

RECALL

Plan for the next two lectures

- definitions of convex hull, and more about convex polyhedra
- divide and conquer for convex hull in 3D
- randomized algorithm for convex hull in any dimension
- also discussion of convex hull in higher dimensions

# RECALL

Equivalent definitions of Convex Hull of a set of points  $S$

1. intersection of all *convex sets* containing  $S$
2. all *convex combinations* of points in  $S$
3. intersection of all half-spaces containing  $S$
4. in 2D. A *convex polygon*  $P$  whose vertices are a subset of  $S$ , and such that all points of  $S$  are inside  $P$
5. A *convex polyhedron*  $P$  whose vertices are a subset of  $S$  and such that all points of  $S$  are inside  $P$

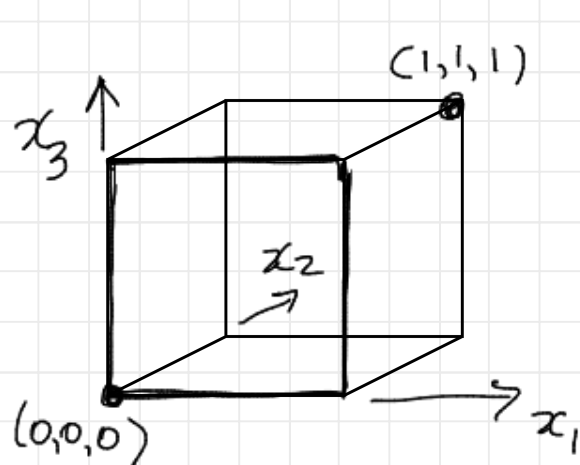
A *convex set* is such that if points  $p, q$  are in the set then line segment  $pq$  is in the set.

A *convex combination* of points  $p_1, p_2, \dots, p_n$  is  $\dots$

$$\sum_{i=1}^n \lambda_i p_i \quad \text{for} \quad \sum_{i=1}^n \lambda_i = 1, \quad \lambda_i \geq 0$$



Face of a polyhedron



A cube in 3D is the intersection of 6 half-spaces

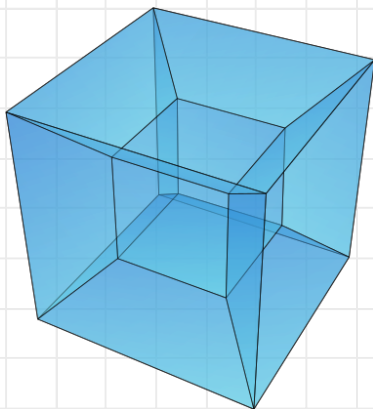
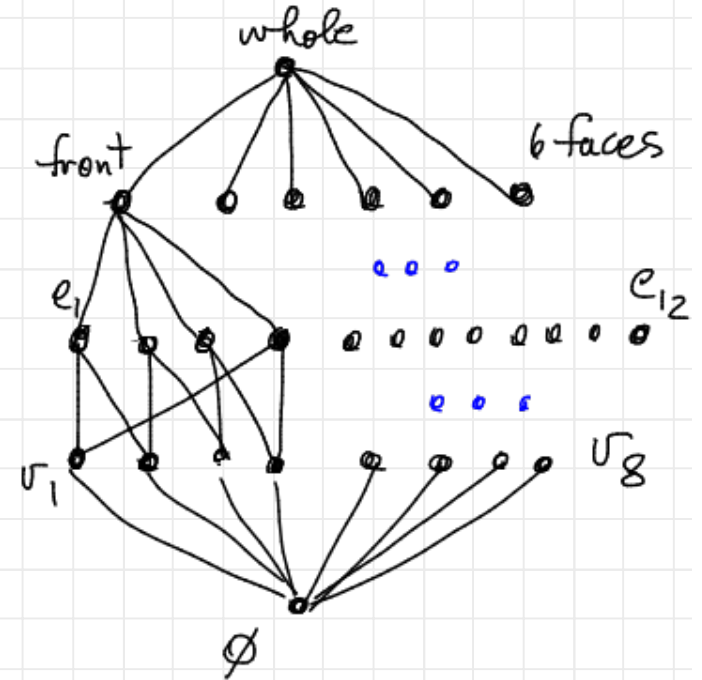
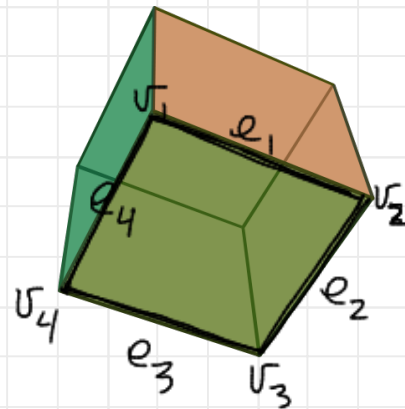
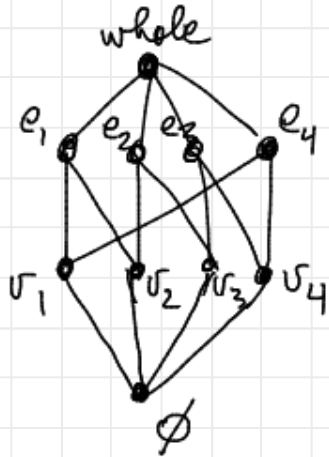
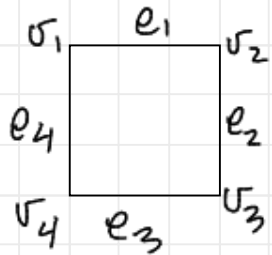
$$\{(x_1, x_2, x_3) : 0 \leq x_1 \leq 1, 0 \leq x_2 \leq 1, 0 \leq x_3 \leq 1\}$$

$$\begin{bmatrix} 1 & 0 & 0 \\ -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & -1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} \leq \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$$

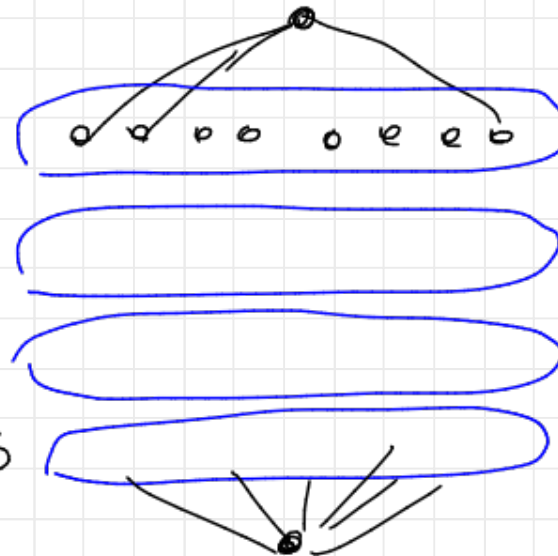
A face is  $\{x \in \mathbb{R}^d : Ax \leq b\}$  and some inequalities are changed to equalities.

e.g. front face of cube has  $x_2 = 1$ .

The face lattice of a convex polyhedron



8 cubes  
 24 squares  
 32 edges  
 16 vertices



## Size of convex hull of $n$ points in $d$ -dimensions


Recall from last day: in 3D the number of faces (facets) and size of face lattice are  $O(n)$  by Euler's formula.

### McMullen's Upper bound Theorem

For a convex polyhedron in  $d$  dimensions ( $d$  fixed) with  $n$  vertices the worst case number of faces is

$$\Theta\left(n^{\lfloor \frac{d}{2} \rfloor}\right)$$

The number of facets has the same bound (we get a  $2^d$  constant appearing).

In fact, McMullen gave more exact bounds — the above asymptotic bound is easier to show  [https://graphics.stanford.edu/courses/cs268-11-spring/notes/upper\\_bound\\_theorem.pdf](https://graphics.stanford.edu/courses/cs268-11-spring/notes/upper_bound_theorem.pdf)

For  $d=2,3$  bound is  $\Theta(n)$ .  
For  $d=4,5$  bound is  $\Theta(n^2)$

$d=4$  matters! One application of 4D convex hull is to find 3D Delaunay triangulations.

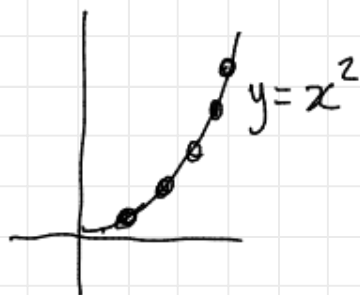
The bound of  $\Theta(n^{\lfloor d/2 \rfloor})$

is realized by a *cyclic polytope* — the convex hull of  $n$  points on the *moment curve*

moment curve =  $\{ (t, t^2, \dots, t^d) : t \in \mathbb{R} \}$

Place  $n$  points on the moment curve.  $t_1 \leq t_2 \leq \dots \leq t_n$   
 Claim. The number of facets of their convex hull is  $\Theta(n^{\lfloor d/2 \rfloor})$

in 2D



in 4D

can prove:

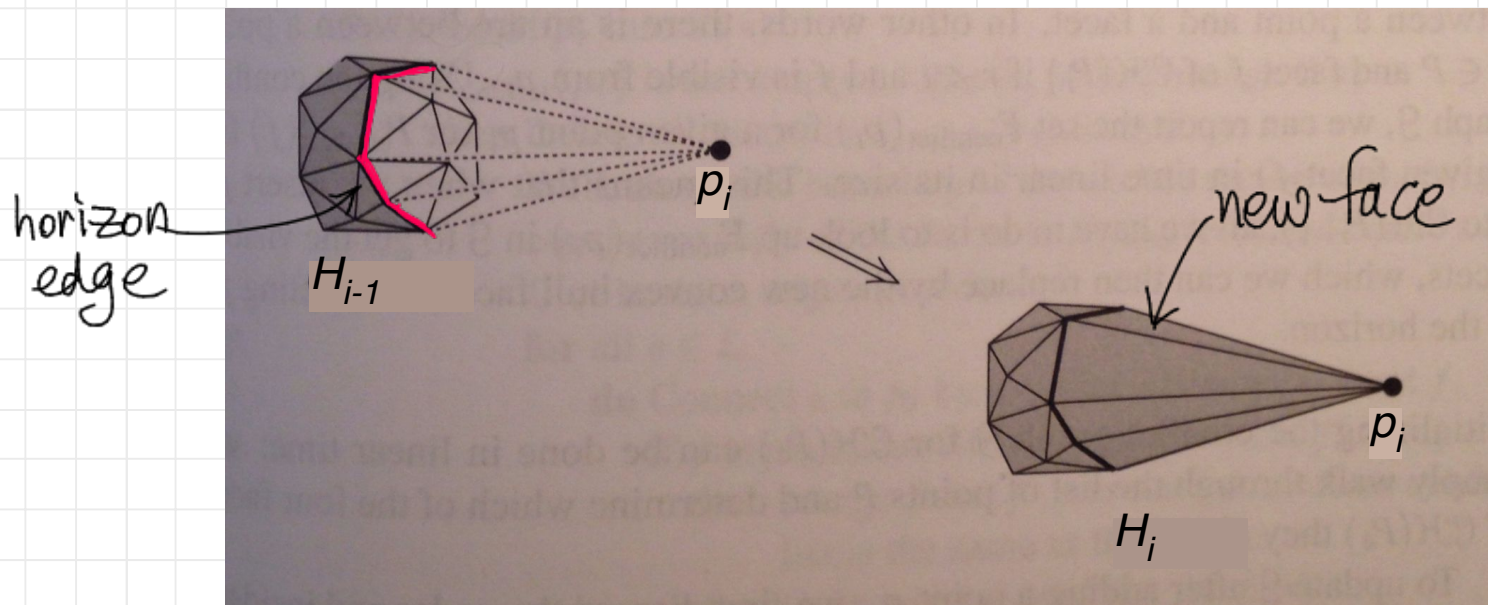
- Every pair  $t_i t_j$  gives an edge of Convex Hull  $-\Theta(n^2)$
- Every 4-tuple  $t_i t_{i+1} t_j t_{j+1}$  gives a facet of Convex Hull
- there are  $\Theta(n^2)$  facets.

## [Randomized] Incremental Convex Hull Algorithm

We will describe the algorithm for 3D though it does extend to general dimensions.

Assume no 4 points lie on a plane (this means that all faces will be triangles).  
See [CG] book for details on more general case.

Idea: Add the points one by one in random order.



Computational Geometry, de Berg, et al.



## Details of Randomized Incremental Convex Hull Algorithm

## Randomized Incremental Convex Hull Algorithm

- expected run time  $O(n \log n)$  in 3D

- expected run time for  $d \geq 4$  is

$$O(n^{\lfloor d/2 \rfloor})$$

and we can use linear programming instead of the conflict graph

Recall: size of convex hull (facets or whole face lattice) is

$$\Theta(n^{\lfloor d/2 \rfloor})$$

Combining lower bound for  $d=2$  and lower bound due to output size, we get lower bound of

$$\Omega(n \log n + n^{\lfloor d/2 \rfloor}) \quad (d \text{ constant})$$

So randomized incremental algorithm is optimal in the worst case.

Is there a deterministic (non-randomized) algorithm?

Yes. Chazelle '93 by derandomizing the above algorithm (choose an order of points that has the good properties of a random order).

Output sensitive? Lower bound is  $\Omega(h + n \log h)$ .

Chan got  $O(n \log h)$  for  $d=2,3$ . Seidel '86 achieved  $O(n^2 + h \log h)$  for fixed  $d$ .

RECALL

The convex hull problem

Given a set of  $n$  points in  $d$ -dimensions, find their convex hull  
(as an intersection of half-spaces)

or sometimes we ask for the whole face lattice

Dual problem:

Given a set of  $m$  halfspaces in  $d$ -dimensions, find their intersection  
(as the set of vertices of the polyhedron)

or sometimes we ask for the whole face lattice

In fact, these two problems are the same by a *duality* map.

**Duality between points and hyperplanes**

