

Logical Approach to Physical Data Independence and Query Compilation

Introduction, Background, and Goals

David Toman

D.R. Cheriton School of Computer Science

University of

Waterloo



ORGANIZATION

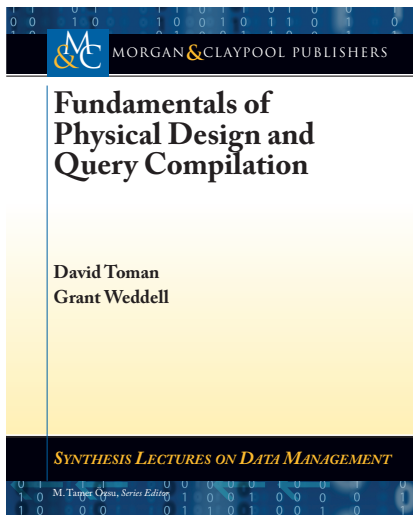
- web page:

<http://lat.inf.tu-dresden.de/teaching/ss2014/Toman-VL/>
<http://cs.uwaterloo.ca/~david/tud/tud.html>

- schedule:

	Monday 14:50-18:10 E 005	Tuesday 14:50-16:20 E 005	Wednesday 16:40-18:10 3027	Thursday 14:50-16:20 E 005
7–11 April	-	-	Lecture	Lecture
14–18 April	Ex&Lect	-	Lecture	Exercise
21–25 April	-	Lecture	Lecture	Exercise

Textbook (aka Shameless plug)



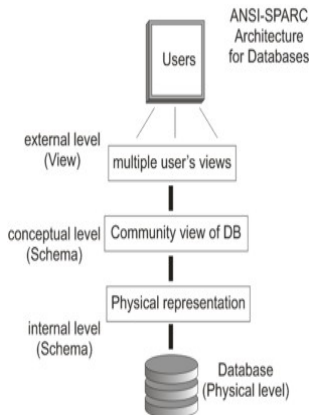
D. Toman and G. Weddell.
*Fundamentals of Physical Design
and Query Compilation.*
Morgan and Claypool Data Man-
agement Series, 2011.

USE SCENARIOS AND GOALS

Physical Data Independence

IDEA:

Separate the users' view(s) of the data from the way it is physically represented.



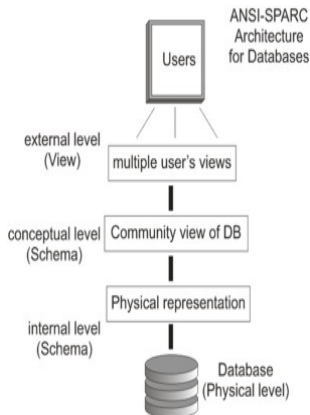
[ANSI/X3/SPARC Standards Planning and Requirements Committee, Bachman, 1975]

Physical Data Independence

IDEA:

Separate the users' view(s) of the data from the way it is physically represented.

- independent customized user views,
- changes to conceptual structure without affecting users.



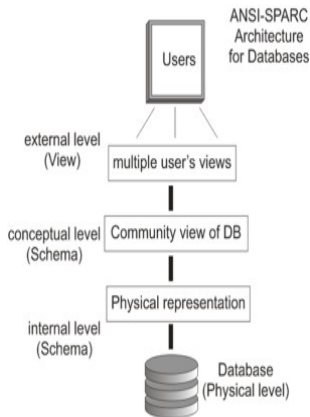
[ANSI/X3/SPARC Standards Planning and Requirements Committee, Bachman, 1975]

Physical Data Independence

IDEA:

Separate the users' view(s) of the data from the way it is physically represented.

- independent customized user views,
- changes to conceptual structure without affecting users.
- physical storage details hidden from users,
- changes to physical storage without affecting conceptual view,



[ANSI/X3/SPARC Standards Planning and Requirements Committee, Bachman, 1975]

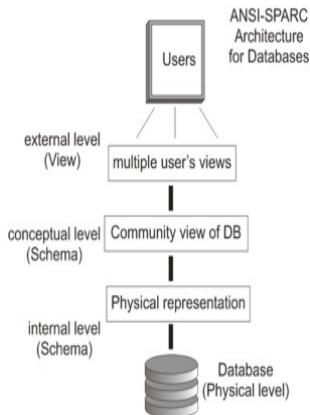
Physical Data Independence

IDEA:

Separate the users' view(s) of the data from the way it is physically represented.

- physical storage details hidden from users,
- changes to physical storage without affecting conceptual view,

Originally just two levels: **physical**
and **conceptual/logical** [Codd1970].



[ANSI/X3/SPARC Standards Planning and Requirements Committee, Bachman, 1975]

Example: PAYROLL

A Conceptual (user) view of PAYROLL data:

Example of PAYROLL data:

- 1 Mary is an employee.
- 2 Mary's employee number is 3412.
- 3 Mary's salary is 72000.

Example of PAYROLL:

- 4 There is a kind of entity called an `employee`.
- 5 There are attributes called `enumber`, `name` and `salary`.
- 6 Each employee entity has attributes `enumber`, `name` and `salary`.
- 7 Employees are identified by their `enumber`.

Example: PAYROLL

A physical design for PAYROLL:

- 8 There is a file of records called `emp-file`.
 - 9 There are record fields `emp-num`, `emp-name` and `emp-salary`.
 - 10 Each `emp-file` record has the fields
`emp-num`, `emp-name` and `emp-salary`.
 - 11 File `emp-file` is organized as a B-tree data structure that supports an `emp-lookup` operation, given a value for attribute `enumber`.
-
- 12 Records in file `emp-file` correspond one-to-one to `employee` entities.
 - 13 Record fields in file `emp-file` encode the corresponding attribute values for `employee` entities, for example, `emp-num` encodes an `enumber`.

Ontology-based Data Access

IDEA:

Queries are answered not only w.r.t. *explicit data*
but also w.r.t. *background knowledge*

⇒ Ontology-based Data Access (OBDA)

Ontology-based Data Access

IDEA:

Queries are answered not only w.r.t. *explicit data*
but also w.r.t. *background knowledge*
⇒ Ontology-based Data Access (OBDA)

Example

- Socrates is a MAN (explicit data)
- Every MAN is MORTAL (background)

List all MORTALS ⇒ {Socrates} (query)

Ontology-based Data Access

IDEA:

Queries are answered not only w.r.t. *explicit data* but also w.r.t. *background knowledge*

⇒ Ontology-based Data Access (OBDA)

Example

- Socrates is a MAN (explicit data)
- Every MAN is MORTAL (background)

List all MORTALS ⇒ {Socrates} (query)

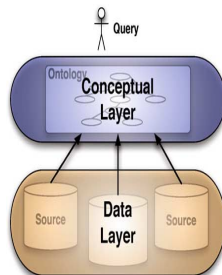


Fig. 1. Ontology-based data access.

[Calvanese et al.: Mastro]

Ontology-based Data Access

IDEA:

Queries are answered not only w.r.t. *explicit data* but also w.r.t. *background knowledge*

⇒ Ontology-based Data Access (OBDA)

Example

- Socrates is a MAN (*explicit data*)
- Every MAN is MORTAL (*background*)

List all MORTALS ⇒ {Socrates} (query)

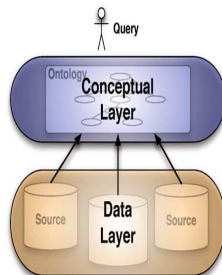


Fig. 1. Ontology-based data access.

[Calvanese et al.: Mastro]

Question:

Is Aristoteles a MORTAL?

Ontology-based Data Access

IDEA:

Queries are answered not only w.r.t. *explicit data* but also w.r.t. *background knowledge*

⇒ Ontology-based Data Access (OBDA)

Example

- Socrates is a MAN (*explicit data*)
- Every MAN is MORTAL (*background*)

List all MORTALS ⇒ {Socrates} (query)

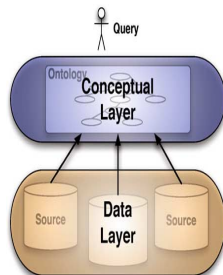


Fig. 1. Ontology-based data access.

[Calvanese et al.: Mastro]

Question:

Is Aristoteles a MORTAL?

... can we *really* say “NO”?

Data Exchange

PROBLEM:

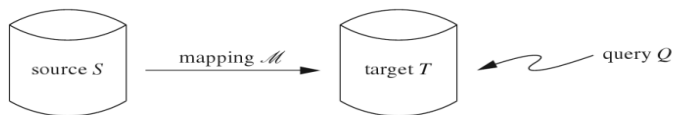
How to transfer (reformat) data conforming to a *source schema* to data conforming to a *target schema*?

Data Exchange

PROBLEM:

How to transfer (reformat) data conforming to a *source schema* to data conforming to a *target schema*?

The general setting of data exchange is this:



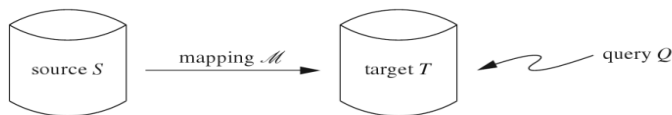
[Arenas et al: Foundations of Data Exchange]

Data Exchange

PROBLEM:

How to transfer (reformat) data conforming to a *source schema* to data conforming to a *target schema*?

The general setting of data exchange is this:



[Arenas et al: Foundations of Data Exchange]

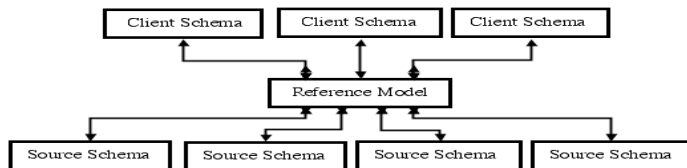
Issues:

- what should happen when the *target* is more complex than the *source*?
- how do we answer queries over the target?

Information Integration

IDEA:

Data integration provides a uniform access to a set of data sources, through a unified representation called global schema. A mapping specifies the relationship between the global schema and the sources.

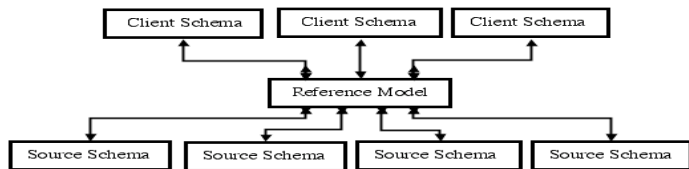


[Genesereth: Data Integration]

Information Integration

IDEA:

Data integration provides a uniform access to a set of data sources, through a unified representation called global schema. A mapping specifies the relationship between the global schema and the sources.



[Genesereth: Data Integration]

Variants “which way do the arrows point” [Lenzerini]

GAV (global as a view), LAV (local as a view), and GLAV (“both ways”).

Common Threads and Issues

- In general two *schemas*: Conceptual/Logical and Physical
 - ⇒ both endowed with *metadata* (vocabulary, ...)
 - ⇒ mappings connect the schemas
 - ⇒ (source) data only “in” the *physical* schema
 - ⇒ queries only over the *conceptual/logical* schema

Common Threads and Issues

- In general two *schemas*: Conceptual/Logical and Physical
 - ⇒ both endowed with *metadata* (vocabulary, ...)
 - ⇒ mappings connect the schemas
 - ⇒ (source) data only “in” the *physical* schema
 - ⇒ queries only over the *conceptual/logical* schema
- Issues to be formalized/fixed:
 - 1 Formal description of the two schemas (same formalism for both?)
 - 2 Language(s) for metadata and mappings
 - 3 (user level) Data representation
 - 4 (user level) Query language (semantics—aka when is an answer an answer?)

Common Threads and Issues

- In general two *schemas*: Conceptual/Logical and Physical
 - ⇒ both endowed with *metadata* (vocabulary, ...)
 - ⇒ mappings connect the schemas
 - ⇒ (source) data only “in” the *physical* schema
 - ⇒ queries only over the *conceptual/logical* schema
- Issues to be formalized/fixed:
 - 1 Formal description of the two schemas (same formalism for both?)
 - 2 Language(s) for metadata and mappings
 - 3 (user level) Data representation
 - 4 (user level) Query language (semantics—aka when is an answer an answer?)
 - 5 Algorithms/Execution model for queries: e.g., does *materialization* matter?

Physical Data Independence: My Motivation

Goal: Application of the Ideas to Embedded Systems

- 1 High-level conceptual view of the system
- 2 High level query (and, eventually, update) language
- 3 Fine-grained physical schema description
- 4 Flexible conceptual-physical mappings
- 5 Queries (updates) *compiled* to operations on physical level

Physical Data Independence: My Motivation

Goal: Application of the Ideas to Embedded Systems

- 1 High-level conceptual view of the system [relational]
- 2 High level query (and, eventually, update) language [SQL]
- 3 Fine-grained physical schema description [records, pointers, ...]
- 4 Flexible conceptual-physical mappings
- 5 Queries (updates) *compiled* to operations on physical level
[pointer navigation, field extraction, conditionals, ...]

Physical Data Independence: My Motivation

Goal: Application of the Ideas to Embedded Systems

- 1 High-level conceptual view of the system [relational]
- 2 High level query (and, eventually, update) language [SQL]
- 3 Fine-grained physical schema description [records, pointers, ...]
- 4 Flexible conceptual-physical mappings
- 5 Queries (updates) *compiled* to operations on physical level
[pointer navigation, field extraction, conditionals, ...]

Challenge

The code generated from queries *must be competitive* with hand-written code.

LINUX-INFO System: Conceptual View

Example of LINUX-INFO data:

- 1 process (called) `gcc` is running;
- 2 `gcc`'s process number is 1234;
- 3 the user (id) running `gcc` is 145;
- 4 `gcc` uses files "`foo.c`" and "`foo.o`".

LINUX-INFO System: Conceptual View

Example of LINUX-INFO data:

- 1 process (called) `gcc` is running;
- 2 `gcc`'s process number is 1234;
- 3 the user (id) running `gcc` is 145;
- 4 `gcc` uses files "`foo.c`" and "`foo.o`".

Example of LINUX-INFO metadata:

- 5 There entities called `process` and `file`.
- 6 There are attributes called `pno`, `pname`, `uname`, and `fname`.
- 7 Each process entity has attributes `pno`, `pname` and `uname`.
- 8 Each file entity has attribute `fname`.
- 9 Processes are identified by their `pno`.
- 10 Files are identified by their `fname`.
- 11 There is a relationship `uses` between processes and files.

The LINUX-INFO System: Physical Design

A *physical design* for LINUX (selected by Linus Torvalds).

- 12 There are process records called `task-struct`.
- 13 Each `task-struct` record has record fields `pid`, `uid`, `comm`, and `fds`.
- 14 All `task-structs` is organized as a tree data structure.
- 15 The `task-struct` records correspond one-to-one to process entities.
- 16 Record fields in `task-struct` encode the corresponding attribute values for process entities, for example, `pid` encodes an `pno`, etc.
- 17 Similarly, `fss` correspond appropriately to (open) file entities.
- 18 `fds` field of `task-struct` is an array of `fds`; a non-null entry in this array indicates that the process corresponding to this `task-struct` is using the file identified by the `name` field of the `fd` record in the array.

Back to Desiderata

- User Query:

find all `files` used by `processes` invoked by user 145.

LINUX-INFO System: Queries and Query Plans

Back to Desiderata

- User Query:

find all `files` used by `processes` invoked by user 145.

- Query Plan:

for each `task-struct` t in `tree` of `task-structs`

check if t 's `uid` field is 145 and, if so

scan the `fds` array in t and

if the file descriptor (`fd`) is non-NULL

print out the `name` of file field in `fd`.

LINUX-INFO System: Queries and Query Plans

Back to Desiderata

- User Query:

find all `files` used by `processes` invoked by user 145.

- Query Plan:

for each `task-struct t` in `tree of task-structs`

check if `t's uid` field is 145 and, if so

scan the `fds` array in `t` and

if the file descriptor (`fd`) is non-NULL

print out the `name` of file field in `fd`.

Is the plan correct?

... and how do/can we answer this question?

UNIFYING LOGIC-BASED APPROACH

Metadata and Signatures

Vocabularies: Relational Model for both Conceptual and Physical Schemata.

Conceptual/Logical (S_L):

predicate symbols $R_1/a_1, \dots, R_k/a_k$ (a_i is the *arity* of R_i)
(possibly) constants c_1, \dots, c_n

Metadata and Signatures

Vocabularies: Relational Model for both Conceptual and Physical Schemata.

Conceptual/Logical (S_L):

predicate symbols $R_1/a_1, \dots, R_k/a_k$ (a_i is the *arity* of R_i)
(possibly) constants c_1, \dots, c_n

Physical (S_P):

predicate symbols $S_1/b_1, \dots, S_k/b_k$

a distinguished subset $S_A \subseteq S_P$ of *access paths*

- \Rightarrow denote *capabilities to retrieve tuples* (i.e., data structures)
- \Rightarrow (optionally) binding patterns (restrictions on tuple retrieval)
- \Rightarrow associated with set of *tuples* (closed-world semantics)

Metadata and Signatures

Vocabularies: Relational Model for both Conceptual and Physical Schemata.

Conceptual/Logical (S_L):

predicate symbols $R_1/a_1, \dots, R_k/a_k$ (a_i is the *arity* of R_i)
(possibly) constants c_1, \dots, c_n

Physical (S_P):

predicate symbols $S_1/b_1, \dots, S_k/b_k$

a distinguished subset $S_A \subseteq S_P$ of *access paths*

- \Rightarrow denote *capabilities to retrieve tuples* (i.e., data structures)
- \Rightarrow (optionally) binding patterns (restrictions on tuple retrieval)
- \Rightarrow associated with set of *tuples* (closed-world semantics)

... a standard way of defining interpretations

Metadata and Constraints

Metadata: First-order sentences Σ over $S_L \cup S_P$.

Conceptual/Logical (Σ_L):

\Rightarrow keys, inclusion dependencies, hierarchies, ...

Metadata and Constraints

Metadata: First-order sentences Σ over $S_L \cup S_P$.

Conceptual/Logical (Σ_L):

\Rightarrow keys, inclusion dependencies, hierarchies, ...

Physical (Σ_P):

\Rightarrow keys, inclusion dependencies, hierarchies, ...

\Rightarrow formulae that link to symbols in S_L (mapping constraints).

Metadata and Constraints

Metadata: First-order sentences Σ over $S_L \cup S_P$.

Conceptual/Logical (Σ_L):

\Rightarrow keys, inclusion dependencies, hierarchies, ...

Physical (Σ_P):

\Rightarrow keys, inclusion dependencies, hierarchies, ...

\Rightarrow formulae that link to symbols in S_L (mapping constraints).

... we resort to fragments of FOL to gain better computational properties

Example: LINUX-INFO

Conceptual/Logical:

$$S_L = \{ \text{process}/3, \text{file}/1, \text{uses}/2 \}$$

$$\Sigma_L = \{ \text{process}(x, y_1, z_1) \wedge \text{process}(x, y_2, z_2) \rightarrow y_1 = y_2 \wedge z_1 = z_2, \\ \text{uses}(x, y) \rightarrow \exists z, w. \text{process}(x, z, w) \wedge \text{file}(y), \quad \dots \}$$

Physical:

$$S_A = \{ \text{task_struct}/1/0, \text{pid}/2/1, \text{uid}/2/1, \text{fds}/2/1, \text{fname}/2/1 \}$$

$$\Sigma_P = \{ \text{task_struct}(x) \rightarrow \exists y, z, w. \text{pid}(x, y) \wedge \text{uid}(z) \wedge \text{fds}(x, w) \\ \text{pid}(x_1, y) \wedge \text{pid}(x_2, y) \rightarrow x_1 = x_2 \\ \text{process}(x, y, z) \rightarrow \exists t. \text{task_struct}(t) \wedge \text{pid}(t, x), \quad \dots \}$$

Queries and Answers

Queries: First-order formulae (φ) over S_L .

$$\Rightarrow \exists p, n, u. \text{process}(p, n, u) \wedge u = 145 \wedge \text{uses}(p, f) \wedge \text{file}(f)$$

Queries and Answers

Queries: First-order formulae (φ) over S_L .

$$\Rightarrow \exists p, n, u. \text{process}(p, n, u) \wedge u = 145 \wedge \text{uses}(p, f) \wedge \text{file}(f)$$

Data D :

Sets of (ground) tuples that *fix* meaning of every access path.

Queries and Answers

Queries: First-order formulae (φ) over S_L .

$$\Rightarrow \exists p, n, u. \text{process}(p, n, u) \wedge u = 145 \wedge \text{uses}(p, f) \wedge \text{file}(f)$$

Data D :

Sets of (ground) tuples that *fix* meaning of every access path.

Query Answers:

answers *in common* when evaluating φ over *every* interpretation (database) that is a model of Σ and that extend D .

Queries and Answers

Queries: First-order formulae (φ) over S_L .

$\Rightarrow \exists p, n, u. \text{process}(p, n, u) \wedge u = 145 \wedge \text{uses}(p, f) \wedge \text{file}(f)$

Data D :

Sets of (ground) tuples that *fix* meaning of every access path.

Query Answers:

answers *in common* when evaluating φ over *every* interpretation (database) that is a model of Σ and that extend D .

Definition (Certain Answers)

$$\begin{aligned} \text{cert}_{\Sigma, D}(\varphi) &= \{\vec{a} \mid \Sigma \cup D \models \varphi(\vec{a})\} && \text{logical implication} \\ &= \bigcap_{I \models \Sigma \cup D} \{\vec{a} \mid I \models \varphi(\vec{a})\} && \text{answer in every model} \end{aligned}$$

The BAD News (and what can be done)

Theorem

" $\vec{a} \in \text{cert}_{\Sigma,D}(\varphi)$?" is undecidable.

\Rightarrow sources of undecidability: both Σ and φ !

The BAD News (and what can be done)

Theorem

" $\vec{a} \in \text{cert}_{\Sigma,D}(\varphi)$?" is undecidable.

\Rightarrow sources of undecidability: both Σ and φ !

Standard solution:

- 1 restrict Σ to decidable fragments of FOL (e.g., DLs)
- 2 restrict φ to a decidable fragment of FOL (e.g., UCQ)

The BAD News (and what can be done)

Theorem

" $\vec{a} \in \text{cert}_{\Sigma,D}(\varphi)$?" is undecidable.

\Rightarrow sources of undecidability: both Σ and φ !

Standard solution:

- 1 restrict Σ to decidable fragments of FOL (e.g., DLs)
- 2 restrict φ to a decidable fragment of FOL (e.g., UCQ)

	S_L, Σ_L	S_P, Σ_P	queries
OBDA	(lite) TBox	ABox	CQ/UCQ
Data Exchange	target, target deps	source, st-tgds	CQ/UCQ
Information Integration	global view	local view, $\{G L\}AV$	CQ/UCQ

What do Relational Systems do??

IDEA: “make it look like a single model”

(severely) restrict what logical schema may look like:

every logical predicate $P(\vec{x})$ must correspond 1-1 to *some* access path.

- ... conceptual/logical symbols in queries *are* (mere aliases of) access paths.
- ... completely against the idea of *physical data independence*.

What do Relational Systems do??

IDEA: “make it look like a single model”

(severely) restrict what logical schema may look like:

every logical predicate $P(\vec{x})$ must correspond 1-1 to *some* access path.

- ... conceptual/logical symbols in queries *are* (mere aliases of) access paths.
- ... completely against the idea of *physical data independence*.

Is this enough?

What do Relational Systems do??

IDEA: “make it look like a single model”

(severely) restrict what logical schema may look like:

every logical predicate $P(\vec{x})$ must correspond 1-1 to *some* access path.

- ... conceptual/logical symbols in queries *are* (mere aliases of) access paths.
- ... completely against the idea of *physical data independence*.

Is this enough? $\neg P(x)$? $\forall x.P(x)$?

What do Relational Systems do??

IDEA: “make it look like a single model”

(severely) restrict what logical schema may look like:

every logical predicate $P(\vec{x})$ must correspond 1-1 to *some* access path.

- ... conceptual/logical symbols in queries *are* (mere aliases of) access paths.
- ... completely against the idea of *physical data independence*.

Is this enough? $\neg P(x)$? $\forall x.P(x)$? ... depend on the *domain* of the model

What do Relational Systems do??

IDEA: “make it look like a single model”

(severely) restrict what logical schema may look like:

every logical predicate $P(\vec{x})$ must correspond 1-1 to *some* access path.

- ... conceptual/logical symbols in queries *are* (mere aliases of) access paths.
- ... completely against the idea of *physical data independence*.

IDEA-2: “only queries that think there is a single model”

A formula φ is *domain independent* if for all pairs of models I_1, I_2 of D and valuation θ we have

$$I_1, \theta \models \varphi \text{ if and only if } I_2, \theta \models \varphi.$$

- ... I_1 and I_2 can only differ in their *domains* (hence the name).

A LOGSPACE Algorithm

IDEA

Domain independent formulae can be evaluated in a model based on the *active domain of D* (set of individuals that appear in the access paths).

A LOGSPACE Algorithm

IDEA

Domain independent formulae can be evaluated in a model based on the *active domain of D* (set of individuals that appear in the access paths).

... active domain of D is a *finite set*.

A LOGSPACE Algorithm

IDEA

Domain independent formulae can be evaluated in a model based on the *active domain of D* (set of individuals that appear in the access paths).

... active domain of D is a *finite set*.

A Turing machine T_φ

- read only input tape storing (an encoding of) \vec{a} and D ;
- read/write work tape storing a *counter* for each variable in φ ($\log |D|$ bits) and fixed number of auxiliary counters;
- a finite control that *implements* top-down satisfaction check w.r.t. a valuation defined by the current state of the counters
 \Rightarrow used as pointers to individuals on the work tape.

A LOGSPACE Algorithm

IDEA

Domain independent formulae can be evaluated in a model based on the *active domain of D* (set of individuals that appear in the access paths).

... active domain of D is a *finite set*.

A Turing machine T_φ

- read only input tape storing (an encoding of) \vec{a} and D ;
- read/write work tape storing a *counter* for each variable in φ ($\log |D|$ bits) and fixed number of auxiliary counters;
- a finite control that *implements* top-down satisfaction check w.r.t. a valuation defined by the current state of the counters
 \Rightarrow used as pointers to individuals on the work tape.

Theorem

$$\text{cert}_{\Sigma, D}(\varphi) = \{\vec{a} \mid \langle \vec{a}, D \rangle \in \mathcal{L}(T_\varphi)\}.$$

Range-restricted Formulas and Relational Algebra

Nobody uses that algorithm!

Range-restricted Formulas and Relational Algebra

Nobody uses that algorithm! Instead:

Range-restricted Formulae (queries):

$$\varphi ::= R(\vec{x}) \mid \varphi \wedge x = y \mid \varphi \wedge \varphi \mid \exists s. \varphi \mid \varphi \vee \varphi \mid \varphi \wedge \neg \varphi$$

Bottom-up “Algebraic” Query Evaluation:

every production above maps (at least naively) to a algebraic operation on finite relations:

- scan (with renaming),
- selection,
- join,
- projection,
- union, and
- difference.

Range-restricted Formulas and Relational Algebra

Nobody uses that algorithm! Instead:

Range-restricted Formulae (queries):

$$\varphi ::= R(\vec{x}) \mid \varphi \wedge x = y \mid \varphi \wedge \varphi \mid \exists s. \varphi \mid \varphi \vee \varphi \mid \varphi \wedge \neg \varphi$$

Bottom-up “Algebraic” Query Evaluation:

every production above maps (at least naively) to a algebraic operation on finite relations:

- scan (with renaming),
- selection,
- join,
- projection,
- union, and
- difference.

Datalog (limited iteration)

additional predicates defined as a fixpoint positive query allows PTIME-complete problems.

Summary

- comprehensive framework based on certain answers that unifies many database/KR approaches to handling information in presence of background information/theory/ontology;
- too expressive and in turn computationally in-feasible;
- practical (relational) systems: (almost) trivial instance of the framework.

Summary

- comprehensive framework based on certain answers that unifies many database/KR approaches to handling information in presence of background information/theory/ontology;
- too expressive and in turn computationally in-feasible;
- practical (relational) systems: (almost) trivial instance of the framework.

Plan of Lectures:

- 1 Classical OBDA: another way of gaining tractability (and its limits)
- 2 Database Approach Extension and Interpolation
- 3 Modeling Complex Physical Designs
- 4 Updates of Data and Future Directions