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# A Minimal Excludant over Overpartitions

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#### Abstract

Define the minimal excludant of an overpartition  $\pi$ , denoted  $\overline{\text{mex}}(\pi)$ , to be the smallest positive integer that is not a part of the non-overlined parts of  $\pi$ . For a positive integer n, the function  $\sigma \overline{\text{mex}}(\pi)$  is the sum of the minimal excludants over all overpartitions of n. In this paper, we prove that the  $\sigma \overline{\text{mex}}(\pi)$  equals the number of partitions of n into distinct parts using three colors. We also provide an asymptotic formula for  $\sigma \overline{\text{mex}}(\pi)$  and show that  $\sigma \overline{\text{mex}}(\pi)$  is almost always even and is odd exactly when n is a triangular number. Moreover, we generalize  $\overline{\text{mex}}(\pi)$  using the least rgaps, denoted  $\overline{\text{mex}}_r(\pi)$ , defined as the smallest part of the non-overlined parts of the overpartition  $\pi$  appearing less than r times. Similarly, for a positive integer n, the function  $\sigma_r \overline{\text{mex}}(\pi)$  is the sum of the least r-gaps over all overpartitions of n. We derive a generating function and an asymptotic formula for  $\sigma_r \overline{\text{mex}}(\pi)$ . Lastly, we study the arithmetic density of  $\sigma_r \overline{\text{mex}}(\pi)$  modulo  $2^k$ , where  $r = 2^m \cdot 3^n, m, n \in \mathbb{Z}_{\geq 0}$ .

## 1 Introduction

The minimal excludant (mex) of a subset S of a well-ordered set U is the smallest value in U that is not in S. In particular, the minimal excludant of a set S of positive integers, denoted  $\max(S)$ , is the least positive integer not in S, i.e.,  $\max(S) = \min(\mathbb{Z}^+ \setminus S)$ . The history of the minimal excludant goes way back in the 1930s when it was first used in combinatorial game theory by Sprague and Grundy [\[8,](#page-18-0) [12\]](#page-18-1).

In 2019, Andrews and Newman [\[2\]](#page-18-2) studied the minimal excludant of an integer partition  $\pi$ , denoted mex( $\pi$ ), which is defined as the smallest positive integer that is not a part of  $\pi$ . Moreover, they also introduced the arithmetic function

$$
\sigma \operatorname{mex}(n) := \sum_{\pi \in \mathcal{P}(n)} \operatorname{mex}(\pi),
$$

where  $\mathcal{P}(n)$  is the set of all partitions of n.

In their paper, Andrews and Newman proved the following interesting relationship between  $\sigma$  mex(n) and  $D_2(n)$  which is the number of partitions of n into distinct parts using two colors:

$$
\sigma \operatorname{mex}(n) = D_2(n).
$$

Moreover, they showed that  $\sigma$  mex $(n)$  is almost always even; in particular, they showed that  $\sigma \max(n)$  is odd exactly when  $n = j(3j \pm 1)$  for some  $j \in \mathbb{Z}^+$ .

Recall that an overpartition of a positive integer  $n$  is a non-increasing sequence of natural numbers whose sum is  $n$  in which the first occurrence of a number may be overlined. We denote by  $\bar{p}(n)$  the number of overpartitions of n. For example,  $\bar{p}(3) = 8$  since there are 8 overpartitions of 3 which are:

$$
3, \overline{3}, 2 + 1, \overline{2} + 1, 2 + \overline{1}, \overline{2} + \overline{1}, 1 + 1 + 1, \overline{1} + 1 + 1.
$$

The goal of this paper is to extend the notion of minimal excludant of partitions to overpartitions. There are several ways to obtain such a generalization. (For example, see Section 4 of [\[7\]](#page-18-3) for one such definition of minimal excludant of overpartitions and its relation to the Ramanujan function  $R(q)$ .) We propose the following definition below (Definition [1\)](#page-1-0). We justify using this definition through the results we obtain, which are overpartition analogues of results concerning the classical partition function (see Proposition [10\)](#page-8-0). We also note that our definition coincides with the one given in a recent paper of Yang and Zhou on identities involving mex-related partitions [\[14\]](#page-18-4).

<span id="page-1-0"></span>**Definition 1.** The *minimal excludant of an overpartition*  $\pi$ , denoted  $\overline{\text{mex}}(\pi)$ , is the smallest positive integer that is not a part of the non-overlined parts of  $\pi$ . For a positive integer n, denote the sum of  $\overline{\text{mex}}(\pi)$  over all overpartitions  $\pi$  of n as  $\sigma \overline{\text{mex}}(n)$ :

$$
\sigma \overline{\text{mex}}(n) = \sum_{\pi \in \overline{\mathcal{P}}(n)} \overline{\text{mex}}(\pi),
$$

where  $\overline{\mathcal{P}}(n)$  is the set of all overpartitions of n. We set  $\sigma \overline{\text{mex}}(0) = 1$ .

For example, consider  $n = 3$ . The table below shows all overpartitions of 3 and their corresponding minimal excludant.

π	$\overline{\text{mex}}(\pi)$
3	1
$\overline{3}$	1
$2 + 1$	3
$\overline{2}+1$	$\overline{2}$
$2+\overline{1}$	$\mathbf{1}$
$\overline{2}+\overline{1}$	$\mathbf{1}$
$1+1+1$	$\overline{2}$
$\overline{1}+1+1$	$\overline{2}$

Table 1: Minimal excludants of overpartitions of 3.

Thus,  $\sigma \overline{\text{mex}}(3) = 13$ . The table below shows the first ten values of  $\sigma \overline{\text{mex}}(n)$ .

--- -	◡			◡		

Table 2: First ten values of  $\sigma \overline{\text{mex}}(n)$ .

We observe that these are also the first ten values of the sequence  $A022568$  in OEIS which is  $(D_3(n))$ , the sequence of number of partitions of n into distinct parts using three colors. In Section 2, we derive the generating function of  $\sigma \overline{\text{mex}}(n)$  and prove the aforementioned observation relating  $\sigma \overline{\text{mex}}(n)$  and  $D_3(n)$ . This result generalizes the results of Andrews and Newman, which relates  $\sigma$  mex $(n)$  and  $D_2(n)$ .

<span id="page-2-0"></span>Theorem 2. *For all positive integers* n*, we have*

$$
\sigma \overline{\text{mex}}(n) = D_3(n).
$$

We also derive an asymptotic formula for  $\sigma \overline{\text{mex}}(n)$  and prove a theorem regarding the parity of  $\sigma \overline{\text{mex}}(n)$ .

<span id="page-2-1"></span>Theorem 3. *We have*

$$
\sigma\overline{\rm{mex}}(n)\sim \frac{e^{\pi\sqrt{n}}}{8n^{3/4}}
$$

 $as n \to \infty$ .

<span id="page-2-2"></span>Theorem 4. *For a positive integer* n*, we have*

$$
\sigma \overline{\text{mex}}(n) \equiv \begin{cases} 1 \pmod{2}, & \text{if } n = \frac{j(j+1)}{2} \text{ for some } j \in \mathbb{N}; \\ 0 \pmod{2}, & \text{otherwise.} \end{cases}
$$

Ballantine and Merca [\[3\]](#page-18-5) explored the least r-gap of a partition  $\pi$ , denoted  $g_r(\pi)$ , which is the smallest part of  $\pi$  appearing less than r times. In particular,  $g_1(\pi)$  is the minimal excludant of  $\pi$ . They defined the arithmetic function

$$
\sigma_r \operatorname{mex}(n) = \sum_{\pi \in \mathcal{P}(n)} g_r(\pi)
$$

which is the sum of the least r-gaps in all partitions of n. They also derived the following generating function for  $\sigma_r$  mex $(n)$ :

$$
\sum_{n=0}^{\infty} \sigma_r \operatorname{mex}(n) q^n = \frac{(q^{2r}; q^{2r})_{\infty}}{(q;q)_{\infty}(q^r; q^{2r})_{\infty}}
$$

where  $(a;q)_{\infty} := \prod_{k=0}^{\infty} (1 - aq^k)$ .

In this paper, we generalize  $\overline{\text{mex}}(\pi)$  into r-gaps.

**Definition 5.** The *least* r-gap of an overpartition  $\pi$ , denoted  $\overline{\text{mex}}_r(\pi)$  is the smallest part of the non-overlined parts of  $\pi$  appearing less than r times. Moreover, the function

$$
\sigma_r \overline{\text{mex}}(n) = \sum_{\pi \in \overline{\mathcal{P}}(n)} \overline{\text{mex}}_r(\pi)
$$

is the sum of the least r-gaps over all overpartitions of n. Moreover, we set  $\sigma_r \overline{\text{mex}}(0) = 1$ .

For example, let  $r = 2$  and  $n = 3$ . The table below shows all overpartitions of 3 and their corresponding least 2-gap.

π	$\overline{\text{mex}}(\pi)$
3	1
$\overline{3}$	1
$2 + 1$	1
$\overline{2}+1$	1
$2+\overline{1}$	1
$\overline{2}+\overline{1}$	1
$1 + 1 + 1$	2
$\overline{1}+1+1$	$\overline{2}$

Table 3: Least 2-gaps of overpartitions of 3.

Thus,  $\sigma_2 \overline{\text{mex}}(2) = 10$ . The first ten values of  $\sigma_2 \overline{\text{mex}}(n)$  are given in the following table below.

$\tau_2 \overline{m} \overline{\rho} \overline{x}$ ( <i>n</i> .					$\mid 1 \mid 2 \mid 5 \mid 10 \mid 18 \mid 32 \mid 55 \mid 90 \mid 144 \mid$	

Table 4: First ten values of  $\sigma_2 \overline{\text{mex}}(n)$ .

We observe that these are also the first ten values of the sequence  $A001936$  in OEIS which is the sequence of coefficients of  $q^n$  in the expansion of

$$
\frac{(-q;q)_{\infty}(q^4;q^4)_{\infty}}{(q;q)_{\infty}(q^2;q^4)_{\infty}}.
$$

In Section 3, we derive the generating function and asymptotic formula for  $\sigma_r \overline{\text{mex}}(n)$ .

<span id="page-4-0"></span>Theorem 6. *For all positive integers* r*, we have*

$$
\sum_{n=0}^{\infty} \sigma_r \overline{\text{mex}}(n) q^n = \frac{(-q;q)_{\infty} (q^{2r}; q^{2r})_{\infty}}{(q;q)_{\infty} (q^r; q^{2r})_{\infty}}.
$$

<span id="page-4-1"></span>Theorem 7. *For all positive integers* r*, we have*

$$
\sigma_r \overline{\text{mex}}(n) \sim \frac{e^{\pi \sqrt{n}}}{8\sqrt{r}n^{3/4}}
$$

*as*  $n \to \infty$ .

Chakraborty and Ray [\[6\]](#page-18-6) studied the arithmetic density of  $\sigma_2 \max(n)$  and  $\sigma_3 \max(n)$ modulo  $2^k$  for a positive integer k and proved that for almost every nonnegative integer n lying in an arithmetic progression, the integer  $\sigma_r$  mex(*n*) is a multiple of  $2^k$  where  $r \in \{2, 3\}$ .

We also study the arithmetic density of  $\sigma_r \overline{\text{mex}}(n)$  when  $r = 2^m \cdot 3^n$ , where  $m, n \in \mathbb{Z}_{\geq 0}$ . In Section 4, we prove the following result.

<span id="page-4-2"></span>**Theorem 8.** Let  $r = 2^m \cdot 3^n$  where  $m, n \in \mathbb{Z}_{\geq 0}$  and  $k \geq 1$  be a positive integer. Then

$$
\lim_{X \to +\infty} \frac{\#\{n \le X : \sigma_r \overline{\text{mex}}(n) \equiv 0 \pmod{2^k}\}}{X} = 1.
$$

Equivalently, for almost every nonnegative integer  $n$  lying in an arithmetic progression, the integer  $\sigma_r \overline{\text{mex}}(n)$  is a multiple of  $2^k$  when  $r = 2^m \cdot 3^n, m, n \in \mathbb{Z}_{\geq 0}$ .

## 2 Minimal excludant of an overpartition

#### 2.1 Generating function of  $\sigma \overline{\text{mex}}(n)$

*Proof of Theorem [2.](#page-2-0)* Let  $p^{\overline{\text{max}}}(m, n)$  be the number of overpartitions  $\pi$  of n with  $\overline{\text{max}}(\pi)$  = m. Then we have the following double series  $M(z, q)$  in which the coefficient of  $z^m q^n$  is  $p^{\overline{\text{mex}}}(m,n)$ :

$$
M(z,q) := \sum_{n=0}^{\infty} \sum_{m=1}^{\infty} p^{\overline{\text{max}}}(m,n) z^m q^n = \sum_{m=1}^{\infty} z^m q^1 \cdot q^2 \cdot \dots \cdot q^{m-1} \cdot \frac{\prod_{n=1}^{\infty} (1+q^n)}{\prod_{\substack{n=1 \ n \neq m}}^{\infty} (1-q^n)}
$$
  

$$
= \sum_{m=1}^{\infty} z^m q^{\binom{m}{2}} \cdot \frac{(-q;q)_{\infty}}{(q;q)_{\infty}} \cdot (1-q^m)
$$
  

$$
= \frac{(-q;q)_{\infty}}{(q;q)_{\infty}} \sum_{m=1}^{\infty} z^m q^{\binom{m}{2}} \cdot (1-q^m).
$$

Thus we have

$$
\sum_{n\geq 0} \sigma \overline{\text{mex}}(n) q^n = \frac{\partial}{\partial z} \Big|_{z=1} M(z, q)
$$
  
\n
$$
= \frac{(-q; q)_{\infty}}{(q; q)_{\infty}} \sum_{m=0}^{\infty} m q^{\binom{m}{2}} (1 - q^m)
$$
  
\n
$$
= \frac{(-q; q)_{\infty}}{(q; q)_{\infty}} \left( \sum_{m=1}^{\infty} m q^{\binom{m}{2}} - \sum_{m=1}^{\infty} m q^{\binom{m}{2}} \cdot q^m \right)
$$
  
\n
$$
= \frac{(-q; q)_{\infty}}{(q; q)_{\infty}} \left( \sum_{m=1}^{\infty} m q^{\binom{m}{2}} - \sum_{m=1}^{\infty} (m - 1) q^{\binom{m}{2}} \right)
$$
  
\n
$$
= \frac{(-q; q)_{\infty}}{(q; q)_{\infty}} \sum_{m=0}^{\infty} q^{\binom{m+1}{2}}
$$
  
\n
$$
= \frac{(-q; q)_{\infty}}{(q; q)_{\infty}} \cdot \frac{(q^2; q^2)_{\infty}}{(q; q^2)_{\infty}}
$$
  
\n
$$
= (-q; q)_{\infty} \cdot \frac{(q^2; q^2)_{\infty}}{(q; q)_{\infty} (q; q^2)_{\infty}}, \text{ (by [1], Eq. (2.2.13))}
$$
  
\n
$$
= (-q; q)_{\infty} \cdot (-q; q)_{\infty}^2
$$
  
\n
$$
= (-q; q)^3_{\infty}
$$
  
\n
$$
= \sum_{n\geq 0} D_3(n) q^n.
$$

As an illustration, observe that the thirteen 3-colored partitions of 3 are:  $3_1$ ,  $3_2$ ,  $3_3$ ,  $2_1$  +  $1_1$ ,  $2_1 + 1_2$ ,  $2_1 + 1_3$ ,  $2_2 + 1_1$ ,  $2_2 + 1_2$ ,  $2_2 + 1_3$ ,  $2_3 + 1_1$ ,  $2_3 + 1_2$ ,  $2_3 + 1_3$ ,  $1_1 + 1_2 + 1_3$ , Indeed,  $D_3(3) = 13 = \sigma \overline{\text{mex}}(3).$ 

 $\Box$ 

#### 2.2 Asymptotic formula for  $\sigma \overline{\text{mex}}(n)$

To derive an asymptotic formula for  $\sigma \overline{\text{mex}}(n)$ , we use the following asymptotic result by Ingham [\[5\]](#page-18-8) about the coefficients of a power series.

<span id="page-6-0"></span>**Proposition 9.** Let  $A(q) = \sum_{n=0}^{\infty} a(n)q^n$  be a power series with radius of convergence equal *to 1. Assume that* (a(n)) *is a weakly increasing sequence of nonnegative real numbers. If there are constants*  $\alpha, \beta \in \mathbb{R}$ *, and*  $C > 0$  *such that* 

$$
A(e^{-t}) \sim \alpha t^{\beta} e^{\frac{C}{t}}, \text{ as } t \to 0^+, \quad A(e^{-z}) \ll |z|^{\beta} e^{\frac{C}{|z|}} \text{ as } z \to 0,
$$

*with*  $z = x + iy$   $(x > 0, y \in \mathbb{R})$  *in each region of the form*  $|y| \leq \Delta x$  *for*  $\Delta > 0$ *. Then* 

$$
a(n) \sim \frac{\alpha}{2\sqrt{\pi}} \frac{C^{\frac{2\beta+1}{4}}}{n^{\frac{2\beta+3}{4}}} e^{2\sqrt{Cn}}, \text{ as } n \to \infty.
$$

*Proof of Theorem [3.](#page-2-1)* Note that  $\sigma \overline{\text{mex}}(n) = D_3(n)$  and  $(D_3(n))$  is an increasing sequence of nonnegative real numbers, thus  $\sigma \overline{\text{mex}}(n)$  is also an increasing sequence of nonnegative real numbers. Let  $A(q) = (-q; q)_{\infty}^3$ , where  $a(n) = \sigma \overline{\text{mex}}(n)$  as in Proposition [9.](#page-6-0) From [\[4\]](#page-18-9), we have

<span id="page-6-1"></span>
$$
\frac{1}{(e^{-t}; e^{-t})_{\infty}} \sim \sqrt{\frac{t}{2\pi}} e^{\frac{\pi^2}{6t}} \text{ as } t \to 0^+.
$$
 (1)

Moreover, we use the following identity:

<span id="page-6-2"></span>
$$
(-q;q)_{\infty} = \frac{1}{(q;q^2)_{\infty}} = \frac{(q^2;q^2)_{\infty}}{(q;q)_{\infty}}.
$$
\n(2)

By [\(1\)](#page-6-1) and [\(2\)](#page-6-2), as  $t \to 0^+$ , we obtain

$$
(-e^{-t}; e^{-t})_{\infty} = \frac{(e^{-2t}; e^{-2t})_{\infty}}{(e^{-t}; e^{-t})_{\infty}} \sim \frac{\sqrt{\frac{t}{2\pi}}e^{\frac{\pi^2}{6t}}}{\sqrt{\frac{2t}{2\pi}}e^{\frac{\pi^2}{12t}}} = \frac{1}{\sqrt{2}}e^{\frac{\pi^2}{12t}}.
$$

Hence, as  $t \to 0^+$ , we get

$$
A(e^{-t}) = (-e^{-t}; e^{-t})_{\infty}^{3} \sim \left(\frac{1}{\sqrt{2}}e^{\frac{\pi^{2}}{12t}}\right)^{3} = \frac{1}{2\sqrt{2}}e^{\frac{\pi^{2}}{4t}}.
$$
 (3)

Moreover, from [\[5\]](#page-18-8), if  $z = x + iy$   $(x > 0)$  with  $|y| \leq \Delta x$ , then

<span id="page-6-4"></span><span id="page-6-3"></span>
$$
\frac{1}{(e^{-z}; e^{-z})_{\infty}} \sim \sqrt{\frac{z}{2\pi}} e^{\frac{\pi^2}{6z}}, \quad \text{as } z \to 0.
$$
 (4)

Similarly, we have

$$
A(e^{-z}) = (-e^{-z}; e^{-z})_{\infty}^{3} = \frac{(e^{-2z}; e^{-2z})_{\infty}^{3}}{(e^{-z}; e^{-z})_{\infty}^{3}} \sim \frac{1}{2\sqrt{2}}e^{\frac{\pi^{2}}{4z}}
$$

as  $z \to 0$ , in these regions. From Remark 2 in [\[5\]](#page-18-8), this implies that

$$
A(e^{-z}) \ll |z|^0 e^{\frac{\pi^2}{4|z|}}, \text{ as } z \to 0
$$

in each region of the form  $|y| \leq \Delta x$  for  $\Delta > 0$ .

Take  $\alpha = \frac{1}{2}$  $\frac{1}{2\sqrt{2}}, \beta = 0$  and  $C = \frac{\pi^2}{4}$  $\frac{\tau^2}{4}$ . By Proposition [9,](#page-6-0) we obtain

$$
\sigma \overline{\text{mex}}(n) \sim \frac{\frac{1}{2\sqrt{2}}}{2\sqrt{\pi}} \frac{\left(\frac{\pi^2}{4}\right)^{1/4}}{n^{3/4}} e^{2\sqrt{\frac{\pi^2}{4}n}} = \frac{e^{\pi\sqrt{n}}}{8n^{3/4}}
$$

 $\Box$ 

 $\Box$ 

as  $n \to \infty$ .

## 2.3 Parity of  $\sigma \overline{\text{mex}}(n)$

*Proof of Theorem [4.](#page-2-2)* We have

$$
\sum_{n\geq 0} \sigma \overline{\text{mex}}(n) q^n = (-q; q)^3_{\infty}
$$
  
= 
$$
\prod_{n=1}^{\infty} (1 + q^n)^3
$$
  
= 
$$
\prod_{n=1}^{\infty} (1 - q^n)^3 \pmod{2}
$$
  
= 
$$
(q; q)^3_{\infty}
$$
  
= 
$$
\sum_{j=0}^{\infty} (-1)^j (2j+1) q^{\frac{j(j+1)}{2}}, \text{ by Jacobi's identity [10].}
$$

Comparing coefficients, we have that  $\sigma \overline{\text{mex}}(n) \equiv 0 \pmod{2}$  for  $n \neq \frac{j(j+1)}{2}$  $\frac{+1}{2}$  for every  $j \in \mathbb{N}$ and  $\sigma \overline{\text{mex}}(n) \equiv 1 \pmod{2}$  otherwise. This shows that  $\sigma \overline{\text{mex}}(n)$  is almost always even and is odd exactly when  $n$  is a triangular number.

### 3 Least  $r$ -gaps

#### 3.1 Generating function of  $\sigma_r \overline{\text{mex}}(n)$

Ballantine and Merca [\[3\]](#page-18-5) proved that for  $n \geq 0$  and  $r \geq 1$ ,

$$
\sum_{k=0}^{\infty} p(n - rT_k) = \sigma_r \operatorname{mex}(n).
$$

We extend this result to overpartitions and present an analogous proof for the following proposition.

<span id="page-8-0"></span>**Proposition 10.** *For*  $n \geq 0$  *and*  $r \geq 1$ ,

$$
\sum_{k=0}^{\infty} \overline{p}(n - rT_k) = \sigma_r \overline{\text{mex}}(n).
$$

*Proof.* Fix  $r \geq 1$ . For each  $k \geq 0$ , consider the staircase partition

$$
\delta_r(k) = (1^r, 2^r, \dots, (k-1)^r, k^r)
$$

where each part from 1 to k is repeated r times. We create an injection from the set of overpartitions of  $n - rT_k$  into the set of overpartitions of n with the following mapping:

$$
\phi_{r,n,k} : \overline{\mathcal{P}}(n - rT_k) \hookrightarrow \overline{\mathcal{P}}(n)
$$

where for an overpartition  $\pi$  of  $n - rT_k$ ,  $\phi_{r,n,k}(\pi)$  is the overpartition obtained by inserting the non-overlined staircase partition  $\delta_r(k)$ .

For example, if  $\pi = 4 + \overline{3} + 2 + \overline{1} + 1 = 11$ , we have  $\phi_{2,23,3} = 4 + \overline{3} + 2 + \overline{1} + 1 + 3 + 3 + \overline{1}$  $2 + 2 + 1 + 1 = 23.$ 

Let  $\mathcal{A}_{r,n,k}$  be the image of the overpartitions of  $n-rT_k$  under  $\phi_{r,n,k}$ . We have  $\overline{p}(n-rT_k)$  =  $|\mathcal{A}_{r,n,k}|$  and  $\mathcal{A}_{r,n,k}$  consists of the partitions of n satisfying  $\overline{\text{mex}}_r(\pi) > k$ .

Now, suppose  $\pi$  is an overpartition of n with  $\overline{\text{mex}}_r(\pi) = k$ . Then  $\pi \in \mathcal{A}_{r,n,i}$ , for  $i =$  $0, 1, \ldots, k-1$  and  $\pi \notin A_{r,n,j}$  with  $j \geq k$ . Thus each overpartition of n with  $\overline{\text{mex}}_r(\pi) = k$  is counted by the summation  $\sum_{n=1}^{\infty}$  $\Box$  $\overline{p}(n - rT_k)$  exactly k times.  $k=0$ 

*Proof of Theorem [6.](#page-4-0)* We have

$$
\sum_{n=0}^{\infty} \sigma_r \overline{\text{mex}}(n) q^n = \sum_{n=0}^{\infty} \left( \sum_{k=0}^{\infty} \overline{p}(n - rT_k) \right) q^n
$$
, by Proposition 10

$$
= \sum_{n=0}^{\infty} \sum_{k=0}^{\infty} \overline{p}(n - rT_k)q^n
$$

$$
= \sum_{n=0}^{\infty} \sum_{k=0}^{\infty} \overline{p}(n)q^{n+rT_k}
$$

$$
= \left(\sum_{n=0}^{\infty} \overline{p}(n)q^n\right) \left(\sum_{k=0}^{\infty} q^{rT_k}\right)
$$

.

 $\Box$ 

Note that the generating function for  $\bar{p}(n)$  is

$$
\sum_{n=0}^{\infty} \overline{p}(n)q^n = \frac{(-q;q)_{\infty}}{(q;q)_{\infty}}.
$$

Moreover, from [\[3\]](#page-18-5), we have

$$
\sum_{k=0}^{\infty} q^{rT_k} = \frac{(q^{2r}; q^{2r})_{\infty}}{(q^r; q^{2r})_{\infty}}.
$$

Thus we have

$$
\sum_{n=0}^{\infty} \sigma_r \overline{\text{mex}}(n) q^n = \left(\sum_{n=0}^{\infty} \overline{p}(n) q^n\right) \left(\sum_{k=0}^{\infty} q^{rT_k}\right) = \frac{(-q;q)_{\infty} (q^{2r};q^{2r})_{\infty}}{(q;q)_{\infty} (q^r;q^{2r})_{\infty}}.
$$

### 3.2 Asymptotic formula for  $\sigma_r \overline{\text{mex}}(n)$

Here, we generalize our asymptotic result in Theorem  $3$  for the least r-gaps.

*Proof of Theorem [7.](#page-4-1)* Note that  $\overline{p}(n) < \overline{p}(n+1)$  for  $n \in \mathbb{N}$ , since for every overpartition of *n*, say  $n = a_1 + a_2 + \cdots + a_l$ , we correspondingly have  $n + 1 = a_1 + a_2 + \cdots + a_l + 1$ as an overpartition of  $n + 1$ . Since  $\sigma_r \overline{\text{mex}}(n)$  is the sum of the least r-gaps taken over all overpartitions of n, then we can conclude that  $\sigma_r \overline{\text{mex}}(n)$  is a weakly increasing sequence.

Let 
$$
A(q) = \frac{(-q;q)_{\infty}(q^{2r};q^{2r})_{\infty}}{(q;q)_{\infty}(q^r;q^{2r})_{\infty}}
$$
, where  $a(n) = \sigma_r \overline{\text{mex}}(n)$  as in Proposition 9. First,  

$$
A(q) = \frac{(-q;q)_{\infty}(q^{2r};q^{2r})_{\infty}}{(q;q)_{\infty}(q^r;q^{2r})_{\infty}} = \frac{(-q;q)_{\infty}(-q^r;q^r)_{\infty}^2(q^r;q^r)_{\infty}}{(q;q)_{\infty}}.
$$

Hence, using [\(3\)](#page-6-3), as  $t \to 0^+$ , we get

$$
A(e^{-t}) = \frac{(-e^{-t}; e^{-t})_{\infty}(-e^{-rt}; e^{-rt})_{\infty}^2 (e^{-rt}; e^{-rt})_{\infty}}{(e^{-t}; e^{-t})_{\infty}}
$$

$$
\sim \frac{\frac{1}{\sqrt{2}}e^{\frac{\pi^2}{12t}} \left(\frac{1}{\sqrt{2}}e^{\frac{\pi^2}{12rt}}\right)^2 \sqrt{\frac{t}{2\pi}}e^{\frac{\pi^2}{6t}}}{\sqrt{\frac{rt}{2\pi}}e^{\frac{\pi^2}{6rt}}}
$$

$$
= \frac{1}{2\sqrt{2r}}e^{\frac{\pi^2}{4t}}.
$$

Moreover, if  $z = x + iy$   $(x > 0)$  with  $|y| \leq \Delta x$ , then from [\(4\)](#page-6-4), we have

$$
A(e^{-z}) = \frac{(-e^{-z}; e^{-z})_{\infty}(-e^{-rz}; e^{-rz})_{\infty}^2(e^{-rz}; e^{-rz})_{\infty}}{(e^{-z}; e^{-z})_{\infty}} \sim \frac{1}{2\sqrt{2r}}e^{\frac{\pi^2}{4z}}, \text{ as } z \to 0.
$$

From Remark 2 in [\[5\]](#page-18-8), this implies that  $A(e^{-z}) \ll |z|^{0}e^{\frac{\pi^{2}}{4|z|}}$  as  $z \to 0$  in each region of the form  $|y| \leq \Delta x$  for  $\Delta > 0$ .

Take  $\alpha =$ 1  $\sqrt{2r}$  $, \beta = 0$  and  $C = \frac{\pi^2}{4}$  $\frac{\tau^2}{4}$ , by Proposition [9,](#page-6-0) we obtain

$$
\sigma_r \overline{\text{mex}}(n) \sim \frac{\frac{1}{2\sqrt{2}r}}{2\sqrt{\pi}} \frac{\left(\frac{\pi^2}{4}\right)^{1/4}}{n^{3/4}} e^{2\sqrt{\frac{\pi^2}{4}n}} = \frac{e^{\pi\sqrt{n}}}{8\sqrt{r}n^{3/4}}
$$

as  $n \to \infty$ .

## 4 Distribution of  $\sigma_r \overline{\text{mex}}(n)$

#### 4.1 Preliminaries

We first discuss some preliminaries about modular forms. We define the upper-half complex plane

$$
\mathbb{H}=\{z\in\mathbb{C}\mid \Im(z)>0\}
$$

and the modular group

$$
SL_2(\mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \, \middle| \, ad - bc = 1; a, b, c, d \in \mathbb{Z} \right\}.
$$

For  $A =$  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$ , the modular group  $SL_2(\mathbb{Z})$  acts on  $\mathbb{H}$  by the following linear fractional transformation:

$$
Az = \begin{pmatrix} a & b \\ c & d \end{pmatrix} z = \frac{az+b}{cz+d}.
$$

 $\Box$ 

Moreover, if  $N \in \mathbb{Z}^+$ , we define the following *congruence subgroups* of  $SL_2(\mathbb{Z})$  of level N:

$$
\Gamma_0(N) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) \middle| \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \pmod{N} \right\}
$$

$$
\Gamma_1(N) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) \middle| \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}
$$

$$
\Gamma(N) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) \middle| \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}.
$$

Note that the following inclusions are true:

$$
\Gamma(N) \subseteq \Gamma_1(N) \subseteq \Gamma_0(N) \subseteq SL_2(\mathbb{Z}).
$$

Modular forms are complex functions on  $\mathbb H$  that transforms nicely under these congruence subgroups of  $SL_2(\mathbb{Z})$ . For this paper, we are interested on modular forms transforming nicely with respect to  $\Gamma_0(N)$  having a Nebentypus character  $\chi$  defined as follows.

**Definition 11.** Let  $\chi$  be a Dirichlet character modulo N (a positive integer). Then a modular form  $f \in M_k(\Gamma_1(N))$  has *Nebentypus character*  $\chi$  if

$$
f\left(\frac{az+b}{cz+d}\right) = \chi(d)(cz+d)^k f(z)
$$

for all  $z \in \mathbb{H}$  and all  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(N)$ . The space of all such modular forms is denoted  $M_k(N, \chi)$ .

In particular, we look at modular forms involving the Dedekind eta function which is defined as follows.

**Definition 12.** The *Dedekind eta function* is the function  $\eta(z)$  where  $z \in \mathbb{H}$ :

$$
\eta(z) = e^{\frac{\pi i z}{12}} \prod_{n=1}^{\infty} (1 - e^{2\pi i n z}).
$$

Defining  $q := e^{2\pi i z}$ , we have:

$$
\eta(z) = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n).
$$

**Definition 13.** A function  $f(z)$  is called an *eta-product* if it is expressible as a finite product of the form

$$
f(z) = \prod_{\delta|N} \eta^{r_{\delta}}(\delta z)
$$

where N and each  $r_{\delta}$  is an integer.

We use the next two theorems to prove that an eta-product is a holomorphic modular form.

**Theorem 14** (Gordon, Hughes, Newman). *If*  $f(z) = \prod_{\delta|N} \eta^{r_{\delta}}(\delta z)$  *is an eta-product for which*

<span id="page-12-0"></span>
$$
\sum_{\delta|N} \delta r_{\delta} \equiv 0 \pmod{24} \tag{5}
$$

*and*

<span id="page-12-1"></span>
$$
\sum_{\delta|N} \frac{N}{\delta} r_{\delta} \equiv 0 \text{ (mod 24)}
$$
 (6)

*then* f(z) *satisfies*

$$
f(Az) = \chi(d)(cz+d)^k f(z)
$$

for all 
$$
A = \begin{pmatrix} a & b \ c & d \end{pmatrix} \in \Gamma_0(N)
$$
 where  $k = \sum_{\delta|N} r_{\delta}$ . Here the character  $\chi$  is defined by  $\chi(d) = \left(\frac{(-1)^k s}{d}\right)$  and  $s = \prod_{\delta|N} \delta^{r_{\delta}}$ .

<span id="page-12-2"></span>**Theorem 15** (Ligozat). Let c, d and N be positive integers with  $d \mid N$  and  $gcd(c, d) = 1$ . *With the notation as above, if the eta-product*  $f(z)$  *satisfies* [\(5\)](#page-12-0) and [\(6\)](#page-12-1), then the order of *vanishing of*  $f(z)$  *at the cusp*  $\frac{c}{d}$  *is* 

$$
\frac{1}{24} \sum_{\delta|N} \frac{N \gcd(d, \delta)^2 r_{\delta}}{\gcd(d, \frac{N}{d}) d\delta}.
$$

#### 4.2 Proof of main result

Before we prove Theorem [8,](#page-4-2) we prove two propositions first.

Proposition 16. *Let* k *be a positive integer. Then*

$$
f_{r,k}(z) := \frac{\eta(48z)\eta(24rz)^{2^k-1}}{\eta(24z)^2\eta(48rz)^{2^{k-1}-2}} \equiv \sum_{n=0}^{\infty} \sigma_r \overline{\text{mex}}(n) q^{24n+3r} \pmod{2^k}.
$$

*Proof.* Consider

$$
g(z) = \frac{\eta(24rz)^2}{\eta(48rz)} = \frac{(q^{24r}; q^{24r})^2_{\infty}}{(q^{48r}; q^{48r})_{\infty}}.
$$

By the binomial theorem,  $(q^r; q^r)_{\infty}^{2^k} \equiv (q^{2r}; q^{2r})_{\infty}^{2^{k-1}} \pmod{2^k}$ . Thus we have

$$
(q^{24r}; q^{24r})_{\infty}^{2^k} \equiv (q^{48r}; q^{48r})_{\infty}^{2^{k-1}} \pmod{2^k},
$$

and so

$$
g^{2^{k-1}}(z) = \frac{(q^{24r}; q^{24r})_{\infty}^{2^k}}{(q^{48r}; q^{48r})_{\infty}^{2^{k-1}}} \equiv 1 \pmod{2^k}.
$$

Now, consider

$$
\frac{\eta(48z)\eta(48rz)^2}{\eta(24z)^2\eta(24rz)} \cdot g^{2^{k-1}}(z) = \frac{\eta(48z)\eta(48rz)^2}{\eta(24z)^2\eta(24rz)} \cdot \frac{\eta(24rz)^{2^k}}{\eta(48rz)^{2^{k-1}}}
$$

$$
= \frac{\eta(48z)\eta(24rz)^{2^k-1}}{\eta(24z)^2\eta(48rz)^{2^{k-1}-2}}
$$

$$
= f_{r,k}(z).
$$

Observe that

$$
f_{r,k}(z) = \frac{\eta(48z)\eta(48rz)^2}{\eta(24z)^2\eta(24rz)} \cdot g^{2^k-1}(z)
$$
  

$$
\equiv \frac{\eta(48z)\eta(48rz)^2}{\eta(24z)^2\eta(24rz)} \text{ (mod } 2^k)
$$
  

$$
= q^{3r} \frac{(q^{48}; q^{48})_{\infty}(q^{48r}; q^{48r})_{\infty}^2}{(q^{24}; q^{24})_{\infty}^2(q^{24r}; q^{24r})_{\infty}}.
$$

Note that

$$
\sum_{n=0}^{\infty} \sigma_r \overline{\text{mex}}(n) q^n = \frac{(-q;q)_{\infty} (q^{2r}; q^{2r})_{\infty}}{(q;q)_{\infty} (q^r; q^{2r})_{\infty}}
$$

$$
= \frac{(-q;q)_{\infty} (q^{2r}; q^{2r})_{\infty}^2}{(q;q)_{\infty} (q^r;q^r)_{\infty}}
$$

$$
= \frac{(q^2;q^2)_{\infty} (q^{2r}; q^{2r})_{\infty}^2}{(q;q)^2_{\infty} (q^r;q^r)_{\infty}}.
$$

Thus we have

$$
f_{r,k}(z) \equiv q^{3r} \sum_{n=0}^{\infty} \sigma_r \overline{\text{mex}}(n) q^{24n} \pmod{2^k} = \sum_{n=0}^{\infty} \sigma_r \overline{\text{mex}}(n) q^{24n+3r}.
$$

 $\Box$ 

**Proposition 17.** Let  $r = 2^m \cdot 3^n$  where  $m, n \in \mathbb{Z}_{\geq 0}$  and  $k \geq m + 2n + 1$  be an integer greater *than 3. Then*  $f_{r,k}(z) \in M_{2^{k-2}}(\Gamma_0(N), \chi)$ *, where* 

$$
N = \begin{cases} 2^7 \cdot 3^{n+1}, & \text{if } m = 0, 1, 2; \\ 2^{m+4} \cdot 3^{n+1}, & \text{if } m \ge 3. \end{cases}
$$

*Proof.* Let  $r = 2^m \cdot 3^n$  where  $m, n \in \mathbb{Z}_{\geq 0}$ . First, the weight of  $f_{r,k}(z)$  is

$$
\ell = \frac{1}{2} \sum_{\delta \mid N} r_{\delta} = \frac{1}{2} \left[ 1 + (2^k - 1) - 2 - (2^{k-1} - 2) \right] = 2^{k-1} - 2^{k-2} = 2^{k-2}.
$$

Second, since  $f_{r,k}(z) = \frac{\eta(48z)\eta(24rz)^{2^k-1}}{(94\pi)^2(48z)^{2^{k-1}}}$  $\frac{\eta(24z)^{n}(24z)^{n}}{\eta(24z)^{2}\eta(48rz)^{2^{k-1}-2}}$ , then  $\delta_1 = 48, \delta_2 = 24r, \delta_3 = 24$  and  $\delta_4 = 48r$ with  $r_{48} = 1, r_{24r} = 2^k - 1, r_{24} = -2$ , and  $r_{48r} = 2 - 2^{k-1}$ . Clearly,  $f_{r,k}(z)$  satisfies equation [\(5\)](#page-12-0) since

$$
\sum_{\delta|N} \delta r_{\delta} = 48 \cdot 1 + 24r \cdot (2^{k} - 1) + 24 \cdot (-2) + 48r \cdot (2 - 2^{k-1}) \equiv 0 \pmod{24}.
$$

Moreover, to satisfy equation [\(6\)](#page-12-1), we can let  $N = 48ru$ , where u is the smallest positive integer satisfying

$$
\sum_{\delta|N} \frac{N}{\delta} r_{\delta} \equiv 0 \text{ (mod 24)}.
$$

Then we have

$$
\sum_{\delta|N} \frac{N}{\delta} r_{\delta} = \frac{48ru}{48} + \frac{48ru}{24r} (2^k - 1) - \frac{48ru}{24} (2) - \frac{48ru}{48r} (2^{k-1} - 2)
$$
  
= ru + 2u(2<sup>k</sup> - 1) - 4ru - u(2<sup>k-1</sup> - 2)  
= u(2<sup>k+1</sup> - 2<sup>k-1</sup> - 3r)  
= u(3 \cdot 2<sup>k-1</sup> - 3r) \equiv 0 \pmod{24}.

We have the following:

- If  $m = 0$ , then  $u = 8$ , and so  $N = 48 \cdot (2^0 \cdot 3^n) \cdot 8 = 2^7 \cdot 3^{n+1}$ .
- If  $m = 1$ , then  $u = 4$ , and so  $N = 48 \cdot (2^1 \cdot 3^n) \cdot 4 = 2^7 \cdot 3^{n+1}$ .
- If  $m = 2$ , then  $u = 2$ , and so  $N = 48 \cdot (2^1 \cdot 3^n) \cdot 4 = 2^7 \cdot 3^{n+1}$ .
- If  $m \ge 3$ , then  $u = 1$ , and so  $N = 48 \cdot (2^m \cdot 3^n) \cdot 1 = 2^{m+4} \cdot 3^{n+1}$ .

To prove that  $f_{r,k}(z) \in M_{2k-2}(\Gamma_0(N), \chi)$ , it suffices to show that  $f_{r,k}(z)$  is holomorphic at all cusps of  $\Gamma_0(N)$ . From Theorem [15,](#page-12-2) the order of vanishing of  $f_{r,k}(z)$  at the cusp  $\frac{c}{d}$  where  $d|N$  and  $gcd(c, d) = 1$ , is

$$
\frac{N}{24} \sum_{\delta \mid N} \frac{\gcd(d, \delta)^2 r_{\delta}}{\gcd\left(d, \frac{N}{d}\right) d\delta}.
$$

Hence  $f_{r,k}(z) = \frac{\eta(48z)\eta(24rz)^{2^k-1}}{\eta(24z)^{2\eta}(48z)^{2^{k-1}}}$  $\frac{\eta(34z)^{\eta(24r}}{\eta(24z)^2 \eta(48rz)^{2^{k-1}-2}}$  is holomorphic at the cusp  $\frac{c}{d}$  if and only if  $\overline{N}$ 24  $\sum$  $\delta|N$  $gcd(d, \delta)^2 r_{\delta}$  $\frac{\gcd(a, b) \tau_{\delta}}{\gcd(a, \frac{N}{d}) d\delta} \ge 0 \iff \sum_{\delta | N}$  $\delta|N$  $gcd(d, \delta)^2 r_{\delta}$  $\frac{\partial}{\partial s} \frac{\partial \phi}{\partial t} \geq 0.$ 

That is,

$$
\frac{\gcd(d,48)^2}{48} - 2\frac{\gcd(d,24)^2}{24} + (2^k - 1)\frac{\gcd(d,24r)^2}{24r} - (2^{k-1} - 2)\frac{\gcd(d,48r)^2}{48r} \ge 0.
$$

Equivalently,

<span id="page-15-0"></span>
$$
r \gcd(d, 48)^2 - 4r \gcd(d, 24)^2 + (2^{k+1} - 2) \gcd(d, 24r)^2 - (2^{k-1} - 2) \gcd(d, 48r)^2 \ge 0.
$$
 (7)

Now, if  $N = 2^7 \cdot 3^{n+1}$ , then  $d = 2^t \cdot 3^s$ ,  $0 \le t \le 7$ ,  $0 \le s \le n+1$ . Similarly, if  $N = 2^{m+4} \cdot 3^{n+1}$ , then  $d = 2^t \cdot 3^s, 0 \le t \le m + 4, 0 \le s \le n + 1.$ 

Let  $(\star)$  be the left-hand side of inequality [\(7\)](#page-15-0). We now prove that  $(\star) \geq 0$  for  $k \geq$  $m + 2n + 1$ . We divide our proof into 6 cases.

*Case 1:*  $d = 1$ . We have  $gcd(d, 48) = 1, gcd(d, 24) = 1, gcd(d, 24r) = 1, and gcd(d, 48r) = 1.$  Then

$$
(\star) = (2^m \cdot 3^n) - 4(2^m \cdot 3^n) + (2^{k+1} - 2) - (2^{k-1} - 2)
$$
  
=  $2^{k+1} - 2^{k-1} - 3 \cdot (2^m \cdot 3^n)$   
=  $3 \cdot 2^{k-1} - 2^m \cdot 3^{n+1}$ .

If we let  $k \geq m + 2n + 1$ , then

$$
3 \cdot 2^{k-1} \ge 3 \cdot 2^{m+2n}
$$

$$
= 3 \cdot 2^m \cdot 2^{2n}
$$

$$
\ge 3 \cdot 2^m \cdot 3^n
$$

$$
= 2^m \cdot 3^{n+1},
$$

proving that  $(\star) \geq 0$  for  $k \geq m + 2n + 1$ .

*Case 2:*  $d = 3^s, 1 \leq s \leq n + 1.$ We have  $gcd(d, 48) = 3$ ,  $gcd(d, 24) = 3$ ,  $gcd(d, 24r) = gcd(d, 2^{m+3} \cdot 3^{n+1}) = 3^s$ , and  $gcd(d, 48r) = gcd(d, 2^{m+4} \cdot 3^{n+1}) = 3^s$ . Then

$$
(\star) = (2^m \cdot 3^n) \cdot 9 - 4(2^m \cdot 3^n) \cdot 9 + (2^{k+1} - 2) \cdot 3^{2s} - (2^{k-1} - 2) \cdot 3^{2s}
$$
  
=  $3^{2s} \cdot 3 \cdot 2^{k-1} - 3 \cdot 9 \cdot (2^m \cdot 3^n)$   
=  $2^{k-1} \cdot 3^{2s+1} - 2^m \cdot 3^{n+3}$ .

If we let  $k \ge m + 2n - 4s + 5$ , then

$$
2^{k-1} \cdot 3^{2s+1} \ge 2^{m+2n-4s+4} \cdot 3^{2s+1}
$$
  
=  $2^m \cdot 2^{2n-4s+4} \cdot 3^{2s+1}$   
 $\ge 2^m \cdot 3^{n-2s+2} \cdot 3^{2s+1}$   
=  $2^{m+4} \cdot 3^{n+3}$ ,

proving that  $(\star) \geq 0$  for  $k \geq m + 2n - 4s + 5$ . Moreover, since  $m + 2n - 4s + 5 \leq m + 2n + 1$ , it follows that  $(\star) \geq 0$  for  $k \geq m + 2n + 1$ .

*Case 3:*  $d = 2^t, 0 < t \leq 3$ . We have  $gcd(d, 48) = 2^t$ ,  $gcd(d, 24) = 2^t$ ,  $gcd(d, 24r) = 2^t$ , and  $gcd(d, 48r) = 2^t$ . Then

$$
(\star) = (2^m \cdot 3^n) \cdot 2^{2t} - 4(2^m \cdot 3^n) \cdot 2^{2t} + (2^{k+1} - 2) \cdot 2^{2t} - (2^{k-1} - 2) \cdot 2^{2t}
$$
  
=  $2^{2t} \cdot 3 \cdot 2^{k-1} - 3 \cdot 2^{2t} \cdot (2^m \cdot 3^n)$   
=  $2^{k+2t-1} \cdot 3 - 2^{m+2t} \cdot 3^{n+1}$ .

If we let  $k \geq m + 2n + 1$ , then

$$
2^{k+2t-1} \cdot 3 \ge 2^{m+2n+2t} \cdot 3
$$
  
=  $2^{m+2t} \cdot 2^{2n} \cdot 3$   
 $\ge 2^{m+2t} \cdot 3^n \cdot 3$   
=  $2^{m+2t} \cdot 3^{n+1}$ ,

proving that  $(\star) \geq 0$  for  $k \geq m + 2n + 1$ .

*Case 4:*  $d = 2^t, 3 < t \leq m + 4.$ We have  $gcd(d, 48) = 2^4$ ,  $gcd(d, 24) = 2^3$ ,  $gcd(d, 24r) = gcd(d, 2^{m+3} \cdot 3^{n+1}) = 2^t$ , and  $gcd(d, 48r) = gcd(d, 2^{m+4} \cdot 3^{n+1}) = 2^t$ . Then

$$
(\star) = (2^m \cdot 3^n) \cdot 2^8 - 4(2^m \cdot 3^n) \cdot 2^6 + (2^{k+1} - 2) \cdot 2^{2t} - (2^{k-1} - 2) \cdot 2^{2t}
$$
  
=  $2^{2t} \cdot 3 \cdot 2^{k-1}$   
=  $2^{k+2t-1} \cdot 3 \ge 0$  for  $k \ge 1$ .

*Case 5:*  $d = 2^t \cdot 3^s, 0 < t \leq 3, 1 \leq s \leq n+1.$ We have  $\gcd(d, 48) = 2^t \cdot 3$ ,  $\gcd(d, 24) = 2^t \cdot 3$ ,  $\gcd(d, 24r) = \gcd(d, 2^{m+3} \cdot 3^{n+1}) = 2^t \cdot 3^s$ , and  $gcd(d, 48r) = gcd(d, 2^{m+4} \cdot 3^{n+1}) = 2^t \cdot 3^s$ . Then

$$
(\star) = (2^m \cdot 3^n) \cdot (2^{2t} \cdot 3^2) - 4(2^m \cdot 3^n) \cdot (2^{2t} \cdot 3^2) + (2^{k+1} - 2) \cdot (2^{2t} \cdot 3^{2s})
$$
  
\n
$$
- (2^{k-1} - 2) \cdot (2^{2t} \cdot 3^{2s})
$$
  
\n
$$
= (2^{2t} \cdot 3^{2s}) \cdot 3 \cdot 2^{k-1} - 3 \cdot (2^{2t} \cdot 3^2) \cdot (2^m \cdot 3^n)
$$
  
\n
$$
= 2^{k+2t-1} \cdot 3^{2s+1} - 2^{m+2t} \cdot 3^{n+3}.
$$

If we let  $k \geq m + 2n - 4s + 5$ , then

$$
2^{k+2t-1} \cdot 3^{2s+1} \ge 2^{m+2n+2t-4s+4} \cdot 3^{2s+1}
$$
  
=  $2^{m+2t} \cdot 2^{2n-4s+4} \cdot 3^{2s+1}$   
 $\ge 2^{m+2t} \cdot 3^{n-2s+2} \cdot 3^{2s+1}$   
=  $2^{m+2t} \cdot 3^{n+3}$ ,

proving that  $(\star) \geq 0$  for  $k \geq m + 2n - 4s + 5$ . Moreover, since  $m + 2n - 4s + 5 \leq m + 2n + 1$ , it follows that  $(\star) \geq 0$  for  $k \geq m + 2n + 1$ .

*Case 6:*  $d = 2^t \cdot 3^s, 3 < t \leq m+4, 1 \leq s \leq n+1.$ We have  $gcd(d, 48) = 2^4 \cdot 3$ ,  $gcd(d, 24) = 2^3 \cdot 3$ ,  $gcd(d, 24r) = gcd(d, 2^{m+3} \cdot 3^{n+1}) = 2^t \cdot 3^s$ , and  $gcd(d, 48r) = gcd(d, 2^{m+4} \cdot 3^{n+2}) = 2^t \cdot 3^s$ . Then

$$
(\star) = (2^m \cdot 3^n) \cdot (2^8 \cdot 3^2) - 4(2^m \cdot 3^n) \cdot (2^6 \cdot 3^2) + (2^{k+1} - 2) \cdot (2^{2t} \cdot 3^{2s})
$$
  
 
$$
- (2^{k-1} - 2) \cdot (2^{2t} \cdot 3^{2s})
$$
  
 
$$
= (2^{2t} \cdot 3^{2s}) \cdot 3 \cdot 2^{k-1}
$$
  
 
$$
= 2^{k+2t-1} \cdot 3^{2s+1} \ge 0 \text{ for } k \ge 1.
$$

In all possible cases, we have that  $(\star) \geq 0$  for  $k \geq m + 2n + 1$  where k is a positive integer greater than 3. Hence by Theorem [15,](#page-12-2)  $f_{r,k}(z)$  is a modular form of weight  $2^{k-2}$ .

 $\Box$ 

Lastly, we will use Serre's theorem [\[13\]](#page-18-11) regarding the coefficients of the Fourier expansion of a holomorphic modular form to prove our final result.

**Theorem 18** (Serre). Let k, m be positive integers. If  $f(z) \in M_k(\Gamma_0(N), \chi)$  has Fourier *expansion*  $f(z) = \sum_{n=0}^{\infty} c(n)q^n \in \mathbb{Z}[[q]]$ , then there is a constant  $\alpha > 0$  *such that* 

$$
#{n \le X : c(n) \not\equiv 0 \pmod{m}} = \mathcal{O}\left(\frac{X}{\log^{\alpha} X}\right).
$$

*Proof of Theorem [8.](#page-4-2)* Let  $r = 2^m \cdot 3^n$  where  $m, n \in \mathbb{Z}_{\geq 0}$  and  $k \geq m + 2n + 1, k \geq 3$  be a positive integer. Since  $f_{r,k}(z) \in M_{2^{k-2}}(\Gamma_0(N), \chi)$  and the Fourier coefficients of  $f_{r,k}(z)$  are integers, then by Serre's theorem, we can find a constant  $\alpha > 0$  such that

$$
#{n \leq X : \sigma_r \overline{\text{mex}}(n) \not\equiv 0 \pmod{2^k}} = \mathcal{O}\left(\frac{X}{\log^\alpha X}\right),
$$

for  $k \geq m + 2n + 1$ . Then

$$
\lim_{X \to +\infty} \frac{\#\{n \le X : \sigma_r \overline{\text{mex}}(n) \equiv 0 \pmod{2^k}\}}{X} = 1.
$$

Equivalently, for almost every nonnegative integer  $n$  lying in an arithmetic progression,  $\sigma_r \overline{\text{mex}}(n)$  is a multiple of  $2^k$  where  $r = 2^m \cdot 3^n$  where  $m, n \in \mathbb{Z}_{\geq 0}$  and  $k \geq m + 2n + 1, k \geq 3$ . Consequently,  $\sigma_r \overline{\text{mex}}(n)$  is a multiple of  $2^k$ , where  $k \geq 1$ .

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