# Constraint Satisfaction Problems: Backtracking Search and Arc Consistency

Alice Gao Lecture 5

Based on work by K. Leyton-Brown, K. Larson, and P. van Beek

# Outline

Learning Goals

Examples of CSP Problems

Introduction to CSPs

Formulating Problems as CSPs

The AC-3 Arc Consistency Algorithm

Arc Consistency

Revisiting the Learning goals

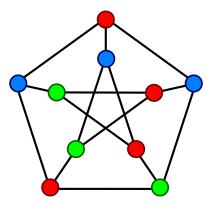
By the end of the lecture, you should be able to

- Formulate a real-world problem as a constraint satisfaction problem.
- Verify whether a variable is arc-consistent with respect to another variable for a constraint.
- Trace the execution of and implement the AC-3 arc consistency algorithm.

# Example: Crossword Puzzles



# Example: Graph Coloring Problem



Applications:

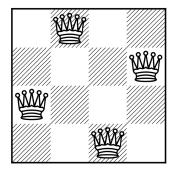
- Designing seating plans
- Exam scheduling



# Example: Sudoku

5 6	3			7				
6			1	9	5			
	9	8					6	
8				6				3
8 4 7			8		3			
7				2				1 6
	6					2	8	
			4	1	9			5 9
				8			7	9

# Example: 4-Queens Problem



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# Introduction to CSPs

- So far, search algorithms are unaware of the structure of the states.
- Can we do better by taking advantage of the structure of states?

Each state contains

- A set X of variables:  $\{X_1, X_2, ..., X_n\}$
- A set D of domains:  $D_i$  is the domain for variable  $X_i$ ,  $\forall i$ .
- A set C of constraints specifying allowable combinations of values

A solution is an assignment of values to all the variables that satisfy all the constraints.

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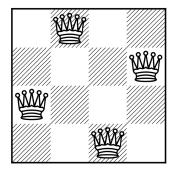
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# Example: 4-Queens Problem



# CQ: Defining Constraints as a Formula

**CQ:** How should we encode the following constraint as a propositional formula?

The two queens in columns 0 and 2 are not in the same row or diagonal.

(A) 
$$(x_0 \neq x_2)$$
  
(B)  $((x_0 \neq x_2) \land ((x_0 - x_2) \neq 1))$   
(C)  $((x_0 \neq x_2) \land ((x_0 - x_2) \neq 2))$   
(D)  $((x_0 \neq x_2) \land (|x_0 - x_2| \neq 1))$   
(E)  $((x_0 \neq x_2) \land (|x_0 - x_2| \neq 2))$ 

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# Solving a CSP - Search and Inference

When solving a CSP, we can combine

- Backtracking search, and
- Inference using the arc-consistency algorithm.

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# Definition of Arc Consistency

### Definition (Arc Consistency)

The variable  $X_i$  is arc-consistent with respect to another variable  $X_j$  if and only if for every value  $v_i$  in  $D_i$ , there is a value  $v_j$  in  $D_j$  such that  $(v_i, v_j)$  satisfies the constraint  $(X_i, X_j)$ .

If  $X_i$  is not arc-consistent with the variable  $X_j$ , we can make it consistent by removing values in  $D_i$  that is not consistent with any value on  $D_j$ . This removal can never rule out any solution.

# CQ: Definition of Arc Consistency

**CQ:** Consider the constraint "*X* is divisible by *Y*" between two variables *X* and *Y*. *X* is arc-consistent with respect to *Y* in how many of the four scenarios below?

1. 
$$dom(X) = \{10, 12\}, dom(Y) = \{3, 5\}$$
  
2.  $dom(X) = \{10, 12\}, dom(Y) = \{2\}$ 

3. 
$$dom(X) = \{10, 12\}, dom(Y) = \{2\}$$
  
 $3. dom(X) = \{10, 12\}, dom(Y) = \{3\}$ 

4. 
$$dom(X) = \{10, 12\}, dom(Y) = \{3, 5, 8\}$$

(A) 0 (B) 1 (C) 2 (D) 3 (E) 4

CQ: Is Arc-Consistency Symmetric?

CQ: True or False:

If X is arc-consistent with respect to Y, then Y is arc-consistent with respect to X.

- (A) True
- (B) False
- (C) Not enough information to tell

# CQ: Effect of Removing a Value on Arc Consistency

**CQ:** Assume that X is arc-consistent with respect to Y. Remove one value from the domain of Y. Is X still arc-consistent with respect to Y?

- (A) Yes
- (B) No
- (C) Not enough information to tell

# CQ: Effect of Removing a Value on Arc Consistency

**CQ:** Assume that X is arc-consistent with respect to Y. Remove one value from the domain of X. Is X still arc-consistent with respect to Y?

- (A) Yes
- (B) No
- (C) Not enough information to tell

# Making $(X_i, C)$ arc-consistent

Let C be a constraint between the variables  $X_i$  and  $X_j$ .

# Algorithm 1 Revise( $X_i$ , C)1: revised $\leftarrow$ false2: for x in $dom(X_i)$ do3: if $\neg \exists y \in dom(X_j)$ s.t. (x, y) satisfies the constraint C then4: remove x from $dom(X_i)$ 5: revised $\leftarrow$ true6: end if7: end for8: return revised

# The AC-3 Arc Consistency Algorithm

### Algorithm 2 The AC-3 Algorithm

- 1: Put (v, C) in the set S for every variable v and every constraint involving v.
- 2: while *S* is not empty do
- 3: remove  $(X_i, C_{ij})$  from  $S(C_{ij}$  is a constraint between  $X_i$  and  $X_{j}$ .)
- 4: **if** Revise $(X_i, C_{ij})$  **then**
- 5: **if**  $dom(X_i)$  is empty **then return** false
- 6: for  $X_k$  where  $C_{ki}$  is a constraint between  $X_k$  and  $X_i$  do
- 7: add  $(X_k, C_{ki})$  to S
- 8: end for
- 9: end if
- 10: end while
- 11: return true

# Trace the execution of AC-3 algorithm

# Properties of the AC-3 Algorithm

Does the order in which arcs are considered matter?

Three possible outcomes of the arc consistency algorithm:

► Time complexity:

 $\boldsymbol{n}$  variables,  $\boldsymbol{c}$  binary constraints, and the size of each domain is at most  $\boldsymbol{d}.$ 

# Revisiting the Learning Goals

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