



# Logic and Computation

## CS245

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First-order (Predicate) Logic



# Agenda

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- Syntax
- Semantics
- Proof System
- Soundness and Completeness

# Motivation

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In propositional logic, only the logical forms of compound propositions are analyzed.

We need some way to talk about *individuals* (also called *objects*).

# Motivation

For example:

- For any natural number  $n$ , there is a prime number greater than  $n$ .
- $2^{100}$  is a natural number.
- There is a prime number greater than  $2^{100}$

*First-order logic* (also called *predicate logic* gives us means to express and reason about objects.

# Motivation

We also need ability to define *sets* by set comprehension  $\{x \mid I \models \varphi(x)\}$

And incorporate *relations*.

As well as *properties* of interpretations (e.g., all graphs that are ... )

# Structure of FOL

First-order logic is a scientific theory with the following ingredients:

- Domain of objects (individuals) (e.g., the set of natural numbers)
- Designated individuals (e.g., '0')
- Functions (e.g., '+' and '.')
- Relations (e.g., '=')

# Structure of FOL

We use variables that range over the domain to make general statements:

$$\text{For all } x, x^2 \geq 0.$$

and in expressing conditions which individuals may or may not satisfy:

$$x + x = x.x$$

This condition is satisfied only by 0 and 2.

# Structure of FOL

One can use connectives to form compound propositions.

We use the terms “*for all*” and “*there exists*” frequently (called *quantifiers*). For example:

- For all  $\epsilon > 0$ , there exists some  $\delta > 0$  such that if  $|x - a| < \delta$ , then  $|f(x) - b| < \epsilon$ .

“For all” is called the *universal quantifier* and “there exists” is called the *existential quantifier*.



# Propositions and Functions

4 is even

is a proposition since 4 is an individual in  $\mathbb{N}$ . If we replace 4 by a variable  $x$  ranging over  $\mathbb{N}$ , then

$x$  is even

is not a proposition and has no truth value. It is a proposition function.

A *proposition function* on a domain  $D$  is an  $n$ -ary function mapping  $D^n$  into  $\{0, 1\}$ .

# Prefixing Quantifiers

Consider:

For all  $x$ ,  $x$  is even.

There exists  $x$ , such that  $x$  is even.

Since  $x$  ranges over  $\mathbb{N}$ , they mean:

For all natural numbers  $x$ ,  $x$  is even.

There exists a natural number  $x$ , such that  $x$  is even.

These have truth values!

# Bound and Quantified Variables

Variables occurring in proposition functions are *free variables*.

Quantified variables are called *bound variables*.

# Quantifiers for Finite Domains

The universal and existential quantifiers may be interpreted respectively as generalization of conjunction and disjunction. If the domain  $D = \{\alpha_1, \dots, \alpha_k\}$  is finite then:

For all  $x$  st.  $f(x)$  iff  $R(\alpha_1)$  and ... and  $R(\alpha_k)$

There exists  $x$  st.  $R(x)$  iff  $R(\alpha_1)$  or ... or  $R(\alpha_k)$

where  $R$  is a property.

# FOL Language $\mathcal{L}$

1. Constant (individual) symbols ( $CS$ ):  
 $c, d, c_1, c_2, \dots, d_1, d_2 \dots$
2. Function Symbols ( $FS$ ):  
 $f, g, h, f_1, f_2, \dots, g_1, g_2$
3. Variables ( $VS$ ):  $x, y, z, x_1, x_2, \dots, y_1, y_2 \dots$
4. Predicate (Relational) Symbols ( $PS$ ):  
 $P, Q, P_1, P_2, \dots, Q_1, Q_2, \dots$
5. Logical Connectives:  $\neg, \wedge, \vee, \Rightarrow$
6. Quantifiers:  $\forall$  (for all) and  $\exists$  (there exists)
7. Punctuation: ‘(’, ‘)’, ‘.’, and ‘,’.

# Example

- 0: constant '0'
- $S$ : function (successor)  $S(x)$  stands for: ' $x + 1$ '
- Eq: relation (equality)  $Eq(x, y)$  stands for: ' $x = y$ '
- plus: function (addition)  $plus(x, y)$  stands for: ' $x + y$ '

$$\forall x. Eq(plus(x, S(S(0))), S(S(x)))$$

means “Adding two to a number results in the second successor of that number”

# Example

$$\forall x.\forall y.\text{Eq}(\text{plus}(x, y), \text{plus}(y, x))$$

means “Addition is commutative.”

$$\neg\exists x.\text{Eq}(0, S(x))$$

means “0 is not the successor of any number.”

# Terms

The set  $Term(\mathcal{L})$  of *terms* of  $\mathcal{L}$  is defined using the following rules:

- All constants in  $CS$  are terms
- All variables in  $VS$  are terms
- if  $t_1, \dots, t_n \in Term(\mathcal{L})$  and  $f$  is an  $n$ -ary function, then  $f(t_1, \dots, t_n) \in Term(\mathcal{L})$ .

For example,  $0$ ,  $x$ , and  $y$  are terms and so are  $S(0)$ ,  $plus(x, y)$ .



# Syntax of FOL - Atoms

An expression of  $\mathcal{L}$  is an *atom* in  $Atoms(\mathcal{L})$  iff it is of one of the forms  $P(t_1, \dots, t_n)$ , where  $t_1, \dots, t_n$  are terms in  $Term(\mathcal{L})$ .

# Syntax of FOL - Formulas

We define the set  $Form(\mathcal{L})$  of first-order logic formulas inductively as follows:

1.  $Atom(\mathcal{L}) \subseteq Form(\mathcal{L})$
2. If  $A \in Form(\mathcal{L})$ , then  $\neg A \in Form(\mathcal{L})$
3. If  $A, B \in Form(\mathcal{L})$ , then  $(A * B) \in Form(\mathcal{L})$ ,  
where  $* \in \{\wedge, \vee, \Rightarrow\}$
4. If  $A \in Form(\mathcal{L})$  and  $x \in VS$ , then  
 $(\forall x.A) \in Form(\mathcal{L})$  and  $(\exists x.A) \in Form(\mathcal{L})$

# Example

How is the following formula generated?

$$\forall x.(F(b) \Rightarrow \exists y.(\forall z.G(y, z) \vee H(u, x, y)))$$

# Free and Bound Variables

Let  $A \in Form(\mathcal{L})$ . We define the set  $FV(A)$  of *free variables* of  $A$  as follows:

1.  $\{x \mid x \text{ appears in } t_i \text{ for some } 0 < i \leq ar(P)\}$ ,  
for  $A = P(t_1, \dots, t_{ar(P)})$
2.  $FV(A)$  for  $B = (\neg A)$
3.  $FV(A) \cup FV(B)$  for  $C = (A * B)$ , where  
 $* \in \{\wedge, \vee, \Rightarrow\}$
4.  $FV(A) - \{x\}$  for  $B = (\forall x.A)$  or  $B = (\exists x.A)$

Variables not in  $FV(A)$  are called *bound variables*.

# Closed Formulas

A first-order formula  $A \in Form(\mathcal{L})$  is *closed* (also called a *sentence*) if  $FV(A) = \{\}$ .

# Scope

If  $\forall x.A(x)$  or  $\exists x.A(x)$  is a segment of  $B$ ,  $A(x)$  is called the **scope** in  $B$  of the  $\forall x$  or  $\exists x$  on the left of  $A(x)$ .

In the following formula:

$$\exists x.\forall y.\exists z.F(x, y, z)$$

what is the scope of  $\forall y$ ?

# FOL Interpretations

First-order formulas are intended to express propositions (i.e, true/false valuation). This is accomplished by *interpretations*

Interpretations for the propositional language are simple: they consist of assigning values to the proposition symbols.

The first-order language includes more ingredients and, hence, the interpretations for it are more complicated.

# FOL Interpretations

A first order *interpretation*  $I$  is a tuple  $(D, (\cdot)^I)$ :

- $D$  is a non-empty set called the *domain* (or *universe*); and
- $(\cdot)^I$  is an *interpretation function* that maps
  - constant symbols  $c \in CS$  to individuals  $c^I \in D$ ;
  - function symbols  $f \in FS$  to functions  $f^I : D^{ar(f)} \rightarrow D$ ; and
  - predicate symbols  $P \in PS$  to relations  $P^I \subseteq D^{ar(P)}$ .



# FOL Interpretations

Let

$$f(g(a), f(b, c))$$

be a term. Let individuals  $a$ ,  $b$ , and  $c$  be interpreted as 4, 5, and 6 in  $\mathcal{N}$  and functions  $f$  and  $g$  are respectively as addition and squaring. Then, the above term is interpreted as

$$4^2 + (5 + 6)$$

which is the individual 27 in  $\mathcal{N}$ .

# FOL Interpretations

Let functions  $f$  and  $g$  are respectively addition and squaring and  $P$  be the equality relation. Let

$$P(f(g(a), g(b)), g(c))$$

be a closed formula, where individuals  $a$ ,  $b$ , and  $c$  be interpreted as 4, 5, and 6 in  $\mathcal{N}$ . Then, the above predicate is interpreted as the false proposition (why?).

# FOL Valuations

Now, consider the non-closed formula:

$$P(f(g(u), g(b)), g(w))$$

where only  $b$  is interpreted as 5. One can interpret this formula by:

$$x^2 + 5^2 = y^2$$

where  $x$  and  $y$  are free variables. This is not a proposition, but a binary proposition function in  $\mathbb{N}$ . One can obtain a truth value by assigning individuals in  $\mathbb{N}$  to  $x$  and  $y$ . This is called a *valuation*.

# FOL Valuations

A *valuation*  $\theta$  (also called an *assignment*) is a mapping from  $VS$ , the set of variables, to domain  $D$ .

For example, for the non-closed formula

$$x^2 + 4^2 = y^2$$

$\theta(x) = 3$  and  $\theta(y) = 5$  evaluates the formula to the true proposition.

# Meaning of Terms

Let  $I$  be a first order interpretation and  $\theta$  a valuation. For a term  $t$  in  $Term(\mathcal{L})$ , we define interpretation of  $t$ ,  $t^I$ , as follows:

1.  $c^{I,\theta} = c^I$  for  $t \in CS$  (i.e.,  $t$  is a constant);
2.  $x^{I,\theta} = \theta(x)$  for  $t \in VS$  (i.e.,  $t$  is a variable); and
3.  $f(t_1, \dots, t_{ar(f)})^{I,\theta} = f^I((t_1)^{I,\theta}, \dots, (t_{ar(f)})^{I,\theta})$ ,  
otherwise (i.e., for  $t$  a functional term).

# Satisfaction Relation

The *satisfaction relation*  $\models$  between an interpretation  $I$ , a valuation  $\theta$ , and a first-order formula  $\varphi$  is defined as:

- $I, \theta \models P(t_1, \dots, t_{ar(P)})$  iff  $\langle (t_1)^{I, \theta}, \dots, (t_{ar(P)})^{I, \theta} \rangle \in P^I$  for  $P \in PS$
- $I, \theta \models \neg\varphi$  if and only if  $I, \theta \models \varphi$  is not true
- $I, \theta \models \varphi \wedge \psi$  if and only if  $I, \theta \models \varphi$  and  $I, \theta \models \psi$
- $I, \theta \models (\forall x.\varphi)$  if and only if  $I, \theta([x = v]) \models \varphi$  for all  $v \in D$

where the valuation  $[x = v](y)$  is defined to be  $v$  when  $x = y$  and  $\theta$  otherwise.

# Satisfaction Relation

One can also trivially define the following:

- $I, \theta \models \varphi \vee \psi$  if and only if  $I, \theta \models \varphi$  or  $I, \theta \models \psi$
- $I, \theta \models (\exists x.\varphi)$  if and only if  $I, \theta([x = v]) \models \varphi$  for some  $v \in D$

where the valuation  $[x = v](y)$  is defined to be  $v$  when  $x = y$  and  $\theta$  otherwise.

# Some Remarks

1.  $\langle (t_1)^{I,\theta}, \dots, (t_{ar(P)})^{I,\theta} \rangle \in P^I$  means that  $(t_1)^{I,\theta}, \dots, (t_{ar(P)})^{I,\theta}$  is in relation  $P^I$
2. If  $A(x)$  is a variable with no free occurrence of  $u$  and  $A(u)$  is a formula with no free occurrence of  $x$ , then  $A(x)$  and  $A(u)$  have the same intuitive meaning.
3. For the same reason,  $\forall x.A(x)$  and  $\forall u.A(u)$  have the same meaning.



# Relevance Lemma

Let  $\varphi$  be a first-order formula,  $I$  be an interpretation, and  $\theta_1$  and  $\theta_2$  be two valuations such that  $\theta_1(x) = \theta_2(x)$  for all  $x \in VS$ . Then,

$$I, \theta_1 \models \varphi \text{ iff } I, \theta_2 \models \varphi$$

Proof by structural induction.

# Satisfiability and Validity

$\Sigma \subseteq Form(\mathcal{L})$  is *satisfiable* iff there is some interpretation  $I$  and valuation  $\theta$ , such that  $I, \theta \models \varphi$  for all  $\varphi \in \Sigma$ .

A formula  $\varphi \in Form(\mathcal{L})$  is *valid* iff for all interpretations  $I$  and valuations  $\theta$ , we have  $I, \theta \models \varphi$

# Example

Let  $\varphi = P(f(g(x), g(y)), g(z))$  be a formula. The formula is satisfiable:

- $f^I = \text{summation}$
- $g^I = \text{squaring}$
- $P^I = \text{equality}$
- $\theta(x) = 3, \theta(y) = 4, \theta(z) = 5$

$\varphi$  is not valid. (why?)

# Logical Consequence

Suppose  $\Sigma \subseteq Form(\mathcal{L})$  and  $\varphi \in Form(\mathcal{L})$ . We say that  $\varphi$  is a *logical consequence* of  $\Sigma$  (that is, of the formulas in  $\Sigma$ ), written as  $\Sigma \models \varphi$ , iff for any interpretation  $I$  and valuation  $\theta$ , we have  $I, \theta \models \Sigma$  implies  $I, \theta \models \varphi$ .

$\models \varphi$  means that  $\varphi$  is valid.

# Example

Show that  $\models \forall x.(\varphi \Rightarrow \psi) \Rightarrow ((\forall x.\varphi) \Rightarrow (\forall x.\psi))$

Proof by contradiction: there exists  $I$  and  $\theta$  st.

$$I, \theta \not\models \forall x.(\varphi \Rightarrow \psi) \Rightarrow ((\forall x.\varphi) \Rightarrow (\forall x.\psi))$$

$$I, \theta \models \forall x.(\varphi \Rightarrow \psi)$$

$$I, \theta \models \forall x.\varphi$$

$$I, \theta \not\models \forall x.\psi$$

$$I, \theta([x = v]) \models \varphi$$

$$I, \theta([x = v]) \not\models \psi$$

$$I, \theta([x = v]) \not\models \varphi \Rightarrow \psi$$

$$I, \theta \not\models \forall x.(\varphi \Rightarrow \psi) \text{ (contradiction)}$$

# Example

Show that  $\forall x. \neg A(x) \models \neg \exists x. A(x)$

Proof by contradiction: there exists  $I$  and  $\theta$  st.

$I, \theta \models \forall x. \neg A(x)$  and  $I, \theta \not\models \neg \exists x. A(x)$

$I, \theta \models \exists x. A(x)$

$I, \theta([x = v]) \models \neg A(x)$  for all  $v$

$I, \theta([x = v]) \models A(x)$  for some  $v$

**Contradiction!**

# Example

Show that  $((\forall x.\varphi) \Rightarrow (\forall x.\psi)) \not\equiv \forall x.(\varphi \Rightarrow \psi)$

# Replacability and Duality

**Theorem.** If  $B \equiv C$  and  $A'$  results from  $A$  by *replacing* some (not necessarily all) occurrences of  $B$  in  $A$  by  $C$ , then  $A \equiv A'$ .

**Theorem.** Suppose  $A$  is a formula composed of atoms and the connectives  $\neg$ ,  $\wedge$ , and  $\vee$  by the formation rules concerned, and  $A'$  results by exchanging in  $A$ ,  $\wedge$  for  $\vee$  and each atom for its negation. Then  $A' \equiv \neg A$ . ( $A'$  is the *dual* of  $A$ )



# Substitution

1. For a term  $t_1$ ,  $(t_1)_t^x$  is  $t_1$  with each occurrence of the variable  $x$  replaced by the term  $t$ .
2. For  $\varphi = P(t_1, \dots, t_{ar(P)})$ ,  $(\varphi)_t^x = P((t_1)_t^x, \dots, (t_{ar(P)})_t^x)$ .
3. For  $\varphi = (\neg\psi)$ ,  $(\varphi)_t^x = (\neg(\psi)_t^x)$ ;
4. For  $\varphi = (\psi \rightarrow \eta)$ ,  $(\varphi)_t^x = ((\psi)_t^x \rightarrow (\eta)_t^x)$ , and
5. for  $\varphi = (\forall y.\psi)$ , there are two cases:
  - if  $x$  is  $y$ , then  $(\varphi)_t^x = \varphi = (\forall y.\psi)$ , and
  - otherwise, then  $(\varphi)_t^x = (\forall z.(\psi_z^y)_t^x)$ , where  $z$  is any variable that is not free in  $t$  or in  $\varphi$ .

# Substitution

In the last case above, the additional substitution  $(\cdot)_z^y$  (i.e., renaming the variable  $y$  to  $z$  in  $\psi$ ) is needed in order to avoid an accidental *capture of a variable* by the quantifier (i.e., capture of any  $y$  that is possibly free in  $t$ ).

# Substitution Lemma

$$\models \forall x.\varphi \Rightarrow \varphi_t^x$$

$$I, \theta \models \varphi_t^x \text{ iff } I, \theta[x = (t)^{I, \theta}] \models \varphi$$

# FOL Hilbert System

**Ax1**  $\langle \forall^*(\varphi \rightarrow (\psi \rightarrow \varphi)) \rangle;$

**Ax2**  $\langle \forall^*((\varphi \rightarrow (\psi \rightarrow \eta)) \rightarrow ((\varphi \rightarrow \psi) \rightarrow (\varphi \rightarrow \eta))) \rangle;$

**Ax3**  $\langle \forall^*(((\neg\varphi) \rightarrow (\neg\psi)) \rightarrow (\psi \rightarrow \varphi)) \rangle;$

**Ax4**  $\langle \forall^*(\forall x.(\varphi \rightarrow \psi)) \rightarrow ((\forall x.\varphi) \rightarrow (\forall x.\psi)) \rangle;$

**Ax5**  $\langle \forall^*(\forall x.\varphi) \rightarrow \varphi_t^x \rangle$  for  $t \in T$  a term;

**Ax6**  $\langle \forall^*(\varphi \rightarrow \forall x.\varphi) \rangle$  for  $x \notin FV(\varphi)$ ; and

**MP**  $\langle \varphi, (\varphi \rightarrow \psi), \psi \rangle.$

where  $\forall^*$  is a finite sequence of universal quantifiers (e.g.,  $\forall x_1.\forall y.\forall x$ ).

# Generalization of Axioms (why $\forall^*$ )

Show that if  $\Phi \vdash \varphi$  and  $x \notin FV(\Phi)$ , then  $\Phi \vdash \forall x.\varphi$ .

Proof by structural induction.

Base case:  $\varphi$  is an axiom. Then,  $\Phi \vdash \forall x.\varphi$ .

Induction step (1):  $\varphi \in \Phi$

$\Phi \vdash \varphi$

$\vdash \varphi \Rightarrow \forall x.\varphi$

$\Phi \vdash \forall x.\varphi$  (MP and  $x \notin FV(\varphi)$ )

# Generalization of Axioms (why $\forall^*$ )

Induction step (2):  $\psi \Rightarrow \varphi$

1.  $\Phi \vdash \psi, \Phi \vdash \psi \Rightarrow \varphi$  (Induction hyp.)
2.  $\Phi \vdash (\forall x.\psi)$  ( $Ax_6$ )
3.  $\Phi \vdash \forall x.(\psi \Rightarrow \varphi)$  ( $Ax_6$ )
4.  $\Phi \vdash (\forall x.\psi) \Rightarrow \forall x.\varphi$  ( $Ax_5$ )
5.  $\Phi \vdash (\forall x.\varphi)$  (MP)

# Example 1

Show that  $\vdash \forall x.\forall y.\varphi \Rightarrow \forall y.\forall x.\varphi$

1.  $\forall x.\forall y.\varphi$  (Deduction theorem)
2.  $\forall x.\forall y.\varphi \Rightarrow (\forall y.\varphi)_t^x$  ( $Ax_5$ )
3.  $(\forall y.\varphi)_t^x$  ( $MP$ )
4.  $(\forall y.\varphi)_t^x \Rightarrow ((\varphi)_t^x)_{t'}^y$  ( $Ax_5$ )
5.  $((\varphi)_t^x)_{t'}^y$  ( $MP$ )
6.  $((\varphi)_t^x)_{t'}^y \Rightarrow \forall x.(\varphi)_t^x$  ( $Ax_6$ )
7.  $\forall x.(\varphi)_t^x$  ( $MP$ )
8.  $\forall x.(\varphi)_t^x \Rightarrow \forall y.\forall x.\varphi$  ( $Ax_6$ )
9.  $\forall y.\forall x.\varphi$  ( $MP$ )

# Example 2

Show that  $\vdash A(a) \Rightarrow \exists x.A(x)$

1.  $\forall x.\neg A(x) \Rightarrow \neg A(a)$  ( $Ax_5$ )
2.  $A(a) \Rightarrow (\neg\forall x.\neg A(x))$  ( $Ax_3$ )
3.  $A(a) \Rightarrow \exists x.A(x)$  (Definition of  $\exists$ )



# Example 3

Show that

$$\vdash \forall x.(A(x) \Rightarrow B(x)) \Rightarrow (\forall x.A(x) \Rightarrow \forall x.B(x))$$

1.  $\forall x.(A(x) \Rightarrow B(x))$  (Assumption)
2.  $\forall x.A(x)$  (Assumption)
3.  $\forall x.A(x) \Rightarrow A(a)$  ( $Ax_5$ )
4.  $A(a)$  (MP 2, 3)
5.  $\forall x.(A(x) \Rightarrow B(x)) \Rightarrow (A(a) \Rightarrow B(a))$  ( $Ax_5$ )
6.  $A(a) \Rightarrow B(a)$  (MP 1, 5)
7.  $B(a)$  (MP 4, 6)
8.  $B(a) \Rightarrow \forall x.B(x)$  ( $Ax_6$ )

# Example 4

Show that  $\exists x.\forall y.A(x, y) \Rightarrow \forall y.\exists x.A(x, y)$ .

# Soundness of FOL Hilbert System

## Step 1: *Satisfiability and validity in domain*

Suppose  $\Sigma \subseteq \text{Forma}(\mathcal{L})$ ,  $A \in \text{Form}(\mathcal{L})$ , and  $D$  is a domain.

1.  $\Sigma$  is satisfiable in  $D$  iff there is some model  $I, \theta$  over  $D$  such that  $I, \theta \models \varphi$  for all  $\varphi \in \Sigma$ .
2.  $A$  is valid in  $D$  iff for all models  $I, \theta$  over  $D$ , we have  $I, \theta \models A$ .

# Soundness of FOL Hilbert System

**Theorem.** Suppose formula  $A$  contains no equality symbol and  $|D| \leq |D_1|$ .

- If  $A$  is satisfiable in  $D$ , then  $A$  is satisfiable in  $D_1$ .
- If  $A$  is valid in  $D_1$ , then  $A$  is valid in  $D$ .

# Soundness of FOL Hilbert System

## Theorem (Soundness).

- If  $\Sigma \vdash A$ , then  $\Sigma \models A$ .

- If  $\vdash A$ , then  $\models A$ .

(That is, every formally provable formula is valid.)

# Consistency

$\Sigma \subseteq Form(\mathcal{L})$  is **consistent** iff there is no  $A \in Form(\mathcal{L})$  such that  $\Sigma \vdash A$  and  $\Sigma \vdash \neg A$ .

Consistency is a syntactical notion

**Theorem.** If  $\Sigma$  is satisfiable, then  $\Sigma$  is consistent.

# Maximal Consistency

$\Sigma \subseteq \text{Form}(\mathcal{L})$  is *maximal consistent* iff

1.  $\Sigma$  is consistent
2. for any  $A \in \text{Form}(\mathcal{L})$  such that  $A \notin \Sigma$ ,  
 $\Sigma \cup \{A\}$  is inconsistent.

**Lemma.** Suppose  $\Sigma$  is maximal consistent.  
Then,  $A \in \Sigma$  iff  $\Sigma \vdash A$ .

**Lindenbaum Lemma.** Any consistent set of formulas can be extended to some maximal consistent set.

# Completeness of FOL

**Theorem.** Suppose  $\Sigma \subseteq Form(\mathcal{L})$ . If  $\Sigma$  is consistent, then  $\Sigma$  is satisfiable.

**Theorem.** Suppose  $\Sigma \subseteq Form(\mathcal{L})$ . and  $A \in Form(\mathcal{L})$ . Then

1. If  $\Sigma \models A$ , then  $\Sigma \vdash A$ .
2. If  $\vdash A$ , then  $\models A$ .



# FOL with Equality

Let  $\approx$  be a binary predicate symbol (written in infix). We define the *First-Order Axioms of Equality* as follows:

$$\text{EqId} \quad \langle \forall x.(x \approx x) \rangle;$$

$$\text{EqCong} \quad \langle \forall x.\forall y.(x \approx y) \rightarrow (\varphi_x^z \rightarrow \varphi_y^z) \rangle;$$

# FOL with Equality

**Gödel's Completeness Theorem.** Hilbert system *with (axiomatized) equality* is

- sound; i.e., if  $\Sigma \vdash \varphi$ , then  $\Sigma \models \varphi$  and
- complete; i.e, if  $\Sigma \models \varphi$  then  $\Sigma \vdash \varphi$

with respect to first-order logic *with (true) equality*.

# Definability

Let  $I = (D, (\cdot)^I)$  be a first-order interpretation and  $\varphi$  a first-order formula. A set  $S$  of  $k$ -tuples over  $D$ ,  $S \subseteq D^k$ , is **defined** by the formula  $\varphi$  if

$$S = \{(\theta(x_1), \dots, \theta(x_k)) \mid I, \theta \models \varphi\}$$

A set  $S$  is **definable** in first-order logic if it is defined by some first-order formula  $\varphi$ .

# Definability

Let  $\Sigma$  be a set of first-order sentences and  $\mathcal{K}$  a set of interpretations. We say that  $\Sigma$  defines  $\mathcal{K}$  if

$$I \in \mathcal{K} \text{ if and only if } I \models \Sigma.$$

A set  $\mathcal{K}$  is *(strongly) definable* if it is defined by a (finite) set of first-order formulas  $\Sigma$ .

# Compactness in FOL

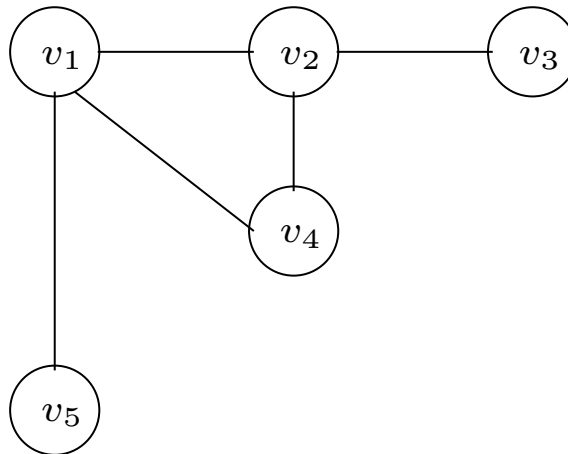
**Theorem.**  $\Sigma \subseteq \text{Form}(\mathcal{L})$  is satisfiable iff every finite subset of  $\Sigma$  is satisfiable.

**Corollary.**  $\Sigma \subseteq \text{Form}(\mathcal{L})$  is satisfiable in a finite domain, then  $\Sigma$  is satisfiable in an infinite domain.

**Corollary.** The class of interpretations with finite domain is not definable in first-order logic.

# Graphs

An undirected *graph* is a tuple  $(V, E)$ , where  $V$  is a set of vertices and  $E$  is a set of edges. An edge is a pair  $(v_1, v_2)$ , where  $v_1, v_2 \in V$ .



$$V = \{v_1, v_2, v_3, v_4, v_5\}$$

$$E = \{(v_1, v_2), (v_2, v_3), (v_2, v_4), (v_1, v_4), (v_1, v_5)\}$$

# Graphs in FOL

If  $(v_1, v_2) \in E$ , we say that  $v_1$  is *adjacent* to  $v_2$ .

Adjacency in a graph can be expressed by a binary relation. Thus, relation  $E(v_1, v_2)$  is interpreted as “ $v_1$  is adjacent to  $v_2$ ”. A graph is any model of the following 2 axioms:

1.  $\forall x. \forall y. E(x, y) \Rightarrow E(y, x)$  (“if  $x$  is adjacent to  $y$ , then  $y$  is adjacent to  $x$ ”)
2.  $\forall x. \neg E(x, x)$  (“no  $x$  is adjacent to itself”)

# Graphs in FOL

We can express many properties of a graph in the language of first-order logic.

For instance, the property “ $G$  contains a triangle” is the following formula:

$$\exists x.\exists y.\exists z.(E(x, y) \wedge E(y, z) \wedge E(z, x))$$



# Example

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Define first-order formulas for :

- A graph has *girth* of size 4
- A graph is 3-colorable

# Graph Connectivity in FOL

We cannot express graph *connectivity* in FOL (i.e., graph connectivity is not definable in FOL).

**Proof.**

- Let predicate  $C$  express “ $G$  is a connected graph”. We add constants  $s$  and  $t$  vertices.
- For any  $k$ , let  $L_k$  be the proposition “there is no path of length  $k$  between  $s$  and  $t$ ”. For example,

$$L_3 = \neg \exists x. \exists y. (E(s, x) \wedge E(x, y) \wedge E(y, t))$$

# Graph Connectivity in FOL

- Now consider the set of propositions

$$\Sigma = \{\text{axiom}(1), \text{axiom}(2), C, L_1, L_2, \dots\}$$

- $\Sigma$  is finitely satisfiable: there do exist connected graphs with  $s$  and  $t$ , that are connected by an arbitrarily long path. This is because any finite subset  $F \subset \Sigma$  must have bounded  $k$ 's, such a graph satisfies  $F$ .

# Graph Connectivity in FOL

- By the compactness theorem,  $\Sigma$  is satisfiable; i.e., there exists some model  $G$  of all propositions  $\Sigma$ , which is a graph that cannot be connected by a path of length  $k$ , for any  $k$ , for all  $k$ .
- This is clearly wrong. In a connected graph, any 2 nodes are connected by a path of finite length!

# Cyclic Graphs in FOL

Prove that there is no first order sentence  $\varphi$  with the property that for each undirected graph  $G$ , there is  $G \models \varphi$  iff every vertex of  $G$  belongs to a (finite) cycle in the graph.

# Cyclic Graphs in FOL

- Assume that such a set  $\Sigma$  of sentences exists. Extend the signature with a new constant  $c$  and extend  $\Sigma$  with the set

$$\{\neg\exists v_1.\exists v_2 \dots \exists v_{n-1}.\exists v_n.E(c, v_1) \wedge E(v_1, v_2) \wedge E(v_2, v_3) \wedge \dots \wedge E(v_{n-1}, v_n) \wedge E(v_n, c) \mid n \in \mathbb{N}\}.$$

# Cyclic Graphs in FOL

- The extended set satisfies the conditions of the compactness theorem: its every finite subset is satisfiable, since a finite number of added sentences prevent  $c$  from being on a cycle of a few finite sizes, so as a model one may take a finite cycle of sufficiently many vertices.
- As a result, the entire set has a model: a contradiction, as  $c$  does not belong to any cycle in it.

# Löwenheim-Skolem's Theorems

**Theorem 1.** Suppose  $\Sigma \subseteq \text{Form}(\mathcal{L})$ .

1.  $\Sigma$  not containing equality is satisfiable iff  $\Sigma$  is satisfiable in a countably infinite domain.
2.  $\Sigma$  containing equality is satisfiable iff  $\Sigma$  is satisfiable in a countably infinite domain or in some finite domain.



# Löwenheim-Skolem's Theorems

**Theorem 2.** Suppose  $A \in Form(\mathcal{L})$ .

1.  $A$  not containing equality is valid iff  $A$  is valid in a countably infinite domain.
2.  $A$  containing equality is valid iff  $A$  is valid in a countably infinite domain or in every finite domain.