

Warm-Up Problem

Let $\text{dom}(\mathcal{I}) = \{a, b\}$ and $P^{\mathcal{I}} = \{\langle a, a \rangle, \langle a, b \rangle, \langle b, b \rangle\}$.

Let $E(x) = a$ and $E(y) = b$.

What is $(\forall x (\forall y P(x, y)))^{(\mathcal{I}, E)}$?

Predicate Logic: Semantic Entailment

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Lecture 12

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Last Time

- Discussed Interpretations and Environments and how these model (give meaning to) predicate logic formulas
- Gave meaning to quantifiers

Learning Goals

- Discussed validity, satisfiability, unsatisfiable.
- State and prove the Relevance Lemma.
- Define what it means for a set of [well-formed] Predicate Logic formulas to semantically entail a [well-formed] formula.
- Solve problems using this definition.

Examples: Value of a Quantified Formula

Example. Let $\text{dom}(\mathcal{I}) = \{a, b\}$ and $P^{\mathcal{I}} = \{\langle a, a \rangle, \langle a, b \rangle, \langle b, b \rangle\}$.

Let $E(x) = a$ and $E(y) = b$. We have

- $P(x, x)^{(\mathcal{I}, E)} = \mathbf{T}$, since $\langle E(x), E(x) \rangle = \langle a, a \rangle \in P^{\mathcal{I}}$.
- $P(y, x)^{(\mathcal{I}, E)} = \mathbf{F}$, since $\langle E(y), E(x) \rangle = \langle b, a \rangle \notin P^{\mathcal{I}}$.
- $(\exists y P(y, x))^{(\mathcal{I}, E)} = \mathbf{T}$, since $P(y, x)^{(\mathcal{I}, E[y \mapsto a])} = \mathbf{T}$.
(That is, $\langle E[y \mapsto a](y), E[y \mapsto a](x) \rangle = \langle a, a \rangle \in P^{\mathcal{I}}$).
- What is $(\forall x (\forall y P(x, y)))^{(\mathcal{I}, E)}$?

Examples: Continued

Example. Let $dom(\mathcal{I}) = \{a, b\}$ and $P^{\mathcal{I}} = \{\langle a, a \rangle, \langle a, b \rangle, \langle b, b \rangle\}$.

Let $E(x) = a$ and $E(y) = b$.

- What is $(\forall x (\forall y P(x, y)))^{(\mathcal{I}, E)}$?

Since $\langle b, a \rangle \notin P^{\mathcal{I}}$, we have

$$P(x, y)^{(\mathcal{I}, E[x \mapsto b][y \mapsto a])} = \mathbf{F} ,$$

and thus

$$(\forall x (\forall y P(x, y)))^{(\mathcal{I}, E)} = \mathbf{F} .$$

Examples: Continued

Example. Let $dom(\mathcal{I}) = \{a, b\}$ and $P^{\mathcal{I}} = \{\langle a, a \rangle, \langle a, b \rangle, \langle b, b \rangle\}$.

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- What is $(\forall x (\forall y P(x, y)))^{(\mathcal{I}, E)}$?

Since $\langle b, a \rangle \notin P^{\mathcal{I}}$, we have

$$P(x, y)^{(\mathcal{I}, E[x \mapsto b][y \mapsto a])} = \mathbf{F} ,$$

and thus

$$(\forall x (\forall y P(x, y)))^{(\mathcal{I}, E)} = \mathbf{F} .$$

- What about $(\forall x (\exists y P(x, y)))^{(\mathcal{I}, E)}$?

A Question of Syntax

In the previous example, we wrote

$$P(x, y)^{(I, E[x \mapsto b][y \mapsto a])} = \mathbf{F} .$$

Why did we not write simply

$$P(\mathbf{b}, \mathbf{a}) = \mathbf{F}$$

or perhaps

$$P(\mathbf{b}, \mathbf{a})^{(I, E)} = \mathbf{F} ?$$

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or perhaps

$$P(\mathbf{b}, \mathbf{a})^{(\mathcal{I}, E)} = \mathbf{F} ?$$

Because “ $P(\mathbf{b}, \mathbf{a})$ ” is not a formula. The elements \mathbf{a} and \mathbf{b} of $dom(\mathcal{I})$ are not symbols in the language; they cannot appear in a formula.

Satisfaction of Formulas

An interpretation \mathcal{I} and environment E *satisfy* a formula α , denoted

$\mathcal{I} \models_E \alpha$, iff $\alpha^{(\mathcal{I}, E)} = \text{T}$;

they do not satisfy α , denoted $\mathcal{I} \not\models_E \alpha$, if $\alpha^{(\mathcal{I}, E)} = \text{F}$.

Form of α

Condition for $\mathcal{I} \models_E \alpha$

$P(t_1, \dots, t_k)$

$\langle t_1^{(\mathcal{I}, E)}, \dots, t_k^{(\mathcal{I}, E)} \rangle \in P^{\mathcal{I}}$

$(\neg\beta)$

$\mathcal{I} \not\models_E \beta$

$(\beta \wedge \gamma)$

both $\mathcal{I} \models_E \beta$ and $\mathcal{I} \models_E \gamma$

$(\beta \vee \gamma)$

either $\mathcal{I} \models_E \beta$ or $\mathcal{I} \models_E \gamma$ (or both)

$(\beta \rightarrow \gamma)$

either $\mathcal{I} \not\models_E \beta$ or $\mathcal{I} \models_E \gamma$ (or both)

$(\forall x \beta)$

for every $a \in \text{dom}(\mathcal{I})$, $\mathcal{I} \models_{E[x \mapsto a]} \beta$

$(\exists x \beta)$

there is some $a \in \text{dom}(\mathcal{I})$ such that $\mathcal{I} \models_{E[x \mapsto a]} \beta$

If $\mathcal{I} \models_E \alpha$ for every E , then \mathcal{I} *satisfies* α , denoted $\mathcal{I} \models \alpha$.

Example: Satisfaction

Example. Consider the formula $(\exists y P(x, y \oplus y))$.

(For P a binary predicate and \oplus a binary function.)

Suppose $dom(\mathcal{I}) = \{1, 2, 3, \dots\}$,
 $\oplus^{\mathcal{I}}$ is the addition operation, and
 $P^{\mathcal{I}}$ is the equality predicate.

Give a simple condition that determines when
 $\mathcal{I} \models_E (\exists y P(x, (y \oplus y)))$ holds.

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$\mathcal{I} \models_E (\exists y P(x, (y \oplus y)))$ iff $E(x)$ is an even number.

Validity and Satisfiability

Validity and satisfiability of formulas have definitions analogous to the ones for propositional logic.

Definition: A formula α is

- *valid* if every interpretation and environment satisfy α ; that is, if $\mathcal{I} \models_E \alpha$ for every \mathcal{I} and E ,
- *satisfiable* if some interpretation and environment satisfy α ; that is, if $\mathcal{I} \models_E \alpha$ for some \mathcal{I} and E , and
- *unsatisfiable* if no interpretation and environment satisfy α ; that is, if $\mathcal{I} \not\models_E \alpha$ for every \mathcal{I} and E .

(The term “tautology” is not used in predicate logic.)

Note

If there is no need to specify an environment, then simply defining an interpretation \mathcal{I} and writing $\mathcal{I} \models \alpha$ will suffice.

Revisit Example

Let $f^{(1)}$ and $h^{(2)}$ be function symbols. Let $P^{(1)}$ and $Q^{(2)}$ be predicate symbols, let a, b, c be constant symbols and let x, y, z be variable symbols. Define an interpretation by:

- Domain: $\mathcal{D} = \{1, 2, 3\}$
- Constants: $a^{\mathcal{I}} = 1, b^{\mathcal{I}} = 2, c^{\mathcal{I}} = 3$
- Functions: $f^{\mathcal{I}} : f^{\mathcal{I}}(1) = 2, f^{\mathcal{I}}(2) = 3, f^{\mathcal{I}}(3) = 1$
- $h^{\mathcal{I}} : (x, y) \mapsto \min\{x, y\}$ (min is the minimum function)
- Predicates: $P^{\mathcal{I}} = \{1, 3\}$
- $Q^{\mathcal{I}} = \{\langle 1, 2 \rangle, \langle 3, 3 \rangle, \langle 3, 1 \rangle\}$

and define an environment E by

$$E(x) = 3, E(y) = 3, E(z) = 1.$$

- Give a new interpretation \mathcal{J}_1 and environment G_1 satisfying $\mathcal{J}_1 \not\models_{G_1} P(h(f(a), z))$.
- Give a new interpretation \mathcal{J}_2 and environment G_2 satisfying $\mathcal{J}_2 \not\models_{G_2} Q(y, h(a, b))$.

Solution

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Solution: Set $G_1 = E$ and \mathcal{J}_1 to be \mathcal{I} except, let $P^{\mathcal{J}_1} = \emptyset$. Then $\mathcal{J}_1 \not\models_{G_1} P(h(f(a), z))$.

Solution

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Give a new interpretation \mathcal{J}_2 and environment G_2 satisfying $\mathcal{J}_2 \not\models_{G_2} Q(y, h(a, b))$.

Solution

Give a new interpretation \mathcal{J}_1 and environment G_1 satisfying $\mathcal{J}_1 \not\models_{G_1} P(h(f(a), z))$.

Solution: Set $G_1 = E$ and \mathcal{J}_1 to be \mathcal{I} except, let $P^{\mathcal{J}_1} = \emptyset$. Then $\mathcal{J}_1 \not\models_{G_1} P(h(f(a), z))$.

Give a new interpretation \mathcal{J}_2 and environment G_2 satisfying $\mathcal{J}_2 \not\models_{G_2} Q(y, h(a, b))$.

Solution: Set $G_2 = E$ and \mathcal{J}_2 to be \mathcal{I} except, let $Q^{\mathcal{J}_2} = \emptyset$. Then $\mathcal{J}_2 \not\models_{G_2} Q(y, h(a, b))$.

Relevance Lemma

Lemma:

Let α be a first-order formula, \mathcal{I} be an interpretation, and E_1 and E_2 be two environments such that

$$E_1(x) = E_2(x) \text{ for every } x \text{ that occurs free in } \alpha.$$

Then

$$\mathcal{I} \models_{E_1} \alpha \text{ if and only if } \mathcal{I} \models_{E_2} \alpha .$$

Proof by induction on the structure of α .

Example: Satisfiability and Validity

Let \mathcal{L} be a language consisting of variables x, y, z , function symbols $f^{(2)}$, $g^{(1)}$ and predicate symbol $P^{(2)}$. Let α be the formula $P(f(g(x), g(y)), g(z))$. The formula is satisfiable:

- $dom(\mathcal{I}): \mathbb{N}$
- $f^{\mathcal{I}}$: summation
- $g^{\mathcal{I}}$: squaring
- $P^{\mathcal{I}}$: equality
- $E(x) = 3$, $E(y) = 4$ and $E(z) = 5$.

α is not valid. (Why?)

Another Example

Let \mathcal{L} be a language consisting of variables x, y , predicate symbol $Q^{(2)}$.
Let \mathcal{I} be the interpretation defined by

$$\blacksquare \text{ Domain: } D = \{1, 2\} \quad Q^{\mathcal{I}} = \emptyset$$

and environment E given by:

$$\blacksquare E(x) = 1 \quad E(y) = 2$$

1. Show that $\mathcal{I} \not\models_E \alpha$ where $\alpha \stackrel{\text{def}}{=} (\exists x (\forall y Q(x, y)))$.
2. Give an interpretation \mathcal{J} and an environment G such that $\mathcal{J} \models_G (\exists x Q(x, y))$
3. Give an interpretation \mathcal{J} and an environment G such that $\mathcal{J} \models_G (\forall x Q(x, y))$
4. Give an interpretation \mathcal{J} and an environment G such that $\mathcal{J} \models_G (\exists x (\forall y Q(x, y)))$
5. Is $(\forall x (\exists y Q(x, y)))$ valid? Why or why not?

Semantic Entailment

Let Σ be a set of well-formed Predicate logic formulas and α is a well-formed predicate logic formula.

For interpretation \mathcal{I} and environment E , we write $\mathcal{I} \models_E \Sigma$ if and only if for every $\varphi \in \Sigma$, we have that $\mathcal{I} \models_E \varphi$.

We say that Σ is a *semantically entails* α (or that α is a *logical consequence* of Σ), written as $\Sigma \models \alpha$, if and only if for any interpretation \mathcal{I} and environment E , we have $\mathcal{I} \models_E \Sigma$ implies $\mathcal{I} \models_E \alpha$. This can also be written as $\alpha^{(\mathcal{I}, E)} = \mathbb{T}$.

$\emptyset \models \alpha$ means that α is valid.

Notes

Suppose $\Sigma = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$ Then $\Sigma \models \beta$ means...

- ...that every pair of interpretation and environment that makes Σ true must also make β true.
- ...that $\emptyset \models ((\alpha_1 \wedge (\alpha_2 \wedge (\dots \wedge \alpha_n))) \rightarrow \beta)$
- ...that $((\alpha_1 \wedge (\alpha_2 \wedge (\dots \wedge \alpha_n))) \rightarrow \beta)$ is valid

To prove these, take an arbitrary interpretation \mathcal{I} and environment E and show that if this satisfied Σ then it must also satisfy β . You may also assume towards a contradiction that $\mathcal{I} \not\models_E \beta$ and proceed from there if this helps.

To prove that $\Sigma \not\models \beta$ find an interpretation \mathcal{I} and environment E that satisfies Σ but that doesn't satisfy β , that is, show that $\mathcal{I} \not\models_E \beta$.

Example: Semantic Entailment

Example: Show that for any well-formed Predicate formulas α and β :

$$\emptyset \models ((\forall x (\alpha \rightarrow \beta)) \rightarrow ((\forall x \alpha) \rightarrow (\forall x \beta))) .$$

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Proof by contradiction. Suppose there are \mathcal{I} and E such that

$$\mathcal{I} \not\models_E ((\forall x (\alpha \rightarrow \beta)) \rightarrow ((\forall x \alpha) \rightarrow (\forall x \beta))) .$$

Then we must have $\mathcal{I} \models_E (\forall x (\alpha \rightarrow \beta))$ and $\mathcal{I} \not\models_E ((\forall x \alpha) \rightarrow (\forall x \beta))$;
the second gives $\mathcal{I} \models_E (\forall x \alpha)$ and $\mathcal{I} \not\models_E (\forall x \beta)$.

Using the definition of \models for formulas with \forall , we have
for every $a \in \text{dom}(\mathcal{I})$, $\mathcal{I} \models_{E[x \mapsto a]} (\alpha \rightarrow \beta)$ and $\mathcal{I} \models_{E[x \mapsto a]} \alpha$.
Thus also $\mathcal{I} \models_{E[x \mapsto a]} \beta$ for every $a \in \text{dom}(\mathcal{I})$.

Thus $\mathcal{I} \models_E (\forall x \beta)$, a contradiction.

Example

Example. Show that $\{(\forall x (\neg\gamma))\} \models (\neg(\exists x \gamma))$.

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Proof: Suppose that $\mathcal{I} \models_E (\forall x (\neg\gamma))$. By definition, this means

for every $a \in \text{dom}(\mathcal{I})$, $\mathcal{I} \models_{E[x \mapsto a]} (\neg\gamma)$. that is,
 $(\neg\gamma)^{(\mathcal{I}, E[x \mapsto a])} = \mathbb{T}$.

Again by definition (for a formula with \neg), this is equivalent to

for every $a \in \text{dom}(\mathcal{I})$, $\mathcal{I} \not\models_{E[x \mapsto a]} \gamma$ that is, $\gamma^{(\mathcal{I}, E[x \mapsto a])} = \mathbb{F}$.

and also

there is no $a \in \text{dom}(\mathcal{I})$ such that $\mathcal{I} \models_{E[x \mapsto a]} \gamma$.

Assuming towards a contradiction that $(\exists x \gamma)^{(\mathcal{I}, E)} = \mathbb{T}$, this would mean that there is an $b \in \text{dom}(\mathcal{I})$ such that $\mathcal{I} \models_{E[x \mapsto b]} \gamma$ which contradicts the previous line. Hence $\mathcal{I} \models_E (\neg(\exists x \gamma))$ holds as required.

Example

Example: Find well-formed Predicate formulas α and β such that

$$\{((\forall x \alpha) \rightarrow (\forall x \beta))\} \neq (\forall x (\alpha \rightarrow \beta)) .$$

Example

Example: Find well-formed Predicate formulas α and β such that

$$\{((\forall x \alpha) \rightarrow (\forall x \beta))\} \not\models (\forall x (\alpha \rightarrow \beta)) .$$

Key idea: $(\varphi_1 \rightarrow \varphi_2)$ yields true whenever φ_1 is false.

Let α be $P(x)$. Let \mathcal{I} have domain $\{a, b\}$ and $P^{\mathcal{I}} = \{a\}$. Then $\mathcal{I} \models ((\forall x \alpha) \rightarrow (\forall x \beta))$ for any β . (Why?)

Example

Example: Find well-formed Predicate formulas α and β such that

$$\{((\forall x \alpha) \rightarrow (\forall x \beta))\} \not\models (\forall x (\alpha \rightarrow \beta)) .$$

Key idea: $(\varphi_1 \rightarrow \varphi_2)$ yields true whenever φ_1 is false.

Let α be $P(x)$. Let \mathcal{I} have domain $\{a, b\}$ and $P^{\mathcal{I}} = \{a\}$. Then $\mathcal{I} \models ((\forall x \alpha) \rightarrow (\forall x \beta))$ for any β . (Why?)

To obtain $\mathcal{I} \not\models \forall x (\alpha \rightarrow \beta)$, we can use $\neg P(x)$ for β . (Why?)

Thus $((\forall x \alpha) \rightarrow (\forall x \beta)) \not\models (\forall x (\alpha \rightarrow \beta))$, as required. (Why?)

Example

Example: For any formula α and term t , show that

$$\emptyset \models ((\forall x \alpha) \rightarrow \alpha[t/x]) .$$

Recall that functions must be total!

Another Example

Let α be any well-formed Predicate formula **without** a free variable x . Let \mathcal{I} be any interpretation and let E be any environment. Then

$$\alpha^{(\mathcal{I}, E)} = (\forall x \alpha)^{(\mathcal{I}, E)}.$$

Another Example

Let α be any well-formed Predicate formula **without** a free variable x . Let \mathcal{I} be any interpretation and let E be any environment. Then

$$\alpha^{(\mathcal{I}, E)} = (\forall x \alpha)^{(\mathcal{I}, E)}.$$

Proof. Let \mathcal{D} be the domain of \mathcal{I} . Since x is not free in α , therefore $E(y) = E[x \mapsto a](y)$, for every $a \in \mathcal{D}$ and for every y that occurs free in α .

Then by the Relevance Lemma, we have that

- $\mathcal{I} \models_E \alpha$
- if and only if $\mathcal{I} \models_{E[x \mapsto a]} \alpha$, for any $a \in \mathcal{D}$.
- if and only if $\mathcal{I} \models_E (\forall x \alpha)$,

which establishes the desired result.

Example

Example: Show that

$$\{(\forall x (\exists y P(x, y)))\} \not\equiv (\exists y (\forall x P(x, y)))$$

Example

Example: Show that

$$\{(\forall x (\exists y P(x, y)))\} \not\models (\exists y (\forall x P(x, y)))$$

Solution: We simply need to come up with an interpretation and an environment satisfying

$$\mathcal{I} \models_E ((\forall x (\exists y P(x, y)))) \quad \text{and} \quad \mathcal{I} \not\models_E ((\exists y (\forall x P(x, y))))$$

Define an interpretation \mathcal{I} with $dom(\mathcal{I}) = \{1, 2, 3\}$ and predicate $P^{\mathcal{I}} = \{\langle 1, 1 \rangle, \langle 2, 3 \rangle, \langle 3, 1 \rangle\}$. Further, let E be an arbitrary environment. Now, we need to show that

$$(\forall x (\exists y P(x, y)))^{(\mathcal{I}, E)} = \mathbf{T} \quad \text{and} \quad (\exists y (\forall x P(x, y)))^{(\mathcal{I}, E)} = \mathbf{F}$$

Example Continued (2 of 3)

With $dom(\mathcal{I}) = \{1, 2, 3\}$ and predicate $P^{\mathcal{I}} = \{\langle 1, 1 \rangle, \langle 2, 3 \rangle, \langle 3, 1 \rangle\}$, we have

$$(\forall x (\exists y P(x, y)))^{(\mathcal{I}, E)} = \mathbf{T}$$

because

$$P(x, y)^{(\mathcal{I}, E[x \mapsto 1][y \mapsto 1])} = \mathbf{T} \text{ since } \langle 1, 1 \rangle \in P^{\mathcal{I}}$$

$$P(x, y)^{(\mathcal{I}, E[x \mapsto 2][y \mapsto 3])} = \mathbf{T} \text{ since } \langle 2, 3 \rangle \in P^{\mathcal{I}}$$

$$P(x, y)^{(\mathcal{I}, E[x \mapsto 3][y \mapsto 1])} = \mathbf{T} \text{ since } \langle 3, 1 \rangle \in P^{\mathcal{I}}$$

Example Continued (3 of 3)

With $dom(\mathcal{I}) = \{1, 2, 3\}$ and predicate $P^{\mathcal{I}} = \{\langle 1, 1 \rangle, \langle 2, 3 \rangle, \langle 3, 1 \rangle\}$, we have

$$(\exists y (\forall x P(x, y)))^{(\mathcal{I}, E)} = \mathbf{F}$$

because

$$P(x, y)^{(\mathcal{I}, E[y \mapsto 1][x \mapsto 2])} = \mathbf{F} \text{ since } \langle 2, 1 \rangle \notin P^{\mathcal{I}}$$

$$P(x, y)^{(\mathcal{I}, E[y \mapsto 2][x \mapsto 1])} = \mathbf{F} \text{ since } \langle 1, 2 \rangle \notin P^{\mathcal{I}}$$

$$P(x, y)^{(\mathcal{I}, E[y \mapsto 3][x \mapsto 1])} = \mathbf{F} \text{ since } \langle 1, 3 \rangle \notin P^{\mathcal{I}}$$

(Think above, we are really proving that $(\forall y (\exists x (\neg P(x, y))))^{(\mathcal{I}, E)} = \mathbf{F}$).

Thus,

$$\{(\forall x (\exists y P(x, y)))\} \not\equiv (\exists y (\forall x P(x, y)))$$