

PMATH 442 Lecture 1: September 12, 2011

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PMATH 442/642

NSERC & OGS scholarship info meeting
Thursday Sept. 15 10–12 noon DC 1302 Refreshments
Office hours are cancelled this Wednesday.

<http://www.student.math.uwaterloo.ca/~pmat442>

Definition: A homomorphism of rings is a function $f: R \rightarrow S'$ such that

1. $f(a + b) = f(a) + f(b)$
2. $f(ab) = f(a)f(b)$
3. $f(1) = 1$

Definition: Let R be a ring. There is a unique homomorphism $\phi: \mathbb{Z} \rightarrow R$ given by $\phi(n) = n$, called the characteristic homomorphism. Since \mathbb{Z} is a PID, there is a unique nonnegative $n \in \mathbb{Z}$ such that $\ker \phi = (n)$. The characteristic of R is n .

Definition: An extension of fields is a pair of fields L, K such that $K \subset L$. It's written L/K .

The degree of L/K is the dimension of L as a K -vector space.

Recall: Let F be a field, R a non-zero ring, $\phi: F \rightarrow R$ a homomorphism. Then ϕ is 1–1.

If $p(x) \in F[x]$ is irreducible, then $F[x]/(p(x))$ is a field. As an extension of F , it has degree $\deg(p)$, with basis

$$\{1, x, \dots, x^{\deg(p)-1}\}.$$

Definition: Let K be a field. A K -algebra is a ring R that contains K .

Definition: A K -algebra homomorphism is a function $f: R \rightarrow S$ that is a ring homomorphism satisfying $f(a) = a$ for all $a \in K$.

$$\begin{aligned} f(ab) &= f(a)f(b) \\ f(cv) &= cf(v) \end{aligned}$$

Note that a K -algebra homomorphism is also, equivalently, a ring homomorphism that is also a K -linear transformation.

Theorem: Let L/K be an extension of fields, $p(x) \in K[x]$ an irreducible polynomial, $\alpha \in L$ an element satisfying $p(\alpha) = 0$. Then $\phi: K[x]/(p(x)) \rightarrow K(\alpha)$ given by $\phi(f(x)) = f(\alpha)$ is a K -algebra isomorphism.

Proof: Not doing it. □

So $\{1, \alpha, \alpha^2, \dots, \alpha^{\deg(p)-1}\}$ is a basis for $K(\alpha)$ over K .

Definition: In this context, $p(x)$ is called a minimal polynomial for α over K . It is unique to multiplication by a nonzero element of K .

Theorem: Let $p(x)$ be a minimal polynomial for α over K . If $f(x) \in K[x]$ satisfies $f(\alpha) = 0$, then $p(x) \mid f(x)$.

Proof: Not doing it. □

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Definition: Let K be a field, L an extension of K , $a \in L$ an element. Then a is algebraic over K iff there is a polynomial $p(x) \in K[x]$, $p(x) \neq 0$, such that $p(a) = 0$. (Otherwise, a is transcendental over K .) We say L/K is algebraic iff every element of L is algebraic over K .

L/K is finite iff $[L : K]^{1)} < \infty$.

Theorem: Let L/K be a finite extension. Then L/K is algebraic.

Proof: Let $\alpha \in L$ be any element. Let $n = [L : K]$. The $n + 1$ vectors $1, \alpha, \alpha^2, \dots, \alpha^n$ are linearly dependent,

¹⁾ = $\dim_K L$

so there exist $a_0, a_1, \dots, a_n \in K$ such that $a_0 + a_1\alpha + \dots + a_n\alpha^n = 0$, but not all of the a_i s are 0. So α is algebraic over K , since it's a root of $p(x) = a_0 + a_1x + \dots + a_nx^n \in K[x]$. \square

Example: $\mathbb{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5}, \sqrt{7}, \sqrt{11}, \dots)$ is algebraic over \mathbb{Q} , but not finite.

Theorem: (KLM)

$$\begin{array}{c} M \\ | \\ L \\ | \\ K \end{array} \quad [M : K] = [M : L]_m [L : K]_l$$

Proof: Let $\{a_1, \dots, a_l\}$ be a basis for L/K , $\{b_1, \dots, b_m\}$ be a basis for M/L . Consider $\{a_i b_j\}_{\substack{i \in \{1, \dots, l\} \\ j \in \{1, \dots, m\}}}$.

Show that this set is a basis for M/K , from which the theorem immediately follows.

Linear independence: Assume $\sum_{i,j} \gamma_{ij} a_i b_j = 0$ for some $\gamma_{ij} \in K$. Then $\sum_j \left(\sum_i \gamma_{ij} a_i \right) b_j = 0$.

Since $\{b_j\}$ is linearly independent over L , we get $\sum_i \gamma_{ij} a_i = 0$ for all j . Since $\{a_i\}$ is linearly independent over K , we conclude that $\gamma_{ij} = 0$, for all i, j .

Spanning: Choose $\alpha \in M$. Then

$$\alpha = \sum_j c_j b_j,$$

for some $c_j \in L$. For each j , there are γ_{ij} in K such that $c_j = \sum_i \gamma_{ij} a_i$. Then:

$$\alpha = \sum_{i,j} \gamma_{ij} a_i b_j,$$

and we're done. \square

Let L/K be an extension of field. Let L^{alg} be the set of elements of L algebraic over K .

Theorem: L^{alg} is a field.

Proof: Let $\alpha \in L^{\text{alg}}$ be any element. Then $K(\alpha)/K$ is finite, because its degree is the degree of a minimal polynomial for α/K , which exists because α/K is algebraic. If $\beta \in L^{\text{alg}}$ is any other element, then $K(\beta)/K$ is finite too.

$$\begin{array}{ccc} & K(\alpha, \beta) = K(\alpha)K(\beta) & \\ \text{finite} \swarrow & & \searrow \\ K(\alpha) & & K(\beta) \\ \swarrow \text{finite} & & \searrow \\ & K & \end{array} \left. \vphantom{\begin{array}{ccc} & K(\alpha, \beta) = K(\alpha)K(\beta) & \\ \text{finite} \swarrow & & \searrow \\ K(\alpha) & & K(\beta) \\ \swarrow \text{finite} & & \searrow \\ & K & \end{array}} \right\} \text{finite, by KLM.}$$

So $K(\alpha, \beta)$ is also finite. It contains $\alpha + \beta$, $\alpha - \beta$, $\alpha\beta$, and α/β (if $\beta \neq 0$), so all these must be in L^{alg} . \square

The field L^{alg} is called the algebraic closure of K in L .

Definition: Let M/K be an extension. Let $E, F \subset M$ be subfields of M containing K . The compositum (composite) of E and F over K is EF , defined to be the smallest subfield of M that contains E and F .

If $E = K(\alpha_1, \dots, \alpha_n)$, $F = K(\beta_1, \dots, \beta_m)$, then $EF = K(\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_m)$.

Splitting Fields

Let L/K be an extension, $p(x) \in K[x]$ a non-constant polynomial. Then L is a splitting field for $p(x)$ over K iff:

(1) $p(x) = c(x - \alpha_1) \cdots (x - \alpha_n)$ for some $c, \alpha_i \in L$, and

(2) $L = K(\alpha_1, \dots, \alpha_n)$.

Example: A splitting field for $x^4 - 2$ over \mathbb{Q} is $\mathbb{Q}(\sqrt[4]{2}, i\sqrt[4]{2}, -\sqrt[4]{2}, -i\sqrt[4]{2}) = \mathbb{Q}(\sqrt[4]{2}, i)$.

Example: A splitting field for $x^3 + x + 1$ over $\mathbb{F}_2 = \mathbb{Z}/2\mathbb{Z}$ is $\mathbb{F}_2(a_1, a_2, a_3) = \mathbb{F}_8$, the field with 8 elements. (Note a_1, a_2, a_3 are the roots of $x^3 + x + 1$ in \mathbb{F}_8 .)

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Splitting Fields

Let K be a field, $p(x) \in K[x]$ a non-constant polynomial. A splitting field for $p(x)$ over K is a field L such that:

(1) $p(x) = c(x - a_1) \cdots (x - a_n)$ for some $c, a_1, \dots, a_n \in L$ and

(2) $L = K(a_1, \dots, a_n)$

Fact: Up to isomorphism, there is exactly one splitting field for a given $p(x)$ over K .

Definition: A finite field extension L/K is normal iff L is the splitting field for some $p(x) \in K[x]$.

Note:

$$\left. \begin{array}{c} K(a_1, \dots, a_n) \\ \vdots \\ \left| \leq n-1 \right. \\ K(a_1) \\ \left| \leq n \right. \\ K \end{array} \right\} \text{degree} \leq n!$$

Definition: Let K be a field. An algebraic closure of K is a field \bar{K} such that:

(1) \bar{K}/K is algebraic

(2) Every non-constant polynomial $p(x) \in K[x]$ splits into linear factors in $\bar{K}[x]$.

Fact: Up to isomorphism, there is exactly one algebraic closure of K .

Definition: A field K is algebraically closed iff every non-constant $p(x) \in K[x]$ splits into linear factors in $K[x]$.

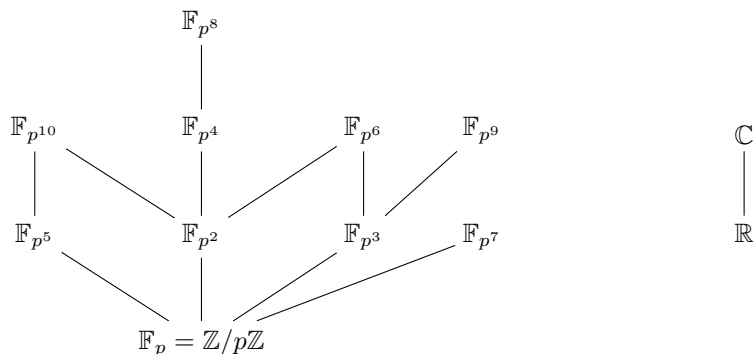
Theorem: Any algebraic closure of a field K is algebraically closed.

Proof: Let L be an algebraic closure of K , and let $p(x) \in L[x]$ be any non-constant polynomial. Proceed by induction on $\deg(p)$. The base case $\deg(p) = 1$ is trivial.

Assume every polynomial of $\deg \leq n$ splits, and let $\deg(p) = n + 1$. If p is reducible, we're done. If not, let M/L be a splitting field for $p(x)$ over L .

Any root $\alpha \in M$ of $p(x)$ is algebraic over L . But L is algebraic over K , so M is also algebraic over K . Let $q(x) \in K[x]$ be a minimal polynomial for α over K . Then since $q(\alpha) = 0$, we get $p(x) \mid q(x)$, and $q(x)$ splits into linear factors over K , so $p(x)$ does too. □

Example: Union is $\overline{\mathbb{F}_p}$



Definition: Let K be a field, $p(x) \in K[x]$ a non-constant polynomial. We say that $p(x)$ is separable over K iff $\gcd(p, p') = 1$.

Definition: The derivative of $a_0 + a_1x + \dots + a_nx^n$ is $a_1 + 2a_2x + \dots + na_nx^{n-1}$.

Theorem:

$$\begin{aligned} (pq)' &= p'q + pq' \\ (p \pm q)' &= p' \pm q' \\ (cp)' &= cp' \text{ if } c \in K \end{aligned}$$

Proof: As if. □

Theorem: Let $p(x) = c \prod_i (x - a_i)^{n_i}$ for distinct $a_i \in K$. Then $x - a_i \mid p'(x)$ iff $(x - a_i)^2 \mid p(x)$.

Proof: Backwards: $p(x) = (x - a_i)^2 q(x)$, so $p'(x) = 2(x - a_i)q(x) + (x - a_i)^2 q'(x)$ which has a factor of $x - a_i$.

$$\begin{aligned} \text{Forwards: } p'(x) &= (x - a_i)q(x) \\ \implies p'(x) &= q(x) + (x - a_i)q'(x) \\ \implies 0 &= p'(a_i) = q(a_i) \end{aligned}$$

so $x - a_i \mid q(x) \implies (x - a_i)^2 \mid p(x)$ □

So $p(x)$ is separable iff it has no multiple roots in any extension of K .

Definition: Let L/K be an extension, $\alpha \in L$, α algebraic over K . Then α is separable over K iff its minimal polynomial over K is separable.

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Fact: $p(x)$ is separable iff $\gcd(p, p') = 1$.

Definition: Let L/K be a field extension, $\alpha \in L$ an algebraic element. Then α is separable over K iff the minimal polynomial for α/K is separable. We say L/K is separable iff every $\alpha \in L$ is separable over K .

Definition: A field K is perfect iff every finite extension of K is separable.

Theorem: If $\text{char } K = 0$, then K is perfect.

Proof: Let L/K be an extension, $\alpha \in L$ an algebraic element, $p(x) \in K[x]$ its minimal polynomial over K . Then $p(x)$ is irreducible in $K[x]$. If $\alpha \in K$, then α is trivially separable over K .

If not, then $p'(x)$ is non-constant, of degree smaller than $\deg(p)$. So $\deg(\gcd(p, p')) < \deg(p)$. Since p is irreducible, we conclude $\gcd(p, p') = 1$. □

What kind of polynomial has 0 derivative? Say $\text{char } K = l$.

$$\begin{aligned} p(x) &= a_0 + a_1x + \dots + a_nx^n \\ \implies p'(x) &= a_1 + 2a_2x + \dots + na_nx^{n-1} \end{aligned}$$

If $p' = 0$ then $ia_i = 0$ for all i . This is equivalent to demanding $a_i = 0$ for all i prime to p .

So $p'(x) = 0$ iff

$$p(x) = a_0 + a_lx^l + a_{2l}x^{2l} + \dots + a_{nl}x^{nl}$$

Definition: Let K be a field of characteristic $l \neq 0$. Define the Frobenius homomorphism

$$\text{Frob}_l: K \rightarrow K$$

by $\text{Frob}_l(a) = a^l$.

Theorem: If $\text{char } K = l \neq 0$, then $(a + b)^l = a^l + b^l$ for all $a, b \in K$.

Proof:

$$(a + b)^l = \sum_{i=0}^l \binom{l}{i} a^i b^{l-i}$$

If $i \neq 0, l$, $\binom{l}{i} = \frac{l!}{(l-i)!i!}$ is divisible by l , so:

$$= a^l + b^l \quad \square$$

Theorem: Let K be a field of characteristic $l \neq 0$. Then K is perfect iff $\text{Frob}_l: K \rightarrow K$ is onto (is an isomorphism).

Proof: Backwards: Assume Frob_l is onto, and let α be any algebraic element in an extension L/K . Let $p(x)$ be a minimal polynomial for α/K .

If $p'(x) \neq 0$, then $\gcd(p, p') = 1$, and so α is separable over K . If $p'(x) = 0$, then:

$$\begin{aligned} p(x) &= a_0 + a_1 x^l + \cdots + a_n x^{nl} \\ (\text{since } \text{Frob}_l \text{ is onto}) &= (b_0)^l + (b_1)^l x^l + \cdots + (b_n)^l x^{nl} \\ &= (b_0 + b_1 x + \cdots + b_n x^n)^l \end{aligned}$$

which is reducible. This is impossible, so $p' \neq 0$.

Forwards: Since Frob_l is not onto, there is some $a \in K$ such that $a \neq b^l$ for any $b \in K$. Consider $x^l - a$, and let F/K be a splitting field for $x^l - a$. There is some root $\alpha \in F$ of $x^l - a$:

$$\begin{aligned} \alpha^l - a &= 0 \\ \implies x^l - a &= x^l - \alpha^l = (x - \alpha)^l \end{aligned}$$

Since $\alpha \notin K$, its minimal polynomial $p(x)$ over K has degree at least 2, and it's a factor of $(x - \alpha)^l$. So $p(x)$ isn't separable. \square

Theorem: Every finite field is perfect.

Proof: Frob_l , on a finite field is a 1-1 function from a finite set to itself. It's therefore onto. \square

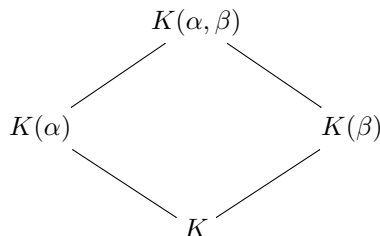
Example: $\mathbb{F}_l(T)$ is imperfect, since T is not the l th power of any rational function, for degree reasons.

$$\begin{aligned} \mathbb{C}(x) &= \left\{ \frac{p(x)}{q(x)} : \begin{array}{l} p, q \in \mathbb{C}[x] \\ q \neq 0 \end{array} \right\} \\ \mathbb{F}_l(T) &= \left\{ \frac{p(T)}{q(T)} : \begin{array}{l} p, q \in \mathbb{F}_l[T] \\ q \neq 0 \end{array} \right\} \end{aligned}$$

Definition: Let L/K be a finite extension. The separable closure of K in L is the set of all elements of L that are separable over K .

Theorem: The separable closure of K in L is a field.

Proof: Let K^{sep} be the separable closure of K in L . Let $\alpha, \beta \in K^{\text{sep}}$ be elements.



PMATH 442 Lecture 5: September 21, 2011

Cyclotomic extensions

Let n be an integer, $\zeta_n \in \mathbb{C}$ a primitive root of unity; i.e., $\zeta_n = (e^{2\pi i/n})^a$ for some integer a prime to n . The n th cyclotomic extension of \mathbb{Q} is $\mathbb{Q}(\zeta_n)$. Note that this is independent of a .

n	$\mathbb{Q}(\zeta_n)$	degree over \mathbb{Q}
1	\mathbb{Q}	1
2	\mathbb{Q}	1
3	$\mathbb{Q}(\zeta_3) = \mathbb{Q}(\sqrt{-3})$	2
4	$\mathbb{Q}(i)$	2
5		4
6	$\mathbb{Q}(\sqrt{-3})$	2
\vdots		\vdots
n		$\phi(n)$

Definition: The group μ_n is the group of n th roots of unity with respect to multiplication. We have $\mu_n \cong C_n$ (or $\mathbb{Z}/n\mathbb{Z}$), with generator $e^{2\pi i/n}$, via:

$$e^{2\pi ia/n} \mapsto a \pmod n$$

Note $\mathbb{Q}(\zeta_n) = \mathbb{Q}(\mu_n)$.

Note that if $d \mid n$, then $\mu_d \subset \mu_n$.

Definition: The n th cyclotomic polynomial is

$$x^n - 1 = \prod_{\alpha \in \mu_n} (x - \alpha) = \prod_{a=1}^n (x - e^{2\pi ia/n})$$

$$\phi_n(x) = \prod_{(a,n)=1} (x - e^{\pi ia/n})$$

Note that $x^n - 1 = \prod_{d \mid n} \phi_d(x)$

Note $\phi_n(x)$ has degree $\phi(n) = \#$ integers prime to n between 0 and n .

Theorem: $\phi_n(x) \in \mathbb{Z}[x]$, and is primitive. **Proof:** By induction on n . If $n = 1$, $\phi_n(x) = x - 1$ and we're done.

Now assume $\phi_k(x) \in \mathbb{Z}[x]$ for all $k < n$, and consider $\phi_n(x)$. We have

$$x^n - 1 = \prod_{d \mid n} \phi_d(x)$$

$$= \phi_n(x) \prod_{\substack{d \mid n \\ d \neq n}} \phi_d(x)$$

Since $x^n - 1, \phi_d(x) \in \mathbb{Z}[x]$ for $d < n$, we deduce $\phi_n(x) \in \mathbb{Q}[x]$. Since \mathbb{Z} is a UFD and since $\prod \phi_d(x)$ is primitive (by Gauss' Lemma), we conclude by Gauss' Lemma that $\phi_n(x) \in \mathbb{Z}[x]$. $\phi_n(x)$ is primitive because it's monic. □

Theorem: $\phi_n(x)$ is irreducible over \mathbb{Q} .

Proof: By Gauss' Lemma, it suffices to show that $\phi_n(x)$ is irreducible over \mathbb{Z} . Assume $\phi_n(x) = f(x)g(x)$ for irreducible $f(x)$ over \mathbb{Q} , $f(x), g(x) \in \mathbb{Z}[x]$. Let ζ_n be some primitive n th root of unity. Note that if p is prime, $p \nmid n$, then $\phi_n(\zeta_n^p) = 0$. $f(\zeta_n) = 0$

Since $x^n - 1$ is separable, so is $\phi_n(x)$, so there are 2 cases:

Case I: $g(\zeta_n^p) = 0$ for some prime p . Then ζ_n is a root of $g(x^p)$. Since $f(\zeta_n) = 0$ and f is irreducible, we get

$$g(x^p) = f(x)h(x)$$

for some $h(x) \in \mathbb{Z}[x]$. Reducing mod p :

$$\begin{aligned} g(x^p) &\equiv f(x)h(x) \pmod{p} \\ \implies g(x)^p &\equiv f(x)h(x) \pmod{p} \end{aligned}$$

so $\gcd(f, g) \not\equiv 1 \pmod{p}$.

So $\phi_n(x) = f(x)g(x)$ has a multiple root mod p . But this is impossible, since $\phi_n(x) \mid x^n - 1$ and $x^n - 1$ is separable mod p (since $p \nmid n$). So we are in:

Case II: $g(\zeta_n^a) \neq 0$ for all primes $p \nmid n$. In this case, $g(\zeta_n^a)$ for all a prime to n . Since $g \mid \phi_n(x)$, this means $g(x)$ is constant and $\phi_n(x)$ is irreducible. \square

So ζ_n has minimal polynomial $\phi_n(x)$ over \mathbb{Q} . Since $\deg(\phi_n(x)) = \phi(n)$, we conclude:

$$[\mathbb{Q}(\zeta_n) : \mathbb{Q}] = \phi(n)$$

If $n = p$ is prime, then $\phi_p(x) = \frac{x^p - 1}{x - 1} = x^{p-1} + x^{p-2} + \dots + x + 1$.

PMATH 442 Lecture 6: September 23, 2011

Let K/F be a field extension. Then $\text{Aut}_F(K)$ is the set of F -algebra isomorphisms $\phi: K \rightarrow K$.

Example: $\text{Aut}_K(K) = \{1\}$ ²⁾

(An automorphism is an isomorphism of an object with itself.)

Example: $\text{Aut}_{\mathbb{R}}(\mathbb{C}) = \{1, \sigma\}$ where σ is complex conjugation.

Example: $\text{Aut}_{\mathbb{Q}}(\mathbb{Q}(\sqrt{2})) = \{1, \sigma\}$ where $\sigma(a + b\sqrt{2}) = a - b\sqrt{2}$.

Example: If $\sqrt{D} \notin F$, then $\text{Aut}_F(F(\sqrt{D})) = \{1, \sigma\}$, where $\sigma(a + b\sqrt{D}) = a - b\sqrt{D}$.

$$\begin{aligned} i^2 = -1 &\implies \sigma(i^2) = \sigma(-1) \\ &\implies \sigma(i)^2 = -1 \end{aligned}$$

Theorem: Let $p(x) \in F[x]$ be any polynomial, E/F an extension, $\sigma \in \text{Aut}_F(E)$. If $\alpha \in E$ is a root of $p(x)$, then so is $\sigma(\alpha)$.

Proof: Let $p(x) = a_0 + a_1x + \dots + a_nx^n$ for $a_i \in F$. Then:

$$\begin{aligned} a_0 + a_1\alpha + \dots + a_n\alpha^n &= 0 \\ \implies \sigma(a_0 + \dots + a_n\alpha^n) &= 0 \\ \implies \sigma(a_0) + \dots + \sigma(a_n)\sigma(\alpha)^n &= 0 \\ \implies a_0 + \dots + \sigma(\alpha)^n &= 0 \\ \implies p(\sigma(\alpha)) &= 0 \quad \square \end{aligned}$$

Since σ is 1-1, it follows that it permutes the roots of $p(x)$.

Example: $\text{Aut}_{\mathbb{Q}}(\mathbb{Q}(\sqrt[3]{2})) = \{1\}$, because $\sigma(\sqrt[3]{2})^3 = 2 \implies \sigma(\sqrt[3]{2}) = \sqrt[3]{2}$ since $\mathbb{Q}(\sqrt[3]{2}) \subset \mathbb{R}$.

Theorem: Let $S \subset \text{Aut}_F(K)$ be any subset. Let $E = \{\alpha \in K : \sigma(\alpha) = \alpha \text{ for all } \sigma \in S\}$.

(E is called the fixed field of S .)

Then E is a field.

Proof: It suffices to show $0, 1 \in E$ (clear) and that E is closed under $+$, $-$, \cdot , and \div . Thus, pick any $a, b \in E$. Then for all $\sigma \in S$, $\sigma(a) = a$ & $\sigma(b) = b$, so $\sigma(a + b) = \sigma(a) + \sigma(b)$, and similarly for the rest. \square

Theorem: Let $T \subset K$ be any subset. Let $H = \{\sigma \in \text{Aut}_F(K) : \sigma(\alpha) = \alpha \text{ for all } \alpha \in T\}$.

Then H is a subgroup of $\text{Aut}_F(K)$.

Proof: It suffices to show $1 \in H$ (clear) and H closed under composition and inversion. This is easy:

$$\sigma_1 \in H, \sigma_2 \in H \implies \sigma_i(\alpha) = \alpha \text{ for } i = 1, 2$$

so $\sigma_1^{-1}(\alpha) = \alpha$ and $\sigma_1(\sigma_2(\alpha)) = \sigma_1(\alpha) = \alpha$ \square

²⁾id

$\text{Aut}_F(K)$		K/F
S	\rightarrow	fixed field, $F \subset E \subset K$
fixing automorphisms H	\leftarrow	T

Notice that the fixed field of S is the same as the fixed field of the subgroup generated by S .

Notice also that if $T \subset K$ is any subset, then the automorphisms fixing T are the same as the automorphisms fixing $F(T)$.

In particular, if $\alpha \in K$ is any element, then the F -algebra homomorphisms of K fixing α are precisely the F -algebra homomorphisms fixing $F(\alpha)$.

For instance, $\sigma \in \text{Aut}_{\mathbb{Q}}(\mathbb{C})$ fixes $\sqrt{2}$ iff it fixes $\mathbb{Q}(\sqrt{2})$.

If $H_1 \subset H_2$, then $\text{fix}(H_2) \subset \text{fix}(H_1)$. If $E_1 \subset E_2$, then $H_2^3 \subset H_1^4$.

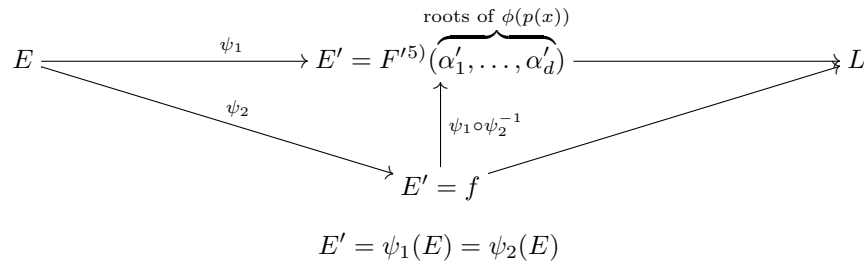
$\text{Aut}_{\mathbb{R}}(\mathbb{C})$	\mathbb{C}/\mathbb{R}
$\{1\}$	\mathbb{C}/\mathbb{R}
$\{1, \sigma\}$	\mathbb{R}/\mathbb{R}

For which field extensions K/F is this correspondence a bijection?

Answer: Splitting fields. Almost.

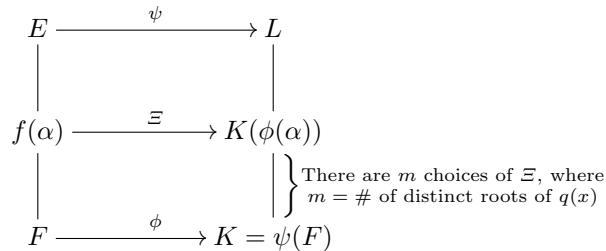
PMATH 442 Lecture 7: September 26, 2011

Theorem: Let E/F be a field extension of degree n , and assume that E is the splitting field of a polynomial $p(x) \in F[x]$. Let L be a field, $\phi: F \rightarrow L$ a homomorphism, and assume that $\phi(p(x))$ splits into linear factors in $L[x]$. Then there is a homomorphism $\psi: E \rightarrow L$ extending ϕ , and there are at most n such extensions ψ , with equality iff $p(x)$ is separable.



Proof: The existence of ψ follows from the existence & uniqueness of splitting fields up to isomorphism.

Induce on n . Base case $n = 1$ is trivial, so assume the theorem for extensions of degree $\leq n - 1$. Let $q(x)$ be an irreducible factor of $p(x)$ of degree at least 2. Let $\alpha \in E$ be a root of $q(x)$. Then:



E is the splitting field for $p(x)$ over $f(\alpha)$. By induction, there are at most $[E : F(\alpha)]$ choices of ψ for any given Ξ , with equality iff $p(x)$ has distinct roots. The number of choices of Ξ is at most $\deg(p(x))$, with equality iff $q(x)$ has distinct roots. So the number of choices of ψ in total is:

$$[E : F(\alpha)][F(\alpha) : F] = [E : F] = n,$$

³⁾ $\text{Aut}_{E_2}(K)$

⁴⁾ $\text{Aut}_{E_1}(K)$

⁵⁾ $\phi(F)$

with equality iff $p(x)$ is separable. □

Corollary: If E is a splitting field of some polynomial over F , then $\# \text{Aut}_F(E) \leq [E : F]$, with equality iff $p(x)$ is separable.

Definition: A finite extension E/F is Galois iff $\# \text{Aut}_F(E) = [E : F]$.

Corollary: Splitting fields of separable polynomials are Galois.

Definition: If E/F is Galois, then $\text{Gal}(E/F) = \text{Aut}_F(E)$ is the Galois group of E/F .

Example: $\text{Gal}(K/K) = \{1\}$.

Example: $\text{Gal}(\mathbb{C}/\mathbb{R}) = \{1, \sigma\}$, $\sigma =$ complex conjugation

Example: $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$ is not Galois! Because $[\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] = 3$, but $\text{Aut}_{\mathbb{Q}}(\mathbb{Q}(\sqrt[3]{2})) = \{1\}$.

PMATH 442 Lecture 8: September 28, 2011

Shuntaro Yamagishi

If E is a splitting field for a separable polynomial in $F[x]$, then E/F is Galois. If F is perfect (e.g., if $\text{char } F = 0$ or F is finite) then every splitting field over F is Galois.

Example: $\mathbb{Q}(\sqrt{2}, \sqrt{3})/\mathbb{Q}$:

To determine a homomorphism from $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ to itself, it is enough to figure out where $\sqrt{2}$ & $\sqrt{3}$ go.

Clearly $\sqrt{2} \mapsto \pm\sqrt{2}$
 $\sqrt{3} \mapsto \pm\sqrt{3}$ are the only possibilities.

$$\begin{array}{c|cc} & \sqrt{3} & \\ & + & - \\ \hline \sqrt{2} & + & \text{id} \\ & - & \sigma_3 \end{array} \begin{array}{c} \\ \\ \\ \sigma_2^{(6)} \\ \sigma_6^{(7)} \end{array}$$

All four possibilities work, if you check them, so $\# \text{Aut}_{\mathbb{Q}}(\mathbb{Q}(\sqrt{2}, \sqrt{3})) \geq 4$. Since $[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 4$, we conclude that $\# \text{Aut}_{\mathbb{Q}}(\mathbb{Q}(\sqrt{2}, \sqrt{3})) = 4$, and $\mathbb{Q}(\sqrt{2}, \sqrt{3})/\mathbb{Q}$ is Galois.

$$\text{Gal}(\mathbb{Q}(\sqrt{2}, \sqrt{3})/\mathbb{Q}) \cong (\mathbb{Z}/2\mathbb{Z}) \times (\mathbb{Z}/2\mathbb{Z}).$$

This group has 5 subgroups.

$$\begin{array}{ll} \{1\} & \longleftrightarrow \mathbb{Q}(\sqrt{2}, \sqrt{3}) \\ \{1, \sigma_3\} & \longleftrightarrow \mathbb{Q}(\sqrt{3}) \\ \{1, \sigma_2\} & \longleftrightarrow \mathbb{Q}(\sqrt{2}) \\ \{1, \sigma_6\} & \longleftrightarrow \mathbb{Q}(\sqrt{6}) \\ \{1, \sigma_2, \sigma_3, \sigma_6\} & \longleftrightarrow \mathbb{Q} \end{array}$$

Example: $\mathbb{F}_{343}/\mathbb{F}_7$

\mathbb{F}_{343} = splitting field of $x^{343} - x$ over \mathbb{F}_7 . Since $x^{343} - x$ is separable, $\mathbb{F}_{343}/\mathbb{F}_7$ is Galois. Let $\sigma = \text{Frob}_7 : \mathbb{F}_{343} \rightarrow \mathbb{F}_{343}$. It's an \mathbb{F}_7 -automorphism of \mathbb{F}_{343} .

$$\mathbb{F}_{343} \cong \mathbb{F}_7[x]/(x^3 - 2) \cong \mathbb{F}_7(\sqrt[3]{2})$$

Let Larry, Curly and Moe be the three cube roots of two \mathbb{F}_{343} .

$$\begin{aligned} \sigma(\text{Larry}) &= \text{Curly} && (\text{wlog}) \\ \sigma(\text{Curly}) &= \text{Moe} \\ \sigma(\text{Moe}) &= \text{Larry} \end{aligned}$$

So $\{1, \sigma, \sigma^2\}$ are three different \mathbb{F}_7 -automorphisms of \mathbb{F}_{343} . So $\mathbb{F}_{343}/\mathbb{F}_7$ is Galois.

⁶⁾ $a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6} \mapsto a + b\sqrt{2} - c\sqrt{3} - d\sqrt{6}$

⁷⁾ $a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6} \mapsto a - b\sqrt{2} - c\sqrt{3} + d\sqrt{6}$

Example: $\mathbb{Q}(\sqrt[4]{2})/\mathbb{Q}$. Degree 4.

$$\begin{array}{c} \mathbb{Q}(\sqrt[4]{2}) \\ \left. \begin{array}{c} 2 \\ \vdots \\ 2 \end{array} \right\} \text{Galois: } \left\{ \begin{array}{l} \text{id} \\ a+b\sqrt[4]{2} \mapsto a-b\sqrt[4]{2} \\ a,b \in \mathbb{Q}(\sqrt{2}) \end{array} \right. \\ \mathbb{Q}(\sqrt{2}) \\ \left. \begin{array}{c} 2 \\ \vdots \\ 2 \end{array} \right\} \text{Galois: } \left\{ \begin{array}{l} \text{id} \\ a+b\sqrt{2} \mapsto a-b\sqrt{2} \\ a,b \in \mathbb{Q} \end{array} \right. \\ \mathbb{Q} \end{array}$$

$\text{Aut}_{\mathbb{Q}}(\mathbb{Q}(\sqrt[4]{2})) = \{\text{id}, \sigma\}$ which is too small! So $\mathbb{Q}(\sqrt[4]{2})/\mathbb{Q}$ is not Galois.

Definition: Let G be a group, K a field, V a (finite-dimensional) K -vector space, $\text{GL}(V)$ the group of invertible K -linear transformations $V \rightarrow V$. (e.g., $V = K^n$, $\text{GL}(V) = M_n(K)$.)

A representation of G with values in V is a homomorphism $\rho: G \rightarrow \text{GL}(V)$.

PMATH 442 Lecture 9: September 30, 2011

Shuntaro Yamagishi
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Definition: G a group, K a field, V a K -vector space. A representation of G in V is a homomorphism $\rho: G \rightarrow \text{GL}^8(V)$

$$\dim \rho = \dim V$$

We'll work with 1-dimensional representations, called characters:

Example: Dirichlet characters:

$$\begin{aligned} \rho: \mathbb{Z}/n\mathbb{Z} &\rightarrow \mathbb{C} \\ \rho(m) &= e^{2\pi im/n} \end{aligned}$$

Example: K, L fields, $\phi: K \rightarrow L$ a homomorphism. Then $\phi|_{K^*}$ is a 1-dim representation of K^* in L .

Theorem: Let G be a group, L a field, χ_1, \dots, χ_r a set of distinct characters of G over L . Then $\{\chi_1, \dots, \chi_r\}$ are linearly independent over L .

Proof: Assume not, and let (after possibly renumbering) $\{\chi_1, \dots, \chi_t\}$ be an L -linear dependent subset of minimal size. Then there are $a_1, \dots, a_t \in L$ such that

$$a_1\chi_1(g) + \dots + a_t\chi_t(g) = 0$$

for all $g \in G$. Note $t \geq 2$, and choose $\gamma \in G$ such that $\chi_1(\gamma) \neq \chi_t(\gamma)$. Then

$$\begin{aligned} a_1\chi_1(\gamma)\chi_1(g) + \dots + a_t\chi_t(\gamma)\chi_t(g) &= 0 \\ \text{and } a_1\chi_t(\gamma)\chi_1(g) + \dots + a_t\chi_t(\gamma)\chi_1(g) &= 0 \\ \implies (\text{nonzero})\chi_1(g) + \dots + (\text{something})\chi_{t-1}(g) &= 0 \end{aligned}$$

so $\{\chi_1, \dots, \chi_{t-1}\}$ is linearly dependent, which is a contradiction. □

Theorem: Let K/E be a field extension, F and E -subfield of K . Let $G = \{\sigma_1 = 1, \sigma_2, \dots, \sigma_n\}$ be E -automorphisms of K whose fixed field is F . If G is a group, then

$$\#G = [K : F].$$

Proof: Let $m = [K : F]$, $\{w_1, \dots, w_n\}$ an F -basis of K . Define

$$\mathbf{v}_i = \begin{pmatrix} \sigma_i(w_1) \\ \vdots \\ \sigma_i(w_m) \end{pmatrix} \in K^m$$

⁸⁾invertible K -linear transformation $V \rightarrow V$

There are n vectors in \mathbf{v}_i . If we show that the \mathbf{v}_i s are K -linear independent it will follow that $n \leq m$. Thus, say $a_1, \dots, a_n \in K$ satisfy:

$$a_1 \mathbf{v}_1 + \dots + a_n \mathbf{v}_n = \mathbf{0}.$$

We want to show $a_i = 0$ for all i . Well:

$$a_1 \sigma_1(w_j) + \dots + a_n \sigma_n(w_j) = 0$$

for all j . Since $\{w_1, \dots, w_m\}$ is a basis for K/F , and since the σ_i are all F -linear transformations, we get

$$a_1 \sigma_1(\alpha) + \dots + a_n \sigma_n(\alpha) = 0$$

for any $\sigma \in K$. Since the σ_i s are characters of K^* in K , they're K -linearly independent so $a_i = 0$ for all i . So $\#G \leq [K : F]$. Let $\alpha_1, \dots, \alpha_{n+1} \in K$ be any elements. If we show it's linearly independent over F , then $\dim_F K \leq n$. Define

$$\mathbf{u}_i = \begin{pmatrix} \sigma_1(\alpha_i) \\ \vdots \\ \sigma_n(\alpha_i) \end{pmatrix} \in K^n.$$

There are $n + 1$ of the \mathbf{u}_i s, so they are linearly dependent over K .

Choose $\beta_1, \dots, \beta_{n+1} \in K$ such that

$$(1) \quad \beta_1 \mathbf{u}_1 + \dots + \beta_{n+1} \mathbf{u}_{n+1} = \mathbf{0}$$

(2) A minimal # of β_i are 0.

and (3) β_1, \dots, β_t are nonzero, $\beta_{t+1}, \dots, \beta_{n+1} = 0, \beta_t = 1$.

If all β_i are in F , then $\{\alpha_1, \dots, \alpha_{n+1}\}$ is linearly dependent over F , by looking at first coordinate of (1).

If not, assume without loss of generality that $\beta_1 \notin F$. Choose σ (in G) such that $\sigma(\beta_1) \neq \beta_1$. Then:

$$\sigma(\beta_1) \sigma(\mathbf{u}_1) + \dots + \sigma(\beta_t) \sigma(\mathbf{u}_t) = \mathbf{0}$$

But σ acts on each \mathbf{u}_i by permuting the coordinates in the same way. So:

$$\sigma(\beta_1) \mathbf{u}_1 + \dots + \sigma(\beta_t) \mathbf{u}_t = \mathbf{0}$$

Subtraction with (1) gives:

$$[\beta_1 - \sigma(\beta_1)] \mathbf{u}_1 + \dots + [\beta_t - \sigma(\beta_t)]^9 \mathbf{u}_t = \mathbf{0}$$

So this relation has fewer nonzero terms, which is a contradiction. So $\beta_i \in F$ for all i , and we're done.

PMATH 442 Lecture 10: October 3, 2011

Theorem: Let K/F be a Galois extension. If $p(x) \in F[x]$ is irreducible and has a root in K , then $p(x)$ splits into linear factors in $K[x]$, and $p(x)$ is separable.

Proof: Let $G = \text{Gal}(K/F) = \{\sigma_1, \sigma_2, \dots, \sigma_n\}$, $\sigma \in G$, $p(\alpha) = 0$. Let $\alpha_i = \sigma_i(\alpha)$ be the conjugates of α . Define $f(x) = \prod_i^{10} (x - \alpha_i)$. Then G acts on the roots of $f(x)$ by permutation, so the coefficients of $f(x)$ are fixed by G .

The fixed field of G is a field that contains F and of which K is a degree n extension, so it is F .

Now, $f(\alpha) = 0$, so $p(x) \mid f(x)$. Since $p(\alpha_i) = 0$ for all i , we get $f(x) \mid p(x)$, and so $f(x)$ is also irreducible (it's a constant times $p(x)$). Furthermore, $p(x)$ has all its roots in K , and it's separable (because $f(x)$ is). \square

Theorem: Let K/F be a finite extension. Then K/F is Galois iff K is the splitting field for a separable polynomial in $F[x]$.

Proof: Let $\{w_1, \dots, w_n\}$ be an F -basis of K . Let $p_i(x)$ be a minimal polynomial for w_i over F . Let $g(x) = \text{lcm}(p_i(x))$. Then since each $p_i(x)$ is separable, so is $g(x)$. Since each $p_i(x)$ splits in K , so does $g(x)$. Since $K = F(w_1, \dots, w_n)$, K is a splitting field for $g(x)$ over F .

⁹⁾zero!

¹⁰⁾distinct α_i

Theorem: Let K/F be a finite extension. Then K/F is Galois *iff* it is normal and separable.

Proof: Forwards: Galois \rightarrow normal, done.

If $\alpha \in K$, then its minimal polynomial $p(x) \in F[x]$ is separable, so K/F is separable.

Backwards: Follows immediately from previous theorem. □

Theorem: (The Fundamental Theorem of Galois Theory).

Let K/F be a finite Galois extension, $G = \text{Gal}(K/F)$. Then there is a bijection between subgroups of G and F -subfields of K given by:

$$E \mapsto \{\sigma \in G \text{ such that } \sigma(\alpha) = \alpha \text{ for all } \alpha \in E\}$$

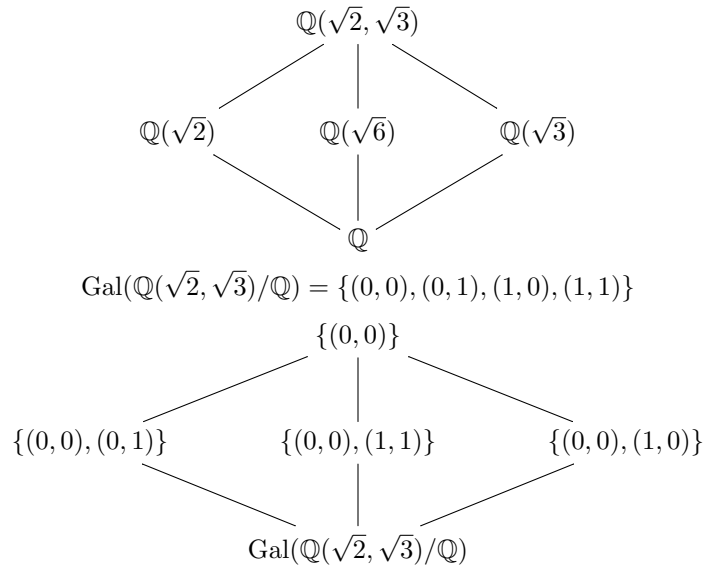
$$\left\{ \begin{array}{l} \alpha \in E \text{ such that} \\ \sigma(\alpha) = \alpha \\ \text{for all } \sigma \in H \end{array} \right\} \longleftrightarrow H$$

Moreover, if $E_1, E_2 \longleftrightarrow H_1, H_2$, then:

F -subfields of K		Subgroups of G
$E_2 \subset E_1$	\longleftrightarrow	$H_1 \subset H_2$
$[K : F]$	$=$	$\#H$
$[E : F]$	$=$	$ G : H $
$\text{Gal}(K/E) = \text{Aut}_E K$	\cong	H
$\text{Hom}_F(E, K)^{11)}$	\cong	$G/H^{12)}$
$\left\{ \begin{array}{l} E/F \text{ is Galois} \\ \text{Gal}(E/F) \end{array} \right\}$	$\xleftrightarrow{\text{iff}}$	$\left\{ \begin{array}{l} H \text{ is normal in } G \\ G/H \end{array} \right\}$
$E_1 \cap E_2$	\longleftrightarrow	$H_1 H_2$
$E_1 E_2$	\longleftrightarrow	$H_1 \cap H_2$

Example: $\mathbb{Q}(\sqrt{2}, \sqrt{3})/\mathbb{Q}$.

The Fundamental Theorem says that $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ has five \mathbb{Q} -subfields.



PMATH 442 Lecture 11: October 5, 2011

Theorem: (FTGT)

¹¹⁾pointed set

¹²⁾pointed set

Let K/F be a Galois extension, $G = \text{Gal}(K/F)$. Then there is a bijection

$$\begin{array}{ccc} \{ \text{\textit{F-subfields}} \} & \longleftrightarrow & \{ \text{Subgroups} \} \\ \{ \text{\textit{E of K}} \} & & \{ \text{\textit{H of G}} \} \\ E & \longmapsto & \left\{ \begin{array}{l} \sigma \in G \text{ such that} \\ \sigma(\alpha) = \alpha \quad \forall \alpha \in E \end{array} \right\} \\ \left\{ \begin{array}{l} \alpha \in K \text{ such that} \\ \sigma(\alpha) = \alpha \text{ for} \\ \text{all } \sigma \in H \end{array} \right\} & \longleftarrow & H \end{array}$$

F -fields		Subgroups
$E_1 \subset E_2$	\longleftrightarrow	$H_2 \subset H_1$
$[K : E]$	$=$	$\#H$
$[E : F]$	$=$	$\#G/H = G : H $
$\text{Gal}(K/E) = \text{Aut}_E(K)$	$=$	H
$\text{Hom}_F(E, K)$	\cong	G/H
E/F Galois	\longleftrightarrow	H is normal
(in the case $\text{Gal}(E/F)$)	\cong	G/H
$E_1 \cap E_2$	\longleftrightarrow	$H_1 H_2$
$E_1 E_2$	\longleftrightarrow	$H_1 \cap H_2$

Proof: We will show that if H_1 and H_2 are subgroups of G with the same fixed field E , then $H_1 = H_2$. Then E is also the fixed field of $H_1 H_2$, so

$$[K : E] = \#H_1 = \#H_2 = \#H_1 H_2$$

so $H_1 = H_2$.

Now let $E \subset K$ be any F -subfield. Then $[K : E] = \# \text{Gal}(K/E)$ because K/E is Galois.

But $\text{Gal}(K/E)$ is a subgroup of G , so:

$$(1) \quad E \subset \text{fixed field of } \text{Gal}(K/E)$$

and (2) $[K : \text{fixed field}] = [K : E]$

so E is the fixed field of $\text{Gal}(K/E)$.

So the given correspondence is a bijection, as desired.

The inclusion-reversing property is clear.

We already proved $[K : E] = \#H$. KLM and $\#H(\#G/H) = \#G$ suffice to show $[E : F] = \#G/H$. We already showed $\text{Gal}(K/E)$ is equal to H .

We will now show that $\text{Hom}_F(E, K) \cong G/H$ as pointed sets.

Definition: A pointed set is an ordered pair (S, x) where $x \in S$.

Definition: Let F be a field, A_1, A_2 F -algebras. Then

$$\text{Hom}_F(A_1, A_2) = \left\{ \begin{array}{l} \text{\textit{F-algebra homomorphism}} \\ \phi: A_1 \rightarrow A_2 \end{array} \right\}$$

Remarks: $\text{Hom}_F(A_1, A_2)$ is, in general, just a set. If $A_1 \subset A_2$, then $\text{Hom}_F(A_1, A_2)$ is a pointed set, with distinguished element $i: A_1 \hookrightarrow A_2$ the inclusion.

Define $\phi: G \rightarrow \text{Hom}_F(E, K)$ by $\phi(\sigma) = \sigma|_E$ ¹³⁾

This maps the distinguished element of G (namely id) to that of $\text{Hom}_F(E, K)$ (namely inclusion $E \hookrightarrow K$).

We know ϕ is onto because we proved that if K/E is Galois, then homomorphisms from $E \rightarrow K$ always extend to all of K .

If $\phi(\sigma_1) = \phi(\sigma_2)$, then $\sigma_1|_E = \sigma_2|_E$, so $\sigma_1 \sigma_2^{-1}|_E = \text{id}_E$. This implies that $\sigma_1 \sigma_2^{-1} \in H = \text{Gal}(K/E)$, so for any $f \in \text{Hom}_F(E, K)$ the set

$$\{ \sigma \in G : \phi(\sigma) = f \}$$

¹³⁾the restriction of σ to E

$$G = \text{Gal}(\mathbb{Q}(\sqrt[3]{2}, \gamma)/\mathbb{Q})$$

G acts on S by permutations, and this action is an isomorphism of G with S_3 .

Subgroups of G	\mathbb{Q} -subfield
$\{1\}$	$\mathbb{Q}(\sqrt[3]{2}, \gamma)$
$\{1, (ab)\}$	$\mathbb{Q}(\gamma^2 \sqrt[3]{2})$
$\{1, (ac)\}$	$\mathbb{Q}(\gamma \sqrt[3]{2})$
$\{1, (bc)\}$	$\mathbb{Q}(\sqrt[3]{2})$
$\{1, (abc), (acb)\}$	$\mathbb{Q}(\gamma)$
G	\mathbb{Q}

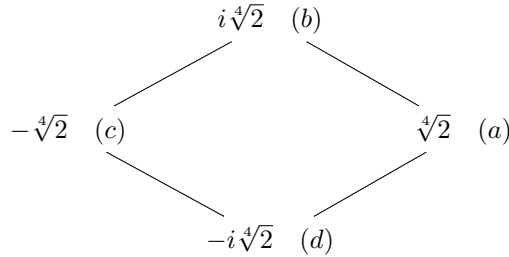
Example: Compute the Galois group of $x^4 - 2$.

Solution: The splitting field is $\mathbb{Q}(\sqrt[4]{2}, i)$ which has degree 8 over \mathbb{Q} .

Any \mathbb{Q} -automorphism of $\mathbb{Q}(\sqrt[4]{2}, i)$ takes $i \mapsto \pm i$ and $\sqrt[4]{2}$ to $\pm \sqrt[4]{2}$ or $\pm i \sqrt[4]{2}$, and any \mathbb{Q} -automorphism is completely determined by its action on $\sqrt[4]{2}$ and i . This gives at most 8 automorphisms, so since $\mathbb{Q}(\sqrt[4]{2}, i)/\mathbb{Q}$ is Galois of degree 8, they are *all* realised by actual automorphisms.

Let $G = \text{Gal}(\mathbb{Q}(\sqrt[4]{2}, i)/\mathbb{Q})$. Then G acts on $S = \{\sqrt[4]{2}_a, i\sqrt[4]{2}_b, -\sqrt[4]{2}_c, -i\sqrt[4]{2}_d\}$ by permutations. So there is a homomorphism $\psi: G \rightarrow S_4$ which is injective because if $\sigma \in \ker \psi$ then $\sigma(i) = i$ & $\sigma(\sqrt[4]{2}) = \sqrt[4]{2}$. The homomorphism ψ is given by:

\mathbb{Q} -Automorphism	Permutation of S
$(i, \sqrt[4]{2})$	1
$(-i, \sqrt[4]{2})$	(bd)
$(i, i\sqrt[4]{2})$	(abcd)
$(-i, i\sqrt[4]{2})$	(ab)(cd)
$(i, -\sqrt[4]{2})$	(ac)(bd)
$(-i, -\sqrt[4]{2})$	(ac)
$(i, -i\sqrt[4]{2})$	(adcb)
$(-i, -i\sqrt[4]{2})$	(ad)(bc)



Note that every permutation in $\psi(G)$ preserves this square, so $G \xrightarrow{\psi} D_4$. But $\#G = \#D_4 = 8$, so in fact ψ induces an isomorphism of G with D_4 .

One can, as in the previous case, use this to find all the \mathbb{Q} -subfields of $\mathbb{Q}(\sqrt[4]{2}, i)$.

Theorem: Let K be the splitting field of a separable polynomial $f(x)$ over a field F . Then $\text{Gal}(K/F)$ acts transitively on the roots of $f(x)$ if $f(x)$ is irreducible.

Proof: Let $\alpha \in K$ be a root of $f(x)$. Define:

$$p(x) = \prod_{\substack{\sigma \in G \\ \text{distinct } \sigma(x)}} (x - \sigma(x))$$

Then the coefficients of $p(x)$ lie in the fixed field of G since $p(x)$ is fixed by G . So $p(x) \in F[x]$. But $p(x) = 0$, so $f(x) \mid p(x)$. However, since $p(x)$ is separable and every root of $p(x)$ is a root of $f(x)$, we get $p(x) \mid f(x)$. So $p(x) = cf(x)$ for some $c \in F$. Since G acts transitively on the roots of $p(x)$, it acts transitively on the roots of $f(x)$. \square

PMATH 442 Lecture 14: October 14, 2011

Galois Theory of Finite Fields

Say F is a finite field. Then F has p^n elements for some prime p and integer $n \geq 1$. We write $F = \mathbb{F}_{p^n}$. A finite extension of F is also a finite field, with p^{kn} elements for some integer $k \geq 1$. Let $E = \mathbb{F}_{p^{kn}}$. Then

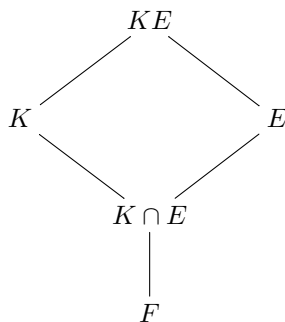
$$[E : F] = [\mathbb{F}_{p^{kn}} : \mathbb{F}_{p^n}] = k$$

Consider $\text{Frob}_p: \begin{matrix} \mathbb{F}_{p^{kn}} \rightarrow \mathbb{F}_{p^{kn}} \\ E \rightarrow E \end{matrix}$.

It's an isomorphism, with fixed field \mathbb{F}_p . In general, Frob_p only fixes \mathbb{F}_{p^n} if $n = 1$, so Frob_p is *not* in $\text{Aut}_F(E)$. However, $\alpha^{p^n} = \alpha$ iff $\alpha \in F = \mathbb{F}_{p^n}$, so \mathbb{F}_{p^n} is the fixed field of $(\text{Frob}_p)^n$, the n -fold composition of Frob_p with itself.

So let $\pi = (\text{Frob}_p)^n$. Then for each $a \in \{1, \dots, k\}$, the a -fold composition π^a is an automorphism of $\mathbb{F}_{p^{kn}} = E$ whose fixed field is $\mathbb{F}_{p^{an}} \cap E = \mathbb{F}_{p^{gn}}$ where $g = \gcd(a, k)$. So π is an F -automorphism of E of order k . So E/F is Galois with $\text{Gal}(E/F) = \{1, \pi, \dots, \pi^{k-1}\} \cong \mathbb{Z}/k\mathbb{Z}$.

Theorem: Say K/F is a finite Galois extension, E/F any finite extension.



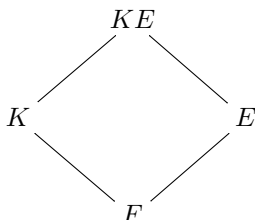
Then KE/E is Galois, and

$$\text{Gal}(KE/E) \cong \text{Gal}(K/K \cap E) \text{ and } [KE : F] = \frac{[K : F][E : F]}{[K \cap E : F]}.$$

Proof: First, note that the formula follows formally from the isomorphism of Galois groups:

$$\begin{aligned} [KE : F] &= [E : F][KE : E] \\ &= [E : F][K : K \cap E] \\ &= [E : F] \frac{[K : F]}{[K \cap E : F]} \end{aligned}$$

It therefore suffices to prove the theorem for $F = K \cap E$.

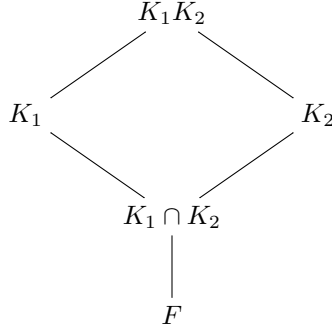


K is the splitting field for some separable polynomial $p(x) \in F[x]$. So KE is the splitting field for $p(x) \in E[x]$ over E , and therefore KE/E is Galois.

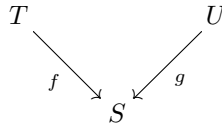
Define $\psi: \text{Gal}(KE/E) \rightarrow \text{Gal}(K/F)$ by $\psi(\sigma) = \sigma|_K$, which is well defined because K/F is Galois, so $\text{im}(\sigma|_K) = K$. ψ is a homomorphism. If $\sigma \in \ker \psi$, then $\sigma|_K = \text{id}$. Since $\sigma \in \text{Gal}(KE/E)$, $\sigma|_E = \text{id}$ too, so $\sigma_{KE} = \text{id}$. So ψ is injective.

Consider $\text{im } \psi$. Its fixed field is, say, L . Then $L \subset K$, and every element of $\text{Gal}(KE/E)$ fixes L , so $L \subset E$. But $F \subset L$, so $L = K \cap E = F$. Therefore $\text{im } \psi = \text{Gal}(K/F)$, and ψ is onto. \square

Theorem: Say K_1, K_2 are Galois extensions of F . Then $K_1 \cap K_2$ and $K_1 K_2$ are Galois over F , and $\text{Gal}(K_1 K_2/F)$ is isomorphic to the fibre product of $\text{Gal}(K_1/F)$ and $\text{Gal}(K_2/F)$ over $\text{Gal}(K_1 \cap K_2/F)$.



Definition: Let S, T, U be sets, with functions



The fibre product of T and U over S is:

$$T \times_S U = \{ (t, u) \in T \times U : f(t) = g(u) \}$$

PMATH 442 Lecture 15: October 17, 2011

Definition: Let $\phi: G \rightarrow \text{Sym}(S)$ be a group action of G on a set S . Then ϕ is transitive iff for every $a, b \in S$, there is a $g \in G$ such that $[\phi(g)](a) = b$.

Theorem: Let K_1, K_2 be Galois extensions of F . Then $K_1 \cap K_2$ and $K_1 K_2$ are Galois extensions of F , and

$$\text{Gal}(K_1 K_2/F) \cong \text{Gal}(K_1/F) \times_{\text{Gal}(K_1 \cap K_2/F)} \text{Gal}(K_2/F) = \left\{ (\sigma, \tau) : \begin{array}{l} \sigma \in \text{Gal}(K_1/F) \\ \tau \in \text{Gal}(K_2/F) \\ \sigma|_{K_1 \cap K_2} = \tau|_{K_1 \cap K_2} \end{array} \right\}$$

Proof: $K_1 \cap K_2$ is Galois over F because it's contained in K , (& so is separable) and if $p(x) \in F[x]$ is irreducible & has a root in K_i , then by normality of K_i/F it splits into linear factors in $K_i[x]$, and hence in $(K_1 \cap K_2)[x]$. So $K_1 \cap K_2/F$ is normal.

$K_1 K_2/F$ is Galois because it's a splitting field for $\text{lcm}(f_1, f_2)$ over F , where K_i is a splitting field for $f_i(x)$ over F .

Define $\psi: \text{Gal}(K_1 K_2/F) \rightarrow G$ by $\psi(\sigma) = (\sigma|_{K_1}, \sigma|_{K_2})$. It's clearly a homomorphism, and its image clearly lives in G because $(\sigma|_{K_1})|_{K_2} = (\sigma|_{K_2})|_{K_1}$. It's also injective because σ is determined by its values on K_1 & K_2 .

$$\begin{aligned}
 \# \text{Gal}(K_1 K_2/F) &= \frac{[K_1 : F][K_2 : F]}{[K_1 \cap K_2 : F]} \\
 &= \frac{\# \text{Gal}(K_1/F) \# \text{Gal}(K_2/F)}{\# \text{Gal}(K_1 \cap K_2/F)} \\
 &= \# \text{Gal}(K_1/F) \# \text{Gal}(K_2/K_1 \cap K_2) \\
 &= \#G
 \end{aligned}$$

because there are $[K_2 : K_1 \cap K_2]$ ways to extend $\sigma|_{K_1 \cap K_2}$ to K_2 .

Therefore ψ is surjective and hence an isomorphism. □

In particular, if $K_1 \cap K_2 = F$, then

$$\text{Gal}(K_1K_2/F) \cong \text{Gal}(K_1/F) \times \text{Gal}(K_2/F)$$

Definition: Let K/F be a separable extension, and let L/F be a Galois extension containing K/F . The Galois closure of K in L is the intersection of all Galois extensions of F that contain K/F & are contained in L .

Note: The Galois closure of K is a Galois extension of F .

Other notes: Say K/F is finite & separable. Then $K = F(\alpha_1, \dots, \alpha_n)$, so a splitting field for the lcm of the minimal polynomials over F of the α_i s is a Galois extension of F containing K . In fact, this field is a Galois closure of K over F . Any Galois closure of K is isomorphic to this one.

$$\begin{aligned} \mathbb{F}_{25} &\cong \mathbb{F}_5(\sqrt{2}) \\ (2\sqrt{2})^2 &= (3\sqrt{2})^2 = -2 \\ (\sqrt{a})(\sqrt{b}) &\neq \sqrt{ab} \\ 1 &= 1 \\ \implies 1 \cdot 1 &= (-1)(-1) \\ \implies \sqrt{1 \cdot 1} &= \sqrt{(-1)(-1)} \\ \implies \sqrt{1}\sqrt{1} &= \sqrt{-1}\sqrt{-1} \} \text{WRONG!} \\ \implies 1 &= -1 \end{aligned}$$

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Theorem: (Primitive Element) Let K/F be a finite, separable field extension. Then $K = F(\alpha)$ for some $\alpha \in K$.

Proof: First, note that it is enough to show that $K = F(\alpha)$ iff K/F has finitely many subextensions. To see this, assume we had proven that $K = F(\alpha)$ iff K has finitely many F -subfields. Then since K/F is separable, there is a Galois extension L/F with $K \subset L$. By the Fundamental Theorem, L has only finitely many F -subfields, so K also has only finitely many F -subfields. By our presumed fact, $K = F(\alpha)$ for some $\alpha \in K$.

Forwards: Assume $K = F(\alpha)$, and let $E \subset K$ be an F -subfield. Let $p(x) \in F[x]$ be the monic minimal polynomial for α/F . Let $p(x) = p_1(x) \cdots p_n(x)$ be a factorization of $p(x)$ into monic irreducibles in $E[x]$. Let E' be the F -field generated by the coefficients of the $p_i(x)$. Note that $K = E(\alpha) = E'(\alpha)$ and α has the same minimal polynomial over E and E' , so $[K : E] = [K : E']$, and hence $E = E'$ (since $E' \subset E$).

Backwards: Assume K has only finitely many F -subfields.

Case I: F is infinite. Then it is enough to show that for any α, β in K , $F(\alpha, \beta) = F(\gamma)$ for some $\gamma \in K$. Since F is infinite, and since K has only finitely many F -subfields there exist $c_1, c_2 \in F$ such that $F(\alpha + c_1\beta) = F(\alpha + c_2\beta)$ & $c_1 \neq c_2$.

$$\begin{aligned} \text{Then } \beta &= \frac{(\alpha + c_1\beta) - (\alpha + c_2\beta)}{c_1 - c_2} \in F(\alpha + c_1\beta) \\ \text{and } \alpha &= (\alpha + c_1\beta) - c_1\beta \in F(\alpha + c_1\beta) \end{aligned}$$

so we may take $\gamma = \alpha + c_1\beta$.

Case II: F finite, so K finite. By the classification of finite abelian groups, $K^* = K \setminus \{0\} \cong (\mathbb{Z}/n\mathbb{Z}) \times \cdots \times (\mathbb{Z}/n_i\mathbb{Z})$ with $n_i \mid n_{i+1}$ for all $i < r$. If $r \geq 2$, then there are at least n_1^2 elements of K^* with order dividing n_1 . This corresponds to at least n_1^2 different roots of $x^{n_1} - 1$. This is a problem if $n_1 > 1$, so we deduce that $r = 1$ & K^* is cyclic.

So $K = F(\alpha)$ where α is a generator of the cyclic group K^* . □

Let's compute $\text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q})$.

$$\zeta_n = \text{primitive } n\text{th root of unity}$$

$$\begin{aligned}
\text{Well, } [\mathbb{Q}(\zeta_n) : \mathbb{Q}] &= \phi(n) \\
&= \#(\mathbb{Z}/n\mathbb{Z})^* \\
&= \#\{a \in \{1, \dots, n\} : \gcd(a, n) = 1\}
\end{aligned}$$

We will find $\phi(n)$ automorphisms of $\mathbb{Q}(\zeta_n)/\mathbb{Q}$, which will imply that $\mathbb{Q}(\zeta_n)/\mathbb{Q}$ is Galois.

Let $\zeta_n(x) = n$ th cyclotomic polynomial. The roots of $\zeta_n(x)$ are the primitive n th roots of unity. They are all powers of ζ_n , so $\mathbb{Q}(\zeta_n)$ is the splitting field for $\zeta_n(x)$ over \mathbb{Q} , and so $\mathbb{Q}(\zeta_n)/\mathbb{Q}$ is Galois.

Claim: $\text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \cong (\mathbb{Z}/n\mathbb{Z})^*$

$$\begin{aligned}
\text{via } \sigma &\mapsto \frac{\log \sigma(\zeta_n)}{\log \zeta_n} \\
&= a, \text{ where } \sigma(\zeta_n) = \zeta_n^a
\end{aligned}$$

Proof of claim: It is easy to check that ψ is a homomorphism. If $\psi(\sigma) = 1$, then $\sigma(\zeta_n) = \zeta_n \implies \sigma = \text{id}$, so ψ is 1-1. Since $\#\text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q}) = \#(\mathbb{Z}/n\mathbb{Z})^* = \phi(n)$, we see that ψ is onto. \square claim

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Computing Galois Groups

Given a polynomial $f(x) \in F[x]$, find the Galois group of a splitting field for $f(x)$ over $F[x]$. Assume $f(x)$ is separable.

If $F = \mathbb{F}_q$ and $f(x)$ is irreducible, then splitting field is \mathbb{F}_{q^d} , where $d = \deg(f)$, so $\text{Gal}(\mathbb{F}_{q^d}/\mathbb{F}_q) \cong \mathbb{Z}/d\mathbb{Z}$.

If $F = \mathbb{Q}$, the problem is much, much harder, in general.

Say $\deg(f(x)) = 2$, $f(x)$ irreducible. Then a splitting field has degree $\leq 2!$, so it has degree 2. Therefore its Galois group is $\mathbb{Z}/2\mathbb{Z}$.

Now say $\deg(f(x)) = 3$, f irreducible. Let K be the splitting field for $f(x)$ over \mathbb{Q} . Then $\text{Gal}(K/\mathbb{Q})$ acts transitively on the three roots of $f(x)$, giving a homomorphism $\psi: \text{Gal}(K/\mathbb{Q}) \rightarrow S_3$. Moreover, ψ is 1-1 because ψ is completely determined by its values on the roots of $f(x)$. The transitive subgroups of S_3 are:

$$\begin{aligned}
&A_3 \text{ (cyclic of order 3)} \\
&S_3
\end{aligned}$$

Let F be a field, and let $K = F(a_1, \dots, a_n)$ for indeterminates a_i . S_n acts on K by permuting the a_i .

Let $M =$ fixed field of S_n . Then $[K : M] = n! = \#S_n$.

Consider $f(x) = (x - a_1) \cdots (x - a_n)$. The coefficients of $f(x)$ all lie in M . They are:

$$s_i = \text{sum of all products of } i \text{ distinct } a_i\text{s,}$$

up to multiplication by ± 1 . The polynomial s_i is called the i th elementary symmetric polynomial.

Now, K is a splitting field for $f(x)$ over M , and also K is a splitting field for $f(x)$ over $F(s_1, \dots, s_n) \subset M$. By comparing degrees, we see that $M = F(s_1, \dots, s_n)$.

This action of S_n descends to $F[a_1, \dots, a_n]$. If E/F is a splitting field for a separable polynomial $p(x) \in F[x]$, then we get a homomorphism

$$\begin{aligned}
\psi: \text{Gal}(E/F) &\rightarrow \text{Gal}(K/M) \\
\sigma &\mapsto \text{permutation corresponding to action of } \sigma \text{ on roots of } p(x), \text{ ordered.}
\end{aligned}$$

ψ is injective because σ is determined by its values on the roots of $p(x)$, so we can pretend $\text{Gal}(E/F)$ is a subgroup of $\text{Gal}(K/M)$.

A_n is a normal subgroup of S_n , of index 2. Its fixed field is therefore a quadratic extension of M . What is this fixed field?

$$\text{Res}(x^2 + x + 1, x^3 - 2x + 2) = \det \begin{pmatrix} 1 & 1 & 1 & & \\ & 1 & 1 & 1 & \\ & & 1 & 1 & 1 \\ 1 & 0 & -2 & 2 & \\ & 1 & 0 & -2 & 2 \end{pmatrix}$$

Claim: $\text{Disc}(p(x)) = \frac{\text{Res}(p, p')}{t_n}$

Theorem: Let $f(x) = t_n \prod_{i=1}^n (x - \alpha_i)$, $g(x) = u_m \prod_{i=1}^m (x - \beta_i)$ be polynomials in $F[x]$. Then:

$$\text{Res}(f, g) = t_n^m u_m^n \prod_{i,j} (\alpha_i - \beta_j)$$

Proof: Write $\phi(x) = T_n \prod_i (x - a_i)$, $\psi(x) = U_m \prod_i (x - b_i)$, where all these a_i s, b_i s, T_n , U_m are indeterminants over F . It suffices to prove the theorem for ϕ & ψ .

Note that t_n divides all the coefficients of $\phi(x)$, and u_m divides all the coefficients u_i of $\psi(x)$, so

$$\text{Res}(\phi, \psi) = t_n^m u_m^n (\text{sym poly in } a_i\text{s \& } b_i\text{s})$$

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Let $f(x) = t_n x^n + \dots + t_0$. Then

$$\text{Disc}(f) = \frac{(-1)^{n(n-1)/2} \text{Res}(f, f')}{t_n}$$

This is what we will prove, eventually.

Lemma:

$$f(x) = t_n \prod_{i=1}^n (x - \alpha_i)$$

$$g(x) = u_m \prod_{i=1}^m (x - \beta_i)$$

Then $\text{Res}(f, g) = t_n^m u_m^n \prod_{i,j} (\alpha_i - \beta_j)$

Proof of lemma: We showed $\text{Res}(f, g) = t_n^m u_m^n$ (symmetric polynomial in α_i, β_j) by showing that

$$\phi(x) = T_n \prod (x - a_i)$$

$$\psi(x) = U_m \prod (x - b_i)$$

satisfy $\text{Res}(\phi, \psi) = T_n^m U_m^n \cdot$ (some polynomial symmetric in a_i and b_j)

Next, we will show that $\text{Res}(f, g) = 0$ iff $\text{gcd}(f, g) \neq 1$. To see this, note that $\text{gcd}(f, g) \neq 1$ iff there are polynomials $p(x), q(x)$ of degrees at most $m - 1, n - 1$, respectively, such that $fp = gq$.

This is equivalent to saying that $\{f, xf, \dots, x^{m-1}f, g, xg, \dots, x^{n-1}g\}$ is linearly dependent. Writing this out in terms of the basis $\{1, x, \dots, x^{n+m-1}\}$, we see that $\text{gcd}(f, g) \neq 1$ iff

$$\det \begin{bmatrix} t_n & t_{n-1} & \dots & t_0 & & & \\ & t_n & \dots & \dots & \dots & & t_0 \\ & & \ddots & & & & \ddots \\ & & & t_n & \dots & \dots & t_0 \\ u_m & u_{m-1} & \dots & \dots & \dots & & u_0 \\ & \ddots & & & & & \ddots \\ & & & u_m & \dots & \dots & u_0 \end{bmatrix}^{15)} = 0 = \text{Res}(f, g)$$

¹⁵⁾ m rows, n rows

Therefore, $\text{Res}(\phi, \psi) = CT_n^m U_m^n \prod_{i,j} (a_i - b_j)$ for some $C \in F$.

To find C , compute $\text{Res}(x^n, x^m - 1)$.

$$= \det \begin{bmatrix} 1 & & & & & \\ & \ddots & & & & \\ & & 1 & & & \\ 1 & & & -1 & & \\ & \ddots & & & \ddots & \\ & & 1 & \dots\dots\dots & & -1 \end{bmatrix} \stackrel{16)}{=} (-1)^n$$

$$\begin{aligned} \text{Res}(x^n, x^m - 1) &= C \prod_{i=1}^n \prod_{j=1}^m (0 - \beta_j) \\ &= C \prod_{j=1}^m (-\beta_j)^n \\ &= C (-1)^{mn} \left(\prod_{j=1}^m \beta_j \right)^n \\ &= C (-1)^{mn} ((-1)^{m+1})^n \\ &= C (-1)^n \end{aligned}$$

$$\implies C = 1$$

□ lemma

$$g(\alpha_i) = u_m \prod_j (\alpha_i - \beta_j)$$

$$\begin{aligned} \implies \text{Res}(f, g) &= t_n^m \prod_{i=1}^n g(\alpha_i) \\ &= (-1)^{nm} u_m^n \prod_{j=1}^m f(\beta_j) \end{aligned}$$

Now, $\text{Disc}(f) = t_n^{2n-2} \prod_{i < j} (\alpha_i - \alpha_j)^2$, and $f'(\alpha_i) = \frac{d}{dx} \Big|_{x=\alpha_i} t_n \prod_{j=1}^n (x - \alpha_j) = \prod_{j \neq i} (\alpha_i - \alpha_j)$.

$$\begin{aligned} \text{So } \frac{\text{Res}(f, f')}{t_n} &= t_n^{n-2} \prod_{i=1}^n f'(\alpha_i) \\ &= t_n^{n-2} t_n^n \prod_{i=1}^n \prod_{j \neq i} (\alpha_i - \alpha_j) \\ &= (-1)^{n(n-1)/2} t_n^{2n-2} \prod_{i < j} (\alpha_i - \alpha_j)^2 \\ &= (-1)^{n(n-1)/2} \text{Disc}(f, f') \end{aligned}$$

This proves the claim!

¹⁶⁾ m rows, n rows

¹⁷⁾ one factor of -1 for each pair (i, j) , $i \neq j$

Example: $f(x) = x^2 + bx + c$

$$\begin{aligned} \implies \text{Disc}(f) &= -\text{Res}(f, f') \\ &= -\text{Res}(x^2 + bx + c, 2x + b) \\ &= -\det \begin{bmatrix} 1 & b & c \\ 2 & b & 0 \\ 0 & 2 & b \end{bmatrix} \\ &= -(b^2 + 4c - 2b^2) = b^2 - 4c \end{aligned}$$

This looks familiar:

$$x = \frac{-b \pm \sqrt{b^2 - 4c}}{2}$$

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$$\text{Disc}(f) = \frac{(-1)^{n(n-1)/2} \text{Res}(f, f')}{\text{lead coeff. of } f} = \prod_{i \neq j} (\alpha_i - \alpha_j)^{218}$$

If we add c to all the α_i , the product won't change. In other words, $\text{Disc}(f(x)) = \text{Disc}(f(x+c))$ for all constants c .

$$\text{Disc}(x^3 + ax^2 + bx + c)$$

$$a = -\alpha_1 - \alpha_2 - \alpha_3$$

If we subtract $\frac{a}{3}$ from each α_i , their sum will become zero:

$$\begin{aligned} (x - \frac{a}{3})^3 + a(x - \frac{a}{3})^2 + b(x - \frac{a}{3}) + c &= x^3 - \cancel{ax^2} + \frac{a^2}{3}x - \frac{a^3}{27} + \cancel{ax^2} - \frac{2a^2}{3}x + \frac{a^3}{9} + bx - \frac{ab}{3} + c \\ &= x^3 + (b - \frac{a^2}{3})x + (\frac{2a^3}{27} - \frac{ab}{3} + c) \end{aligned}$$

This has the same discriminant & Galois group as our original polynomial, and roots that only differ by $\frac{a}{3}$ from the original roots.

So, we can calculate a "general" discriminant of degree 3 by:

$$\begin{aligned} \text{Disc}(x^3 + ax + b) &= (-1)^{3(3-1)/2} \text{Res}(f, f') \\ &= -\text{Res}(f, f') \\ &= -\det \begin{bmatrix} 1 & 0 & a & b \\ 3 & 0 & a & \\ & 3 & 0 & a \\ & & 3 & 0 & a \end{bmatrix} \\ &= -\det \begin{bmatrix} 1 & 0 & a & b & 0 \\ 0 & 1 & 0 & a & b \\ 0 & 0 & -2a & -3b & 0 \\ 0 & 0 & 0 & -2a & -3b \\ 0 & 0 & 3 & 0 & a \end{bmatrix} \\ &= -(4a^3 + 27b^2) \\ &= -4a^3 - 27b^2 \end{aligned}$$

¹⁸⁾ α_i are roots of f , with multiplicity

Example: Compute the Galois group of $x^3 + 3x^2 + 3, x^3 + 3x^2 - 3$

$$\begin{aligned}
 & \rightsquigarrow (x-1)^3 + 3(x-1)^2 + 3 & \rightsquigarrow x^3 - 3x - 1 \\
 & = x^3x - 1 - 6x + 3 + 3 & \text{Disc} = -4(-3)^3 - 27(-1)^2 \\
 & = x^3 - 3x + 5 & = 108 - 27 \\
 \text{Disc} & = -4(-3)^3 - 27(5)^2 & = 81 \\
 & = 108 - 675 & = 9^2 \\
 & = -567 & \implies \text{Gal} \cong A_3
 \end{aligned}$$

Not a square, so
Galois group $\cong S_3$

Q: What are the transitive subgroups of S_4 ? Possible orders:

$$\begin{array}{ccccccc}
 \cancel{4} & \cancel{8} & \cancel{12} & 4 & \cancel{8} & 8 & 12 & 24 \\
 & & & C_4 & D_4 & A_4 & S_4 & \\
 & & & C_2 \times C_2 & & & &
 \end{array}$$

	In A_4 ?
C_4 : group generated by 4-cycle	No
$C_2 \times C_2$: group of double-flips	Yes
D_4 : generated by double flips & one 4-cycle	No
A_4 : even permutations	Yes
S_4 : all of 'em	No

Let G be a finite group, S a finite set on which G acts. Then:

$$\#G = \sum_{a \in S} (\# \text{ orbits of } a)(\text{stab}(a))$$

If S has 1 G -orbit, then $\#(\text{orbit}) \mid \#G$.

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Question #6: Assume f & g are monic.
 Tuesday November 8 4:30 MC 2065
 Info session for Waterloo Math Grad School
 Refreshments/Snacks

Galois Groups of degree 4 polynomials (irreducible):

	Disc a square?	Gal group of resolvent
$C_2 \times C_2$	Yes	$\{1\}$ (factors completely)
C_4	No	S_2 (linear · quadratic)
D_4	No	S_2 (linear · quadratic)
A_4	Yes	A_3 (irreducible)
S_4	No	S_3 (irreducible)

Resolvent cubic:

Let $\alpha_1, \dots, \alpha_4$ be the roots of $f(x)$. Then $\text{Gal}(f(x))$ permutes the following three elements of the splitting field:

$$\begin{aligned}
 \theta_1 &= (\alpha_1 + \alpha_2)(\alpha_3 + \alpha_4) \\
 \theta_2 &= (\alpha_1 + \alpha_3)(\alpha_2 + \alpha_4) \\
 \theta_3 &= (\alpha_1 + \alpha_4)(\alpha_2 + \alpha_3)
 \end{aligned}$$

So $p(x) = (x - \theta_1)(x - \theta_2)(x - \theta_3)$ has coefficients in the ground field F .

If $f(x) = x^4 + ax^3 + bx^2 + cx + d$, then its discriminant and resolvent cubic are heinous. Substituting $x = x - \frac{a}{4}$ will eliminate the x^3 term without changing the discriminant, galois group, or galois group & splitting behaviour of the resolvent cubic.

So we assume $a = 0$. In that case:

$$= 16b^4d - 4b^3c^2 - 128b^2d^2 + 144bc^2d - 27c^4 + 256d^3$$

& resolvent cubic is:

$$x^3 - 2bx^2 + (b^2 - 4d)x + c^2$$

Example: Find Galois group of $x^4 + 2x^2 - x + 3$ over \mathbb{Q} .

Solution: Disc = not a square

Resolvent cubic:

$$x^3 - 4x^2 - 8x + 1 \quad \text{irreducible over } \mathbb{Q} \text{ (rational roots theorem)}$$

$$\implies \text{Gal} \cong S_4.$$

Example: Same for $x^4 + 2x^2 + 4$.

Solution:

$$\begin{aligned} \text{Disc} &= 16 \cdot 2^4 \cdot 4 - 128 \cdot 2^2 \cdot 4^2 + 256 \cdot 4^3 \\ &= 2^{10} - 2^{13} + 2^{14} \\ &= 2^{10}(1 - 8 + 16) \\ &= 2^{10} \cdot 9 \\ &= (3 \cdot 2^5)^2 \end{aligned}$$

Resolvent: $x^3 - 4x^2 - 12x = x(x - 6)(x + 2)$

Therefore $\text{Gal} \cong C_2 \times C_2$

Theorem: Let $f(x)$ be an irreducible polynomial in $\mathbb{Z}[x]$, primitive. Let $p \in \mathbb{Z}$ be a prime such that $f(x)$ is separable mod p , and p does not divide the leading coefficient of $f(x)$. If $f(x)$ factors mod p as $f(x) = m_1(x) \cdots m_r(x)$, $\deg(m_i) = d_i$, then $\text{Gal}(f)$ over \mathbb{Q} contains a permutation with cycle structure $(d_1) \cdots (d_r)$.

Example: Compute $\text{Gal}(x^4 + 5x^2 + 11)$.

Previous techniques $\implies C_4$ or D_4 .

Mod 2: $x^4 + x^2 + 1 = (x^2 + x + 1)^2 \times$

Mod 3: $x^4 - x^2 - 1 = (x^2 + 1)^2 \times$

Mod 5: $x^4 + 1 = (x^2 + 2)(x^2 - 2) \times$

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Compute $\text{Gal}(x^4 + 5x^2 + 11)$

Reduce mod 17:

$$x^4 + 5x^2 + 11 = (x + 1)(x - 1)(x^2 + 6)$$

\implies Gal contains a permutation with cycle structure $(1)(1)(2)$, and so cannot be C_4 .

When can the roots of a polynomial in x be expressed in terms of $+$, $-$, \cdot , \div , $\sqrt[n]{}$, and the coefficients?

Theorem: Let F be a field that contains all the n th roots of unity. Let $a \in F$. Then $F(\sqrt[n]{a})/F$ is Galois, with cyclic Galois group, provided $\text{char } F \nmid n$.

Proof: First, we may assume that $[F(\sqrt[n]{a}) : F] = n$, since otherwise we may replace n with

$$k = \min_i \{(\sqrt[n]{a})^i \in F\}$$

and we will have $k \mid n$.

Write $x^n - a = (x - \sqrt[n]{a})(x - \zeta \sqrt[n]{a}) \cdots (x - \zeta^{n-1} \sqrt[n]{a})$ where ζ is a primitive n th root of unity. Therefore, since $\zeta \in F$, $F(\sqrt[n]{a})$ is a splitting field for $x^n - a$ over F . Since $\text{char } F \nmid n = [F(\sqrt[n]{a}) : F]$, we see that $F(\sqrt[n]{a})/F$ is separable, so it's Galois.

Let $\sigma \in \text{Gal}(F(\sqrt[n]{a})/F)$ be such that $\sigma(\sqrt[n]{a}) = \zeta \sqrt[n]{a}$. Since $\zeta \in F$, $\sigma(\zeta) = \zeta$, so $\sigma(\zeta^r \sqrt[n]{a}) = \zeta^{r+1} \sqrt[n]{a}$. Therefore σ has order n and $\text{Gal}(F(\sqrt[n]{a})/F) = \langle \sigma \rangle$ is cyclic. \square

Theorem: Let F be a field containing the n th roots of unity. Let K/F be a finite Galois extension with cyclic Galois group. Then $K = F(\sqrt[n]{a})$ for some $a \in F$, $n = [K : F]$.¹⁹⁾

Proof: Say $\alpha \in K$, ζ a primitive n th root of unity. Define

$$(\alpha, \zeta) = \alpha + \zeta\sigma(\alpha) + \zeta^2\sigma^2(\alpha) + \cdots + \zeta^{n-1}\sigma^{n-1}(\alpha)$$

where $\text{Gal}(K/F) = \langle \sigma \rangle$. Then

$$\begin{aligned} \sigma((\alpha, \zeta)) &= \sigma(\alpha) + \zeta\sigma^2(\alpha) + \cdots + \zeta^{n-1}\sigma^n(\alpha) \\ \zeta^{-1}(\alpha, \zeta) &= \zeta^{-1}\alpha + \sigma(\alpha) + \zeta\sigma^2(\alpha) + \cdots + \zeta^{n-2}\sigma^{n-1}(\alpha) \end{aligned}$$

Since $\zeta^{-1}\alpha = \zeta^{n-1}\sigma^n(\alpha)$, we see that $\sigma((\alpha, \zeta)) = \zeta^{-1}(\alpha, \zeta)$.

In particular, $\sigma((\alpha, \zeta)^n) = (\sigma, \zeta)^n$, so $(\alpha, \zeta)^n \in F$. Furthermore, if $1 \leq k \leq n-1$, then $\sigma^k((\alpha, \zeta)) = \zeta^{-k}(\alpha, \zeta) \neq (\alpha, \zeta)$, so (α, ζ) does not lie in any proper subfield of K . So we may set $a = (\alpha, \zeta)^n$ to get $K = F(\sqrt[n]{a})$. \square

Theorem: Assume F contains the n th roots of unity, $a, b \in F^*$. Then $F(\sqrt[n]{a}) \cong F(\sqrt[n]{b})$ iff $\langle a \rangle \equiv \langle b \rangle \pmod{(F^*)^n}$, where

$$(F^*)^n = \{ \alpha^n : \alpha \in F^* \}$$

(that is, $a^k = b^l \alpha^n$ for some $\alpha \in F$, $1 \leq k, l \leq n-1$.)

PMATH 442 Lecture 23: November 7, 2011

Definition: Let L/F be an extension, $\alpha \in L$ any element. Then α is solvable in radicals over F iff $\alpha \in K$ for some field K such that

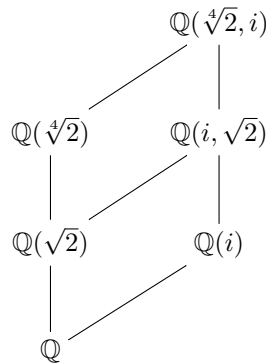
$$F = K_0 = K_1 \subset K_2 \subset \cdots \subset K_n = K$$

where $K_i = K_{i-1}(\sqrt[r_i]{a_i})$ for some $a_i \in K_{i-1}$, and $r_i \in \mathbb{Z}_{>0}$, $\text{char } F \nmid r_i$.

We say $p(x) \in F[x]$ non-constant is solvable in radicals iff all its roots are. We call an extension like K/F a solvable extension.

Theorem: Let $\alpha \in K$ be solvable in radicals over F . Then α is contained in a Galois solvable extension.

Proof: First, adjoin all the r_i th roots of unity to f ;



this is an extension of solvable form. Next, notice that to compute the Galois closure of K over F , one need only adjoin elements of the form $\sqrt[r_i]{b_i}$ for some elements $b_i \in K_{i-1}$, although there may be several of them for each i . \square

Definition: A group G is solvable iff there is a set of subgroups

$$\{1\} = G_0 \subset G_1 \subset \cdots \subset G_n = G$$

¹⁹⁾ $\text{char } F \nmid n$

such that G_{i-1} is a normal subgroup of G_i , with G_i/G_{i-1} an abelian group.

Say G is a group, $N \subset G$ a normal subgroup. Then G/N is abelian iff for all $g, h \in G$, we have $ghg^{-1}h^{-1} \in N$.

Definition: The commutator of g & h is $[g, h] = ghg^{-1}h^{-1}$. The commutator subgroup of G is the subgroup of G generated by the commutators of G . It's denoted $[G, G]$.

Notice that G/N is abelian iff $[G, G] \subset N$. Also notice that $[G, G]$ is a normal subgroup of G , because for any homomorphism f (like, say, conjugation by σ), $f(ghg^{-1}h^{-1}) = f(g)f(h)f(g)^{-1}f(h)^{-1} = [f(g), f(h)]$.

We can construct the commutator series of G :

$$G^{(0)} = G$$

$$G^{(i)} = [G^{(i-1)}, G^{(i-1)}]$$

So $G^{(0)} \supset G^{(1)} \supset G^{(2)} \supset \dots$ and $G^{(i)}/G^{(i-1)}$ is abelian! If $G^{(n)} = \{1\}$ for some n , then G is solvable. Conversely, if G is finite, then if $G^{(n)} \neq \{1\}$ for all n , then G is not solvable.

Theorem: Let G be a finite solvable group. Then any subgroup or quotient group of G is also solvable.

Proof: Say H is a subgroup of G , and say $G_0 = \{1\} \subset G_1 \subset \dots \subset G_n = G$ satisfy G_i/G_{i-1} abelian. Let $H_i = H \cap G_i$. Then H_i is a normal subgroup of H_{i+1} and $H_{i+1}/H_i \hookrightarrow G_{i+1}/G_i$, so H_{i+1}/H_i is abelian. Since $H_0 \subset G_0 = \{1\}$, we conclude that H is solvable.

Similarly, if N is a normal subgroup of G & $q: G \rightarrow G/N$ is the "reduce mod N " homomorphism, then the chain

$$q(G_0) \subset q(G_1) \subset \dots \subset q(G_n)$$

shows that G/N is solvable. □

PMATH 442 Lecture 24: November 9, 2011

Theorem: Let G be a group, N a normal subgroup. If N is solvable and G/N is solvable, then so is G .

Proof: G is solvable iff its commutator series $G^{(i)}$ satisfies $G^{(n)} = \{1\}$ for some n . Since $G^{(i)} \text{ mod } N = (G/N)^{(i)}$, we see that $G^{(n)} \subset N$ for some M (G/N is solvable). Since N is solvable, its subgroup $G^{(i)}$ is also solvable, so the groups $G^{(i)}$ satisfy $G^{(n)} = \{1\}$ for some n , as desired. □

Theorem: Let F be a field of characteristic 0, $f(x) \in F[x]$ a non-constant polynomial. Then $f(x)$ is solvable in radicals iff $\text{Gal}(f)$ over F is solvable.

Proof: Forwards: If $f(x)$ is solvable in radicals, then its splitting field admits subfields satisfying

$$F = K_0 \subset K_1 \subset \dots \subset K_n = \text{splitting field}$$

and $K_i = K_{i-1}(\sqrt[n_i]{a_i})$. Moreover, we can insist that K_i/K_{i-1} is Galois for each i , by adjoining all relevant roots of unity first. This may make K_n larger than a splitting field for $f(x)$; this is OK & we'll consider it later.

So $\text{Gal}(K_i/K_{i-1})$ is abelian for all i , making $\text{Gal}(K_n/F)$ solvable. Since a splitting field K is contained in K_n , its Galois group over F is a quotient of $\text{Gal}(K_n/F)$, and so is solvable.

Backwards: Let K/F be a splitting field for $f(x)$. Then since $\text{Gal}(K/F)$ is solvable, we get a chain of subgroups $\{1\} = G_0 \subset G_1 \subset \dots \subset G_n = \text{Gal}(K/F)$ such that G_i/G_{i-1} is abelian. By refining this chain, we may assume that G_i/G_{i-1} is cyclic for all i . But if K_i corresponds to G_i , then G_i/G_{i-1} cyclic $\implies K_{i-1} = K_i(\sqrt[n_i]{a_{i-1}})$ for some $a_{i-1} \in K_{i-1}$, provided that K_i contains all (n_{i-1}) th roots of unity. So if we adjoin a large finite number of roots of unity to F , then we can construct a chain of subfields of a suitable form to prove that $f(x)$ is solvable in radicals. □

Question: Is every finite group solvable?

Answer: No. If $n \geq 5$, A_n has no nontrivial normal subgroups and is not abelian, and so is not solvable.

Furthermore, the only normal subgroups of S_n for $n \geq 5$ are $\{1\}$, A_n , and S_n . So if $n \geq 5$, then S_n isn't solvable.

I'd like to thank my parents, God and L. Ron Hubbard.

$$S_3: \{1\} \subset A_3 \subset S_3 \text{ solvable } \checkmark$$

cyclic

$$S_4: \{1\} \subset V_4 \subset A_4 \subset S_4$$

double
flips

So S_4 is solvable too. But S_5 is *not* solvable.

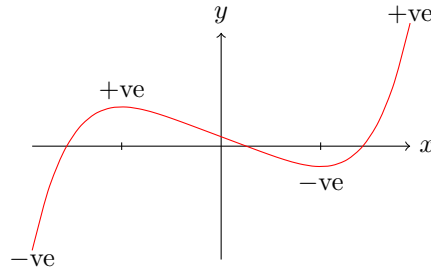
Example: The Galois group of $x^5 - 15x + 5$ over \mathbb{Q} is S_5 .

Proof: The polynomial is irreducible by Eisenstein's Criterion using $p = 5$.

Since $x^5 - 15x + 5$ is irreducible of degree 5, its Galois group acts transitively on a 5-element set, so by orbit-stabilizer, the Galois group's order is divisible by 5. Let $G = \text{Gal}(f(x)) = \text{Gal}(x^5 - 15x + 5)$. By Cauchy's Theorem, G contains an element of order 5. So G must contain a 5-cycle.

$$f'(x) = 5x^4 - 15$$

$$\text{Roots } x = \pm \sqrt[4]{3}$$



We see that $f(x)$ has exactly 3 real roots. Therefore, the action of complex conjugation on the roots of $f(x)$ is as a transposition. So G contains a transposition.

A simple bubble sort shows that G must be all of S_5 .

PMATH 442 Lecture 25: November 11, 2011

Definition: A valuation on a field K is a function $\phi: K \rightarrow \mathbb{R}_{\geq 0}$ satisfying:

$$\forall a, b \in K \quad (1) \quad \phi(ab) = \phi(a)\phi(b)$$

$$(2) \quad \phi(a) = 0 \text{ iff } a = 0$$

$$(3) \quad \phi(a + b) \leq \phi(a) + \phi(b)$$

Example: Let $K = \mathbb{Q}$, $p \in \mathbb{Z}$ prime. For $\frac{a}{b} \in \mathbb{Q}$ in lowest terms, define $|\frac{a}{b}|_p = 0$ if $a = 0$. If $a \neq 0$, write $\frac{a}{b} = p^r \frac{a'}{b'}$ for $a', b' \in \mathbb{Z}$, $p \nmid a'b'$, and let

$$\left| \frac{a}{b} \right|_p = \frac{1}{p^r}$$

(1) and (2) are clear. For (3), note that (if $r \leq t$ without loss of generality)

$$\left| p^r \frac{a_1}{b_1} + p^t \frac{a_2}{b_2} \right|_p = p^{-r} \left| \frac{a_1}{b_1} + p^{t-r} \frac{a_2}{b_2} \right|_p \leq p^{-r}$$

$$\text{so } |a + b|_p \leq \max\{|a|_p, |b|_p\}.$$

This is called the p -adic absolute value on \mathbb{Q} .

Example: $|\frac{8}{37}|_2 = \frac{1}{8}$, $|\frac{12}{17}|_3 = \frac{1}{3}$, $|\frac{12}{17}|_2 = \frac{1}{4}$

So $p^n \rightarrow 0$ p -adically.

Example: $1 + p + p^2 + \dots = \sum_{i=0}^{\infty} p^i = \frac{1}{1-p}$ if $\sum_{i=0}^{\infty} p^i$ converges. If we interpret this sequence classically, $\sum p^i$ does not converge.

Theorem: Let $\sum_{i=0}^{\infty} a_i$ be an infinite series. Then $\sum_{i=0}^{\infty} a_i$ is Cauchy p -adically iff $|a_i|_p \rightarrow 0$. ($a_i \in \mathbb{Q}$)

Proof: Forwards is clear. Backwards is harder. Say $|a_i|_p \rightarrow 0$. Then $|\sum_{i=0}^n a_i|_p \leq \max_{i \in \{1, \dots, n\}} \{|a_i|_p\}$. So

$$\left| \sum_{i=0}^n a_i - \sum_{i=0}^m a_i \right|_p = \left| \sum_{i=m+1}^n a_i \right|_p \leq \max_{i \in \{m+1, \dots, n\}} \{|a_i|_p\}$$

which is going to 0. So $\sum_{i=0}^{\infty} a_i$ induces a Cauchy sequence. □

So $\sum_{i=0}^{\infty} 2^i = -1$.

Is \mathbb{Q} p -adically complete?

No: $3^2 \equiv 2 \pmod{7}$ so 3 is 7-adically close to $\sqrt{2}$. Sort of, “ $|3 - \sqrt{2}|_7 \leq \frac{1}{7}$ ”.

Let's look for $a_2 \in \mathbb{Z}/7^2\mathbb{Z}$ such that $a_2^2 \equiv 2 \pmod{7^2}$.

Say $a_2 \equiv 3 \pmod{7}$. Then $a_2 \equiv 3 + 7k \pmod{7^2}$

$$\begin{aligned} \implies (3 + 7k)^2 &\equiv 9 + 42k \pmod{49} \\ \implies 2 &\equiv 9 + 42k \pmod{49} \\ \implies -7 &\equiv 42k \pmod{49} \\ \implies -1 &\equiv 6k \pmod{7} \\ \implies k &\equiv \text{mod } 7 \\ \implies a_2 &= 3 + 7 = 10 \text{ works!} \end{aligned}$$

By iterating this procedure, we can find integers a_r such that $a_r^2 \equiv 2 \pmod{7^r}$ for all $r \in \mathbb{Z}_{>0}$. So $\{a_r\}$ is a Cauchy sequence, whose limit if it exists is $\sqrt{2} \notin \mathbb{Q}$. Therefore \mathbb{Q} is not 7-adically complete.

PMATH 442 Lecture 26: November 14, 2011

Let R be the ring of p -adic Cauchy sequences of rational numbers, with

$$\begin{aligned} \{a_i\} + \{b_i\} &= \{a_i + b_i\} \\ \{a_i\}\{b_i\} &= \{a_i b_i\} \end{aligned}$$

It is easy to see that the sum & product of Cauchy sequences is again Cauchy.

Let $M = R$ be the set of null sequences in R ; namely, the set of sequences whose limit exists and is 0. It is easy to see that M is an ideal of R , since it is closed under $+$ & $-$, and multiplication by arbitrary Cauchy sequences.

Theorem: M is a maximal ideal of R .

Proof: We will show that every element of $R - M$ is a unit, so M is maximal. Say $\{a_i\}$ is a p -adic Cauchy sequence which does not converge to 0. Then there are only finitely many a_i such that $a_i = 0$, since $\{a_i\}$ is Cauchy & not null. After adding a null sequence, then, we may assume that $a_i \neq 0$ for all i . Consider $\{\frac{1}{a_i}\}$. It is clearly an inverse to $\{a_i\}$. Is it Cauchy? Yes: The sequence $\{|a_i|_p\}$ is also Cauchy, and therefore convergent. So if $\lim_{i \rightarrow \infty} |a_i|_p = L$, then $\{|\frac{1}{a_i}|_p\} \rightarrow \frac{1}{L} \neq 0$ and

$$\left| \frac{1}{a_n} - \frac{1}{a_m} \right|_p = \left| \frac{a_m - a_n}{a_n a_m} \right|_p = \frac{|a_m - a_n|_p}{|a_n|_p |a_m|_p} \rightarrow \text{small as you like } |_p$$

so $\{\frac{1}{a_n}\}$ is Cauchy. □

$$\begin{aligned} |a_n|_p - |a_m|_p &\leq |a_n - a_m|_p \text{ by } \Delta \text{ inequality} \\ |a_m|_p - |a_n|_p &\leq |a_m - a_n|_p \text{ by } \Delta \text{ inequality} \\ a^{-1} &= (a^{-1}(a)a_1^{-1}) = a_1^{-1} \end{aligned}$$

So R/M is a field containing \mathbb{Q} . We call it \mathbb{Q}_p , the field of p -adic numbers.

It is easy to see that \mathbb{Q}_p is complete. The absolute value of \mathbb{Q}_p is

$$|\{a_n\}_p = \lim_{n \rightarrow \infty} |a_n|_p.$$

$\mathbb{Q} \hookrightarrow \mathbb{Q}_p$ via $x \mapsto \{x\}$.

So what the heck is \mathbb{Q}_p ? Some elements of \mathbb{Q}_p include:

$$\begin{aligned} 1 + p + p^2 + \dots \\ 2 + 3p^2 - 4p^3 + p^4 + \dots \end{aligned}$$

More generally, if $0 \leq a_i \leq p-1$, $a_i \in \mathbb{Z}$, then $\sum_{i=0}^{\infty} a_i p^i \in \mathbb{Q}_p$. In fact, for any $n \in \mathbb{Z}$, the series $\sum_{i=n}^{\infty} a_i p^i$ is in \mathbb{Q}_p .

We will show that every elements of \mathbb{Q}_p is of the form $\sum_{i=n}^{\infty} a_i p^i$ for $0 \leq a_i \leq p-1$, $a_i, n \in \mathbb{Z}$.

Theorem: Let $\alpha \in \mathbb{Q}_p^*$. Then α can be written uniquely as $\alpha = p^r u$ for $|u|_p = 1$.

Proof: $|\alpha|_p = p^{-r}$ for some r . So $|p^{-r} \alpha|_p = 1$, so $\alpha = p^r (p^{-r} \alpha)$. If $\alpha = p^k u$, then $|\alpha|_p = p^{-r} \implies k = r$, and then $u = p^{-r} \alpha$. \square

Definition: The ring of p -adic integers is $\mathbb{Z}_p = \{\alpha \in \mathbb{Q}_p : |\alpha|_p \leq 1\}$. This is a ring because of $|a+b|_p \leq \max\{|a|_p, |b|_p\}$. It's not a field, since $p \in \mathbb{Z}_p$ but $\frac{1}{p} \notin \mathbb{Z}_p$. Note $\mathbb{Z}_p^* = \{\alpha \in \mathbb{Q}_p : |\alpha|_p = 1\}$. So $\mathbb{Q}_p^* = \{p^r u : u \in \mathbb{Z}_p^*\}$. In particular, \mathbb{Q}_p is the fraction field of \mathbb{Z}_p .

PMATH 442 Lecture 27: November 16, 2011

Theorem: \mathbb{Z}_p = the closure of \mathbb{Z} in \mathbb{Q}_p .

Proof: If $\{x_i\}$ is a Cauchy sequence of integers $x_i \in \mathbb{Z}$, then $|\{x_i\}_p| \leq 1$ because $|x_i|_p \leq 1$ for all i . So $\overline{\mathbb{Z}} \subset \mathbb{Z}_p$.

Conversely, say $\{x_i\} \in \mathbb{Z}_p$. Then $\lim_{i \rightarrow \infty} |x_i|_p \leq 1$. If $\lim_i |x_i|_p = 0$, then $\{x_i\} = 0 \in \overline{\mathbb{Z}}$. Otherwise, we have $|x_n|_p = \lim_i |x_i|_p$ for all large enough n . Write $x_n = p^r \frac{a_n}{b_n}$ for $p \nmid a_n b_n$. Then for every positive integer m , there is an integer $\alpha_{n,m}$ such that

$$\alpha_{n,m} \equiv x_n \pmod{p^m} \iff |\alpha_{n,m} - x_n|_p \leq p^{-m}$$

So up to messing around with finitely initial terms, the sequence $\{\alpha_{n,n}\} \in \overline{\mathbb{Z}}$ is equal in \mathbb{Q}_p to $\{x_n\}$, so $\{x_n\} \in \overline{\mathbb{Z}}$. \square

Theorem: $\mathbb{Z}_p/p^r \mathbb{Z}_p \cong \mathbb{Z}/p^r \mathbb{Z}$.

Proof: Consider $\phi: \mathbb{Z} \rightarrow \mathbb{Z}_p/p^r \mathbb{Z}_p$. It is clear that $\ker \phi = p^r \mathbb{Z}$. So there is an injection $\phi: \mathbb{Z}/p^r \mathbb{Z} \rightarrow \mathbb{Z}_p/p^r \mathbb{Z}_p$. It is onto because any $\alpha \in \mathbb{Z}_p$ satisfies

$$|\alpha - n|_p \leq p^{-r} \text{ for some } n \in \mathbb{Z}, \iff \alpha \equiv n \pmod{p^r \mathbb{Z}_p} \iff \alpha \equiv \phi(n) \pmod{p^r \mathbb{Z}_p} \quad \square$$

Say $\alpha \in \mathbb{Q}_p$. If $\alpha = 0$, then α is clearly of the form $\alpha = \sum_{i=n}^{\infty} a_i p^i$ for $0 \leq a_i \leq p-1$. If $\alpha \neq 0$, write $\alpha = p^r \frac{a}{b}$, where $p \nmid ab$. It suffices to write $\frac{a}{b} = \sum_{i=n}^{\infty} a_i p^i$.

But $\frac{a}{b} \in \mathbb{Z}_p$, so for each $r \geq 1$, we can find $m_r \in \mathbb{Z}$ such that $\frac{a}{b} \equiv m_r \pmod{p^r \mathbb{Z}_p}$. So if we choose $m_r \in \{0, \dots, p-1\}$, we write m_r in base p and get

$$\frac{a}{b} = a_0 + a_1 p + \dots + a_{r-1} p^{r-1} + E p^r$$

for $0 \leq a_i \leq p-1$. Moreover, note that $m_{r+t} \equiv m_r \pmod{p^r}$. So we get a well defined series

$$\frac{a}{b} = \sum_{i=0}^{\infty} a_i p^i$$

where $a_i \in \{0, \dots, p-1\}$. So \mathbb{Q}_p really is

$$\mathbb{Q}_p = \left\{ \sum_{i=n}^{\infty} a_i p^i : a_i \in \{0, \dots, p-1\} \right\}$$

$$\frac{\overline{0000000}}{-1}$$

$$\dots 666 \text{ in } \mathbb{Q}_7$$

$$= \sum_{n=0}^{\infty} 6 \cdot 7^n$$

Define $R \subset (\mathbb{Z}/p\mathbb{Z}) \times (\mathbb{Z}/p^2\mathbb{Z}) \times \dots$ by

$$R = \{ (a_1, a_2, \dots) : a_i \equiv a_{i+r} \pmod{p^i}, a_i \in \mathbb{Z}/p^i\mathbb{Z} \} = H$$

Theorem: $\mathbb{Z}_p \cong R$.

Proof: Define $\phi: \mathbb{Z}_p \rightarrow H$ by $\phi(\alpha) = (\alpha \pmod{p}, \alpha \pmod{p^2}, \dots)$. Clearly $\text{im } \phi \subset R$, so $\phi: \mathbb{Z}_p \rightarrow R$. Since $\ker \phi = \{0\}$, ϕ is injective. For surjectivity, say $(n_1, n_2, \dots) \in R$. If we choose $n_i \in \{0, \dots, p^i - 1\}$, then writing n_i in base p will have a consistent set of i th order p -adic approximations $\sum_{i=0}^{\infty} a_i p^i$, where $n_i = \sum_{j=0}^{i-1} a_j p^j$. So $(n_1, n_2, \dots) \in \text{im } \phi$. \square

PMATH 442 Lecture 28: November 18, 2011

Definition: A valuation on a field K is a function $\phi: K \rightarrow \mathbb{R}$ such that:

- (1) $\phi(x) \geq 0$, $\phi(x) = 0$ iff $x = 0$
- (2) $\phi(xy) = \phi(x)\phi(y)$
- (3) $\phi(x+y) \leq \phi(x) + \phi(y)$

If ϕ also satisfies $\phi(x+y) \leq \max\{\phi(x), \phi(y)\}$ then we say ϕ is non-archimedean.

Assume K is a field complete with respect to a non-archimedean valuation $|\cdot|_v$.

Definition: The valuation ring of K is $O = \{x \in K : |x|_v \leq 1\}$. It is easy to see that O is a ring.

Definition: The maximal ideal of O is $M = \{x \in O : |x|_v < 1\}$.

It is easy to see that M is the set of non-units of O , and is therefore the unique maximal ideal of O .

Definition: The field O/M is called the residue field of O (or K).

Theorem (Hensel's Lemma): Let K be complete with respect to a non-archimedean valuation $|\cdot|_v$. Let $f(x) \in O[x]$, $f \notin M$. Say $\bar{f} = \bar{g}\bar{h}$ in $(O/M)[x]$, where $\bar{g}, \bar{h} \in (O/M)[x]$ are relatively prime. Then $f = gh$, where $g \equiv \bar{g} \pmod{M}$, $h \equiv \bar{h} \pmod{M}$, and $\deg g = \deg \bar{g}$, and $g, h \in O[x]$.

Example: Say $K = \mathbb{Q}_7$, $O = \mathbb{Z}_7$, $f(x) = x^2 - 2$. Then

$$x^2 - 2 \equiv (x+3)(x-3) \pmod{7} \text{ in the residue field } \mathbb{Z}/7\mathbb{Z}.$$

Hensel $\implies \exists g, h \in \mathbb{Z}_7[x]$ such that $\deg g = \deg h = 1$ and

$$x^2 - 2 = g(x)h(x).$$

But $\deg g = \deg h = 1 \implies gh$ has two roots in \mathbb{Z}_7 ,

$$\pm\sqrt{2} \in \mathbb{Z}_7 \subset \mathbb{Q}_7.$$

PMATH 442 Lecture 29: November 21, 2011

K complete with respect to a non-archimedean valuation $|\cdot|_v$. Let $O = \{a \in K : |a|_v \leq 1\}$ be the valuation ring. $M \subset O$ the maximal ideal $\{a \in K : |a|_v < 1\}$.

$$\begin{aligned} K &= \mathbb{Q}_p \\ O &= \mathbb{Z}_p \\ M &= p\mathbb{Z}_p \end{aligned}$$

Theorem: (Hensel's Lemma)

Let $f(x) \in O[x]$ be non-constant, $f \not\equiv 0 \pmod{M}$. Assume $\bar{f} = \bar{g}\bar{h} \pmod{M}$, where \bar{f} is the reduction of $f \pmod{M}$, and that \bar{g}, \bar{h} are relatively prime in $(O/M)[x]$. Then $f = gh$ in $\theta[x]$, where $g \equiv \bar{g}$ and $h \equiv \bar{h} \pmod{M}$, and $\deg(g) = \deg(\bar{g})$.

Proof: Pick $g_0, h_0 \in O[x]$ willy-nilly so that $\deg(g_0) = \deg(\bar{g})$, $\deg(h_0) \leq \deg(\bar{h})$, $g_0 \equiv \bar{g}$, $h_0 \equiv \bar{h} \pmod{M}$. Since \bar{h}, \bar{g} are coprime in $(O/M)[x]$, there are $a(x), b(x) \in O[x]$ such that $ag_0 + bh_0 \equiv 1 \pmod{M}$.

Amongst the coefficients of $f - g_0h_0$ and $ag_0 + bh_0 - 1$, there is (at least) one with smallest valuation. Call it π .

We show: $f \equiv g_r h_r \pmod{\pi^{r+1}}$.

If $r = 0$, we're already done. Proceed by induction. Say $f \equiv g_{r-1}h_{r-1} \pmod{\pi^r}$, with $\deg g_{r-1} = \deg \bar{g}$, $\deg h_{r-1} \leq \deg \bar{h}$. We're looking for g_r and h_r .

Write $\begin{cases} g_r = g_{r-1} + p_r \pi^r \\ h_r = h_{r-1} + q_r \pi^r \end{cases}$, for $p_r, q_r \in O[x]$. Then:

$$\begin{aligned} f - g_r h_r &\equiv \pi^r (g_{r-1} g_r + h_{r-1} p_r) \pmod{\pi^{r+1}} \\ \implies \underbrace{\frac{1}{\pi^r} (f - g_r h_r)}_{f_r} &\equiv g_{r-1} g_r + h_{r-1} p_r \pmod{\pi} \end{aligned}$$

Now, $q_r = af_r$ and $p_r = bf_r$ works because $g_r \equiv g_0 \pmod{M}$, $h_r \equiv h_0 \pmod{M}$. However, this choice may not satisfy the degree constraints $\deg g_r = \deg \bar{g}$ and $\deg h_r \leq \deg \bar{h}$. So write: $bf_r = Qg_0 + R$ for $\deg R \leq \deg g_0$, and set $p_r = R$. The leading coefficient of g_0 is not in M , so it's a unit in O . The Euclidean Algorithm will show that $Q, R \in O[x]$. So:

$$\begin{aligned} g_0(af_r + h_0Q) + h_0p_r &\equiv ag_0f_r + g_0h_0Q + h_0p_r \\ &\equiv ag_0f_r + h_0(bf_r - p_r) + h_0p_r \\ &\equiv ag_0f_r + bh_0f_r \\ &\equiv f_r \pmod{\pi} \end{aligned}$$

PMATH 442 Lecture 30: November 23, 2011

Theorem: (Hensel's Lemma) Let K be a complete field with respect to a non-archimedean valuation, O is valuation ring, $M \subset O$ the maximal ideal. Let $f(x) \in O[x]$, and assume $\bar{f} \equiv \bar{g}\bar{h} \pmod{M}$ for $\gcd(\bar{g}, \bar{h}) = 1$. Then $f = gh$ in $K[x]$, where $g \equiv \bar{g} \pmod{M}$, $h \equiv \bar{h} \pmod{M}$, $\deg(g) = \deg(\bar{g})$.

Proof: (continued)

$$\begin{aligned} g_0(af_r + h_0Q) + h_0(p_r) &\equiv f_r \pmod{\pi} \\ \text{and } \deg(p_r) &\leq \deg f - \deg h_0 = \deg(g_0) \end{aligned}$$

So after deleting terms in $af_r + h_0Q$ of too high degree (because they're 0 mod π), we find q_r .

$$\begin{aligned} \text{So } g_{r+1} &= g_r + p_r \pi^r \\ h_{r+1} &= h_r + q_r \pi^r \\ \text{satisfies } f &\equiv g_r h_r \pmod{\pi^{r+1}} \\ \deg(g_{r+1}) &= \deg(\bar{g}) \\ \deg(h_{r+1}) &\leq \deg(\bar{h}) \\ \left. \begin{aligned} g_{r+1} &\equiv \bar{g} \\ h_{r+1} &\equiv \bar{h} \end{aligned} \right\} \pmod{M} \end{aligned}$$

So $\{g_r\}$ & $\{h_r\}$ are Cauchy sequences of polynomials in $K[x]$, that must converge to g & h , respectively, satisfying $f = gh$, $\deg g = \deg \bar{g}$, $g \equiv \bar{g}$, $h \equiv \bar{h}$. \square

Example: $\sqrt{2} \notin \mathbb{Q}_5$, because if not, then $|\sqrt{2}|_5^2 = |2|_5 = 1$, so $\sqrt{2} \in \mathbb{Z}_5$. But $x^2 - 2$ is irreducible in the residue field \mathbb{F}_5 , so $\sqrt{2} \notin \mathbb{Z}_5$.

Example: $x^{p-1} - 1$ splits completely in $\mathbb{F}_p[x]$: $x^{p-1} - 1 = \prod_{i=1}^{p-1} (x - i)$. By Hensel's Lemma, $x^{p-1} - 1$ splits completely in $\mathbb{Q}_p[x]$, too. So if $n \mid p - 1$, then $\zeta_n \in \mathbb{Q}_p$.

Definition: Let L/K be a finite extension, $\alpha \in L$ any element. The norm of α over K is $\det(m_\alpha)$, where

$$\begin{aligned} m_\alpha : L \rightarrow L \text{ is } m_\alpha(x) &= \alpha x \\ N_{L/K}(\alpha) &= \det(m_\alpha) \\ N_{L/K}(\alpha) &= (-1)^{[L:K]} (\text{constant term in characteristic polynomial}) \end{aligned}$$

Since α is a root of the monic characteristic polynomial (by Cayley–Hamilton Theorem), the minimal polynomial of α ($m(x)$) is a factor of the characteristic polynomial of m_α ($\chi(x)$). But every root of $\chi(x)$ is a root of $m(x)$, so $\chi(x) = m(x)^d$, where $d = [L : K(\alpha)]$. Comparing constant terms gives $(m(0))^d = \chi(0)$.

$$\begin{aligned} n &= [L : K] \\ L &= 1 \cdot K + \alpha \cdot K + \dots + \alpha^{n-1} \cdot K \\ \text{if } L &= K(\alpha) \end{aligned}$$

$$\begin{aligned} [m_\alpha] &= \begin{bmatrix} 0 & 0 & & -a_0/a_n \\ 1 & 0 & & -a_1/a_n \\ 0 & 1 & & -a_2/a_n \\ \vdots & & \ddots & \vdots \\ 0 & \dots & 0 & 1 & -a_{n-1}/a_n \end{bmatrix} \\ m(x) &= a_0 + a_1x + \dots + a_nx^n \\ \implies \alpha^n &= -\frac{a_0}{a_n} - \frac{a_1}{a_n}\alpha - \dots - \frac{a_{n-1}}{a_n}\alpha^{n-1} \\ \det[m_\alpha] &= (-1)^{n-1} \frac{-a_0}{a_n} = (-1)^n a_0 \end{aligned}$$

$$N_{L/K}(\alpha) = (-1)^{[L:K]} (\text{constant term of monic minimal polynomials})^{[L:K(\alpha)]}$$

Say K/\mathbb{Q}_p is a finite extension. Define

$$|\alpha|_v = \sqrt[n]{|N_{K/\mathbb{Q}_p}(\alpha)|_p}$$

where $n = [K : \mathbb{Q}_p]$. This is a non-archimedean valuation:

- (1) $|\alpha|_v \geq 0$, equality iff $\alpha = 0$ \checkmark
- (2) $|\alpha\beta|_v = |\alpha|_v |\beta|_v$ \checkmark
- (3) $|\alpha + \beta|_v \leq \max\{|\alpha|_v, |\beta|_v\}$

We will justify (3) next time.

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$$|\alpha|_v = \sqrt[n]{|N_{K/\mathbb{Q}_p}(\alpha)|_p}$$

Theorem: $|\cdot|_v$ is a non-archimedean valuation on K .

Proof: All done except:

$$|\alpha + \beta|_v \leq \max\{|\alpha|_v, |\beta|_v\}.$$

Without loss of generality, say $|\beta|_v \geq |\alpha|_v$. Then it suffices to show:

$$\left| \frac{\alpha}{\beta} + 1 \right|_v \leq \max\left\{ \left| \frac{\alpha}{\beta} \right|_v, 1 \right\}.$$

Lemma: Let L be a field that's complete with respect to a non-archimedean valuation ψ . Say $f(x) \in L[x]$ is irreducible, $f(x) = a_0 + a_1x + \dots + a_nx^n$. Then $\psi(a_i) \leq \max\{\psi(a_0), \psi(a_n)\}$ for all i .

Proof of Lemma: Let O be the valuation ring. Let j be the smallest index such that $\psi(a_j) \geq \psi(a_i)$ for all i . Then $\frac{1}{a_j}f \in O[x]$ and

$$f \equiv x^j(a_j + \dots + a_nx^{n-j}) \pmod{M}$$

where $M \subset O$ is the maximal ideal. By Hensel's Lemma, $f(x)$ factors as the product of 2 polynomials, one of deg j & the other of degree $n - j$. Since f is irreducible, either $j = 0$ or $n - j = 0$. \square lemma

By the lemma applied to $L = \mathbb{Q}_p$, we see that a monic irreducible polynomial in $\mathbb{Q}_p[x]$ lies in $\mathbb{Z}_p[x]$ iff its constant coefficient lies in \mathbb{Z}_p . So $N_{K/\mathbb{Q}_p}(\alpha) \in \mathbb{Z}_p$ iff monic minimal polynomial for α lies in $\mathbb{Z}_p[x]$. Since $|\frac{\alpha}{\beta}|_v \leq 1$, we get $N(\frac{\alpha}{\beta}) \in \mathbb{Z}_p$ so monic minimal polynomial for $\frac{\alpha}{\beta}$ has coefficients in \mathbb{Z}_p . If $m(x)$ is the monic minimal polynomial for $\frac{\alpha}{\beta}$, then $m(x - 1)$ is the monic minimal polynomial for $(\frac{\alpha}{\beta} - 1)$. So $m(x) \in \mathbb{Z}_p[x] \implies m(x - 1) \in \mathbb{Z}_p[x]$, and hence $N(\frac{\alpha}{\beta} + 1) \in \mathbb{Z}_p$ &

$$\left| \frac{\alpha}{\beta} + 1 \right|_v \leq \max\left\{ \left| \frac{\alpha}{\beta} \right|_v, 1 \right\}$$

as desired.

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Example: $K = \mathbb{Q}_3(\sqrt{2})$

Note that $[K : \mathbb{Q}_3] = 2$, because $|\sqrt{2}|_3 = \sqrt{|2|_3} = 1$. Since $\sqrt{2} \notin \mathbb{F}_3$, $\sqrt{2} \notin \mathbb{Z}_3$, so $\sqrt{2} \notin \mathbb{Q}_3$. Now,

$$\begin{aligned} |a + b\sqrt{2}|_3 &\leq \max\{|a|_3, |b|_3\} \\ &= \sqrt{|N(a + b\sqrt{2})|_3} = \sqrt{|a^2 - 2b^2|_3} \end{aligned}$$

If $|a|_3 \neq |b|_3$, then $|a + b\sqrt{2}|_3 = \max\{|a|_3, |b|_3\}$.

If $|a|_3 = |b|_3$, then $a + b\sqrt{2} = 3^r(a' + b'\sqrt{2})$, where $a', b' \in \mathbb{Z}_3^*$. In that case, $a' = \pm b' = \pm 1 \pmod{3}$, so $(a')^2 - 2(b')^2 = -1 \pmod{3}$, so $|a + b\sqrt{2}|_3 = |a|_3 = |b|_3$. So in general,

$$|a + b\sqrt{2}|_3 = \max\{|a|_3, |b|_3\}.$$

K/\mathbb{Q}_p is a finite extension.

Then $\sqrt[n]{|N_{K/\mathbb{Q}_p}(\alpha)|_p}$ is an extension of $|\cdot|_p$ to K . It's the *only* such extension, and K is complete with respect to this extension.

$$\begin{aligned} O &= \text{valuation ring of } K \\ &= \{ \alpha \in K : |\alpha|_p \leq 1 \} \\ &= \{ \alpha \in K : \text{monic minimal polynomial lies in } \mathbb{Z}_p[x] \} \end{aligned}$$

Note that O is Galois stable, i.e., if $\alpha \in O$, $\sigma \in \text{Aut}_{\mathbb{Q}_p}(K)$, then $\sigma(\alpha) \in O$.

Assume K/\mathbb{Q}_p is Galois.

Recall that the residue field of K is $\overbrace{O/M}^{=k}$, where $M =$ maximal ideal of O . It's an extension of \mathbb{F}_p , and a finite one since $[K : \mathbb{Q}_p] < \infty$.

Define:

$$\psi: \text{Gal}(K/\mathbb{Q}_p) \rightarrow \text{Gal}(k/\mathbb{F}_p)$$

as follows:

Say $\sigma \in \text{Gal}(K/\mathbb{Q}_p)$. Then $\sigma|_O: O \rightarrow O$ is also an automorphism. Since $|\cdot|_p$ is also Galois invariant, σ maps M to M . Thus, σ induces a homomorphism

$$\psi(\sigma): \underset{=k}{O/M} \rightarrow \underset{=k}{O/M}.$$

$\psi(\sigma)$ is an automorphism because k is a finite field.
 It is easy to check that ψ is a homomorphism of groups

$$\psi: \text{Gal}(K/\mathbb{Q}_p) \rightarrow \text{Gal}(k/\mathbb{Q}_p).$$

Say $k = \mathbb{F}_p(\bar{\alpha})$, $\bar{m}(x)$ a minimal polynomial for $\bar{\alpha}$ over \mathbb{F}_p . Then by Hensel's Lemma, any polynomial $m(x) \in \mathbb{Z}_p[x]$ with $m \equiv \bar{m} \pmod{M}$ and $\deg(m) = \deg(\bar{m})$ will also be irreducible and split completely in K . (α a root of $m(x)$, $\alpha \equiv \bar{\alpha} \pmod{M}$)
 If $\bar{\sigma} \in \text{Gal}(k/\mathbb{F}_p)$ and $\bar{\sigma}(\bar{\alpha}) = \bar{\beta}$, then if $\beta \in K$ is a root of $m(x)$ with $\beta \equiv \bar{\beta} \pmod{M}$, then any $\sigma \in \text{Gal}(K/\mathbb{Q}_p)$ with $\sigma(\alpha) = \beta$ satisfies $\psi(\sigma) = \bar{\sigma}$.

The kernel of ψ is called the inertia (sub)group of $\text{Gal}(K/\mathbb{Q}_p)$.

Definition: K/\mathbb{Q}_p finite is unramified iff ψ is an isomorphism. Equivalently, if $[k : \mathbb{F}_p] = [K : \mathbb{Q}_p]$.

Definition: The inertia subfield of K is the fixed field of the inertia group.

$$\begin{array}{c} K \\ \left| \begin{array}{l} [K : K^{\text{ur}}] = \#I(K) \end{array} \right. \\ K^{\text{ur}} \\ \left| \begin{array}{l} [K^{\text{ur}} : \mathbb{Q}_p] = [k : \mathbb{F}_p] \end{array} \right. \\ \mathbb{Q}_p \end{array}$$

Example:

$$\begin{array}{c} \mathbb{Q}_3(\sqrt{2}, \sqrt{3}) \\ \left| \begin{array}{l} \text{ramified} \end{array} \right. \\ \mathbb{Q}_3(\sqrt{2}) \\ \left| \begin{array}{l} \text{ramified} \end{array} \right. \\ \mathbb{Q}_3 \end{array}$$

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Theorem: If K/\mathbb{Q}_p is a finite unramified extension, then it is also Galois.

Proof: By assumption, $[K : \mathbb{Q}_p] = [k : \mathbb{F}_p]$, where k is the residue field O/M of K . Write $k = \mathbb{F}_p(\bar{\alpha})$ for some $\bar{\alpha} \in k$. Choose $\alpha \in O \subset K$ such that $\alpha \equiv \bar{\alpha} \pmod{M}$. Then $\mathbb{Q}_p(\alpha)$ is an extension of \mathbb{Q}_p of degree $n = [K : \mathbb{Q}_p] = [k : \mathbb{F}_p]$, because a minimal polynomial $\bar{m}(x) \in \mathbb{F}_p[x]$ for $\bar{\alpha}/\mathbb{F}_p$ is irreducible, and also it's the reduction of a minimal polynomial $m(x)$ for α/\mathbb{Q}_p . Therefore $\mathbb{Q}_p(\alpha) = K$.

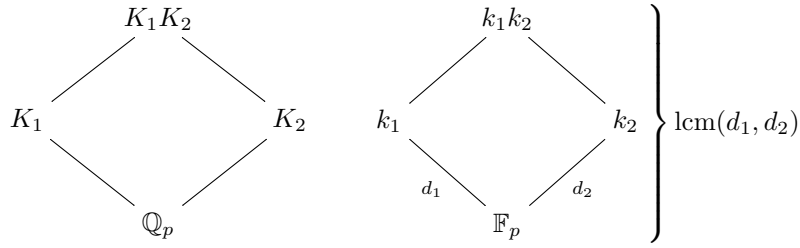
$\mathbb{Q}_p(\alpha)$ is clearly separable over \mathbb{Q}_p . But $\bar{m}(x)$ is separable, and splits completely (into linear factors) in $k(x)$. By Hensel's Lemma, since the factors are pairwise coprime, this means $m(x)$ factors completely in $K[x]$. So K is a splitting field for $m(x)$ over \mathbb{Q}_p , since $\mathbb{Q}_p(\alpha) = K$. So K/\mathbb{Q}_p is Galois. \square

This means that if K/\mathbb{Q}_p is unramified, then its Galois group is cyclic. Better yet, any two unramified extensions of \mathbb{Q}_p of degree n are isomorphic, by Hensel's Lemma and previous theorem.

So extensions of \mathbb{F}_p an unramified extensions of \mathbb{Q}_p are in a natural 1-1 correspondence.

Consequences: The composition of 2 unramified extensions of \mathbb{Q}_p is unramified.

Note that:



Let's find all quadratic extensions of \mathbb{Q}_p for $p \neq 2$.

They are classified by $(\mathbb{Q}_p^*)/(\mathbb{Q}_p^*)^2$

Any $\alpha \in \mathbb{Q}_p^*$ is, up to squares, an element of either \mathbb{Z}_p or $p\mathbb{Z}_p$.

$$\mathbb{Z}_p \cong \{ (a_1, a_2, a_3, \dots) : a_i \in \mathbb{Z}/p\mathbb{Z}, a_1 \equiv a_{1+j} \pmod{p^i} \forall j \geq 0 \}$$

If $(a_1, \dots) \in (\mathbb{Q}_p^*)^2$, then $a_1 \in (\mathbb{F}_p)^2$.

So modulo squares, there are 2 choices for a_1 . For all $i \geq 2$, there are again only 2 choices for a_i , up to squares, so there are exactly 2 units in \mathbb{Z}_p , up to squares.

Similarly, there are 2 elements of $p\mathbb{Z}_p$ up to squares. So $(\mathbb{Q}_p^*)/(\mathbb{Q}_p^*)^2$ has order 4. There are therefore 3 nontrivial quadratic extensions of \mathbb{Q}_p :

- unramified: $\mathbb{Q}_p(\sqrt{a}) \leftarrow$ a non-residue mod p
- ramified: $\mathbb{Q}_p(\sqrt{p})$
- ramified: $\mathbb{Q}_p(\sqrt{ap})$

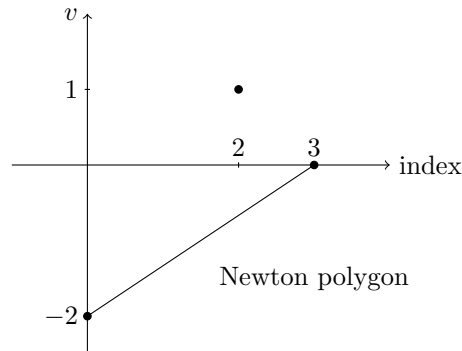
Newton Polygons

For $a_i \in \mathbb{Q}_p^*$, define $v(a) = -\log|a|_p =$ biggest power of p dividing a .

Let $a_n x^n + a_{n-1} x^{n-1} + \dots + a_0 \in \mathbb{Q}_p[x]$ be a polynomial, $a_n \neq 0$. Plot all the points $(i, v(a_i))$ for $a_i \neq 0$. The Newton polygon of $f(x)$ is the lower convex hull of these points.

Example: $p = 3, f(x) = x^3 + \frac{3}{4}x^2 + \frac{7}{9}$

Plot: $(3, 0), (2, 1), (0, -2)$



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Newton Polygons

$v(a) = -\log|a|_p$ for $a \in \mathbb{Q}_p^*$. Newton polygon of $a_0 + a_1 x + \dots + a_n x^n$ is lower convex hull of $\{(i, v(a_i))\}$.

Theorem: Let $f(x) = a_0 + \dots + a_n x^n \in \mathbb{Q}_p[x]$ be a polynomial of degree n . Say $(r, v(a_r))$ and $(s, v(a_s))$ are the endpoints of a line segment in the Newton polygon of $f(x)$, of slope $-m$. Then $f(x)$ has (in some extension of \mathbb{Q}_p) $|r - s|$ roots α_i with $|a_i|_p = p^{-m}$.

Note: The Galois group of $f(x)$ does not change the valuation of roots of $f(x)$. Thus, this theorem tells us that line segments in the Newton polygon correspond to factors of $f(x)$ in $\mathbb{Q}_p[x]$.

Proof: Assume without loss of generality that $a_n = 1$.

Order the roots of $f(x)$ as follows:

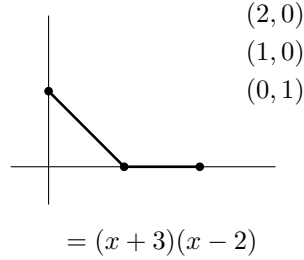
$$\begin{aligned}
 \alpha_1, \dots, \alpha_{t_1} &\leftarrow v(\alpha_i) = m_1 > m_1 \\
 \alpha_{t_1+1}, \dots, \alpha_{t_2} &\leftarrow v(\alpha_i) = m_2 \\
 &\vdots \\
 \alpha_{t_r+1}, \dots, \alpha_n &\leftarrow v(\alpha_i) = m_{r+1} > m_r \\
 \text{so } v(a_n) &= 0 \\
 v(a_{n-1}) &\geq \min\{v(\alpha_i)\} = m_1 \\
 v(a_{n-1}) &\geq \min\{v(\alpha_i \alpha_j)\} = 2m_1 \\
 &\vdots \\
 v(a_{n-t_1}) &= t_1 m_1 \\
 v(a_{n-t_1-1}) &\geq t_1 m_1 + m_2 \\
 &\vdots \\
 v(a_{n-t_1-t_2}) &= t_1 m_1 + (t_2 - t_1) m_2
 \end{aligned}$$

Continuing in this fashion, one sees that the Newton polygon of $f(x)$ has vertices

$$(n - t_0, t_1 m_1 + (t_2 - t_1) m_2 + \dots + (t_c - t_{c-1}) m_c),$$

and has $r + 1$ segments of slopes $-m_1, -m_2, \dots, -m_{r+1}$. □

Example: $x^2 + x - 6$, \mathbb{Q}_3 .



Theorem: Assume that the Newton polygon of $f(x)$ intersects \mathbb{Z}^2 in exactly two points. Then $f(x)$ is irreducible in $\mathbb{Q}_p[x]$.

Proof: Say $f(x) = g(x)h(x)$, and assume without loss of generality that f, g, h are all monic. We know that the Newton polygon of $f(x)$ is a single line segment of slope m , since the Newton polygon only has vertices at lattice points. Say $\deg(f) = n$.

So $v(\alpha) = m$ for all roots α of f , and thus for all roots of g and h , too. If $\deg(g) = d$, then $|g(0)|_p = p^{-dm}$ and $|h(0)|_p = p^{-(n-d)m}$. The Newton polygon joins $(n, 0)$ to $(0, nm)$, which contains the point $(d, (n-d)m)$. Thus, either $d = n$ or $d = 0$, and so $f(x)$ is irreducible. □

So $x^5 + 2x^4 + 4$ is irreducible over \mathbb{Q}_2 , because its Newton polygon has exactly 2 lattice points, one at each end.