Programmer's Guide to *Grail*

Version 2.3

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Introduction

This document is about programming with the *Grail* class library. It describes how to compile, test, and profile *Grail*, how to write C++ programs using *Grail*, and how to modify and extend *Grail*.

If you plan only to install *Grail* with its standard filters, then you need to read only the first few sections of the document, which describe the organization of the file system and how to go about compiling and testing *Grail*. It isn't necessary to know much about C++ in order to use *Grail* as shipped. If you intend to parameterize *Grail*'s finite-state machines and expressions, or to write your own filters, then you should read most of the document. In addition, you should ensure that you have a good understanding of templates, since most of *Grail*'s classes are template classes.

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Working with *Grail*

This section is about compiling and testing the distributed version of *Grail*.

ORGANIZATION OF THE FILES

Grail is a self-contained package organized in the following directories:

- **bin**

  This directory contains the *Grail* filters for a given architecture. Generally, these programs are symbolic links to the binaries found in **binaries**.

- **binaries**

  This directory contains subdirectories for specific machine architectures, and compiled binaries for filters for three types of alphabets.

- **classes**

  This directory contains subdirectories for each of *Grail*'s classes. These classes define the objects that *Grail* can manipulate. Most of the source code belongs to classes.

- **doc**

  This directory contains .dvi files for the *User's Guide* and the *Programmer's Guide*.

- **man**

  This directory contains *man* pages for *Grail*, suitable for online documentation.

- **profiles**

  This directory contains profiling scripts, profiling machines, and the results of previous profiling sessions.

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- **tests**

  This directory contains test scripts, test machines, and the expected results for each test.

There are also directories present for each type or class that serves as an alphabet. Programmers are able to add their own alphabets to *Grail*; the distribution supplies at least the following alphabets (and directories):

- **char**

  ASCII characters

- **pair**

  ordered pairs of integers

- **re**

  regular expessions

The binaries in `binaries` are labelled `char.out`, `pair.out`, and `re.out`, corresponding to the filters for a given input alphabet.

COMPILING

Before compiling *Grail*, you need to specify which system and C++ compiler you're using. In the `Makefile`, you choose between the following:

```
# set SYS to:
# XLC - if you're using IBM's xlC under AIX
# DEC - if you're using USL's Cfront on DEC Ultrix
# SUN - if you're using USL's Cfront under Sun OS
# WAT - if you're using Watcom under DOS
# SGI - if you're using Delta/C++ compiler under IRIX
#SYS=WAT
SYS=XLC
#SYS=DEC
#SYS=SUN
#SYS=SGI
```

Uncomment the appropriate `SYS` variable for the type of system you're using. This will automatically result in choosing the appropriate compiler, compilation flags, and other operating system utilities needed to prepare *Grail*.

Assuming you have both the source code and the distributed binaries, there are two ways to install *Grail*. The first method simply installs the binaries that are appropriate for your architecture. Execute one of the following:

```
make 486
make sparc
make rs6000
make dec
make sgi
```

No compilation occurs with this technique; it simply constructs symbolic links for each filter to the appropriate existing binary. [1]

If you want different compilation options, or the distributed binaries simply don't work in your environment, then you must compile the code first before installing binaries. You can do this simply by invoking

```
make
```

Compilation first constructs a single file from each of *Grail*'s classes, compiles this file (using the compiler designated by the `SYS` variable), copies the binary to the appropriate `binaries` subdirectory, and then makes all filters. This process is repeated for each alphabet.

TESTING

Grail has its own test system. The test system is useful as a check that *Grail* has compiled correctly. It's also useful as a preliminary check that modifications you make to *Grail* don't affect the correctness of its algorithms. *Grail* is tested by doing

```
make checkout
```

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[1] In the case of 486, separate copies of the binary are made for each filter, since DOS doesn't have symbolic links.

from the root of the *Grail* filesystem. The testing procedure checks
only the standard filters in `bin` (that is, those filters intended for use
with an ASCII alphabet) against the test objects. Testing scripts ex-
ecute each filter with each test object as input, and compare the re-
sult with a previously obtained result stored in a subdirectory named
for the filter; for example, `fmtore` is run against `d1` and the result
compared with `tests/fmtore/d1`. If the result is identical, the script
proceeds to the next test; otherwise, the differences are printed and
the whole test result is placed in the directory `errors`. If tests are
successfully completed, the following output will be generated:

```
Testing fmcment on d1
Testing fmcment on d2
Testing fmcment on d3
Testing fmcment on d4
Testing fmcment on d5
Testing fmcment on d6
Testing fmcment on n1
Testing fmcment on n2
Testing fmcomp on d1
Testing fmcomp on d2
Testing fmcomp on d3
Testing fmcomp on d4
            .
            .
            .
```

(No news is good news.) Some of the tests may put diagnostic mes-
sages on the standard error stream (for example, `can't minimize
nfm`) but this is normal output. If a filter fails a test, the differ-
ence between the stored result and the computed result is displayed
and is saved in the `errors` directory. An error is saved in a file
with the name `filter.object`; for example, an error when running
`fmtore` on `n2` would result in the file `errors/fmtore.n2`. Comparing
`errors/fmtore.n2` with `fmtore/n2` will help you debug `fmtore`.

   The output of test runs and the stored results are both sorted
before comparison. This avoids differences that result only from the
order of the output. What it does *not* avoid is differences that re-
sult from language-equivalent but non-identical objects. The testing

procedure can detect only non-identical output; it isn't satisfied by language-equivalent results, or even isomorphic results. Thus, if you write a completely new conversion for finite-state machines to regular expressions, for example, you should not expect that your conversion will generate identical results for the test machines (though they should be language equivalent).

The set of test cases includes some boundary cases and a few small examples. We hope to expand the set of test cases in future versions of *Grail*.[2]

FILTERS

Grail provides 27 filters that can be used like any other command available at shell level. In previous versions of *Grail*, each of these filters was represented by a separate source code file and a separate executable. Structuring the filters in this way led to very long compile times, since some compilers re-instantiate the templates for each filter. Another problem with this approach is that the filter code itself was duplicated many times.

In Version 2, we've taken a different approach. All filters for a given alphabet are implemented by a single executable. This executable determines which function to apply by checking the name by which it was invoked. If the `char.out` executable was invoked with the name `fmdeterm`, for example, then it would execute the conversion to deterministic machines. The advantage of this technique is that it is easier and faster to copy or rename a file than to recompile it. This is particularly true for the current version of *Grail*, which makes extensive use of templates.

Each of the individual filters in Version 2 is actually a symbolic link from `bin` to the appropriate executable in `grail`. Using symbolic links eliminates the cost of storing multiple copies of the files.

2 Note that we don't yet have `fmexec` in the test suite; this may explain why we've shipped buggy versions of `fmexec` in the past!

```
array
        list
        set
        string
fm
inst
re
state
subexp
        null_exp
        empty_set
        empty_string
        symbol_exp
        cat_exp
        plus_exp
        star_exp
```

Table 2.1: *Grail*'s class hierarchy.

CLASSES

Version 2.3 of *Grail* employs 16 classes, organized in a relatively flat
hierarchy shown in Figure 2.1. The main classes are `fm` (finite-state
machines) and `re` (regular expressions). These classes define the
capabilities that make *Grail* useful for symbolic computation with
machines and expressions.

There are two types of support classes. The first type implements
the basic container classes `set`, `list`, `array`, and `string`. In *Grail*,
lists, sets, and strings are all forms of `array`. The second type im-
plements substructures of the main classes; `state` implements the
states of a finite-state machine, `inst` implements the instructions of
a finite-state machine, and `subexp` implements the subexpressions of
a regular expression.

`subexp` is an abstract base class for the set of possible subex-
pressions. These include expressions for null (`null_exp`), empty set
(`empty_set`), empty string (`empty_string`) single-symbol (`symbol_exp`),
catenation (`cat_exp`), union (`plus_exp`), and Kleene closure (`star_exp`).
Null expressions represent neither empty sets nor empty strings; they

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are an initialization expression that denote a regular expression with no content.

With the exception of state, all of *Grail*'s classes are templates that are instantiated for a chosen type or class. *Grail* thus provides wide flexibility in designing and executing machines.

Here are some general comments about the design of the classes:

- With the exception of re, all assignment and copying is deep; that is, the whole substructure of an object is duplicated. With the exception of re, none of *Grail*'s structures point to shared data. There is no reference counting.

- There are no iterator classes. Utilities that want to iterate through a set or a list simply use a loop over the selection operator.

- No implicit casts have been defined, and the number of copy constructors (which act like implicit casts) is severely limited. This has been done to ensure the strictest possible type checking.

Here are some comments about technical points of the design of the classes.

fm Internally, fms are stored as three sets: a set of start states, a set of final states, and a set of insts.

fm contains operations for 'disjoint union'. These can be used for fast union of machines that are known to be disjoint. The standard union operator (operator+=) tests for membership before adding, while the disjoint union does not. It is the programmer's responsibility to check for disjointness.

fm contains operations for 'selecting' instructions based on their states or labels. These operations will in future be moved to a class relation that will support general-purpose project, select, and join operators.

re Note that a given instance of re contains one null_exp, one empty_set, and one empty_string, that all 'constructions' of these classes merely point to the single instance, and that destruction does nothing. This is to avoid the overhead of con-

structing and destructing objects whose instances are indistinguishable.

Why isn't `fmtore` a member of `fm`, rather than of `re`? `fmtore` operates on an `fm<S>` and generates an `fm<re<S> >`; if it was made a member of `fm`, it would result in an infinite template instantiation (the generated `fm<re<S> >` would itself be a target of `fmtore`, generating an `fm<re<re<S > > >`, that would itself be a target of `fmtore` ...).

state States in a finite-state machine are non-negative integers. The class `state` shifts all integers by 2, to ensure that 0 and 1 are available to represent the start and final pseudo-state, respectively.

inst `inst` looks for the pseudo-labels `|-` and `-|` on its input, and generates them on output, but does not represent them internally.

array The `array` is the basic data structure. `lists`, `sets`, and `strings` are all derived from it, with small differences that are due to the different update constraints required by each structured. Generally speaking, sets are unordered and do not have duplicates; lists preserve their order and may have duplicates; strings preserve their order, may have duplicates, and can be compared with a `strcmp`-like function. There are efficient conversion operations `from_list` and `from_set` that simply adjust the array pointers (and in the case of conversion `from_list`, removes duplicates); these conversion routines do not preserve the original `list` or `set`.

`list` defines a static comparison function that can be passed to `qsort`.

`set` contains operations for 'disjoint union'. These can be used for fast union of sets that are known to be disjoint. The standard union operator (`operator+=`) tests for membership before adding, while the disjoint union does not. It is the programmer's responsibility to check for disjointness.

A `string` in *Grail* is not a `char*`. Even a `string<char>` is not a `char*`, since it is not null-terminated. It is necessary

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to append a null character to a `string<char>`'s content if you intend to handle it with functions such as `strcmp` or `printf`.

`string` defines a function `ptr()` which returns the array pointer. This is a trapdoor for potential problems, since the array can be arbitrarily modified without the `string` object adjusting its size and maximum value. Use this capability only for operations that do not perform update to the array.

The `string` comparison operators are defined such that strings will be ordered first by size, then lexicographically within equal sizes. This differs from the usual ordering, but is more appropriate for dealing with languages, where we typically want to see the shortest words first.

subexp A `subexp` is the virtual base class for the recursive definition of regular expressions. A regular expression contains one subexpression, which may be one of `null_exp`, `empty_set`, `empty_string`, `symbol_exp`, `cat_exp`, `plus_exp`, or `star_exp`. The latter three subexpressions are themselves made up of subexpressions.

One interesting problem for subexpressions is defining their comparison operators. Individual subexpressions are ordered according to the following precedence:

empty_set < empty_string < symbol_exp < plus_exp < cat_exp < star_exp

Hence, `empty_string::operator>(const empty_set<S>&)` should return 1, since empty string expressions are always greater than empty set expressions. We cannot simply compare the content of subexpression pointers, however, since function arguments are interpreted according to their apparent type, not their actual type. Each subexpression therefore defines a set of functions of the form `compare_xzy_exp`. This function determines how a given subexpression compares to an `xyz` expression. In effect, we are using two function calls (the operator and the `compare_xyz_exp`) to determine the actual types of both arguments to the comparison operation. This technique is sometimes referred to as *double dispatching.*

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Most subexpressions define a `new_subexp()` function, which is the actual constructor. This function is defined because it is not possible to have virtual constructors. Similarly, the functions `copy` and `clone` are defined to provide the effect of a virtual constructor. See p. 217 of Stroustrup's *The C++ Programming Language, 2nd Edition* for more information.

The `null_exp` class is the only subexpression that does not have an analogue in the formal recursive definition of regular expressions. It is used as an initialization class and as a return value (it can be used when necessary to return something that has the type 'regular expression', but that actually indicates an error or other exceptional condition).

`star_exp` overloads the `star` operator of `subexp` and defines it as a no-op. This has the effect of ensuring that a 'starred' expression is only starred once.

Changing and extending *Grail*

There are two basic ways to modify *Grail*: you can add a new alphabet, or you can add some new functionality that is alphabet-independent. The latter method typically results in a new filter.

ADDING A NEW *Grail* FILTER

A new filter for *Grail* may simply combine existing *Grail* functions, or it may include new functionality that you add to one or more of *Grail*'s classes. As an example, let us suppose you have discovered a new operation on machines that you call 'squeezing', and you want to add a filter that 'squeezes' a machine.

The first task is to write up the algorithm as a member function of the class `fm`. You might put this in a file `classes/fm/squeeze.src`. Note that we use the `.src` suffix, rather than `.C` or `.cpp`, because we don't compile routines separately; instead, all the `.src` files will be catenated together to make up one file describing `fm`.[1] `squeeze.src` will make use of existing functions in `fm`, and it will probably also use other data structures in *Grail*, such as `sets` and `lists`. You needn't worry about including any header files if you only use other *Grail* classes, since they are all (eventually) provided for you.

The second task is to ensure that `squeeze.src` will be included in the next compilation of *Grail*. You do this by making sure that `squeeze.src` is listed in the file `classes/fm/include.h`.

The third task is to arrange for a 'squeeze' filter to be produced when *Grail* is compiled. This involves several steps:

1. Add the necessary code to invoke `fm::squeeze` to `char/grail.C`.

   `grail.C` is essentially a large case statement that selects the action to be executed based on the value of its name that was used to invoke the program; that is, based on the value of `argv[0]`. Simplified, `grail.C` looks like this:

---

1 Even this file will not be separately compiled; since this file describes a template, the compiler can't do much until the template is given a specific alphabet type.

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```
main(argc, argv)
{
            .
            .

        if (strcmp(my_name, fmcment) == 0)
        {           // do complement operation      }

        if (strcmp(my_name, fmcat) == 0)
        {           // do catenation operation      }

        if (strcmp(my_name, fmenum) == 0)
        {           // do enumeration operation     }
            .
            .

}
```

The variable my_name is initialized to argv[0]. To make a
'squeeze' filter, you would add something like:

```
        if (strcmp(my_name, fmsqueeze) == 0)
        {
                get_one(a, argc, argv)
                a.squeeze();
                cout << a;
                return 0;
        }
```

Here the programmer arranges for fmsqueeze to be the name of
the filter. If the executable is called with this name, then it will
enter the body of the if statement. The function get_one is a
utility function that obtains the input machine; it will get input
either from a file or from standard input (if 'squeezing' was a
binary operation, you would use the utility function get_two to
get two finite-state machines as arguments. The input machine
is stored in a; the function squeeze is called, the squeezed
machine is printed on standard output, and the filter returns.

2. Define the strings that will used to name the filter's file.

`fmsqueeze`, the second parameter to the `strcmp` in `char/grail.C`, is not a string but a variable pointing to a string. This variable is initialized to different strings for different operating systems. Under DOS, it points to an uppercase name with a `.EXE` extension, and limited to 8 characters. Under UNIX, it points to a lowercase name with no extension and not limited to 8 characters. In `char`, you will find files `names.h` and `dosnames.h` that define the names to be used for each filter. You must add a definition for `fmsqueeze` to each of these files.

3. Repeat the previous two steps for `re`, `pair`, and any other alphabets that your version of *Grail* supports.

   The steps completed so far have been done for `char`; that is, for finite-state machines parameterized by characters. You will need to repeat them for each alphabet type you want to support the squeeze function.

4. Add a line to the main `Makefile` to create a symbolic link from `bin/fmsqueeze` to the executable `binaries/*/char.out`.

   This step must be performed for every machine architecture you want to support.

To fully integrate your filter with *Grail*, you should also add it to the profile and test directories. To add the filter to the test directory, you need to do the following:

- Make a directory `tests/fmsqueeze`. This is where pre-computed results of testing are kept.

- Modify `tests/Makefile` to run `fmtest` (or `fm2test`, if your filter takes two arguments) on your filter.

- Run your filter on each of the test cases and carefully check the output. If you're certain that the results are correct, then store the output for each test case in `tests/fmsqueeze`. (If you're not certain that the output is correct, then by storing the output all you're doing is giving future testers a false sense of confidence.) The result of 'squeezing' `dfm1` should be in `tests/fmsqueeze/dfm1`, the result of 'squeezing' `dfm2` should be in `tests/fmsqueeze/dfm2`, and so on.

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- If you need to add some new test machines to test special conditions (for example, an 'unsqueezable' machine) for your filter, it would be useful if you also run all the other filters in *Grail* on this test case, check their results, and add the output to the respective directories. This practice will increase the value of the test system for the whole of *Grail*.

- Write a *man* page for your new filter.

To add your filter to the profile directory, edit `profiles/Makefile` so that your filter will be profiled (use `fmprofile` if your filter uses only one argument, and `fm2profile` if your filter uses two arguments). The next time you run the profiler, the ratio shown for your filter for all test cases will be 0.0, because the profiler has no baseline. The *second* time you run the profiler, however, you will see some values (1.0 if you haven't improved your filter in the meantime, and some other non-zero value otherwise).

Adding functionality may seem too complicated. The only excuse we can offer is that when you have an environment that attempts to support multiple architectures, operating systems, and alphabets, there is going to be a lot to worry about.

ADDING A NEW ALPHABET TO *Grail*

Adding a new alphabet can be simpler than adding new functionality. If your type or class is well specified, and you have a modern compiler, then almost all of the work will be done for you, and all of the functionality of *Grail* will be carried over to your parameterized class.[2]

Parameterizing over a base type

Suppose you want to create finite-state machines whose instruction labels are instances of `int`. The following steps are necessary:

1. Do a recursive copy of the directory `char` (or some other directory for an existing alphabet type) to a new directory `int`.

---

2 If your class is not well specified, or if you use a compiler that doesn't have a professional approach to templates, then there may be a lot of heartache before you manage to integrate *Grail* and your alphabet.

2. Edit `int/grail.C`.

   Change all variables of type `fm<char>` to `fm<int>`. Change all variables of type `re<char>` to `re<int>`. Change all variables of type `string<char>` to `string<int>`.

3. Edit `int/lexical.h`.

   You need to define lexical delimiters that will be used to input and output machines and expressions of type `int`. The following delimiter variables need to be defined:

   ```
   static char re_star<int>;
   static char re_plus<int>;
   static char re_cat<int>;
   static char re_lparen<int>;
   static char re_rparen<int>;
   static char* re_estring<int>;
   static char* re_eset<int>;
   static char re_lambda<int>;
   static char re_left_delimiter<int>;
   static char re_right_delimiter<int>;
   static char re_left_symbol_delimiter<int>;
   static char re_right_symbol_delimiter<int>;
   ```

   There is one instance of each of these variables per parameterized class; so, there is one `re<char>::re_star`, one `re<int>::re_star`, and so on. These variables are provided to permit you to define your own symbols, either because you prefer some other delimiters or because one or more of the defaults is a valid symbol in the input alphabet you want to use.

   Note that the default symbol for catenation and the left and right delimiter are both 0. If these values are specified for these variables (only), then no output is generated for those symbols.

4. Edit `int/names.h` and `int/dosnames.h`.

   You need to create names for all the executables that satisfy the constraints that the operating systems impose on filenames, and that are distinguishable from all other *Grail* executables or other programs you use.

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5. Edit `int/Makefile`. Change all the executable names to be the same as those you used in `int/names.h` and `int/dosnames.h`. The single binary file should also be changed to the name of your type (`char.out` should become `int.out`).

6. Edit the root `Makefile`. Add a compilation statement with `TYPE=int`. Add `install` statements for each architecture for `int`. Add `int` to the `make clean` command.

7. Compile *Grail* (which, if you've done the previous steps correctly, will compile all types and install all filters).

Remember that using a template inside a template is permitted, but you must leave a space between end-brackets. That is,

    fm<re<char> >

is valid, but

    fm<re<char>>

is not (the C++ parser thinks that `>>` is the ostream operator, not the end of the template specification).

Parameterizing over your own classes

Parameterizing over your own classes or types is much the same as parameterizing over base types or *Grail* types. The main difference is that the `grail.C` file must be able to find the class definition and its member files. Typically this is done by copying them to the directory for that alphabet, and putting an `#include` statement in `grail.C`[3]

There are two problems that may arise with parameterization of your own classes.

The first problem is the provision of minimally required functions and operators. *Grail*'s templates (like those of any other C++ class library) operate on the assumption that certain functions are defined by the type used for parameterization. There is no way for us to arrange that you define these functions, but if they aren't defined

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3 For classes that you only need to link, you are only required to make the class header accessible; the compilation command should be altered to include the necessary linking directive to locate your class binary.

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(or if you define them ambiguously), then your compilation will fail
at template instantiation time. We require that you define a small
number of operators:

```
=
==
!=
<
>
<<
>>
```

If you have defined these operators for your type, it should in-
stantiate without trouble.

Even if all necessary operators are defined, you may misinterpret
the results of *Grail*'s operations. To understand this problem, let us
look at `fm<re<char> >` in some detail.

There are at least two possible ways to define the `==` operator
for `re<char>`. One way, based on identity, treats two `re<char>`s as
equivalent only if they are identical. The second way, based on lan-
guage equivalence, treats two `re<char>`s as equivalent only if they
denote the same language. In general, the only feasible way to deter-
mine language equivalence for regular expressions is to convert them
to finite-state machines, minimize the finite-state machines, and test
the minimal finite-state machines for identity. This test is an ex-
pensive proposition, so there is some motivation for choosing to base
equivalence on identity.

Grail, of course, has no way of knowing which choice you have
made; indeed, the whole point of parameterization is that it should
not *need* to know which choice you have made. *Grail* simply takes
it for granted that the operator `==` will return positively if the two
regular expressions are equivalent, and negatively otherwise. But
your choice of semantics for `==` will affect the outcome of *Grail*'s
operations. `==` is used in subset construction, for example, to clus-
ter all states which are reachable on the same instruction label. If
you've defined language equivalence as your semantics, then *Grail*
will treat the regular expressions a and a*a(a+a) as equivalent; if
you've chosen identity as your semantics, then *Grail* will treat these

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two expressions as distinct. Thus, the two semantics lead to different output.

Parameterization allows *Grail* to implement a collection of functions that are performed on 'black boxes', which you can instantiate with a type. *Grail* will provide correct results, but only within the semantics you defined for the operators of that type. If you choose to define identity semantics, don't expect to get language equivalence semantics in the result.

The same is true of the semantics of the other comparison operators <, >, and !=.

MODIFYING *Grail*'S CLASSES

Modifying *Grail*'s classes can be straightforward, but it requires a good understanding of three complicated areas: C++ templates, *Grail*'s existing structure, and the theoretical properties of finite-state machines and regular expressions. Here are some points to remember:

1. Maintain the separation between a class's interface and its implementation. The class fm, for example, is implemented as two sets of states and one set of insts, but this should not be visible outside the class. As much as possible, ensure that the interface is restricted to logical functionality.

2. Remember that your new function must work regardless of the type of the instruction label (or, for regular expressions, of the symbols of the alphabet). Do not make assumptions that are true only of fixed types. Is your function general enough to apply to a fm<re<fm<set<string> > > >? If not, should you rethink the function?

3. Remember to run the tests on all *Grail* filters after you have made your modifications.

4. If you create important new functionality, consider making it available through a separate filter. Follow the procedure that we described in the section on making filters.

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It would be convenient if your additions to *Grail* are consistent with the set of conventions *Grail* uses for filenames. We use two-letter prefixes for filters. Regular expression filters use the prefix `re`. Finite-state machine filters use the prefix `fm`. We also use these prefixes as suffixes for commands that convert from one type of object to another; for example, `retofm`. [4]

Each class directory has a file `classname.h` that contains the class declaration. The `string` class, for instance, is declared in the file `string.h`. This is the first place to look for information about the class, since it contains declarations of all the methods.

Each of the functions defined for a class is contained in a separate `function.src` file. When the function is a function call with an alphanumeric name, its filename is the same name (for compatibility with non-flexname file systems, long function names are shortened to fit an 8-character limit). Hence, the function `parse` in the class `re` is located in the file `parse.src`. Since operator functions don't have alphabetic names, we've chosen to use the following standard alphabetic names for operators:

`<<`	`ostream.src`
`>>`	`istream.src`
`<`	`lt.src`
`>`	`gt.src`
`==`	`eq.src`
`!=`	`neq.src`
`+=`	`pluseq.src`
`-=`	`minuseq.src`
`∧=`	`concat.src`
`+`	`plus.src`
`−`	`minus.src`
`[]`	`index.src`

We use `classname.src` for constructors and `~classname.src` for destructors. Constants, macros, and types that are specific to a

---

4 All predicates begin with the prefix `is`. This is likely to be changed in the future, because it does not distinguish between predicates for machines and predicates for expressions, and because 'is' is not the only type of predicate we want to support.

class are kept in `defs.h`. The set of system and local files that are necessary for compilation of functions are specified in `include.h`.

PROFILING

Grail has its own profiling system. This is useful for checking that 'improvements' to *Grail* actually do result in a performance benefit. Assuming you have an environment that supports the *pixie* profiler, *Grail* is profiled by doing

        make profile

from the root of the *Grail* filesystem. The results of profiling are given as a table found in `profiles/profile.results`. The table looks like the result in Table 3.1.

   `profile.results` shows the cost of each filter for several sample inputs. The cost is shown as a ratio of the number of machine cycles used by the current implementation against a previously stored value. If the current version is significantly different from the previous one the ratio of cycles will be larger or smaller than 1.0—larger if current implementation is less efficient, and smaller if the current implementation is more efficient. The table shows the cycle ratio for each of a set of test cases, and also the total cycle ratio over all cases; this latter value appears in the leftmost column.

   In the example table, we see that overall the current implementation is slightly less efficient than previous versions; it might suggest that the 'improvement' most recently added is actually making things worse. It's wise to use some care when interpreting the profile results, however, both because the results are dependent on the type of computer you use, and because the test machines are of different sizes. In particular, `nfm3` is ten times larger than the other test cases; thus, `nfm3` accounts for a disproportionate amount of the cycles in the overall total. Often, improvements will make some cases worse and some better; for example, if your improvement involves a substantial fixed overhead, you may notice the performance of the small test cases is worse, while that of the large test cases is better.

   The `profiles` directory contains scripts that automatically instrument and execute each filter (`fmprofile`, `fm2profile`, `reprofile`, and `re2profile`; the '2' scripts are used for filters that take two ar-

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	total	dfm1	dfm2	dfm3	nfm1	nfm2	nfm3	nfm4
fmcment	1.02	0.99	1.00	1.00	1.00	1.00	1.03	1.01
fmcomp	1.03	0.99	1.01	1.00	1.01	0.99	1.04	1.01
fmcat	1.08	1.00	1.01	1.01	1.01	1.00	1.09	1.06
fmcross	1.20	1.01	1.02	1.03	1.03	1.01	1.25	1.13
fmdeterm	1.04	1.00	1.01	1.00	1.00	1.00	1.04	1.02
fmenum	1.08	1.05	1.01	1.01	0.95	1.52	1.18	1.08
fmmin	0.90	1.08	1.09	1.11	0.25	0.23	1.03	0.40
fmminrev	1.07	1.00	1.00	1.00	1.02	0.99	1.12	1.12
fmplus	1.04	0.99	1.01	1.00	1.01	0.99	1.04	1.05
fmreach	1.05	0.99	1.01	1.00	1.01	0.99	1.05	1.05
fmrenum	1.10	0.99	1.01	1.01	1.01	0.99	1.12	1.08
fmreverse	1.15	0.99	1.04	1.02	1.03	0.99	1.16	1.11
fmstar	1.04	0.99	1.01	1.00	1.00	0.99	1.04	1.05
fmtore	1.30	1.02	1.25	1.15	1.13	1.03	1.30	1.50
fmunion	1.14	1.01	1.03	1.02	1.02	1.01	1.18	1.09
iscomp	1.16	0.99	1.02	1.01	1.02	0.99	1.19	1.10
isdeterm	1.11	0.99	1.02	1.01	1.02	0.99	1.12	1.12
isomorph	1.09	1.00	1.01	1.02	1.01	1.00	1.13	1.08
isuniv	1.16	0.99	1.02	1.01	1.02	0.99	1.19	1.10

	total	reg1	reg2	reg3
recat	0.98	0.98	0.98	0.98
remin	1.02	0.98	1.04	1.02
restar	1.02	0.98	1.04	1.02
retofm	0.99	0.99	0.99	0.99
reunion	0.98	0.98	0.98	0.98
isempty	1.02	0.98	1.04	1.02
isnull	1.02	0.98	1.04	1.02

Table 3.1: Profiler output.

guments) and scripts that compute the cycle ratio and produce a new `profile.results` file (`fmdiff` and `rediff`).

It's possible to generate profiles of current *Grail* with respect to older versions. The `profiles` directory contains a collection of previous profile results, named with a date and `.profile` suffix. Copying any of these files onto the file `current.profile` and then doing `make recompute` will generate a new results file that shows how *Grail* has improved since the date of the previous profile.

If you profile *Grail* over a long period of time, you may wish to retain a history of improvements. At each milestone, simply copy `current.profile` into a file named with the date or some other identifying label. Note that it isn't sufficient to save the file `profile.results`. This file is derived data and contains only the cycle ratios. The actual numbers of cycles are stored in files with a `.profile` suffix; they are the files that must be retained.

Grail's profiling mechanism is designed to work in environments that support the *pixie* profiler (provided on DEC MIPS systems). The profile harness should be easily extendible to other profilers. To make the profiling mechanism work with other profilers, write new scripts `fmprofile`, `fm2profile`, `reprofile`, and `re2profile`. They must automatically generate instrumented versions of the code, extract the number of cycles after running a profile, and properly update the intermediate files.

MISCELLANEOUS

Some odds and ends:

1. Why do we use the suffix `.src` for our class code files? Because too many compilers make invalid inferences from suffixes like `.c` or `.C`. In some cases the compiler decides that the code is C rather than C++; in other cases, the compiler's template instantiation mechanism thinks that a `.c` file with the same prefix as the template's `.h` file must be the template definition file. Many C++ compilers allow you to specify your own suffix with a command line option, but their template instantiation mechanisms do not always use this information. Consequently, we use a suffix that no one expects, `#include` all the files into

a single class module, and use that as (part of) the compilable object.

2. Why do we include all files in *Grail* in one single, monolithic module for compilation? In our experience, this is the fastest approach to compiling *Grail*. Multiple modules mean multiple invocations of the compiler, with redundant processing of many common header files. Another reason is that some C++ compilers use the source filename to construct an external entry point for the destructor function for each class; this has led to linking problems if the same filename is used for some other class. The third reason is *Grail*'s heavy use of templates. With some compilers, separate compilation of templates involves a costly process in which each failure of the linker to locate an instantiation of a needed template function causes the compiler to be invoked to generate that function. Separate compilation of *Grail* in such an environment can take over an hour. By producing a single module, we completely avoid the interaction between linker and compiler, and we have seen our compile times drop to about five minutes.

3. The class headers include an `#ifdef` to ensure that every class is defined only once. This hack should be avoidable by proper use of the `#include` facility, but it doesn't seem possible (the problem may be due to how template instantiation works).

4. The classes derived from `subexp` (`empty_set`, `empty_string`, `cat_exp`, `plus_exp`, `symbol_exp`, and `star_exp`) are accessed only within `re`, and indeed should not even be visible outside `subexp`. Why then are these derived classes not nested within `subexp`? The reason is that some compilers don't implement nested classes within templates.

5. Why haven't we made *Grail* work with GNU C++? The main reason in the past was GNU's non-standard behavior and poor template support. The commercial compilers are better and more reliable than GNU, at least for the moment.

6. Some notes on compilation: On most UNIX machines *Grail* compiles in five to ten minutes, depending on load and com-

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pilation options. IBM's xlC on the RS/6000 550 and SGI's Delta compiler on the Onyx/2 are the fastest environments we've used. xlC and Watcom 10.0 are tied for most robust compiler; each is able to find errors that the other one won't, and both are much more strict than cfront-based compilers.

If you're using Watcom 10.0, we strongly recommend that you do most of your compiling without the optimization flags -oneatx. Without these flags, *Grail* compiles in five to ten minutes on a 33 MHz 486 with 16 Mbyte of RAM; with optimization enabled, compiling takes as much as an hour (per alphabet).

CHANGES IN VERSION 2.3

This section describes the changes and improvements made since Version 2.2.

```
1. Fixed string/istream.src (again).
```

```
2. Added xfmenum, xfmexec, xfmcross, xfmmin, xfmminrev, xfmcment,
xfmcomp.
```

```
3. Fixed bug in fm/catenate; was removing self-loops on start states
of argument machine.
```

```
4. Fixed bug in retofm; was not incrementing state number
high enough (and thus generating self loops on null
expression).
```

```
5. Fixed bug in fm/catenate; was not making final state of
invoking machine final if argument machine included empty
string.
```

```
6. Added specialized set deletion that substitutes last element.
```

```
7. Split xfm stuff into separate directory; now using directory
'type' instead of 'grail' (e.g., 'char', 're', 'pair', etc.)
```

8. Added sorting and tests for sortedness to set.

9. Added state::operator=(const state&).

10. Substituted initialization for assignment in constructors
(Myers #12).

11. Return value of empty_set<S>::operator=() was subexp<S>&;
changed to empty_set<S>& (Myers #15).

12. fm data members made private.

13. minor improvements made to re::fmtore

14. -n flag added to fmenum.

15. Makefiles improved for multiple architectures, multiple compilers,
multiple alphabet types.

CHANGES IN VERSION 2.2

This section describes the changes and improvements made since
Version 2.1.

1. New array class; set, list, and string are derived from
array.

2. Removed classes/Makefile and classes/*/Makefile; instead,
we use #include and compile everything in one shot
(thus avoiding long template instantiation and makefile
differences across systems).

3. fm altered to save start and final states explicitly.

4. Redundant class members removed; small functions inlined;
classes generally cleaned up.

5. Removed grail/template.2 (not necessary with new #include style).

6. Merged Makefile and Makefile.wat.

7. Fixed bugs: fmexec did not handle 4-argument case correctly; string/istream.src read last character twice.
Thanks to Jochen Seemann of the University of Wurzburg

8. Added flags for static binding.

9. Fixed profiler to use proper filter names (null profiles were being generated because filters had .pixie suffix).

10. Added .EX, .EE macro definitions to top of each man page.

11. Included Rational DOS extender.

CHANGES IN VERSION 2.1

This section describes the changes and improvements made since Version 2.0.

1. Fixed bug in handling of istrstream for fmexec arguments in fm.C.
Thanks to Tillman Kolks of IMEC, Belgium

2. Change loop index variable to "j" where "i" was being used twice in nested loops in fm::enumerate.
Thanks to Tillman Kolks of IMEC, Belgium

3. Fixed bug in min_by_partition; machines consisting of only final states should not be reduced to single-state machine.
Thanks to Tillman Kolks of IMEC, Belgium

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4. Made sure fmrenum does not include unreachable states.
Thanks to Tillman Kolks of IMEC, Belgium

5. All classes/*/*.cc files moved to classes/*/*.src files,
and Makefiles converted correspondingly. This change made
to support Sun CC template instantiation.
Thanks to Scot Dyer, University of Nebraska-Lincoln

6. -c argument to fmenum in grail/fm.C fixed.
Thanks to Tillman Kolks of IMEC, Belgium

7. Missing return statements added to grail/fm.C, grail/re.C, and
grail/fmre.C.

8. inst::operator== changed to eliminate label test for start and
final transitions. This necessitated changes to re:fmtore to
handle regular expressions on start and final transitions.

9. grail/names.h and grail/dosnames.h added to permit compilation
under DOS.

10. Makefile.wat added to various directories, for compilation under
Watcom C++ 9.5.

11. Changed argv[0] usages to my_name in grail/fm.C, grail/re.C,
grail/fmre.C. Made executable name extraction work with both
Unix and DOS-style path delimiters.

12. Fixed bug in fmstar (added too many final/start instructions
to clone state).

13. Added test cases d7, d8. Renamed all test cases to work within
DOS-style file suffix limitations.

CHANGES IN VERSION 2.0

This section describes the changes and improvements made since
Version 1.2.

1. Converted fa and trans to template classes.

2. Removed tset and xfa.

3. Cleaned up directories and files.

4. #ifdefs used to avoid duplicate definitions of classes
(seems to be required by template instantiation mechanism)

5. fa filters are all now symbolic links to one executable
that checks argv[0] to determine which operation to perform.

6. state::number made private.

7. Fixed trans comparison operators to avoid checking labels
for pseudo-transitions.

9. Removed fa::operator+=(trans&) (it had different semantics
from fa::operator+=(fa&), which could be confusing).

10. Filters renamed to use "fm" prefix; fixed test cases.

11. isomorph does its own renumbering and sorting now.

12. Renamed "fa" class to "fm"; renamed "trans" class to "inst",
"regexp" class to "re".

13. re class rewritten; new classes: empty_set, empty_string,
cat_exp, plus_exp, star_exp, symbol_exp, subexp.

14. re filters are all now symbolic links to one executable
that checks argv[0] to determine which operation to perform.

15. xfm filters are all now symbolic links to one executable
that checks argv[0] to determine which operation to perform.

16. Made string parameterized; altered usage of string where
necessary to string<char>.

17. Rewrote retofm and fmtore.

18. Added various hacks to enable proper template instantiation
(grail/template.1, grail/template.2, note changes in re.h)

19. re now does not automatically "minimize" expressions; remin
has the "minimization" functionality.

CHANGES IN VERSION 1.2

This section describes the changes and improvements made since
Version 1.0.

1. Compiles under xlC 1.00, AT&T 3.0, Watcom C++ 9.5.

2. Added set/gt.cc and set/lt.cc.

3. string::operator+= reallocation changed so that blocks
are always a power of 2. This seemed to fix a bug
when running fatore on RS/6000.

4. In string.h, fa.h, state.h, grail.h, use <iostream.h>
instead of <stream.h>.

5. Removed "form" from regexp/concat.cc, regexp/term.cc,
regexp/token.c.

6. End-of-function return values required for regexp/test*.cc.

7. Removed duplicate xfaplus from grail/Makefile.

8. Improved grail/Makefile to use default rules, removed unnecessary operations.

9. Added "tempinc" to clean targets so that xlC recompilation proceeds correctly.

10. set/include.h and list/include.h designed to handle the default requirements of xlC/Cfront template mechanisms (for xlC, you include the template header file, for Cfront, you don't).

11. Added "XLC" and "ATT" defines to Makefile, tset.h.

12. "delete [] p" removed from ~tset(). It incorrectly duplicates the functionality of ~set(), causes a crash under Watcom 9.5 (discovered by Mark DeLaFranier of Watcom).

13. mksys scripts written for list, set (to provide correct suffixes for xlC and Cfront).

14. Removed <libc.h>, substituted <stdlib.h>.

15. All grail filters given "return 0" at end of main; all return values checked (and modified) for correctness.

16. from_set and from_list made members of list and set respectively.

17. find_part removed from xfa.h.

18. list::compare() only; removed compare from all other classes; compared contents of pointers instead of pointers.

19. list::< and list::>.

20. Removed print functions from set, tset, list; redefined

ostream operators.

21. converted Item::compare to list<Item>::compare in list::sort

22. note that tset:operator<< second argument must be const.

23. famin fixed; can't treat min_by_partition result as boolean.

24. Added functions fa::deterministic_density, xfa::number_of_transitio
xfa::number_of_labels, xfa::number_of_states.

25. For nfa's, faenum computes deterministic density and
converts to deterministic automata if appropriate.

26. Purify'd. Fixed bugs in string::operator+=(const char*) and
ostream::<<(ostream&, regexp&).