Logical Approach to Physical Data Independence and Query Compilation

Query Rewriting

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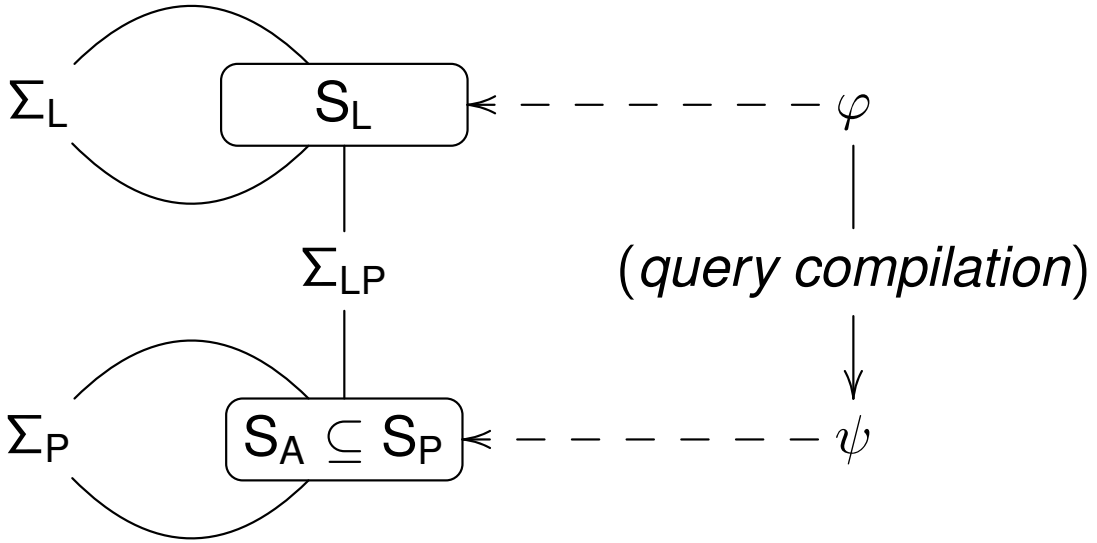
The Story So Far...

$$\Sigma = (\Sigma_L \cup \Sigma_{LP} \cup \Sigma_P)$$



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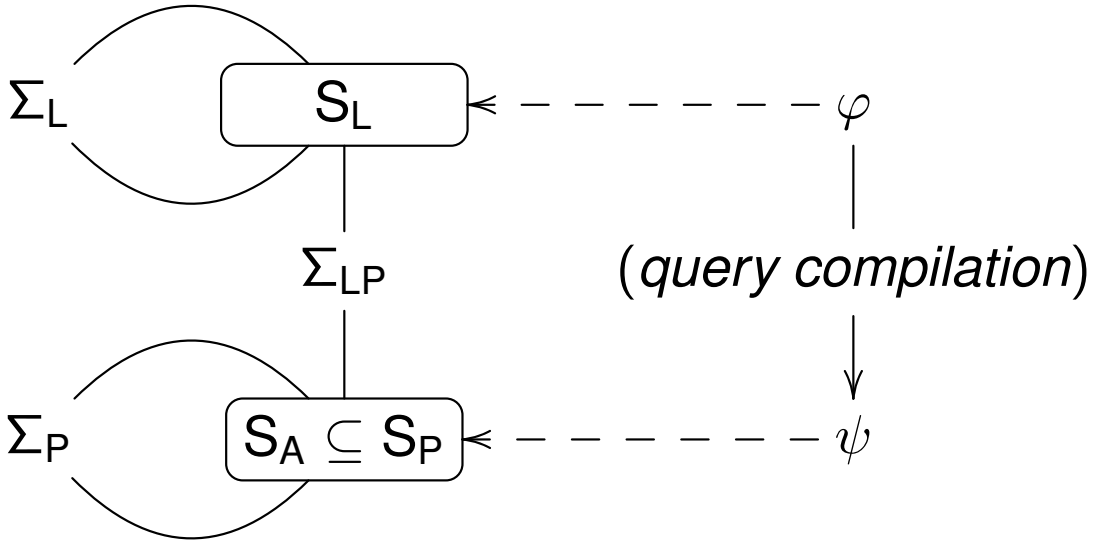

Features:

- Flexible *physical design*: constraints $\Sigma_P \cup \Sigma_{LP}$ and code for S_A

  $\Rightarrow$ main-memory operations, disk access, external sources of data, ...;
- Query plans are efficient

  $\Rightarrow$ all combination of *access paths* and simple operators;

  $\Rightarrow$ often comparable to hand-written programs.

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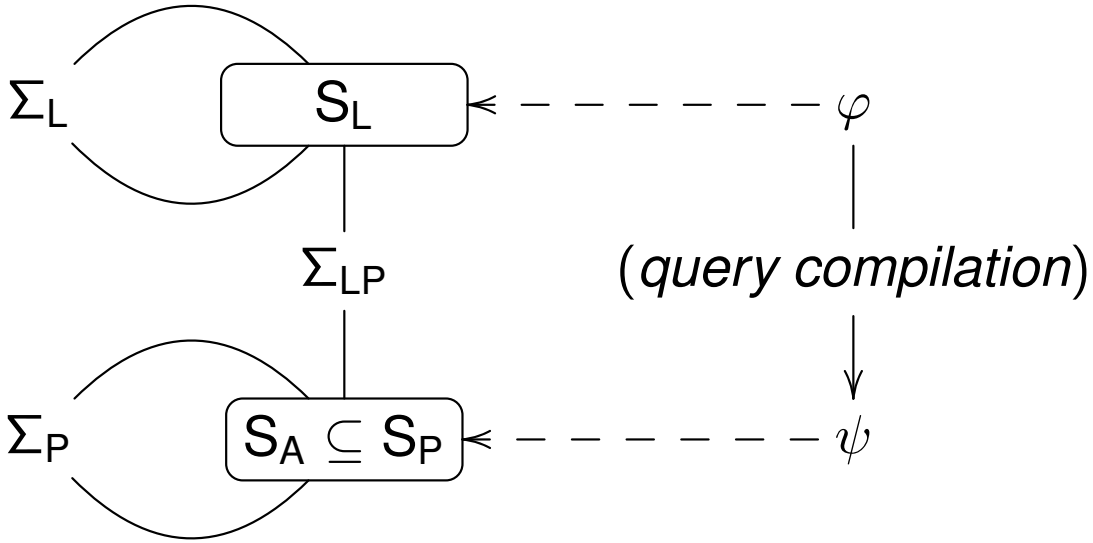

1. How do we find ψ such that $\psi \in \mathcal{L}(S_A)$ and $\Sigma \models \varphi \leftrightarrow \psi$?
2. How do we deal with non-logical issues (e.g., duplicates)?

Goal and Steps

1. Find ψ such that $\psi \in \mathcal{L}(\mathsf{S_A})$ and $\Sigma \models \varphi \leftrightarrow \psi$?
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2. How do we deal with non-logical issues?
   - elimination of unnecessary *duplicate elimination* operations
   - *cut* insertion (when one solution suffices)

Query Rewriting

Chase and Backchase

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  $\Rightarrow$ a *dependency* is a formula $\forall \bar{x}.\alpha \rightarrow \beta$ where α and β are CQs.

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  $\Rightarrow$ a *dependency* is a formula $\forall \bar{x}.\alpha \rightarrow \beta$ where α and β are CQs.
- Algorithm:
  1. chase φ with Σ producing a CQ $\mathbf{chase}_\Sigma(\varphi)$;
     - $\mathbf{chase}_\Sigma^0 = \varphi$
     - $\mathbf{chase}_\Sigma^{i+1} = \mathbf{chase}_\Sigma^i \wedge (\beta\theta)$ for $\forall \bar{x}.\alpha \rightarrow \beta \in \Sigma$ and $\theta : \alpha \mapsto \mathbf{chase}_\Sigma^i$;
     - $\mathbf{chase}_\Sigma = \lim_{i\rightarrow\infty} \mathbf{chase}_\Sigma^i$.
  2. select $\psi \in \mathcal{L}(S_A)$ such that $\text{atoms}(\psi) \subseteq \text{atoms}(\mathbf{chase}_\Sigma(\varphi))$;
  3. chase ψ with Σ producing $\mathbf{chase}_\Sigma(\psi)$;
  4. test whether $\mathbf{chase}_\Sigma(\psi)$ implies φ
     $\Rightarrow$ essentially $\text{atoms}(\varphi) \subseteq \text{atoms}(\mathbf{chase}_\Sigma(\psi))$.

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     $\Rightarrow$ essentially $\text{atoms}(\varphi) \subseteq \text{atoms}(\mathbf{chase}_\Sigma(\psi))$.
- Problems:
  - $\mathbf{chase}_\Sigma(\varphi)$ may be infinite (non-termination);
    $\Rightarrow$ in theory restrict Σ to constraints w/terminating chase;
    $\Rightarrow$ in practice fair interleaving of the steps of the algorithm
  - it only works well for CQs.

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Example

- $S_L = \{R/2\}$, $S_P = S_A = \{V_1/2/0, V_2/2/0, V_3/2/0\}$,
- $\Sigma = \{ \forall x, y. V_1(x, y) \equiv \exists u, w.(R(u, x) \wedge R(u, w) \wedge R(w, y)),$,
  $\forall x, y. V_2(x, y) \equiv \exists u, w.(R(x, u) \wedge R(u, w) \wedge R(w, y)),$
  $\forall x, y. V_3(x, y) \equiv \exists u.(R(x, u) \wedge R(u, y)) \}$
- $\varphi = \exists u, v, w.(R(u, x) \wedge R(u, w) \wedge R(w, v) \wedge R(v, y))$,

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- $\psi = \exists u.(V_1(x, u) \wedge \forall w.(V_3(w, u) \rightarrow V_2(w, y)))$.

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$\Rightarrow$ cannot be found by chase-backchase

INTERPOLATION

Definability and Interpolation

Definition (Beth Definability)

A formula φ is *definable w.r.t. Σ and S_A* if $\varphi^{M_1} = \varphi^{M_2}$
for every pair M_1, M_2 of models of Σ such that $R^{M_1} = R^{M_2}$ for all $R \in S_A$.

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Theorem (Craig'57)

Let α and β be FO formulæ such that $\models \alpha \rightarrow \beta$. Then there is a FO formula $\gamma \in \mathcal{L}(\alpha) \cap \mathcal{L}(\beta)$, called an interpolant, such that $\models \alpha \rightarrow \gamma$ and $\models \gamma \rightarrow \beta$.

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φ is definable w.r.t. Σ and S_A if and only if $\Sigma \cup \Sigma^* \models \varphi \rightarrow \varphi^*$

for Σ^* and φ^* having all $R \notin S_A$ replaced by R^* (Beth).

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NOTE: this does NOT account for *binding patterns*.

Derivation

INPUT: finite Σ and φ.; output: ψ

$$
\begin{aligned}
&\Sigma \cup \Sigma^* \models \varphi \to \varphi^* && \Rightarrow \\
&\models (\bigwedge \Sigma) \to ((\bigwedge \Sigma^*) \to (\varphi \to \varphi^*)) && \Rightarrow \\
&\models (\bigwedge \Sigma) \to (\varphi \to ((\bigwedge \Sigma^*) \to \varphi^*)) && \Rightarrow \\
&\models ((\bigwedge \Sigma) \wedge \varphi) \to ((\bigwedge \Sigma^*) \to \varphi^*)) && \Rightarrow \\
&\models ((\bigwedge \Sigma) \wedge \varphi) \to \psi \text{ and } \models \psi \to ((\bigwedge \Sigma^*) \to \varphi^*) && \Rightarrow \\
&\models (\bigwedge \Sigma) \to (\varphi \to \psi) \text{ and } \models (\bigwedge \Sigma^*) \to (\psi \to \varphi^*) && \Rightarrow \\
&\Sigma \models \varphi \to \psi \text{ and } \Sigma^* \models \psi \to \varphi^* && \Rightarrow \\
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\end{aligned}
$$

$$
\begin{aligned}
&\Sigma \cup \Sigma^* \models \varphi^* \to \varphi && \Rightarrow \\
&\qquad \vdots && \Rightarrow \\
&\Sigma \cup \Sigma^* \models \varphi^* \to \psi \text{ and } \Sigma \cup \Sigma^* \models \psi \to \varphi &&
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Constructive Interpolation via Tableau

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We try to *prove* $\Sigma \cup \Sigma^* \models \varphi \rightarrow \varphi^*$ producing a proof (in a form of closed tableau) from which *extract the interpolant*.

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(Biased) Analytic Tableau

A *refutation* proof system for FOL:

- instead of $\vdash \alpha \rightarrow \beta$ we show $S = \{\alpha^L, \neg\beta^R\}$ is inconsistent
  formulæ in S are *adorned* by L and R (needed for interpolant extraction);
- we use *inference rules* to generate *successors* of S in a proof tree;
- a proof is complete if all leaves contain a clash, a pair $\delta, \neg\delta$
  otherwise the tableau saturates an we can extract a counterexample.

Interpolant Extraction (by example)

- an *invariant* for interpolation $S \xrightarrow{int} \psi$ is $(\bigwedge S^L) \rightarrow \psi$ and $\psi \rightarrow (\neg \bigwedge S^R)$ where S^L and S^R are subsets of S derived from adornments of formulas.

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- tableau rules (sample):
  - LR clash $\boxed{S \cup \{R^L, \neg R^R\} \xrightarrow{int} R}$, $R \in S_A$ because $(\bigwedge S^L \wedge R^L) \rightarrow R$ and $R \rightarrow (R^R \vee \neg \bigwedge S^R)$

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  - L-conjunction $\boxed{\dfrac{S \cup \{\alpha^L, \beta^L\} \xrightarrow{int} \delta}{S \cup \{(\alpha \wedge \beta)^L\} \xrightarrow{int} \delta}}$ because

    $(\bigwedge S^L \wedge \alpha^L \wedge \beta^L) \rightarrow \delta$ implies $(\bigwedge S^L \wedge (\alpha \wedge \beta)^L) \rightarrow \delta$.

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  - R-Disjunction $\boxed{\dfrac{S \cup \{\alpha^R\} \xrightarrow{int} \delta_\alpha \text{ and } S \cup \{\beta^R\} \xrightarrow{int} \delta_\beta}{S \cup \{(\alpha \vee \beta)^R\} \xrightarrow{int} \delta_\alpha \wedge \delta_\beta}}$ because

    $\bigwedge S^L \rightarrow \delta_\alpha, \delta_\alpha \rightarrow (\alpha^R \vee \neg \bigwedge S^R)$ and $\bigwedge S^L \rightarrow \delta_\beta, \delta_\beta \rightarrow (\beta^R \vee \neg \bigwedge S^R)$

    implies $(\bigwedge S^L) \rightarrow \delta_\alpha \wedge \delta_\beta, \delta_\alpha \wedge \delta_\beta \rightarrow (\alpha \vee \beta)^R \vee \neg \bigwedge S^R$.
  - etc. (see [Fitting] for details)

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2. Is backtracking of the tableau proofs feasible approach? (NO)

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   - “logical” (lots, complex) and
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3. Still needs to check for satisfaction of *binding patterns*.

POST-PROCESSING

Duplicate Elimination Elimination

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Use the following rewrites to eliminate/minimize the use of $\{\cdot\}$:

$$
\begin{aligned}
Q[\{R(x_1,\ldots,x_k)\}] &\leftrightarrow Q[R(x_1,\ldots,x_k)] \\
Q[\{Q_1 \wedge Q_2\}] &\leftrightarrow Q[\{Q_1\} \wedge \{Q_2\}] \\
Q[\{\neg Q_1\}] &\leftrightarrow Q[\neg Q_1] \\
Q[\neg\{Q_1\}] &\leftrightarrow Q[\neg Q_1] \\
Q[\{Q_1 \vee Q_2\}] &\leftrightarrow Q[\{Q_1\} \vee \{Q_2\}] \quad \text{if } \Sigma \cup \{Q[]\} \models Q_1 \wedge Q_2 \rightarrow \bot \\
Q[\{\exists x.Q_1\}] &\leftrightarrow Q[\exists x.\{Q_1\}] \quad \text{if} \\
&\qquad \Sigma \cup \{Q[] \wedge (Q_1)[y_1/x] \wedge (Q_1)[y_2/x\} \models y_1 \approx y_2
\end{aligned}
$$

where y_1 and y_2 are fresh variable names not occurring in Q, Q_1, and Q_2.

Summary

1. interpolation provides a powerful tool for query optimization, but
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   - efficiency of reasoning is an issue (single proof is not sufficient)
   - generating enough candidate plans (at odds with structural proofs)
   - but needs to avoid useless plans (e.g., co-joining tautologies, etc.)
2. postprocessing needed to deal with non-FO features
   - duplicate semantics (hard to even define query equivalence!)
   - cuts (see textbook for details)