

# Propositional Logic: Soundness and Completeness of Natural Deduction

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Lecture 7

# Learning goals

## Soundness and completeness of natural deduction

- Define soundness and completeness.
- Prove that a semantic entailment holds using natural deduction and the soundness of natural deduction.
- Show that no natural deduction proof exists using the contrapositive of the soundness of natural deduction.

# Soundness and Completeness of Natural Deduction

We want to prove that Natural Deduction is both sound and complete.

Soundness of Natural Deduction means that the conclusion of a proof is always a logical consequence of the premises. That is,

$$\text{If } \Sigma \vdash \alpha, \text{ then } \Sigma \vDash \alpha$$

Completeness of Natural Deduction means that all logical consequences in propositional logic are provable in Natural Deduction. That is,

$$\text{If } \Sigma \vDash \alpha, \text{ then } \Sigma \vdash_{\text{ND}} \alpha$$

# Proof of Soundness

To prove soundness, we use induction on the length of the proof:

For all deductions  $\Sigma \vdash \alpha$  which have a proof of length  $n$  or less, it is the case that  $\Sigma \vDash \alpha$ .

That property, however, is not quite good enough to carry out the induction. We actually use the following property of a natural number  $n$ .

Suppose that a formula  $\alpha$  appears at line  $n$  of a partial deduction, which may have one or more open sub-proofs. Let  $\Sigma$  be the set of premises used and  $\Gamma$  be the set of assumptions of open sub-proofs. Then  $\Sigma \cup \Gamma \vDash \alpha$ .

# Basis of the Induction

**Base case.** The shortest deductions have length 1, and thus are either

1.  $\alpha$  Premise.

or

1.  $\alpha$  Assumption.

- 2.

We have either  $\alpha \in \Sigma$  (in the first case), or  $\alpha \in \Gamma$  (in the second case).

Thus  $\Sigma \cup \Gamma \vDash \alpha$ , as required.

## Proof of Soundness: Inductive Step

**Inductive step.** Hypothesis: the property holds for each  $n < k$ ; that is,

If some formula  $\alpha$  appears at line  $k$  or earlier of some partial deduction, with premises  $\Sigma$  and un-closed assumptions  $\Gamma$ , then  $\Sigma \cup \Gamma \vDash \alpha$ .

To prove: if  $\alpha'$  appears at line  $k + 1$ , then  $\Sigma \cup \Gamma' \vDash \alpha'$   
(where  $\Gamma' = \Gamma \cup \alpha'$  when  $\alpha'$  is an assumption, and  $\Gamma' = \Gamma$  otherwise).

The case that  $\alpha'$  is an assumption is trivial.

Otherwise, formula  $\alpha'$  must have a justification by some rule. We shall consider each possible rule.

## Inductive Step, Case I

**Case I:**  $\alpha'$  was justified by  $\wedge i$ .

We must have  $\alpha' = \alpha_1 \wedge \alpha_2$ , where each of  $\alpha_1$  and  $\alpha_2$  appear earlier in the proof, at steps  $m_1$  and  $m_2$ , respectively. Also, any sub-proof open at step  $m_1$  or  $m_2$  is still open at step  $k + 1$ .

Thus the induction hypothesis applies to both; that is,  $\Sigma \cup \Gamma \vDash \alpha_1$  and  $\Sigma \cup \Gamma \vDash \alpha_2$ .

By the definition of  $\vDash$ , this yields  $\Sigma \cup \Gamma \vDash \alpha'$ , as required.

## Inductive Step, Case II

**Case II:**  $\alpha'$  was justified by  $\rightarrow$ i.

Rule  $\rightarrow$ i requires that  $\alpha' = \alpha_1 \rightarrow \alpha_2$  and there is a closed sub-proof with assumption  $\alpha_1$  and conclusion  $\alpha_2$ , ending by step  $k$ . Also, any sub-proof open before the assumption of  $\alpha_1$  is still open at step  $k + 1$ .

The induction hypothesis thus implies  $\Sigma \cup (\Gamma \cup \{\alpha_1\}) \vDash \alpha_2$ .

Hence  $\Sigma \cup \Gamma \vDash \alpha_1 \rightarrow \alpha_2$ , as required.



## Inductive Step, Cases III ff.

**Case III:**  $\alpha'$  was justified by  $\neg$ e.

This requires that  $\alpha'$  be the pseudo-formula  $\perp$ , and that the proof contain formulas  $\alpha$  and  $(\neg\alpha)$  for some  $\alpha$ , each using at most  $k$  steps.

By the induction hypothesis, both  $\Sigma \vDash \alpha$  and  $\Sigma \vDash (\neg\alpha)$ .

Thus  $\Sigma$  is contradictory, and  $\Sigma \vDash \alpha'$  for any  $\alpha'$ .

### Cases IV–XIII:

The other cases follow by similar reasoning.

This completes the inductive step, and the proof of soundness.

# Completeness of Natural Deduction

We now turn to completeness.

Recall that completeness means the following.

Let  $\Sigma$  be a set of formulas and  $\varphi$  be a formula.

If  $\Sigma \models \varphi$ , then  $\Sigma \vdash \varphi$ .

That is, every consequence has a proof.

How can we prove this?

# Proof of Completeness: Getting started

*We shall assume that the set  $\Sigma$  of hypotheses is finite.*

*The theorem is also true for infinite sets of hypotheses, but that requires a completely different proof.*

Suppose that  $\Sigma \vDash \varphi$ , where  $\Sigma = \{\sigma_1, \sigma_2, \dots, \sigma_m\}$ .

Thus the formula  $(\sigma_1 \wedge \sigma_2 \wedge \dots \wedge \sigma_m) \rightarrow \varphi$  is a tautology.

Lemma. Every tautology is provable in Natural Deduction.

Once we prove the Lemma, the result follows. Given a proof of  $(\sigma_1 \wedge \sigma_2 \wedge \dots \wedge \sigma_m) \rightarrow \varphi$ , one can use  $\wedge$ i and  $\rightarrow$ e to complete a proof of  $\Sigma \vdash \varphi$ .

# Tautologies Have Proofs

For a tautology, every line of its truth table ends with T.

We can mimic the construction of a truth table using inferences in Natural Deduction.

Claim. Let  $\varphi$  have  $k$  variables  $p_1, \dots, p_k$ . Let  $v$  be a valuation, and define  $l_1, l_2, \dots, l_k$  as

$$l_i = \begin{cases} p_i & \text{if } v(p_i) = T \\ \neg p_i & \text{if } v(p_i) = F. \end{cases}$$

If  $\varphi^v = T$ , then  $\{l_1, \dots, l_k\} \vdash \varphi$ , and

if  $\varphi^v = F$ , then  $\{l_1, \dots, l_k\} \vdash (\neg\varphi)$ .

To prove the claim, use structural induction on formulas (which is induction on the column number of the truth table).

Once the claim is proven, we can prove a tautology as follows...

# Outline of the Proof of a Tautology

1.	$p_1 \vee (\neg p_1)$	L.E.M.
2.	$p_2 \vee (\neg p_2)$	L.E.M.
$\vdots$	$\vdots$	
k.	$p_k \vee (\neg p_k)$	L.E.M.
k + 1.	$p_1$	assumption
	$p_2$	assumption
	$\vdots$	
	$\varphi$	
	$(\neg p_2)$	assumption
	$\vdots$	
	$\varphi$	
m.	$\varphi$	Ve: 2, ...

m + 1.	$(\neg p_1)$	assumption
	$\vdots$	
	$\vdots$	
	$\varphi$	Ve: m + 1, ...
n.	$\varphi$	Ve: 1, m - (k + 1), n - (m + 1)

Once each variable is assumed true or false, the previous claim provides a proof.

# Proving the Claim

Hypothesis: the following hold for formulas  $\alpha$  and  $\beta$ :

If  $\{\ell_1, \dots, \ell_k\} \models \alpha$ , then  $\{\ell_1, \dots, \ell_k\} \vdash \alpha$ ;

If  $\{\ell_1, \dots, \ell_k\} \not\models \alpha$ , then  $\{\ell_1, \dots, \ell_k\} \vdash (\neg\alpha)$ ;

If  $\{\ell_1, \dots, \ell_k\} \models \beta$ , then  $\{\ell_1, \dots, \ell_k\} \vdash \beta$ ; and

If  $\{\ell_1, \dots, \ell_k\} \not\models \beta$ , then  $\{\ell_1, \dots, \ell_k\} \vdash (\neg\beta)$ .

If  $\{\ell_1, \dots, \ell_k\} \models (\alpha \wedge \beta)$ , put the two proofs of  $\alpha$  and  $\beta$  together, and then infer  $(\alpha \wedge \beta)$ , by  $\wedge$ i.

If  $\{\ell_1, \dots, \ell_k\} \not\models (\alpha \rightarrow \beta)$  (i.e.,  $\{\ell_1, \dots, \ell_k\} \models \alpha$  and  $\{\ell_1, \dots, \ell_k\} \not\models \beta$ ),

- Prove  $\alpha$  and  $(\neg\beta)$ .
- Assume  $(\alpha \rightarrow \beta)$ ; from it, conclude  $\beta$  ( $\rightarrow$ e) and then  $\perp$  ( $\neg$ e).
- From the sub-proof, conclude  $(\neg(\alpha \rightarrow \beta))$ , by  $\neg$ i.

The other cases are similar.