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Allowing or Prohibiting Two Consecutive Colors in *n*-Color Compositions

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Abstract

Agarwal introduced *n*-color compositions in 2000 and subsequent research has considered both restricting which parts are allowed and, more recently, which colors are allowed. Here we consider allowing or prohibiting two consecutive colors, focusing on several cases that connect with other types of compositions. We also prove several identities for certain tribonacci numbers. Most proofs are combinatorial, several using the notion of spotted tilings introduced by the first named author in 2012.

1 Introduction and Background

A composition of a given positive integer n is an ordered sequence of positive integers with sum n. The summands are called parts of the composition. Write C(n) for the set of compositions of n with c(n) = |C(n)|. For example,

$$C(3) = \{(3), (2, 1), (1, 2), (1, 1, 1)\}.$$

We can represent a composition of n as a tiling of a $1 \times n$ board where, in order from left to right, each part k corresponds to a $1 \times k$ block. MacMahon [12] established that $c(n) = 2^{n-1}$ by a combinatorial argument equivalent to the cut-join sequence: Working from left to right, each of the n-1 junctures between the n cells is assigned C for cut if a new part starts with the next cell or J for join if the current part continues. Each possibility of n-1 binary choices corresponds to a composition of n. See Figure 1 for an example of both the visual representation of a composition and its cut-join sequence.



Figure 1: The composition (3, 2) is shown as a 1×3 block followed by a 1×2 block. The cut-join sequence for (3, 2) is JJCJ.

Agarwal [1] introduced the concept of *n*-color compositions, where a part k has one of k possible colors, denoted by a subscript $1, \ldots, k$. Write CC(n) for the set of *n*-color compositions of n (this is the standard terminology even though n is being used in two different ways). For example,

$$CC(3) = \{(3_1), (3_2), (3_3), (2_1, 1_1), (2_2, 1_1), (1_1, 2_1), (1_1, 2_2), (1_1, 1_1, 1_1)\}.$$

The first named author developed the following representation of *n*-color compositions [9]: In the tiling of a $1 \times n$ board described above, the part k_i corresponds to a $1 \times k$ block with a spot in position *i*. Here we modify the cut-join sequence to record the color by writing J_i for each join inside the block corresponding to k_i . (Compare this to a different modification used by Hopkins and Ouvry [10] where the cuts have subscripts.) See Figure 2 for an example of both notions.



Figure 2: The *n*-color composition $(3_3, 2_1)$ is shown as a 1×3 block with a spot in its third cell followed by a 1×2 block with a spot in its first cell. The modified cut-join sequence for $(3_3, 2_1)$ is $J_3J_3CJ_1$.

There is additional terminology that will be helpful in the combinatorial arguments [11, Definition 3.1]: Given the $1 \times k$ block corresponding to a part k_c of an *n*-color composition, the *c*-block consists of the first *c* cells (which includes the spotted cell) and the tail consists of any remaining cells. For instance, 3_3 consists of a 3-block and an empty tail while 2_1 consists of a 1-block and a length 1 tail.

The current authors recently made a thorough study of *n*-color compositions under various restrictions on colors [11]. In this work, we provide additional examples of results concerning consecutive colors b and b + 1.

In the next section, we cite general results for allowing two consecutive colors along with a new combinatorial interpretation in addition to several new particular results for small colors. In Section 3, we cite a general result for prohibiting two consecutive colors along with three new results for compositions prohibiting colors 1 and 2. (Below, propositions have been established before and are cited here for background or given new combinatorial proofs. Theorems are, we believe, results new with this work.)

2 Allowing two consecutive colors

In this section, we consider *n*-color compositions where, for some positive integer $b \geq 1$, only colors *b* and b + 1 are allowed. Note that this precludes parts less than *b* from these compositions. We write $CC_{b,b+1}(n)$ for the set of these compositions and $cc_{b,b+1}(n)$ for their count.

Proposition 1. Given positive integers b and n, the number $cc_{b,b+1}(n)$ of n-color compositions of n with only colors b and b+1 allowed satisfies the recurrence

$$cc_{b,b+1}(n) = cc_{b,b+1}(n-1) + cc_{b,b+1}(n-b) + cc_{b,b+1}(n-b-1).$$
(1)

A direct formula for the number of n-color compositions of n with only colors b and b + 1allowed is

$$cc_{b,b+1}(n) = \sum_{m=1}^{n} \sum_{i=0}^{n-bm} \binom{i+m-1}{m-1} \binom{m}{n-bm-i}.$$
(2)

These are special cases of [11, Theorem 2.1] and [11, Proposition 3.4], respectively.

Next, we establish a new combinatorial result connecting certain ternary stings and ncolor compositions of n with only colors b and b + 1 allowed.

Theorem 2. Given positive integers b and n, there is a bijection between $CC_{b,b+1}(n)$, the n-color compositions of n with only colors b and b + 1 allowed, and length n - 1 ternary strings satisfying the following conditions.

- There are no 12 or 21 subwords,
- runs of 1's have length at least b 1,

- runs of 2's have length at least b, and
- successive 0's are separated by at least b 1 digits.

The constraints of such ternary strings can be viewed as walks on a state diagram of the kind used in symbolic dynamics; see Figure 3.

$$(b-1)+ \bigcirc b+$$

Figure 3: A representation of the ternary words of Theorem 2. The b+ label on a loop, for instance, indicates that if a walk uses that edge, it must use it at least b times in a row.

Proof. Given a composition in $CC_{b,b+1}(n)$, its modified cut-join sequence consists of n-1 total symbols among C, J_b , and J_{b+1} . Create a length n-1 ternary word via $C \mapsto 0$, $J_b \mapsto 1$, and $J_{b+1} \mapsto 2$.

The resulting ternary word avoids 12 and 21 since adjacent parts (corresponding to runs of 1's or 2's) are separated by a cut corresponding to 0. A run of 1's corresponds to a part with color b which has length at least b and thus at least b - 1 consecutive joins J_b , so the run of 1's has length at least b - 1. Similarly, a run of 2's corresponds to a part with color b + 1 so the run of 2's has length at least b. Since the minimum part size is b, successive 0's are separated by a run of 1's (or 2's) of length at least b - 1 (or b).

Given a ternary string satisfying the constraints, applying $0 \mapsto \mathbb{C}$, $1 \mapsto J_b$, and $2 \mapsto J_{b+1}$ gives the modified cut-join sequence of an *n*-color composition in $CC_{b,b+1}(n)$ by the previous reasoning.

See Figure 4 for an example of the bijection.



Figure 4: The correspondence between $(5_4, 3_3, 4_4, 4_3) \in CC_{3,4}(16)$ and the length 15 ternary string 222201102220111.

In the remainder of this section, we give further particular results for *n*-color compositions with only colors 1 and 2 allowed, with only colors 2 and 3 allowed, and with only colors 3 and 4 allowed.

2.1 Allowing colors 1 and 2

The recurrence for the number of *n*-color compositions of n with only colors 1 and 2 allowed is, by (1),

$$cc_{1,2}(n) = 2 cc_{1,2}(n-1) + cc_{1,2}(n-2),$$

<u>A001333</u>. In the OEIS [13] entry for this sequence, Paul Barry stated a direct formula simpler than applying our general formula (2). Next, we provide a combinatorial argument using n-color compositions for his formula.

Theorem 3. Given a positive integer n,

$$\operatorname{cc}_{1,2}(n) = \sum_{m=0}^{\lfloor \frac{n}{2} \rfloor} 2^m \binom{n}{2m}.$$

Proof. We show that the summation counts the number of ways to build a composition in $\operatorname{CC}_{1,2}(n)$. Let m satisfying $0 \le m \le \lfloor \frac{n}{2} \rfloor$ be the number of parts of size at least 2. There are $\binom{n}{2m}$ ways to choose a sequence of cells a_1, a_2, \ldots, a_{2m} from a $1 \times n$ board. Let a_{2i+1} and a_{2i+2} mark the starting and ending cells of a part of size at least 2 for $0 \le i \le m - 1$. The remaining cells between these parts (i.e., not between a_{2i+1} and a_{2i+2} for any i) are parts of size 1 which must have color 1. Each of the m larger parts has two choices of color, giving the factor 2^m .

Figure 5 shows an example of the procedure.



Figure 5: The selection of 2, 3, 4, 8, 11, 13 for the a_i when m = 3 gives the composition $(1, 2, 5, 1, 1, 3) \in C(13)$ which has $2^3 = 8$ possible ways to color the parts at least 2 to determine an element of $CC_{1,2}(13)$.

2.2 Allowing colors 2 and 3

Our greatest number of new results concerns the number of *n*-color compositions of *n* with only colors 2 and 3 allowed. By (1) the recurrence is

$$cc_{2,3}(n) = cc_{2,3}(n-1) + cc_{2,3}(n-2) + cc_{2,3}(n-3)$$

and this is (one version of) tribonacci numbers, <u>A001590</u>. This sequence is known to count regular compositions restricted to parts congruent to 1 or 2 modulo 3 [3, 8]. Next we establish a bijection between $CC_{2,3}(n + 1)$ and these restricted compositions which we denote by $C_{1,2m3}(n)$.

Theorem 4. For each positive integer n, there is a bijection between n-color compositions of n + 1 with only colors 2 and 3 allowed and compositions of n with all parts congruent to 1 and 2 modulo 3. I.e.,

$$CC_{2,3}(n+1) \cong C_{1,2m3}(n)$$

Proof. Given the spotted tiling of a composition in $CC_{2,3}(n+1)$, we construct a composition in $C_{1,2m3}(n)$ as follows.

- For each part of the *n*-color composition of n + 1, convert the *c*-block into a part *c* and each cell of the tail into a part 1.
- Decrease the first part (which is 2 or 3) by 1.
- We now have a composition of *n* restricted to parts 1, 2, or 3 with first part 1 or 2. Combine runs of parts 3 with the part preceding the run.

Since all parts 3 have been combined into greater parts merged with a part 1 or 2, this gives a composition in $C_{1,2m3}(n)$.

The reverse map is clear: Given a composition in $C_{1,2m3}(n)$, we construct an element of $CC_{2,3}(n+1)$ as follows.

- Replace each part k > 3 with the part k mod 3 followed by |k/3| parts 3.
- Increase the first part by 1 (so that it is now 2 or 3).
- Convert each part 2 or 3 followed by a length $j \ge 0$ run of parts 1 into a part of an *n*-color composition consisting of a 2- or 3-block, respectively, and length j tail, i.e., the part $(j+2)_2$ or $(j+3)_3$.

This gives an *n*-color composition of n + 1 with each part colored 2 or 3 as desired.

See Figure 6 for an example of the bijection.



Figure 6: The correspondence between $(5_3, 3_2, 4_3) \in C_{2,3}(12)$ and $(2, 1, 1, 2, 4, 1) \in C_{1,2m3}(11)$.

The *n*-color compositions with only colors 2 and 3 allowed match a particular type of "restricted tiling" studied by Barry Balof [2, Example 4], namely, tilings of a board using two types of 1×1 blocks and a single type of 1×2 blocks, such that no adjacent 1×1 blocks have the same type. Equivalently, let $C_{1,2}^*(n)$ be the compositions of *n* restricted to parts $1_1, 1_2, 2$ with no adjacent parts 1 having the same color.

Theorem 5. There is a bijection between n-color compositions of n + 2 with only colors 2 and 3 allowed and compositions of n with parts 2, two types of parts 1, with no adjacent parts 1 of the same type. I.e.,

$$CC_{2,3}(n+2) \cong C_{1,2}^*(n)$$

 $(1_1, 2, 2, 1_2, 1_1, 1_2, 2)$ a c c c c b a b c c c a c | c c | c b a b c | c c $(3_2, 2_2, 5_3, 2_2)$

Figure 7: The correspondence between $(1_1, 2, 2, 1_2, 1_1, 1_2, 2) \in C^*_{1,2}(10)$ and $(3_2, 2_2, 5_3, 2_2) \in CC_{2,3}(12)$.

Proof. Given a composition in $C^*_{1,2}(n)$, we construct a composition in $CC_{2,3}(n+2)$. Following Balof, we write a and b for the two types of parts 1.

First, replace each part 2 with two symbols c and add an additional symbol c at the beginning and end. This gives a length n + 2 string of symbols a, b, c with an even number of symbols c, beginning and ending with c, and with no aa or bb subsequences.

Similar to the proof of Theorem 3, supposing there are 2m symbols c, we consider the (2i+1)st and (2i+2)nd successive symbols c for each $0 \le i \le m-1$.

- If the two symbols c are adjacent, then map them to a part 2_2 .
- If the two symbols c are separated by a string aba... of length k, then map the string caba...c to a part $(k+2)_2$.
- If the two symbols c are separated by a string bab... of length k, then map the string cbab...c to a part $(k+2)_3$.

Since the string had n + 2 symbols, this produces an *n*-color composition in $CC_{2,3}(n + 2)$.

The reverse map is clear: Given an element of $CC_{2,3}(n+2)$, make the substitutions $2_2 \mapsto cc$ and, for k > 2, $k_2 \mapsto caba \dots c$ and $k_3 \mapsto cbab \dots c$ each consisting of k symbols. Removing the first and last symbol c leaves a string of symbols a, b, c where all symbols c occur in pairs. The substitution $cc \mapsto 2$ gives a tiling equivalent to a composition in $C_{1,2}^*(n)$.

See Figure 7 for an example of the bijection.

The remaining results of this subsection are combinatorial proofs of identities involving certain tribonacci numbers, some known and some new. Since this sequence is of broader interest, we state the identities in terms of the sequence t(n) defined by

$$t(n) = t(n-1) + t(n-2) + t(n-3)$$

with t(0) = 1, t(1) = 0, and t(2) = 1 (A001590). The proofs make use of the fact $cc_{2,3}(n) = t(n)$.

The first identity (in an equivalent form and starting from our t(2)) was used by Erdős, Székely, etc., in their study of "tribonacci graphs" [7]. It is also a special case of Identity 77 in the book of Benjamin and Quinn [4]. **Proposition 6.** For each integer $n \geq 3$,

$$t(n) = t(n-2) + 2\sum_{i=3}^{n} t(n-i).$$

Proof. We establish a bijection

$$CC_{2,3}(n) \cong CC_{2,3}(n-2) \cup \bigcup_{i=3}^{n} 2 CC_{2,3}(n-i)$$

where $2 \operatorname{CC}_{2,3}(k)$ denotes two copies of $\operatorname{CC}_{2,3}(k)$.

Given a composition in $CC_{2,3}(n)$, consider its last part.

- If the last part is 2_2 , then removing that part leaves a composition in $CC_{2,3}(n-2)$.
- If the last part is k_2 for some $k \ge 3$, then removing that part leaves a composition in one copy of $CC_{2,3}(n-k)$.
- If the last part is k_3 for some $k \ge 3$, then removing that part leaves a composition in the other copy of $CC_{2,3}(n-k)$.

The reverse map is clear: Given a composition in $CC_{2,3}(n-2)$, add a part 2_2 at the end. Given a composition in one set of $\bigcup CC_{2,3}(n-k)$ for $k \ge 3$, add a part k_2 at the end. Given a composition from the other $\bigcup CC_{2,3}(n-k)$ for $k \ge 3$, add a part k_3 at the end. By construction, the images are distinct.

Proposition 6 involves the sum of consecutive t(i) terms. The identity in the following result, which combines cases of Identities 104 and 105 in Benjamin and Quinn [4], involves the sum of every other t(i) term.

Proposition 7. For each integer $n \geq 3$,

$$t(n) = t(n-1) + 1 + 2\sum_{i=1}^{\lfloor n/2 \rfloor - 1} t(n-2i-1).$$

Proof. We establish a bijection

$$CC_{2,3}(n) \cong CC_{2,3}(n-1) \cup \{h\} \cup \bigcup_{i=1}^{\lfloor n/2 \rfloor - 1} 2 CC_{2,3}(n-2i-1)$$

where $h = h_e := ((2_2)^{n/2})$ if n is even (where the exponent designates repetition), $h = h_o := (3_3, (2_2)^{(n-3)/2})$ if n is odd, and $2 \operatorname{CC}_{2,3}(k)$ denotes two copies of $\operatorname{CC}_{2,3}(k)$.

Write a composition in $CC_{2,3}(n)$ as the concatenation of $b \in CC_{2,3}(n-2k)$ and k parts 2_2 where the final part b_c of b is not 2_2 , i.e., the maximal terminal run of parts 2_2 has length k.

If b is empty, then n is even and we have the composition $h_e = ((2_2)^{n/2})$. If $b = 3_3$, then n is odd and we have the composition $h_o = (3_3, (2_2)^{(n-3)/2})$. Otherwise, consider b_c , the last part of b.

- If $b_c \neq 3_3$, then applying $b_c \mapsto (b-1)_c$ and removing the k terminal parts 2_2 leaves a composition in $CC_{2,3}(n-2k-1)$.
- If $b_c = 3_3$, then removing that 3_3 and the k terminal parts 2_2 leaves a composition in $CC_{2,3}(n-2k-3)$.

As k ranges from 0 to $\lfloor n/2 \rfloor - 1$ (since h_e and h_o are excluded), together we have

$$(CC_{2,3}(n-1) \cup CC_{2,3}(n-3)) \cup (CC_{2,3}(n-3) \cup CC_{2,3}(n-5)) \cup \cdots$$

ending with $(CC_{2,3}(5) \cup CC_{2,3}(3)) \cup CC_{2,3}(3)$ if *n* is even (recall that $CC_{2,3}(1) = 0$) and $(CC_{2,3}(6) \cup CC_{2,3}(4)) \cup CC_{2,3}(4)$ if *n* is odd.

The reverse map is clear: For a composition in $CC_{2,3}(n-1)$ or one $\bigcup CC_{2,3}(n-2i-1)$, apply $k_c \mapsto (k+1)_c$ to the last part of the composition and append the appropriate number of parts 2_2 to make a composition in $CC_{2,3}(n)$. For a composition in the other set $\bigcup CC_{2,3}(n-2i-1)$, add a part 3_3 and then the appropriate number of parts 2_2 to make a composition in $CC_{2,3}(n)$. For a composition in the other set $\lim_{n \to \infty} CC_{2,3}(n-2i-1)$, add a part 3_3 and then the appropriate number of parts 2_2 to make a composition in $CC_{2,3}(n)$. Carry over the composition h_e or h_o depending on whether n is even or odd, respectively. By construction, the images are distinct.

In addition to the above identities on t(n) that can be found in the existing literature, with *n*-color compositions and spotted tilings we can also prove some new identities.

First, we establish a combinatorial proof of a general recursion on t(n). We use the convention that t(n) = 0 for n < 0.

Theorem 8. For each integer $n \ge 0$,

$$t(n) = \begin{cases} 1 + \sum_{i=0}^{k} (t(n-3i-1) + t(n-3i-2)), & \text{if } n = 3k; \\ -1 + \sum_{i=0}^{k} (t(n-3i-1) + t(n-3i-2)), & \text{if } n = 3k+1; \\ \sum_{i=0}^{k} (t(n-3i-1) + t(n-3i-2)), & \text{if } n = 3k+2. \end{cases}$$

Proof. We consider the case n = 3k + 2 in detail and then explain the modifications for the other cases. We establish a bijection

$$CC_{2,3}(n) \cong \bigcup_{i=0}^{k} (CC_{2,3}(n-3i-1) \cup CC_{2,3}(n-3i-2)).$$

Write a composition $c \in CC_{2,3}(n)$ as the concatenation of $b \in CC_{2,3}(n-3k)$ and k parts 3_3 where the final part b_c of b is not 3_3 , i.e., the maximal terminal run of parts 3_3 has length k. We know b is nonempty since n = 3k + 2. Consider b_c , the last part of b.

- If $b_c \neq 2_2$, then applying $b_c \mapsto (b-1)_c$ and removing the k terminal parts 3_3 leaves a composition in $CC_{2,3}(n-3k-1)$.
- If $b_c = 2_2$, then removing that 2_2 and the k terminal parts 3_3 leaves a composition in $CC_{2,3}(n-3k-2)$.

The reverse map is clear: For a composition in $\bigcup \operatorname{CC}_{2,3}(n-3i-1)$, apply $k_c \mapsto (k+1)_c$ to the last part of the composition and append the appropriate number of parts 3_3 to make a composition in $\operatorname{CC}_{2,3}(n)$. For a composition in $\bigcup \operatorname{CC}_{2,3}(n-3i-2)$, add a part 2_2 and then the appropriate number of parts 3_3 to make a composition in $\operatorname{CC}_{2,3}(n)$.

In the case n = 3k, there is also the composition $((3_3)^k)$ (for which b used above is empty) that contributes one to the sum. In the case n = 3k + 1, the first part of the reverse map references the last part of the empty composition of zero, so the count is decreased by one.

Now we prove an analogue of Proposition 6 involving consecutive t(i) terms and Proposition 7 involving every other t(i) term. Our last result for this subsection uses Theorem 8 to establish an identity involving every third t(i) term. The proof is algebraic but relies on the combinatorial proof of the previous theorem.

Theorem 9. For $n \geq 3$,

$$t(n) + t(n-2) = \begin{cases} 2\sum_{i=0}^{k-1} t(n-3i-1), & \text{if } n = 3k \text{ or } n = 3k+1; \\ 2+2\sum_{i=0}^{k-1} t(n-3i-1), & \text{if } n = 3k+2. \end{cases}$$

Proof. We consider the case n = 3k + 1. Applying Theorem 8 to t(n) = t(3k + 1) and t(n-2) = t(3(k-1)+2) gives

$$\begin{split} t(n) + t(n-2) \\ &= -1 + \sum_{i=0}^{k} (t(3k-3i) + t(3k-3i-1)) + \sum_{i=0}^{k-1} (t(3k-3i-2) + t(3k-3i-3)) \\ &= -1 + \sum_{i=0}^{k} t(3k-3i) + \left(\sum_{i=0}^{k} (t(3k-3i-1) + t(3k-3i-2))\right) + \sum_{i=1}^{k} t(3k-3i) \\ &= -1 + \sum_{i=0}^{k} t(3k-3i) + [t(3k)-1] + \sum_{i=1}^{k} t(3k-3i) \\ &= -2 + 2\sum_{i=0}^{k} t(3k-3i) \end{split}$$

$$= -2 + 2t(0) + 2\sum_{i=1}^{k} t(3k - 3i)$$

where the second equality uses t(-2) = 0 and the third equality invokes Theorem 8 again, here the n = 3k case. From the final line, the result follows since t(0) = 1.

The other two cases are similar (and slightly easier).

2.3 Allowing colors 3 and 4

The recurrence for the number of *n*-color compositions of n with only colors 3 and 4 allowed is, by (1),

$$cc_{3,4}(n) = cc_{3,4}(n-1) + cc_{3,4}(n-3) + cc_{3,4}(n-4),$$

<u>A070550</u>. Our last result for this section establishes a result mentioned in the OEIS connecting this sequence with the Fibonacci numbers (<u>A000045</u>) defined by f(0) = 0, f(1) = 1, and f(n) = f(n-1) + f(n-2) for $n \ge 2$.

For the following combinatorial proof, we use compositions of n with no parts 1, denoted $C_{\hat{1}}(n)$. Cayley found that $c_{\hat{1}}(n) = f(n-1)$ [5].

Proposition 10. For $n \geq 3$,

$$cc_{3,4}(n) + cc_{3,4}(n-2) = f(n-1).$$

Proof. We establish a bijection

$$CC_{3,4}(n) \cup CC_{3,4}(n-2) \cong C_{\hat{1}}(n).$$

For a composition in $CC_{3,4}(n)$, working from left to right, apply the substitutions $k_3 \mapsto k$ and $k_4 \mapsto (2, k-2)$. For a composition in $CC_{3,4}(n-2)$, use the same substitutions and add a part 2 at the end. This produces distinct compositions in $C_{1}(n)$.

For the inverse map, for a composition in $C_1(n)$, let $j \ge 0$ be the length of the terminal run of parts 2. If j is odd, remove a part 2 from the end, giving a composition of n-2 with an even length terminal run of parts 2. Working from left to right, map each part $k \ge 3$ to k_3 and, for each part 2, combine it with the following part k and map the pair (2, k) to $(k+2)_4$. This produces distinct compositions in $CC_{3,4}(n) \cup CC_{3,4}(n-2)$.

See Figure 8 for an example of the bijection.

3 Prohibiting two consecutive colors

In this section, we consider *n*-color compositions where, for some integer $b \ge 1$, the colors *b* and b+1 are prohibited. We write $CC_{\overline{b,b+1}}(n)$ for the set of these compositions and $cc_{\overline{b,b+1}}(n)$ for their count.

$$(7_3) \longleftrightarrow (7)$$

$$(7_4) \longleftrightarrow (2,5)$$

$$(4_3,3_3) \longleftrightarrow (4,3)$$

$$(4_4,3_3) \longleftrightarrow (2,2,3)$$

$$(3_3,4_3) \longleftrightarrow (3,4)$$

$$(3_3,4_4) \longleftrightarrow (3,2,2)$$

$$(5_3) \longleftrightarrow (5,2)$$

$$(5_4) \longleftrightarrow (2,3,2)$$

Figure 8: The correspondence between $CC_{3,4}(7) \cup CC_{3,4}(5)$ and $C_{\hat{1}}(7)$, each with f(6) = 8 elements.

Proposition 11. Given an integer $b \ge 1$, the number of n-color compositions of n with colors b and b+1 prohibited satisfies the recurrence

$$\operatorname{cc}_{\widehat{b,b+1}}(n) = 3\operatorname{cc}_{\widehat{b,b+1}}(n-1) - \operatorname{cc}_{\widehat{b,b+1}}(n-2) - \operatorname{cc}_{\widehat{b,b+1}}(n-b) + \operatorname{cc}_{\widehat{b,b+1}}(n-b-2).$$

This is a special case of [11, Theorem 2.2].

3.1 Prohibiting colors 1 and 2

We give three results for n-color compositions where the colors 1 and 2 are prohibited. Note that this means there cannot be parts of size 1 or 2. By Proposition 11 we have the recurrence

$$cc_{\widehat{1,2}}(n) = 2cc_{\widehat{1,2}}(n-1) - cc_{\widehat{1,2}}(n-2) + cc_{\widehat{1,2}}(n-3),$$
(3)

<u>A005314</u>, which also has a direct formula,

$$\operatorname{cc}_{\widehat{1,2}}(n) = \sum_{m=1}^{\lfloor n/3 \rfloor} \binom{n-m-1}{2m-1},$$

a special case of [11, Proposition 3.8].

Our first result of this section connects *n*-color compositions with colors 1 and 2 prohibited and regular compositions restricted to parts congruent to 1 or 2 modulo 4. Write $C_{1,2m4}(n)$ for these compositions of *n*. Recall the notion of *c*-block and tail given in the introduction and used in Theorem 4.

Theorem 12. There is a bijection between n-color compositions of n + 2 with colors 1 and 2 prohibited and compositions of n restricted to parts congruent to 1 or 2 modulo 4. I.e.,

$$\operatorname{CC}_{\widehat{1,2}}(n+2) \cong C_{1,2m4}(n)$$

Proof. Given the spotted tiling of a composition in $CC_{\widehat{1,2}}(n+2)$, we construct a composition in $C_{1,2m4}(n)$ as follows.

- Remove the first two cells (which cannot contain a spot).
- For each part of the *n*-color composition of *n*, convert the *c*-block into a part *c* and each cell of the tail into a part 1.
- In the composition of n, replace each part k with $k \equiv 3, 4 \mod 4$ with the pair (k-2, 2).

Since all parts congruent to 3 or 4 modulo 4 have been replaced by parts congruent to 1 or 2 modulo 4, this gives a composition in $C_{1,2m4}(n)$.

For the reverse map, given a composition in $C_{1,2m4}(n)$, we construct an element of $CC_{\widehat{1,2}}(n+2)$ as follows.

- Increase the first part by 2 making it at least 3.
- Working from right to left, replace each pair (k, 2) by k + 2. This gives a composition with no parts 2.
- Working left to right, convert each part $c \ge 3$ followed by a length $j \ge 0$ run of parts 1 into a part of an *n*-color composition consisting of a *c*-block and length *j* tail, i.e., the part $(c+j)_c$.

This gives an *n*-color composition of n + 2 with no part colored 1 or 2 as desired.

See Figure 9 for an example of the bijection.



Figure 9: The correspondence between $(5_4, 3_3, 4_4) \in CC_{\widehat{1,2}}(12)$ and $(2, 1, 1, 2, 2, 2) \in C_{1,2m4}(10)$.

Our next result involves palindromic compositions, those that read the same from left to right as from right to left. These have been studied since at least 1975 [8]. Among these, we consider palindromic compositions $PC_2^o(n)$ that have no parts 2 and an odd number of parts which were studied by Chinn and Heubach [6].

Theorem 13. There is a bijection between n-color compositions of n with colors 1 and 2 prohibited and palindromic compositions of 2n - 5 with no parts 2 and an odd number of parts. I.e.,

$$\operatorname{CC}_{\widehat{1,2}}(n) \cong \operatorname{PC}^o_{\widehat{2}}(2n-5).$$

Proof. Given a composition in $\operatorname{CC}_{\widehat{1,2}}(n)$, first build a regular composition of n by converting each c-block into a part c and each cell of the tail into a part 1. Let ℓ be the length of this composition d and note that its first part d_1 is at least 3 and that it has no part 2. Now prepend the reverse of d in front of d making a palindromic composition of 2n with 2ℓ parts including two parts d_1 in the middle. Replace that pair (d_1, d_1) with a single part $2d_1 - 5$. This gives a palindromic composition of 2n - 5 with $2\ell - 1$ parts having no parts 2, i.e., an element of $\operatorname{PC}_{2}^{o}(2n-5)$.

For the reverse map, given a composition in $\operatorname{PC}_{2}^{o}(2n-5)$, it has a well-defined middle part m since its length is odd. Because every other part of the palindromic composition is doubled and the sum is odd, we know m is odd. Replace m with the pair ((m+5)/2, (m+5)/2) giving a palindromic composition of 2n with an even number of parts. Now consider just the second half, a composition of n with first part (m+5)/2 which is at least 3. To make an n-color composition, convert each part c with $c \geq 3$ followed by a length $j \geq 0$ run of parts 1 into a part of an n-color composition consisting of a c-block and length j tail. This produces an element of $\operatorname{CC}_{\widehat{1,2}}(n)$.

See Figure 10 for an example of the bijection.



Figure 10: The connection between an *n*-color composition $(5_4, 6_3, \ldots)$ and a palindromic composition of the form $(\ldots, 1, 1, 1, 3, 1, 3, 1, 3, 1, 1, 1, \ldots)$.

Our last result connects two types of *n*-color compositions, those prohibiting colors 1 and 2 and those prohibiting the color 2 (counted by <u>A034943</u>; see [11, $\S3.2.2$]).

Theorem 14. For k a positive integer, the number of n-color compositions of 3k with colors 1 and 2 prohibited equals the number of n-color compositions of 2k - 1 prohibiting the color 2. I.e.,

$$\operatorname{cc}_{\widehat{1,2}}(3k) = \operatorname{cc}_{\widehat{2}}(2k-1).$$

In other words, there is a trisection of $\underline{A005314}$ that matches a bisection of $\underline{A034943}$.

Proof. Let $a(k) = cc_{\widehat{1,2}}(3k)$. Repeated algebraic manipulation of

$$cc_{\widehat{1,2}}(3k) = 2cc_{\widehat{1,2}}(3k-1) - cc_{\widehat{1,2}}(3k-2) + cc_{\widehat{1,2}}(3k-3)$$

from (3) into an expression in terms of $cc_{\widehat{1,2}}(3k-3)$, $cc_{\widehat{1,2}}(3k-6)$, and $cc_{\widehat{1,2}}(3k-9)$ gives

$$a(k) = 5a(k-1) + 2a(k-2) + a(k-3)$$

with initial values a(1) = 1, a(2) = 5, a(3) = 28. The recurrence for a(k) can also be determined by using the generating function for $cc_{\widehat{1,2}}(k)$, namely

$$F(x) = \frac{x^3}{1 - 2x + x^2 - x^3}$$

and determining the trisection of the series by

$$\frac{F(\sqrt[3]{x}) + F(\omega\sqrt[3]{x}) + F(\omega^2\sqrt[3]{x})}{3}$$

where ω is a primitive third root of unity.

Let $b(k) = cc_2(2k - 1)$. By [11, Theorem 2.2] we have

$$cc_{\hat{2}}(2k-1) = 3 cc_{\hat{2}}(2k-2) - 2 cc_{\hat{2}}(2k-3) + cc_{\hat{2}}(2k-4).$$

Either approach mentioned above leads to

$$b(k) = 5b(k-1) + 2b(k-2) + b(k-3)$$

with initial values b(1) = 1, b(2) = 5, b(3) = 28. Thus the sequences are equal.

Of course, in the spirit of this paper, we would prefer a combinatorial proof using a bijection $CC_{\widehat{1,2}}(3k) \cong CC_{\widehat{2}}(2k-1)$; we invite the reader to find one.

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