

# Combinatorial Batch Codes

Maura B. Paterson<sup>1</sup> Douglas R. Stinson<sup>2</sup> Ruizhong Wei<sup>3</sup>

<sup>1</sup>Information Security Group  
Royal Holloway, University of London

<sup>2</sup>David R. Cheriton School of Computer Science  
University of Waterloo

<sup>3</sup>Department of Computer Science  
Lakehead University

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## combinatorial batch codes

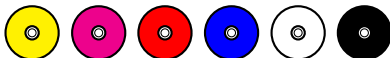
Batch codes were introduced by Ishai, Kushilevitz, Ostrovsky and Sahai at STOC 2004. We study a special case of batch codes that we call **combinatorial batch codes**.

- $n$  items
- $m$  servers
- $N$ : total number of items stored
- Goal: retrieve any  $k$  items by reading at most one from each server
- Here  $n = 6$ ,  $m = 3$ ,  $N = 9$  and  $k = 2$

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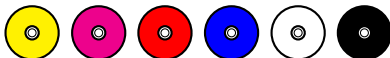
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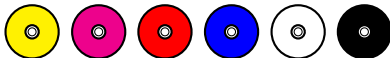


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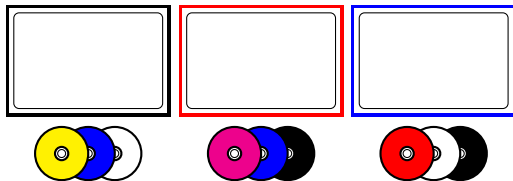
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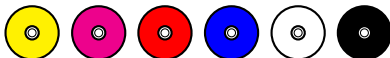


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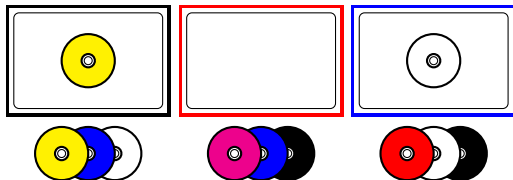
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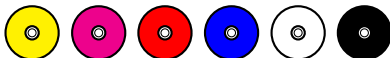


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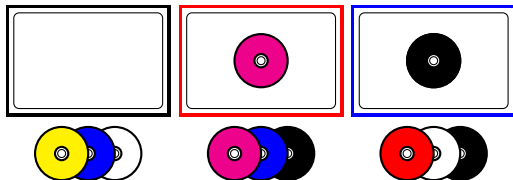
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# questions

Notation:  $(n, N, k, m) - CBC$

$n$  items, total storage  $N$ ,  $m$  servers,  $k$  items read

Given  $n$ ,  $m$ ,  $k$ , what is the minimum possible value of  $N$ ?  
Denote this value by  $N(n, k, m)$ .

For a fixed rate  $\frac{n}{N}$ , and fixed  $k$ , what is the largest possible value of  $n$  (as a function of  $m$ )?



# incidence matrix representation

## Lemma

An  $m \times n$  0-1 matrix containing exactly  $N$  ones is an incidence matrix of an  $(n, N, k, m)$  – CBC  $\Leftrightarrow$  any  $k$  columns contain a  $k \times k$  submatrix with a transversal containing  $k$  ones.

	items					
servers	1	0	0	1	1	0
	0	1	0	1	0	1
	0	0	1	0	1	1

We view the incidence matrix as representing a set system:

**point**  $\leftrightarrow$  server

**block**  $\leftrightarrow$  set of servers containing a particular item

# Hall's marriage theorem

## Theorem

Suppose  $(X, \mathcal{B})$  is a set system. Then any subcollection of  $k$  blocks  $B_1, B_2, \dots, B_k$  has a *system of distinct representatives*  
 $\Leftrightarrow$  for all  $i$ ,  $1 \leq i \leq k$  it holds that

**SDR**( $i$ ) for any subcollection of  $i$  blocks  $B_{j_1}, B_{j_2}, \dots, B_{j_i} \in \mathcal{B}$   
it holds that  $\left| \bigcup_{l=1}^i B_{j_l} \right| \geq i$ .

The previous example corresponds to the set system containing blocks  $\{1\}$ ,  $\{2\}$ ,  $\{3\}$ ,  $\{1, 2\}$ ,  $\{1, 3\}$ ,  $\{2, 3\}$ .

## trivial examples

- Each server stores all items:  $m = k$ ,  $N = kn$
- Each server stores one item:  $m = n = N$ . Here we have  $N(n, k, n) = n$ .

Therefore we are only interested in examples with  $n < N < kn$ .

When  $k = m$ , we have  $N(n, k, k) = kn - k(k - 1)$ .

Example:  $k = 4$ ,  $n = 7$

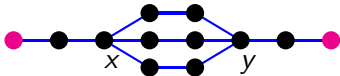
1	0	0	0	1	1	1
0	1	0	0	1	1	1
0	0	1	0	1	1	1
0	0	0	1	1	1	1

Next, we present a construction for batch codes where  $n$  is a bit larger than  $m$ .

## $(k, p)$ -flying saucer

Suppose  $k \equiv 2 \pmod{3}$

- Two vertices  $x$  and  $y$  are joined by  $p$  (disjoint) paths of length  $\frac{k+1}{3}$ .
- Paths of length  $\frac{k-2}{3}$  are joined to  $x$  and  $y$ .



$k = 8, p = 3$

- number of vertices is  $V = \frac{(p+2)(k-2)}{3} + 2$ .
- number of edges is  $E = \frac{p(k+1)}{3} + \frac{2(k-2)}{3}$ .

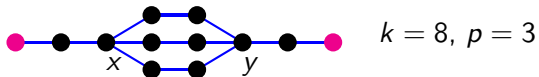
# constructing a CBC from a flying-saucer

## Theorem

Let  $k, p$  be positive integers with  $k \equiv 2 \pmod{3}$  and suppose  $m \geq V$ . Then there exists an  $(m + p, m + p + E, k, m)$ -CBC.

- Construct a  $(k, p)$ -FS and add isolated vertices until there are  $m$  vertices.
- Add a loop to each isolated vertex, and to each of the vertices of degree 1.
- Construct an incidence matrix whose rows are labelled by the vertices and whose columns are labelled by edges.

# constructing a CBC from a flying-saucer



1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0
1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0
0	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	1	1	0	0	1	1	0	0	0	0
0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	1	0	0	0	0	0	1	0
0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0
0	0	0	0	1	0	0	0	0	0	0	0	1	0	0	0
0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0

(15, 28, 8, 12)-CBC

## the special case $n = m + 1$

### Theorem

For any positive integer  $k$ , we have  $N(m + 1, k, m) = m + k$ .

- A  $(k, 1)$ -FS is just a path of length  $k - 1$
- The construction produces an  $(m + 1, m + k, k, m)$ -CBC.
- The pigeon-hole principle can be used to show this is the best you can do.

# an optimal construction for large $n$

## Theorem

For  $n \geq (k-1)\binom{m}{k-1}$ , we have

$$N(n, k, m) = kn - (k-1)\binom{m}{k-1}.$$

Example:  $n = 23$ ,  $m = 5$ ,  $k = 3$

1	1	1	1	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0	1	1	1	
1	1	0	0	0	0	0	0	1	1	1	1	1	1	0	0	0	0	0	1	1	1	
0	0	1	1	0	0	0	0	1	1	0	0	0	0	1	1	1	1	0	0	1	1	1
0	0	0	0	1	1	0	0	0	0	1	1	0	0	1	1	0	0	1	1	0	0	0
0	0	0	0	0	0	1	1	0	0	0	0	1	1	0	0	1	1	1	1	0	0	0



## uniform batch codes with rate $\frac{1}{c}$

A batch code is **uniform** if every server stores the same number of items.

We would like to determine the maximum  $n$  for which there exists a uniform  $(n, cn, k, m)$ -CBC; denote this number by  $n(m, c, k)$ .

### Theorem

$$n(m, c, k) \leq \frac{(k-1)\binom{m}{c}}{\binom{k-1}{c}}.$$

We have equality in the following cases:

- $n(m, c, c+1) = c\binom{m}{c}$
- $n(m, c, c+2) = \binom{m}{c}$

## batch codes with rate $\frac{1}{2}$

- Each block has two points  $\rightarrow$  we can represent the set system by a multigraph.
- For each  $i \leq k$ , the graph contains no subgraphs with  $i$  edges but fewer than  $i$  vertices.

### Lemma

*If there is a graph  $G$  with  $m$  vertices,  $n$  edges and **girth**  $g$ , then there is a uniform  $(n, 2n, k, m)$ -CBC with  $k = 2g - \lfloor g/2 \rfloor - 1$  and rate  $1/2$ .*

## constructions from bipartite graphs

- A bipartite graph has girth at least 4.
- The complete bipartite graph  $K_{\lceil \frac{m}{2} \rceil, \lfloor \frac{m}{2} \rfloor}$  yields a uniform  $(\lceil (m^2 - 1)/4 \rceil, 2 \lceil (m^2 - 1)/4 \rceil, 5, m)$ -CBC with rate  $1/2$ .

### Theorem

$$\left\lceil \frac{m^2 - 1}{4} \right\rceil \leq n(m, 2, 5) \leq \left\lceil \frac{m^2 + 2m - 3}{4} \right\rceil.$$

The proof of the upper bound uses a result of Dirac on graphs that contain no subgraph isomorphic to  $K_4 - e$ .

## $d$ -regular graphs of large girth

Margulis 1984, Lubotzky *et al.* 1988 constructed  $d$ -regular graphs with girth

$$g \geq \frac{4}{3} \frac{\log m}{\log(d-1)} - \frac{\log 4}{\log(d-1)},$$

where  $d - 1$  is any prime  $p \equiv 1 \pmod{4}$  and  $m$  is the number of vertices.

### Theorem

There exists a uniform  $(dm/2, dm, 2 \log m / \log(d - 1), m)$ -CBC when  $d - 1 \equiv 1 \pmod{4}$  is prime.

(This CBC has  $n = \Omega(m^{(k+2)/k})$ .)

# probabilistic construction for arbitrary $c$

## Theorem

For integers  $c \geq 2$ ,  $k \geq 2$  there exists a constant  $a_{c,k}$  such that there exists a uniform  $(n, cn, k, m)$ -CBC with  $n \geq a_{c,k} m^{ck/(k-1)-1}$ , having rate  $1/c$ .

Here  $n = \Omega(m^{ck/(k-1)-1})$ . This improves the result in Ishai *et al.* who showed that  $n = \Omega(m^{c-1})$ .

## open problems

1. How close to being optimal are the constructions using flying saucers? In particular, is it true that

$$N(m + p, k, m) - N(m + p - 1, k, m) \approx \frac{k}{3}$$

when  $p > 1$  and  $m$  is sufficiently large as a function of  $p$  and  $k$ ?

2. Are there **explicit constructions** for “good” uniform batch codes with fixed rate  $1/c$ , where  $c > 2$  is an integer?
3. Can  $N(n, k, m)$  be computed for a range of values of  $n$ , where  $n < (k - 1) \binom{m}{k-1}$ ?

thank you for your attention!

Y. Ishai, E. Kushilevitz, R. Ostrovsky and A. Sahai. *Batch codes and their applications*, in **Proceedings of the 36th Annual ACM Symposium on Theory of Computing**, ACM Press, New York, 262–271.

M. B. Paterson, D. R. Stinson and R. Wei. *Combinatorial batch codes*, **Advances in Mathematics of Communications**, 3(1) 13–27, 2009. <http://dx.doi.org/10.3934/amc.2009.3.13>