ )  $A[k] \leftarrow S[i++]$
6.         **else if** ( $S[i] < S[j]$ )  $A[k] \leftarrow S[i++]$
7.         **else**  $A[k] \leftarrow S[j++]$

# Enhancing mergesort

**Idea:** find  $c_t$  during merge.

**Merge**( $A[1..n]$ ) (both halves of  $A$  assumed sorted)

1. copy  $A$  into a new array  $S$ ;  $c = 0$
2.  $i = 1$ ;  $j = n/2 + 1$ ;
3. **for** ( $k \leftarrow 1$ ;  $k \leq n$ ;  $k++$ ) **do**
4.     **if** ( $i > n/2$ )  $A[k] \leftarrow S[j++]$
5.     **else if** ( $j > n$ )  $A[k] \leftarrow S[i++]$ ;  $c = c + n/2$
6.     **else if** ( $S[i] < S[j]$ )  $A[k] \leftarrow S[i++]$ ;  $c = c + j - (n/2 + 1)$
7.     **else**  $A[k] \leftarrow S[j++]$

**Example:** with  $[1, 2, 5, 6, 3, 4, 7, 8]$

- when we insert 1 back into  $A$ ,  $j = 5$  so  $c = c + 0$
- when we insert 2 back into  $A$ ,  $j = 5$  so  $c = c + 0$
- when we insert 5 back into  $A$ ,  $j = 7$  so  $c = c + 2$
- when we insert 6 back into  $A$ ,  $j = 7$  so  $c = c + 2$

# Analysis

Enhanced merge is still  $\Theta(n)$  so total remains  $\Theta(n \log(n))$

**Remark:**  $\Omega(n \log(n))$  lower bound in the comparison model (decision tree)

# Closest pairs

**Goal:** given  $n$  points  $(x_i, y_i)$  in the plane, find a pair  $(i, j)$  that minimizes the distance

$$d_{i,j} = \sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$$

Equivalent to minimize

$$d_{i,j}^2 = (x_i - x_j)^2 + (y_i - y_j)^2$$

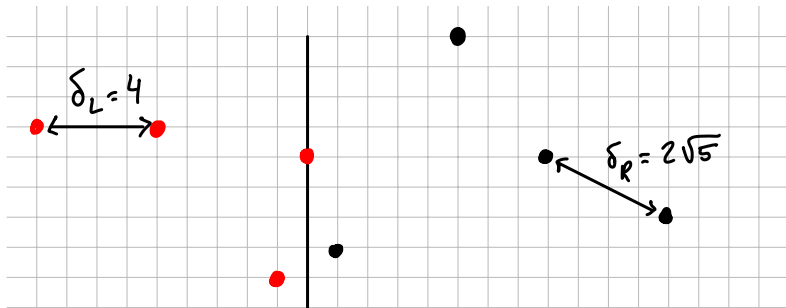
**Assumption:** all  $x_i$ 's are pairwise distinct



# Divide-and-conquer

**Idea:** separate the points into two halves  $L, R$  at the median  $x$ -value

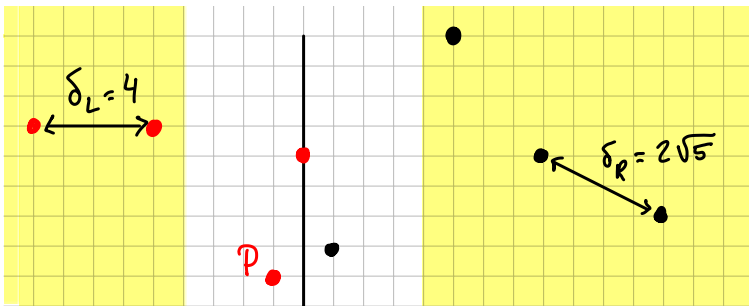
- $L$  = all  $n/2$  points with  $x \leq x_{\text{median}}$
- $R$  = all  $n/2$  points with  $x > x_{\text{median}}$
- find the closest pairs in both  $L$  and  $R$  recursively
- the closest pair is either **between points in  $L$**  (done), or **between points in  $R$**  (done), or **transverse** (one in  $L$ , one in  $R$ )



## Finding the shortest transverse distance

Set  $\delta = \min(\delta_L, \delta_R)$

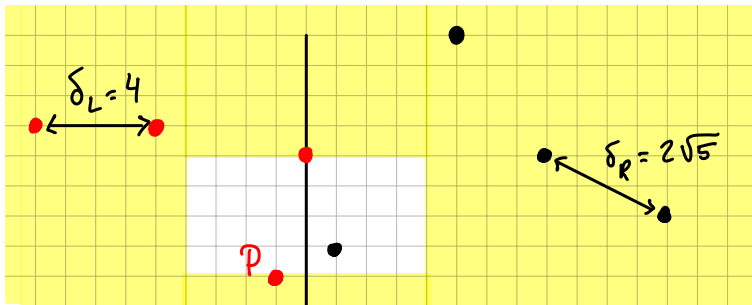
- We only need to consider transverse pairs  $(P, Q)$  with  $\text{dist}(P, R) \leq \delta$  and  $\text{dist}(Q, L) \leq \delta$ .



## Finding the shortest transverse distance

Set  $\delta = \min(\delta_L, \delta_R)$

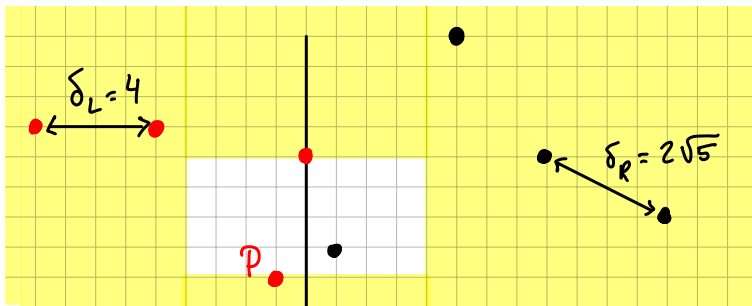
- For any  $P = (x_P, y_P)$ , enough to look at points with  $y_P \leq y < y_P + \delta$



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So it is enough to check distances  $d(P, Q)$  for  $Q$  in the rectangle.

## How many points in the rectangle?

### Claim

There are at most **8** points from our initial set (including  $P$ ) in the rectangle.

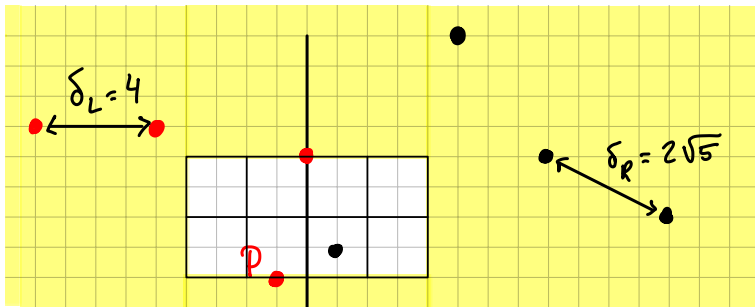
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**Proof.** Cover the rectangle with **8** squares of side length  $\delta/2$

- open on the left, closed on the right
- they overlap along lines, but it's OK



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- they overlap along lines, but it's OK

Squares on the left only contain points from  $L$ , squares on the right only contain points from  $R$ .

Consequence: in each square, only one point (either from  $L$  or  $R$ ).

# Data structures

**Initialization:** sort the points **twice**, with respect to  $x$  and to  $y$ .

One-time cost  $O(n \log(n))$ , before recursive calls

cf kd-trees

**Then:** recursion

- finding the  $x$ -median is easy  $O(1)$
- for the next recursive calls, split the sorted lists  $O(n)$
- remove the points at distance  $\geq \delta$  from the  $x$ -splitting line  $O(n)$
- inspect all remaining points in increasing  $y$ -order. For each of them, compute the distance to the next 8 points and keep the min.  $O(n)$

**Runtime:**  $T(n) = 2T(n/2) + \Theta(n)$  so  $T(n) \in \Theta(n \log(n))$



## Beyond the master theorem: median of medians

**Median:** given  $A[0..n-1]$ , find the entry that would be at index  $\lfloor n/2 \rfloor$  if  $A$  was sorted

**Selection:** given  $A[0..n-1]$  and  $k$  in  $\{0, \dots, n-1\}$ , find the entry that would be at index  $k$  if  $A$  was sorted

**Known results:** sorting  $A$  in  $O(n \log(n))$ , or a simple randomized algorithm in expected time  $O(n)$

# The selection algorithm

**quick-select**( $A, k$ )

$A$ : array of size  $n$ ,  $k$ : integer s.t.  $0 \leq k < n$

1.  $p \leftarrow$  **choose-pivot**( $A$ )
2.  $i \leftarrow$  **partition**( $A, p$ )  $i$  is the correct index of  $p$
3. **if**  $i = k$  **then**
4.     **return**  $A[i]$
5. **else if**  $i > k$  **then**
6.     **return** **quick-select**( $A[0, 1, \dots, i - 1], k$ )
7. **else if**  $i < k$  **then**
8.     **return** **quick-select**( $A[i + 1, i + 2, \dots, n - 1], k - i - 1$ )

**Question:** how to find a pivot such that both  $i$  and  $n - i - 1$  are not too large?

# Median of medians

## Sketch of the algorithm:

- divide  $A$  into  $n/5$  groups  $G_1, \dots, G_{n/5}$  of size 5
- find the medians  $m_1, \dots, m_{n/5}$  of each group
- pivot  $p$  is the median of  $[m_1, \dots, m_{n/5}]$

$O(n)$

$T(n/5)$

### Claim

With this choice of  $p$ , the indices  $i$  and  $n - i - 1$  are at most  $7n/10$

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$T(n/5)$

### Claim

With this choice of  $p$ , the indices  $i$  and  $n - i - 1$  are at most  $7n/10$

## Proof

- half of the  $m_i$ 's are greater than  $p$
- for each  $m_i$ , there are 3 elements in  $G_i$  greater than or equal to  $m_i$
- so at least  $3n/10$  elements greater than  $p$
- so at most  $7n/10$  elements less than  $p$
- so  $i$  is at most  $7n/10$ . Same thing for  $n - i - 1$

$n/10$

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With this choice of  $p$ , the indices  $i$  and  $n - i - 1$  are at most  $7n/10$

**Consequence:** the runtime  $T(n)$  satisfies

$$T(n) \leq T(n/5) + T(7n/10) + O(n)$$

### Claim

This gives  $T(n) \in O(n)$

## Establishing $T(n)$ by guess-and-prove

Suppose more precisely  $T(n) \leq T(n/5) + T(7n/10) + \alpha n$ ,  $\alpha$  constant.

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**Guess:**  $T(n) \leq n$ . If true for  $n/5$  and  $7n/10$ , we get

$$T(n) \leq n/5 + 7n/10 + \alpha n = (\alpha + 9/10)n$$

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**Guess:**  $T(n) \leq kn$ ,  $k$  TBD. If true for  $n/5$  and  $7n/10$ , we get

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Want  $k$  such that  $\alpha + 9k/10 = k$ : take  $k = 10\alpha$ .



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Want  $k$  such that  $\alpha + 9k/10 = k$ : take  $k = 10\alpha$ .

So  $T(n)$  is in  $O(n)$ , but with a relatively large constant.

## Final remark

### Why not median of three?

- we do  $n/3$  groups of 3 and find their medians  $m_1, \dots, m_{n/3}$
- $p$  is the median of  $[m_1, \dots, m_{n/3}]$
- half of the  $m_i$ 's are greater than  $p$
- in each group, 2 elements greater than or equal to  $m_i$
- so overall at least  $n/3$  elements greater than  $p$
- so  $i \leq 2n/3$ , and  $n - 1 - i \leq 2n/3$

$O(n)$

$T(n/3)$

$n/6$

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$O(n)$

$T(n/3)$

$n/6$

**Recurrence:**  $T(n) \leq T(n/3) + T(2n/3) + O(n)$

What does this give for  $T(n)$ ?