# Scaling and Probabilistic Smoothing (SAPS)

### Dave A. D. Tompkins, Frank Hutter, and Holger H. Hoos

Computer Science Department University of British Columbia {davet,hutter,hoos}@cs.ubc.ca

## 1 Preface

This paper is essentially a reprint of the solver description from the 2004 competition, as the software submitted this year is identical to the 2004 software.

## 2 SAPS and Variants

The SAPS algorithm is a Dynamic Local Search (DLS) algorithm conceptually closely related to the Exponentiated Sub-Gradient (ESG) algorithm developed by Schuurmans, Southey and Holte [3]. When introducing SAPS, our major contributions were a reduction in the algorithmic complexity as compared to the ESG algorithm and a new perspective on how the two algorithms were behaving. The SAPS algorithm is described in detail in our paper [2] and Figure 1 contains a pseudo-code representation that accurately reflects how the SAPS algorithm has been implemented in practice.

Similar to most DLS algorithms, SAPS assigns a clause penalty clp to each clause, and the search evaluation function of SAPS is the sum of the clause penalties of unsatisfied clauses. The core search procedure is a greedy descent without sideways steps. Whenever a local minimum occurs (no step improvement in the evaluation function greater than  $SAPS_{thresh}$  is possible) a random walk step occurs with probability wp. Otherwise, a scaling step occurs, where the penalties for unsatisfied clauses are multiplied by the scaling factor  $\alpha$  (i.e. clp' := $\alpha \cdot clp$ ). After a scaling step, a smoothing step occurs with probability  $P_{smooth}$ . In a smoothing step, all penalties are adjusted according to the mean penalty value clp and the smoothing factor  $\rho$  (i.e.  $clp' := clp + (1 - \rho) \cdot \overline{clp}$ .

Along with the SAPS algorithm, we also developed a reactive variant (RSAPS) [2] that reactively changes the smoothing parameter  $\rho$  during the search process whenever search stagnation is

```
\textbf{procedure SAPS}(F, \alpha, \rho, wp, P_{smooth}, SAPS_{thresh})
   input:
       propositional formula F, scaling factor \alpha,
        smoothing factor \rho, random walk probability wp
        smoothing probability P_{smooth},
        SAPS threshold SAPS_{thresh}
    output:
        variable assignment A
    for i := 1..|A| do a(i) := \text{RandSelect}(\{\top, \bot\})
    for j := 1..|CLP| do clp(j) := 1
    while (F \text{ is unsatisfied under } A) do
        curScore := Eval(F, A, CLP)
        bestScore := \infty
        BestVars := \emptyset
        for each i s.t. variablei appears in an unsatisfied clause do
            score := Eval(F, Flip(A, i), CLP)
            if \ score < bestScore \ then
               bestScore := score
                BestVars := \{i\}
            else if score = bestScore then
                BestVars := BestVars \cup \{i\}
            end if
        end for
        if (bestScore - curScore) < SAPS_{thresh} then
            k := RandSelect(BestVars)
            A := Flip(A, k)
            with probability wp do
               k := \text{RandSelect}(\{1..|A|\})
               A := \operatorname{Flip}(A, k)
            otherwise
               for each j s.t. clausej is unsatisfied under A do
                   clp(j) \coloneqq clp(j) \times \alpha
               with probability P_{smooth} do for j := 1..|CLP| do
                       clp(j) := clp(j) + (1 - \rho) \times \overline{clp}
                   end for
               end with
           end with
        end if
end procedure SAPS
```

Figure 1: The SAPS algorithm. For each clause  $\underline{j}$  in F there is a clause penalty clp(j) in CLP, and  $\overline{clp}$  is the mean of all clause penalties.  $\operatorname{Eval}(F,A,CLP)$  is the sum of all clp(j) where clausej is unsatisfied in F by A. In practice,  $\operatorname{Eval}(...)$  values are cached and updated after each flip.  $\operatorname{Flip}(A,i)$  returns the variable assignment A with variable i flipped.

detected, using the same adaptive mechanism as Adaptive Novelty<sup>+</sup> [1]. More recently we have developed a *de-randomised* variant of SAPS called SAPS/NR [6], which eliminates all sources of random decisions throughout the search (breaking ties deterministically, performing periodic smoothing, and no random walk steps) and which relies upon the initial random variable assignment as the only source of randomness.

In our experiments, we have found that SAPS, RSAPS and SAPS/NR are amongst the state-of-theart SLS SAT solvers, and each typically performs better than ESG, and the best WalkSAT variants *e.g.*, Novelty<sup>+</sup> [2]. We have also conducted experiments that show SAPS is similarly effective on MAX-SAT problem instances [4].

# 3 Contest Implementation

For the SAT 2005 competition we entered just the SAPS variant which was implemented in the UBC-SAT software package [5] the source code for which is freely available at http://www.satlib.org/ubcsat. The default parameters for SAPS were used  $(\alpha, \rho, wp, P_{smooth}, SAPS_{thresh}) = (1.3, 0.8, 0.01, 0.05, -0.1).$ 

#### References

- [1] H. H. Hoos. An adaptive noise mechanism for WalkSAT. In *Proc. of the 18th Nat'l Conf. in Artificial Intelligence (AAAI-02)*, pages 655–660, 2002.
- [2] F. Hutter, D. A. D. Tompkins, and H. H. Hoos. Scaling and probabilistic smoothing: Efficient dynamic local search for SAT. In LNCS 2470: Proc. of the Eighth Int'l Conf. on Principles and Practice of Constraint Programming (CP-02), pages 233–248, 2002.
- [3] D. Schuurmans, F. Southey, and R. C. Holte. The exponentiated subgradient algorithm for heuristic boolean programming. In Proc. of the Seventeenth Int'l Joint Conf. on Artificial Intelligence (IJCAI-01), pages 334–341, 2001.
- [4] D. A. D. Tompkins and H. H. Hoos. Scaling and probabilistic smoothing: Dynamic local search for unweighted MAX-SAT. In LNAI 2671: Proc. of the 16th Conf. of the Canadian Society for Computational Studies of Intelligence (AI-2003), pages 145–159, 2003.
- [5] D. A. D. Tompkins and H. H. Hoos. UBCSAT: An implementation and experimentation environment for SLS algorithms for SAT and MAX-SAT. In LNCS 3542: Proceedings of the Seventh International Conference on Theory and Applications of Satisfiability Testing (SAT 2004), pages 305–319, 2004.
- [6] D. A. D. Tompkins and H. H. Hoos. Warped landscapes and random acts of SAT solving. In Proc. of the Eighth Int'l Symposium on Artificial Intelligence and Mathematics (ISAIM-04), 2004.