Quantum fingerprinting

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Abstract

communication complexity. We optimize several aspects of our scheme. quantum/classical gap for the equality problem in the simultaneous message passing model of two unknown quantum fingerprints with high probability. Our scheme implies an exponential are exponentially shorter than the original strings and we give a test that distinguishes any uncorrelated random sources. In this paper we show that fingerprints consisting of quantum information can be made exponentially smaller than the original strings without any correlathe parties preparing the fingerprints share a random key, but not if they only have access to that, with high probability, any two distinct strings can be distinguished by comparing their tions or entanglement between the parties: we give a scheme where the quantum fingerprints fingerprints alone. Classical fingerprinting associates with each string a shorter string (its fingerprint), such The fingerprints can be exponentially smaller than the original strings if

Introduction

their fingerprints alone. This can lead to savings in the communication and storage of information. is associated with a much shorter fingerprint and comparisons between strings are made in terms of Fingerprinting can be a useful mechanism for determining if two strings are the same: each string

solely on the messages sent by Alice and Bob. The collective goal of the three parties is to cause send a message to a third party, called the referee, who determines the output of the protocol based the protocol to output the correct value of some function f(x,y) while minimizing the amount of respectively, and are not permitted to communicate with one another directly. Rather they each on communication complexity. In this model, two parties—Alice and Bob—receive inputs x and y, information that Alice and Bob send to the referee. the simultaneous message passing model, which was introduced by Yao [Yao79] in his original paper $[\mathrm{KN97}]).$ The particular model of communication complexity that we consider in this paper is called The notion of fingerprinting arises naturally in the setting of communication complexity (see

For the equality problem, the function is simply

$$f(x,y) = \begin{cases} 1 & \text{if } x = y \\ 0 & \text{if } x \neq y. \end{cases}$$

strings, then a total of 2n bits are communicated. If Alice and Bob instead send fingerprints of xcan then simply compute f(x,y). However, the cost of this protocol is high; if x and y are n-bit The problem can of course be trivially solved if Alice sends x and Bob sends y to the referee, who

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The question we are interested in is how much the size of the fingerprints can be reduced. and y, which may each be considerably shorter than x and y, the cost can be reduced significantly.

if and only if $E_i(x) = E_i(y)$. If x = y then $E_i(x) = E_i(y)$, so then the outcome is correct. If $x \neq y$ then the probability that $E_i(x) = E_i(y)$ is at most δ , so the outcome is correct with probability sufficiently large). For further information on Justesen codes, see Justesen [Jus72] and MacWilliams and Sloane [MS77, Chapter 10]. Now, for $x \in \{0,1\}^n$ and $i \in \{1,2,\ldots,m\}$, let $E_i(x)$ denote the ith of Justesen codes, we may choose any c>2 and we will have $\delta<9/10+1/(15c)$ (assuming n is and E(y) (with $x \neq y$) is at least $(1 - \delta)m$, where c and δ are constants. For the particular case $1-\delta$. The error probability can be reduced from δ to any $\varepsilon>0$ by having Alice and Bob send instance) with m=cn such that the Hamming distance between any two distinct codewords E(x)correcting code is used, which can be represented as a function $E:\{0,1\}^n \to \{0,1\}^m$, where E(x)length if we allow a small probability of error; a brief sketch of this follows. the length of each fingerprint is $O(\log(1/\varepsilon))$ bits. $O(\log(1/\varepsilon))$ independent random bits of the codewords E(x) and E(y) to the referee. In this case, bits). Alice and Bob respectively send the bits $E_i(x)$ and $E_i(y)$ to the referee, who then outputs 1 bit of E(x). The shared key is a random $i \in \{1, 2, \dots, m\}$ (which consists of $\log(m) \in \log(n) + O(1)$ is the codeword associated with $x \in \{0,1\}^n$. There exist error-correcting codes (Justesen codes, for If Alice and Bob share a random $O(\log n)$ -bit key then the fingerprints need only be of constant

and y such that $x \neq y$ but for which the output of the protocol always indicates that x = y. obtained. This is because an adversary who knows the value of the key can easily choose inputs xa shared key. Moreover, once the key is distributed, it must be stored securely until the inputs are One disadvantage of the above scheme is that it requires overhead in creating and maintaining

deterministic complexity can be at most quadratically far apart for any function in this model Babai and Kimmel attribute a simplified proof of this fact to Bourgain and Wigderson. Their result was generalized by Babai and Kimmel [BK97] to the result that the randomized and correlated. Subsequently, Newman and Szegedy [NS96] proved a matching lower bound of $\Omega(\sqrt{n})$ this setting Alice and Bob still have access to random bits, but their random bits may not be bits suffice if we allow a small error probability (see also [KNR95, NS96, BK97]). Note that in if Alice and Bob do not have a shared key. Ambainis [Amb96] proved that fingerprints of $O(\sqrt{n})$ Yao [Yao79, Section 4.D] posed as an open problem the question of what happens in this model

and Bob, but the fingerprints can consist of quantum information. In Section 2, we show that In Section 2, we also show that the fingerprints must consist of at least $\Omega(\log n)$ qubits if the error protocol for equality in the obvious way: Alice and Bob send the fingerprints of their respective to the error probability of the fingerprinting method.) This gives a simultaneous message passing the bound on the absolute value of the inner product between pairs of states is not directly related good probability. (It is possible to take the fingerprints to be nearly pairwise orthogonal, although and to use a test that identifies identical fingerprints and distinguishes distinct fingerprints with probability is bounded below 1. inputs to the referee, who then executes the test to check if the fingerprints are equal or distinct. fingerprints to quantum states whose pairwise inner-products are bounded below 1 in absolute value tial improvement over the \sqrt{n} -bound for the comparable classical case. Our method is to set the 2^n $O(\log n)$ -qubit fingerprints are sufficient to solve the equality problem in this setting—an exponen-We shall consider the problem where there is no shared key (or entanglement) between Alice

consider the efficiency of tests that distinguish between k copies of pairs of indentical states and kmethods of Section 2. fingerprints with pairwise inner product bounded in absolute value by any $\delta < 1$. In Section 4, we In Sections 3 and 4, we consider possible improvements to the efficiency of the fingerprinting In Section 3, we investigate the number of qubits required to contain 2^n

copies of pairs of states whose inner product is bounded in absolute value by any $\delta < 1$.

sisting of $O(\log n)$ shared Bell states (EPR-pairs). We observe that results in [BCT99] imply that of length $\Omega(n)$. context where achieving the same performance with only a classical shared key requires fingerprints errorless (i.e., exact) fingerprinting is possible with $O(\log n)$ -bit classical fingerprints in a particular Finally, in Section 5 we consider a variation of fingerprinting with a shared quantum key, con-

information—for further information we refer the reader to the book by Nielsen and Chuang [NC00]. We assume the reader is familiar with the basic notions of quantum computation and quantum

Quantum fingerprinting without shared keys

in a different manner than discussed in Section 1 since no shared key is available. the introduction). The method that we present is based on classical error-correcting codes, though classical setting, where $\Theta(\sqrt{n})$ bit fingerprints are necessary and sufficient (see the references in The solution is quite simple and the fingerprints are exponentially shorter than in the comparable model with logarithmic-length quantum fingerprints in a context where no shared key is available. In this section, we show how to solve the equality problem in the simultaneous message passing

at least $(1 - \delta)m$. As mentioned in Section 1, a reasonable first choice of such codes are Justesen codes, which give $\delta < 9/10 + 1/(15c)$ for any chosen c > 2. Now, for any choice of n, we define the for each n, where m = cn and such that the distance between distinct codewords E(x) and E(y) is $(\log(m) + 1)$ -qubit state $|h_x\rangle$ as Assume that for fixed c>1 and $\delta<1$ we have an error correcting code $E:\{0,1\}^n\to\{0,1\}^m$

$$|h_x\rangle = \frac{1}{\sqrt{m}} \sum_{i=1}^{m} |i\rangle |E_i(x)\rangle \tag{1}$$

for each $x \in \{0,1\}^n$. Since two distinct codewords can be equal in at most δm positions, for any $x \neq y$ we have $\langle h_x | h_y \rangle \leq \delta m/m = \delta$. Thus we have 2^n different $(\log(n) + O(1))$ -qubit states, and each pair of them has inner product at most δ .

and $|\psi\rangle$ —are identical or have inner product at most δ . This is accomplished with one-sided error given n-bit inputs x and y, respectively, Alice and Bob send fingerprints $|h_x\rangle$ and $|h_y\rangle$ to the referee. probability by the procedure that measures and outputs the first qubit of the state Then the referee must distinguish between the case where the two states received—call them $|\phi\rangle$ The simultaneous message passing protocol for the equality problem works as follows. When

$$(H \otimes I)(\text{c-SWAP})(H \otimes I)|0\rangle|\phi\rangle|\psi\rangle.$$

Here H is the Hadamard transform, which maps $|b\rangle \to \frac{1}{\sqrt{2}}(|0\rangle + (-1)^b|1\rangle)$, SWAP is the operation $|\phi\rangle|\psi\rangle \to |\psi\rangle|\phi\rangle$ and c-SWAP is the controlled-SWAP (controlled by the first qubit). The circuit can determine that the final state before the measurement is for this procedure is illustrated in Figure 1. By tracing through the execution of this circuit, one

$$\frac{1}{2}|0\rangle(|\phi\rangle|\psi\rangle+|\psi\rangle|\phi\rangle)+\frac{1}{2}|1\rangle(|\phi\rangle|\psi\rangle-|\psi\rangle|\phi\rangle).$$

probability is 0 if x=y and is at least $\frac{1}{2}(1-\delta^2)>0$ if $x\neq y$. Thus, the test determines which case holds with one-sided error $\frac{1}{2}(1+\delta^2)$. Measuring the first qubit of this state produces outcome 1 with probability $\frac{1}{2} - \frac{1}{2} |\langle \phi | \psi \rangle|^2$. This

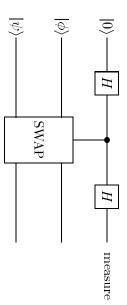


Figure 1: Circuit to test if $|\phi\rangle = |\psi\rangle$ or $|\langle\phi|\psi\rangle| \le \delta$

independently perform the test in Figure 1 k times, resulting in an error probability below ε . In this case, the length of each fingerprint is $O((\log n)(\log(1/\varepsilon))$. $\in \{0,1\}^n$ to $|h_x\rangle^{\otimes k}$ for a suitable $k \in O(\log(1/\varepsilon))$. From such fingerprints, the referee can The error probability of the test can be reduced to any $\varepsilon > 0$ by setting the fingerprint of

no error-correcting code enables inferences to be made when $i \neq j$ with error probability bounded inference whatsoever about x = y is possible when $i \neq j$ and the lower bound in [NS96] implies that the referee to make an inference when $i \neq j$ seems difficult. For many error-correcting codes, no about whether or not x = y. But i = j occurs with probability only O(1/n)—and the ability of $i,j \in \{1,2,\ldots,m\}$. If it should happen that i=j then the referee can make a statistical inference using classical mixtures in place of quantum superpositions. In such a protocol, Alice and Bob send analogous classical probabilistic counterpart. below 1. The distinguishing test in Figure 1 can be viewed as a quantum operation which has no $(i, E_i(x))$ and $(j, E_j(y))$ respectively to the referee for independent random uniformly distributed It is worth considering what goes wrong if one tries to simulate the above quantum protocol

a shared key, $\Omega(\log n)$ -qubit fingerprints are necessary. This is because any k-qubit quantum state fingerprints for any constant error probability. Is it possible to use fewer qubits? In fact, without From this we can infer that $k \in \Omega(\log n)$. k-qubit quantum protocol implies the existence of an $O(k2^k)$ -bit (deterministic) classical protocol. can be specified within exponential precision with $O(k2^k)$ classical bits. Therefore the existence of a Our quantum protocol for equality in the simultaneous message model uses $O(\log n)$ -qubit

Sets of pairwise-distinguishable states in low-dimensional spaces

than the Justesen codes discussed in Section 2, unfortunately we only have a nonconstructive proof question of how few qubits are sufficient for this to be accomplished for an arbitrarily small $\delta > 0$. quantum states with pairwise inner products below δ in absolute value. Here, we consider the of this fact. The proof follows. In Section 2, we employed a particular classical error-correcting code to construct a set of 2^n We show that $\log n + O(\log(1/\delta))$ qubits are sufficient. While this gives somewhat better bounds

v and w agree in d' coordinates and disagree in d-d' coordinates, then their inner product is at most δ in absolute value. Consider two random vectors in v, w in $\{+1, -1\}^d/\sqrt{d}$. Suppose $\langle v|w\rangle=(2d'-d)/d$. Using a Chernoff bound [AS92, Corollary A.2] we have Suppose $d \geq \frac{4n}{5^2 \log e}$. Then we claim there are 2^n unit vectors in \mathbb{R}^d with pairwise inner product

$$\Pr[|\langle v|w\rangle| > \delta] = \Pr[|2d' - d| > \delta d] \le 2e^{-\delta^2 d/2}.$$

 $v, w \in S$ with large inner product is upper bounded by Now pick a set S of 2^n random vectors from $\{+1,-1\}^d/\sqrt{d}$. The probability that there are distinct

$$\begin{split} \Pr[\exists \text{ distinct } v, w \in S \text{ with } |\langle v | w \rangle| > \delta] & \leq \sum_{\substack{\text{distinct } v, w \in S}} \Pr[|\langle v | w \rangle| > \delta] \\ & < \binom{2^n}{2} 2e^{-\delta^2 d/2} < 2^{2n - \delta^2 d \log e/2}. \end{split}$$

having the right properties. If $d \ge 4n/\delta^2 \log e$ then this probability is < 1, which implies the existence of a set S of 2^n vectors

 $\log n + O(\log(1/\delta))$ qubits for any $\delta > 0$. By associating $\{0,1\}^n$ with the 2^n vectors above, we obtain fingerprints of $\log(4n/\delta^2\log e)$

order to demonstrate this, we will prove and then combine two lower bounds on b. the inner product between any two fingerprints is at most δ , requires $b \in \Omega(\log(n/\delta))$ qubits. In $\delta \geq 2^{-n}$. Then an assignment of b-qubit states to all n-bit strings such that the absolute value of Up to constant factors, the nonconstructive method above is optimal in the following sense. Let

lower bound for equality implies $b \ge c \log n$ for some c > 0. complexity with bounded-error probability in one round of communication (Alice sends the fingerprint of her input x to Bob, who compares it with the fingerprint of his y). Therefore the known Firstly, the states can be used as fingerprints to solve the equality problem of communication

diagonally dominant: $C_{ii} = 1 > (a-1)\delta \ge \sum_{j \ne i} |C_{ij}|$ for all i. It is known that such a matrix has full rank [HJ85, Theorem 6.1.10.a]. This implies that the a vectors v_1, \ldots, v_a are linearly independent and hence must have dimension at least a. Thus $1/\delta = a \le 2^b$, hence $b \ge \log(1/\delta)$. columns. Consider the $a \times a$ matrix C = AB. Its i, j entry is $C_{ij} = \langle v_i | v_j \rangle$, so the diagonal entries of C are 1, the off-diagonal entries are at most δ in absolute value. This means that C is strictly Secondly, pick a set of $a=1/\delta$ different fingerprints. These are complex unit vectors v_1,\ldots,v_a of dimension 2^b , whose pairwise inner products are at most δ in absolute value. Let A be the $a\times 2^b$ matrix having the conjugated vectors v_i as rows and let B be the $2^b \times a$ matrix having the v_i as

Since both lower bounds on b hold simultaneously, we have

$$b \geq \max\{c\log n, \log(1/\delta)\} \geq \frac{c\log n + \log(1/\delta)}{2} \in \Omega(\log(n/\delta)).$$

instance, there is a trade-off between δ and the number of copies of each state sent by Alice and of the total number of qubits communicated and the resulting error bound. Bob in the simultaneous message passing protocol for equality from the previous section in terms It should be noted that having small inner product δ is desirable but not all-important. For

4 The state distinguishing problem

given $\delta < 1$. The goal is to distinguish between the two cases with as high probability as possible. that the two states are either identical or have inner product bounded in absolute value by some Motivated by the fingerprinting scheme of Section 2, we define the state distinguishing problem as The input consists of k copies of each of two quantum states $|\phi\rangle$ and $|\psi\rangle$ with a promise

ing the test in Figure 1 k times, resulting in an error probability of 0 in the identical case and $(\frac{1+\beta^2}{2})^k$ otherwise. We will describe an improved method, whose error probability is approximately nearly optimal by proving a lower bound of $\frac{1}{4}(\frac{1+\delta}{2})^{2k}$ on the error probability. $\sqrt{\pi k}(\frac{1+\delta}{2})^{2k}$ (which is almost a quadratic reduction when δ is small). We also show that this is One method for solving this problem is to use the method in Section 2, independently perform-

states include encodings of all the permutations in S_{2k} . Let 0 denote the identity permutation and initially contain $|\phi\rangle, \dots, |\phi\rangle, |\psi\rangle, \dots, |\psi\rangle$ (k copies of each). It also uses a register P whose classical let P be initialized to 0. Let F be any transformation satisfying The improved method for the state distinguishing problem uses registers R_1, \ldots, R_{2k} , which

$$F:|0\rangle \mapsto \frac{1}{\sqrt{(2k)!}} \sum_{\sigma \in S_{2k}} |\sigma\rangle.$$

Such a transformation can easily be computed in polynomial time.

The distinguishing procedure operates as follows:

- 1. Apply F to register P.
- 2. Apply a conditional permutation on the contents of registers R_1, \ldots, R_{2k} , conditioned on the permutation specified in P.
- Apply F^{\dagger} to P and measure the final state. If P contains 0 then answer equal, otherwise answer not equal.

The state after is Step 2 is

$$\frac{1}{\sqrt{(2k)!}} \sum_{\sigma \in S_{2k}} |\sigma\rangle \sigma(|\phi\rangle \cdots |\phi\rangle |\psi\rangle \cdots |\psi\rangle)$$

(where $\sigma(|\phi\rangle \cdots |\phi\rangle |\psi\rangle \cdots |\psi\rangle$) means we permute the contents of the 2k registers according to σ).

procedure answers equal with certainty. Case 1: $|\phi\rangle = |\psi\rangle$. In this case the permutation of the registers does absolutely nothing, so the

Case 2: Assume $|\langle \phi | \psi \rangle| < \delta$. The probability of answering *equal* is the squared norm of the vector obtained by applying the projection $|0\rangle\langle 0| \otimes I$ to the final state, which is

$$p_{eq} = \left\| \frac{1}{\sqrt{(2k)!}} \sum_{\sigma \in S_{2k}} \langle 0|F^{\dagger}|\sigma\rangle\sigma(|\phi\rangle\cdots|\phi\rangle|\psi\rangle\cdots|\psi\rangle) \right\|^{2}$$

$$= \left\| \frac{1}{(2k)!} \sum_{\sigma \in S_{2k}} \sigma(|\phi\rangle\cdots|\phi\rangle|\psi\rangle\cdots|\psi\rangle) \right\|^{2}.$$

Since $|||\eta\rangle||^2 = \langle \eta|\eta\rangle$ for any $|\eta\rangle$ we may simplify this probability as follows:

$$p_{eq} = \frac{1}{((2k)!)^2} \sum_{\sigma, \tau \in S_{2k}} \sigma(\langle \phi | \cdots \langle \phi | \langle \psi | \cdots \langle \psi |) \tau (| \phi \rangle \cdots | \phi \rangle | \psi \rangle \cdots | \psi \rangle)$$

$$= \frac{1}{((2k)!)^2} \sum_{\sigma, \tau \in S_{2k}} \langle \phi | \cdots \langle \phi | \langle \psi | \cdots \langle \psi | \sigma^{-1} \tau (| \phi \rangle \cdots | \phi \rangle | \psi \rangle \cdots | \psi \rangle)$$

$$= \frac{1}{(2k)!} \sum_{\sigma \in S_{2k}} \langle \phi | \cdots \langle \phi | \langle \psi | \cdots \langle \psi | \sigma (| \phi \rangle \cdots | \phi \rangle | \psi \rangle \cdots | \psi \rangle)$$

$$= \frac{(k!)^2}{(2k)!} \sum_{j=0}^{k} {k \choose j}^2 \delta^{2j}$$

$$\leq \frac{(k!)^2}{(2k)!} (1 + \delta)^{2k}.$$

of registers j in the set $\{R_1,\dots,R_k\}$ that σ causes to contain $|\psi\rangle$. We therefore have $p_{eq} \sim \sqrt{\pi k} (\frac{1+\delta}{2})^{2k}$. The sum of binomial coefficients arises by grouping the permutations σ according to the number

inner product $\cos \alpha$ has error probability $\frac{1-\sin \alpha}{2} \geq \frac{1}{4}\cos^2 \alpha$. (This follows from an early result of Helstrom [Hel67], which was later strengthened by Fuchs [Fuc95, Section 3.2]. A clean and self-contained derivation of this result may also be found in [Pre00].) Therefore, the state distinguisher must have error probability at least $\frac{1}{4}(\frac{1+\delta}{2})^{2k}$. and $|\psi_2\rangle = \cos(\frac{\theta}{2})|0\rangle - \sin(\frac{\theta}{2})|1\rangle$, where $\theta = \cos^{-1}(\delta)$. Clearly, $|\phi_1\rangle = |\psi_1\rangle$ and $\langle \phi_2|\tilde{\psi}_2\rangle = \delta$. A state distinguisher must distinguish between the state $|a\rangle = |\phi_1\rangle^{\otimes k} \otimes |\psi_1\rangle^{\otimes k}$ and the state $|b\rangle = |\phi_2\rangle^{\otimes k} \otimes |\psi_1\rangle^{\otimes k}$ where either $|\phi\rangle = |\psi\rangle$ or $|\langle\phi|\psi\rangle| \le \delta$. Let $|\phi_1\rangle = |\psi_1\rangle = |0\rangle$, and let $|\phi_2\rangle = \cos(\frac{\theta}{2})|0\rangle + \sin(\frac{\theta}{2})|1\rangle$ these states. Since $\langle \phi_1 | \phi_2 \rangle = \langle \psi_1 | \psi_2 \rangle = \cos(\frac{\theta}{2})$, it follows that $\langle a | b \rangle = \cos^{2k}(\frac{\theta}{2}) = (\frac{1 + \cos \theta}{2})^k = \cos^{2k}(\frac{\theta}{2})$ problem. Consider an optimal state distinguisher that acts on k copies of $|\phi\rangle$ and k copies of $|\psi\rangle$ $(rac{1+\delta}{2})^k$. Now, it is known that the optimal procedure distinguishing between two states with $|\psi_2\rangle^{\otimes k}$. We now consider the probability with which a state distinguisher can distinguish between We now show that the error probability cannot be less than $\frac{1}{4}(\frac{1+\delta}{2})^{2k}$ for the state distinguishing

Errorless fingerprinting using a shared quantum key

classical key? We observe that results in [BCT99] imply an improvement in the particular setting n is divisible by 4). restriction on the inputs that either x=y or the Hamming distance between x and y is n/2 (and where the fingerprinting scheme must be exact (i.e., the error probability is 0) and where there is a Is there any sense in which a quantum key can result in improved performance over the case of a key, consisting of $O(\log n)$ Bell states, but are required to output classical strings as fingerprints. Finally, we consider briefly the case of fingerprinting where Alice and Bob have a shared quantum

states that requires fingerprints of length only $O(\log n)$ bits. See [BCT99] for details (the results also a classical scheme with classical keys and fingerprints of length $O(\log n)$. are partly based on results in [BCW98, FR87]). It should be noted that if the exactness condition of length $\Omega(n)$. On the other hand, there is a scheme with a shared quantum key of $O(\log n)$ Bell is relaxed to one where the error probability must be $O(1/n^c)$ (for a constant c) then there exists Under this restriction, any classical scheme with a shared key would still require fingerprints

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fingerprints, because the fingerprints used in Section 2 are not arbitrary but come from a known set of only 2^n ¹Note that this lower bound concerns a problem that is slightly more general than the problem of distinguishing

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