

Colored Motzkin Paths of Higher Order

Isaac DeJager
LeTourneau University
Longview, TX 75602
USA

IsaacDeJager@letu.edu

Madeleine Naquin
Spring Hill College
Mobile, AL 36608
USA

madeleine.c.naquin@email.shc.edu

Frank Seidl
University of Michigan
Ann Arbor, MI 48109
USA

fcseidl@umich.edu

Paul Drube*
Valparaiso University
Valparaiso, IN 46383
USA

paul.drube@valpo.edu

Abstract

Motzkin paths of order ℓ are a generalization of Motzkin paths that use steps $U = (1, 1)$, $L = (1, 0)$, and $D_i = (1, -i)$ for every positive integer $i \leq \ell$. We further generalize order- ℓ Motzkin paths by allowing for various coloring schemes on the edges of our paths. These $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin paths may be enumerated via proper Riordan arrays, mimicking the techniques of Aigner in his treatment of “Catalan-like numbers”. After an investigation of their associated Riordan arrays, we develop bijections between $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin paths and a variety of well-studied combinatorial objects. Specific coloring schemes $(\vec{\alpha}, \vec{\beta})$ allow us to place $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin paths in bijection with different sub-classes of generalized k -Dyck paths, including k -Dyck paths that remain weakly above horizontal lines $y = -a$, k -Dyck paths whose peaks all have the same height modulo- k , and Fuss-Catalan generalizations of Fine paths. A general bijection is also developed between $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin paths and certain sub-classes of k -ary trees.

1 Introduction

A Motzkin path of length n and height m is an integer lattice path from $(0, 0)$ to (n, m) that uses the step set $\{U = (1, 1), L = (1, 0), D = (1, -1)\}$ and remains weakly above

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the horizontal line $y = 0$. We denote the set of all such Motzkin paths by $\mathcal{M}_{n,m}$ and let $|\mathcal{M}_{n,m}| = M_{n,m}$. The cardinalities $M_{n,0} = M_n$ correspond to the Motzkin numbers, a well-known integer sequence ([A001006](#)) that begins as 1, 1, 2, 4, 9, 21, 51, 127, \dots . For more information about the Motzkin numbers and their various combinatorial interpretations, see Aigner [1], Bernhart [5], and Donaghey and Shapiro [9].

For any $\alpha, \beta \geq 0$, an element of $\mathcal{M}_{n,m}$ is said to be an (α, β) -colored Motzkin path of length n and height m if each of its L steps at height $y = 0$ is labeled by one of α colors and each of its L steps at height $y > 0$ is labeled by one of β colors. By the “height” of a step in a lattice path we mean the y -coordinate of its right endpoint. We denote the set of all (α, β) -colored Motzkin paths by $\mathcal{M}_{n,m}(\alpha, \beta)$ and let $|\mathcal{M}_{n,m}(\alpha, \beta)| = M_{n,m}(\alpha, \beta)$. By analogy with above, for fixed α, β we henceforth refer to the integer sequences $(M_{n,0}(\alpha, \beta))_{n \geq 0}$ as the (α, β) -colored Motzkin numbers. See Figure 1 for an illustration of the set $\mathcal{M}_{3,0}(1, 2)$. That example establishes our convention of using positive integers for our “colors”.

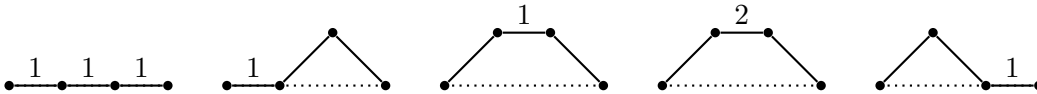


Figure 1: All $(1, 2)$ -colored Motzkin paths in the set $\mathcal{M}_{3,0}(1, 2)$.

Our notion of colored Motzkin paths are actually a special case of the labeled lattice paths investigated by Flajolet [11]. Using Flajolet’s scheme of edge labeling, our (α, β) -colored Motzkin paths correspond to $b_0 = \alpha$, $b_i = \beta$ for all $i \geq 1$, and $a_i = c_i = 1$ for all $i \geq 0$. Our scheme has been chosen to be amenable to the Riordan array-oriented methodologies of Aigner [2], methodologies that will also admit an intuitive generalization to “higher-order” paths in Section 2.

It should also be noted that our (α, β) -colored Motzkin paths specialize to the “ k -colored Motzkin paths” of Barrucci et al. [4] or Sapounakis and Tsikouras [19, 20] when $\alpha = \beta = k$. Our definition is also distinct from the (u, l, d) -colored Motzkin paths of Woan [24, 25] or Mansour, Schork and Sun [15]. In those papers, U , L , and D steps are all colored and there is no distinction between L steps at various heights.

It is clear that $M_{n,m}(\alpha, \beta) = 0$ unless $0 \leq m \leq n$, as well as that $M_{0,0}(\alpha, \beta) = 1$. For $n \geq 1$, the cardinalities $M_{n,m}(\alpha, \beta)$ may be computed via the recursion of Proposition 1, variations of which already appear elsewhere.

Proposition 1. For all $n \geq 1$ and $0 \leq m \leq n$,

$$M_{n,m}(\alpha, \beta) = \begin{cases} M_{n-1,m-1}(\alpha, \beta) + \beta M_{n-1,m}(\alpha, \beta) + M_{n-1,m+1}(\alpha, \beta), & \text{if } m \geq 1; \\ \alpha M_{n-1,0}(\alpha, \beta) + M_{n-1,1}(\alpha, \beta), & \text{if } m = 0. \end{cases}$$

Proof. For $m \geq 1$, partition the paths of $\mathcal{M}_{n,m}(\alpha, \beta)$ according to their final step. The subset of those paths that end with a U step are in bijection with $\mathcal{M}_{n-1,m-1}(\alpha, \beta)$, those that end with a D step are in bijection with $\mathcal{M}_{n-1,m+1}(\alpha, \beta)$, and those that end with a L

Fundamental to the theory of Riordan arrays is the fact that every proper Riordan array $\mathcal{R}(d(t), h(t))$ is uniquely determined by a pair of power series $A(t) = \sum_{i=0}^{\infty} a_i t^i$ and $Z(t) = \sum_{i=0}^{\infty} z_i t^i$ such that

$$d_{i,j} = \begin{cases} a_0 d_{i-1,j-1} + a_1 d_{i-1,j} + a_2 d_{i-1,j+1} + \cdots, & \text{for all } j \geq 1; \\ z_0 d_{i-1,0} + z_1 d_{i-1,1} + z_2 d_{i-1,2} + \cdots, & \text{for } j = 0. \end{cases} \quad (1)$$

These series are referred to as the A -sequence and Z -sequence of $\mathcal{R}(d(t), h(t))$, respectively. It may be shown that the A - and Z -sequences of $\mathcal{R}(d(t), h(t))$ satisfy

$$h(t) = tA(h(t)), \quad d(t) = \frac{d(0)}{1 - tZ(h(t))}. \quad (2)$$

With this terminology in hand, Proposition 1 immediately guarantees that the (α, β) -colored Motzkin triangle is a proper Riordan array for every choice $\alpha, \beta \geq 0$. In particular, the (α, β) -colored Motzkin triangle is the proper Riordan array with A -sequence $A(t) = 1 + \beta t + t^2$ and Z -sequence $Z(t) = \alpha + t$.

1.1 Outline of paper

The goal of this paper is to adapt the aforementioned phenomena to “higher-order” Motzkin paths, a generalization of traditional Motzkin paths whose step set includes a down step $D_i = (1, -i)$ for every positive integer $1 \leq i \leq \ell$ up to some fixed upper bound ℓ . Section 2 defines the relevant notion of coloring for higher-order Motzkin paths, describes the proper Riordan arrays that enumerate these colored paths, and proves a series of general results about those proper Riordan arrays. Section 3 then introduces a series of combinatorial interpretations for colored higher-order Motzkin paths that directly generalize the combinatorial interpretations suggested by Table 1. In particular, colored higher-order Motzkin paths are placed in bijection with various classes of generalized k -Dyck paths, entirely new generalizations of Fine paths, k -Dyck paths whose peaks only occur at specific heights, and various subsets of k -ary trees. Appendix A closes the paper by comparing the first columns of our proper Riordan arrays against sequences in OEIS [22] for various “easy” colorations, providing impetus for future investigations.

2 Higher-order Motzkin paths

Fix $\ell \geq 1$. An *order- ℓ Motzkin path* of length n and height m is an integer lattice path from $(0, 0)$ to (n, m) that uses step set $\{U = (1, 1), D_0 = (1, 0), D_1 = (1, -1), \dots, D_\ell = (1, -\ell)\}$ and remains weakly above the horizontal line $y = 0$. We denote the set of all such paths by $\mathcal{M}_{n,m}^\ell$, and let $|\mathcal{M}_{n,m}^\ell| = M_{n,m}^\ell$. Note that order-1 Motzkin paths correspond to the traditional notion of Motzkin paths, so that $M_{n,0}^1 = M_{n,0}$ are the Motzkin numbers.

For $\ell > 1$, our notion of order ℓ Motzkin paths are distinct from the “higher-rank” Motzkin paths studied by Mansour, Schork and Sun [15] or Sapounakis and Tsikouras [19]. In particular, our order- ℓ Motzkin paths don’t allow for up steps of multiple slopes. In the limit of $\ell \rightarrow \infty$, our order- ℓ Motzkin paths correspond to the Łukasiewicz paths investigated by Cheon, Kim and Shapiro [7].

We now look to color order- ℓ Motzkin paths in a way that directly generalizes the Riordan array properties of Section 1. So fix $\ell \geq 1$, and let $\vec{\alpha} = (\alpha_0, \dots, \alpha_{\ell-1})$, $\vec{\beta} = (\beta_0, \dots, \beta_{\ell-1})$ be any pair of ℓ -tuples of non-negative integers. An $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin path is an element of $\mathcal{M}_{n,m}^\ell$ where each D_i step that ends at height $y = 0$ is labeled by one of α_i colors, and each D_i step that ends at height $y > 0$ is labeled by one of β_i colors, for each $0 \leq i \leq \ell-1$. We denote the set of all $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin paths by $\mathcal{M}_{n,m}^\ell(\vec{\alpha}, \vec{\beta})$ and let $|\mathcal{M}_{n,m}^\ell(\vec{\alpha}, \vec{\beta})| = M_{n,m}^\ell(\vec{\alpha}, \vec{\beta})$. For fixed $\ell, \vec{\alpha}, \vec{\beta}$, we refer to the sequences $(M_{n,0}^\ell(\vec{\alpha}, \vec{\beta}))_{n \geq 0}$ as the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin numbers of order ℓ .

See Figure 3 for an example of order 2 Motzkin paths. Notice that the only steps which fail to receive colors are U steps and down steps D_ℓ of maximal negative slope.

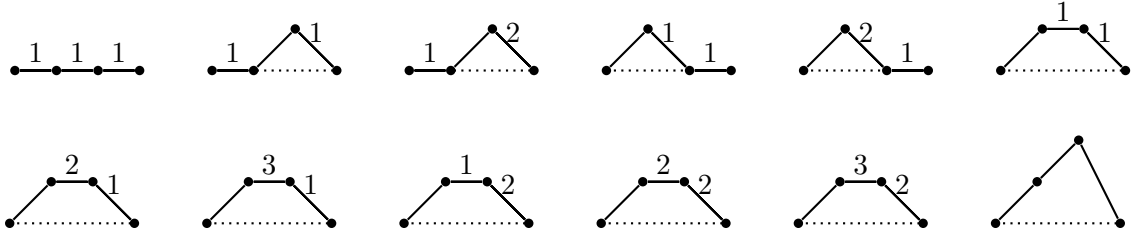


Figure 3: All paths in $\mathcal{M}_{3,0}^2(\vec{\alpha}, \vec{\beta})$ with $\vec{\alpha} = (1, 2)$, $\vec{\beta} = (3, 3)$.

Higher-order Motzkin paths (with colored edges) have previously appeared in the literature, as a special case of the “simple excursions” studied by Banderier and Flajolet [3]. Our approach differs from that of Banderier and Flajolet in that we distinguish between steps that end at height $y = 0$ and steps that end at height $y > 0$. This means that many results from Subsection 2.1 may be recovered from Banderier and Flajolet if we restrict ourselves to the specific case of $\vec{\alpha} = \vec{\beta}$.

It is once again clear that $M_{n,m}^\ell(\vec{\alpha}, \vec{\beta}) = 0$ unless $0 \leq m \leq n$, as well as that $M_{0,0}^\ell(\vec{\alpha}, \vec{\beta}) = 1$. For any pair $\vec{\alpha}, \vec{\beta}$, we may then assemble an infinite, lower-triangular array whose (n, m) entry is $M_{n,m}^\ell(\vec{\alpha}, \vec{\beta})$. We call this triangle the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin triangle.

As with the (α, β) -colored Motzkin triangle of Section 1, the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin triangle is a proper Riordan array for every choice of $\vec{\alpha}$ and $\vec{\beta}$:

Proposition 2. Fix $\ell \geq 1$, and let $\vec{\alpha} = (\alpha_0, \dots, \alpha_{\ell-1})$, $\vec{\beta} = (\beta_0, \dots, \beta_{\ell-1})$ be any pair of ℓ -tuples of non-negative integers. Then the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin triangle is a proper Riordan array with A - and Z -sequences

$$A(t) = 1 + \beta_0 t + \dots + \beta_{\ell-1} t^\ell + t^{\ell+1}, \quad Z(t) = \alpha_0 + \alpha_1 t + \dots + \alpha_{\ell-1} t^{\ell-1} + t^\ell.$$

Proof. In a manner similar to the proof of Proposition 1, we demonstrate the recurrences

$$M_{n,m}^\ell(\vec{\alpha}, \vec{\beta}) = \begin{cases} M_{n-1,m-1}^\ell(\vec{\alpha}, \vec{\beta}) + \beta_0 M_{n-1,m}^\ell(\vec{\alpha}, \vec{\beta}) + \cdots + M_{n-1,m+\ell}^\ell(\vec{\alpha}, \vec{\beta}), & \text{if } m \geq 1; \\ \alpha_0 M_{n-1,0}^\ell(\vec{\alpha}, \vec{\beta}) + \alpha_1 M_{n-1,1}^\ell(\vec{\alpha}, \vec{\beta}) + \cdots + M_{n-1,\ell}^\ell(\vec{\alpha}, \vec{\beta}), & \text{if } m = 1. \end{cases}$$

For $m \geq 1$, we partition the paths of $\mathcal{M}_{n,m}^\ell(\vec{\alpha}, \vec{\beta})$ according to their final step. Those paths that end with a U step are in bijection with $\mathcal{M}_{n-1,m-1}^\ell(\vec{\alpha}, \vec{\beta})$, and those that end with a D_i step of a fixed color are in bijection with $\mathcal{M}_{n-1,m+i}^\ell(\vec{\alpha}, \vec{\beta})$, for every $0 \leq i \leq \ell$ and for each of the β_i colors of that i . The $m = 1$ case is similar, although here the elements of $\mathcal{M}_{n,m}^\ell(\vec{\alpha}, \vec{\beta})$ cannot end with a U step and the D_i steps can carry one of α_i possible colors. \square

Proposition 2 may be used to quickly generate elements of the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin triangle. In the order- ℓ case, the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin numbers of order ℓ constitute a 2ℓ -dimensional array of integer sequences that can be compared to previously-studied results, as in Table 1. Appendix A presents a series of tables that test the resulting sequences against OEIS [22], for a variety of “nice” choices of $\vec{\alpha}, \vec{\beta}$ in the $\ell = 2$ case.

2.1 General properties of colored Motzkin triangles

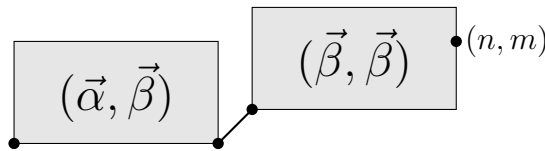
We begin by proving a number of general identities involving the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin numbers and their associated Riordan arrays. Much of what follows is most easily cast in terms of generating functions. As such, for any $m, \ell, \vec{\alpha}, \vec{\beta}$ we define the ordinary generating function $M_m^\ell(\vec{\alpha}, \vec{\beta}, t) = \sum_{n=0}^{\infty} M_{n,m}^\ell(\vec{\alpha}, \vec{\beta}) t^n$. In the specific case of $\ell = 1$, many of the results below follow directly from the work of Flajolet [11], whereas the special case of $\vec{\alpha} = \vec{\beta}$ is in alignment with the results of Banderier and Flajolet [3].

Our first result relates the $m \geq 1$ columns of the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin triangle to the $m = 0$ column, letting us characterize the $d(t), h(t)$ series of the associated Riordan arrays.

Proposition 3. *Fix $\ell \geq 1$ and take any pair of ℓ -tuples of non-negative integers $\vec{\alpha}, \vec{\beta}$. For every $m \geq 1$,*

$$M_m^\ell(\vec{\alpha}, \vec{\beta}, t) = t M_0^\ell(\vec{\alpha}, \vec{\beta}, t) M_{m-1}^\ell(\vec{\beta}, \vec{\beta}, t) = t^m M_0^\ell(\vec{\alpha}, \vec{\beta}, t) M_0^\ell(\vec{\beta}, \vec{\beta}, t)^m.$$

Proof. Every height- m path may be decomposed into a height-0 path and a height- $(m-1)$ path as shown below, with the intermediate U step in that image being the rightmost U step that ends at height 1. The labels inside the boxes denote the colorings applicable to each subpath, with the second coloration changing because none of its steps terminate at an overall height of 0.



The decomposition implied above immediately demonstrates the first equality. The second equality follows from repeated application of the first equality. \square

Corollary 4. *For any $\ell \geq 1$ and any pair of ℓ -tuples of non-negative integers $\vec{\alpha}, \vec{\beta}$, the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin triangle is the proper Riordan array $\mathcal{R}(d(t), h(t))$ with $d(t) = M_0^\ell(\vec{\alpha}, \vec{\beta}, t)$ and $h(t) = tM_0^\ell(\vec{\beta}, \vec{\beta}, t)$.*

Proof. From Proposition 3, we see that the j^{th} -column of the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin triangle has generating function $t^j M_0^\ell(\vec{\alpha}, \vec{\beta}, t) M_0^\ell(\vec{\beta}, \vec{\beta}, t)^j = M_0^\ell(\vec{\alpha}, \vec{\beta}, t) \left(tM_0^\ell(\vec{\beta}, \vec{\beta}, t) \right)^j$. \square

Unfortunately, Corollary 4 is only of practical use if we have an explicit formula for the generating functions $M_0^\ell(\vec{\alpha}, \vec{\beta}, t)$, and such a formula will not be attempted here. Still of conceptual interest is the well-known fact that the row-sums of the Riordan array $\mathcal{R}(d(t), h(t))$ has generating function $d(t)/(1 - h(t))$. As the row-sums of the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin triangle enumerate $(\vec{\alpha}, \vec{\beta})$ -Motzkin paths of length n and any height $m \geq 0$, we have:

Corollary 5. *For any $\ell \geq 1$ and any pair of ℓ -tuples of non-negative integers $\vec{\alpha}, \vec{\beta}$, the generating function for $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin paths of length n and any height $m \geq 0$ is*

$$\sum_{m=0}^{\infty} M_m^\ell(\vec{\alpha}, \vec{\beta}, t) = \frac{M_0^\ell(\vec{\alpha}, \vec{\beta}, t)}{1 - tM_0^\ell(\vec{\beta}, \vec{\beta}, t)} = M_0^\ell(\vec{\alpha}, \vec{\beta}, t) \left(1 + tM_0^\ell(\vec{\beta}, \vec{\beta}, t) + t^2 M_0^\ell(\vec{\beta}, \vec{\beta}, t)^2 + \dots \right).$$

Of greater practical importance is the alternative characterization of row-sums presented below, which applies only when $\vec{\alpha} = \vec{\beta}$. In Section 3, this result will allow us to immediately translate every combinatorial interpretations for $(\vec{\alpha}, \vec{\alpha})$ -colored Motzkin numbers into an associated combinatorial interpretation for $(\vec{\alpha} + \hat{e}_1, \vec{\alpha})$ -colored Motzkin numbers.

Theorem 6. *Fix $\ell \geq 1$ and take any ℓ -tuple of non-negative integers $\vec{\alpha}$. If $\hat{e}_1 = (1, 0, \dots, 0)$, the generating function for $(\vec{\alpha}, \vec{\alpha})$ -colored Motzkin paths of length n and any height $m \geq 0$ is*

$$\sum_{m=0}^{\infty} M_m^\ell(\vec{\alpha}, \vec{\alpha}, t) = M_0^\ell(\vec{\alpha} + \hat{e}_1, \vec{\alpha}, t).$$

Proof. We construct a bijection ϕ_n from $S = \bigcup_{m=0}^{\infty} \mathcal{M}_{n,m}^\ell(\vec{\alpha}, \vec{\alpha})$ to $\mathcal{M}_{n,0}^\ell(\vec{\alpha} + \hat{e}_1, \vec{\alpha})$, for arbitrary $n \geq 0$. So take any path $P \in S$, and assume that P has height m . Then P contains precisely m up steps that are “visible” from the right, meaning that they are the rightmost U steps at their particular height. Replacing these U steps with (temporarily-uncolored) level steps yields a path with m uncolored D_0 steps at height $y = 0$. Coloring these D_0 steps with a new color $\alpha_0 + 1$ results in a unique element $\phi_n(P) \in \mathcal{M}_{n,0}^\ell(\vec{\alpha} + \hat{e}_1, \vec{\alpha})$.

See Figure 4 for an example of this map ϕ_n . This process is clearly invertible. As the only D_0 steps at height 0 with the new color $\alpha_0 + 1$ are those added by ϕ_n , the inverse map ϕ_n^{-1} involves replacing all D_0 steps of color $(\alpha_0 + 1)$ with U steps. \square

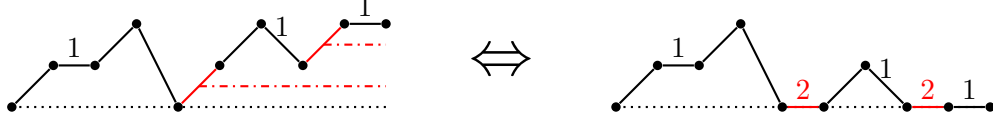


Figure 4: An example of the bijection from the proof of Theorem 6, here with $\ell = 2$, $m = 2$, and $\vec{\alpha} = (1, 1)$.

The proof of Theorem 6 does not extend to the colorations where $\vec{\alpha} \neq \vec{\beta}$, as the bijection ϕ_n may translate D_i steps that end at nonzero height to D_i steps that end at height 0. One may still apply Proposition 3 to Theorem 6 to obtain the more general formula below.

Corollary 7. Fix $\ell \geq 1$ and take any pair of ℓ -tuples of non-negative integers $\vec{\alpha}, \vec{\beta}$. If $\hat{e}_1 = (1, 0, \dots, 0)$, the generating function for $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin paths of length n and any height $m \geq 0$ is

$$\sum_{m=0}^{\infty} M_m^\ell(\vec{\alpha}, \vec{\beta}, t) = M_0^\ell(\vec{\alpha}, \vec{\beta}, t) + tM_0^\ell(\vec{\alpha}, \vec{\beta}, t)M_0^\ell(\vec{\beta} + \hat{e}_1, \vec{\beta}, t).$$

Proof. Partially-expanding the summation $\sum_{m=0}^{\infty} M_m^\ell(\vec{\alpha}, \vec{\beta}, t)$ and applying Proposition 3 to every $M_m^\ell(\vec{\alpha}, \vec{\beta}, t)$ with $m \geq 1$ gives

$$\sum_{m=0}^{\infty} M_m^\ell(\vec{\alpha}, \vec{\beta}, t) = M_0^\ell(\vec{\alpha}, \vec{\beta}, t) + \sum_{m=1}^{\infty} M_m^\ell(\vec{\alpha}, \vec{\beta}, t) = M_0^\ell(\vec{\alpha}, \vec{\beta}, t) + \sum_{m=1}^{\infty} tM_0^\ell(\vec{\alpha}, \vec{\beta}, t)M_{m-1}^\ell(\vec{\beta}, \vec{\beta}, t).$$

Rewriting the expression above and applying Theorem 6 to the result gives

$$= M_0^\ell(\vec{\alpha}, \vec{\beta}, t) + tM_0^\ell(\vec{\alpha}, \vec{\beta}, t) \sum_{m=0}^{\infty} M_m^\ell(\vec{\beta}, \vec{\beta}, t) = M_0^\ell(\vec{\alpha}, \vec{\beta}, t) + tM_0^\ell(\vec{\alpha}, \vec{\beta}, t)M_0^\ell(\vec{\beta} + \hat{e}_1, \vec{\beta}, t).$$

□

We now turn to results involving standard transforms of $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin numbers. Given an integer sequence $(a_i)_{i \geq 0}$, recall that the binomial transform of that sequence is the integer sequence $(b_i)_{i \geq 0}$ that satisfies $b_n = \sum_{i=0}^n \binom{n}{i} a_i$ for all $n \geq 0$. The following theorem characterizes the binomial transform of an arbitrary column in the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin triangle. It should be noted that the $\ell = 1, m = 0$ case of this theorem, along with the combinatorial interpretation shown in Table 1, recovers the well-known result that the binomial transform of the Motzkin numbers is the (shifted) Catalan numbers.

Theorem 8. Fix $\ell \geq 1$ and $m \geq 0$, and take any pair of ℓ -tuples of non-negative integers $\vec{\alpha}, \vec{\beta}$. If $\hat{e}_1 = (1, 0, \dots, 0)$, the binomial transform of the sequence $(M_{n,m}^\ell(\vec{\alpha}, \vec{\beta}))_{n \geq 0}$ is the sequence $(M_{n,m}^\ell(\vec{\alpha} + \hat{e}_1, \vec{\beta} + \hat{e}_1))_{n \geq 0}$. Explicitly,

$$\sum_{i=0}^n \binom{n}{i} M_{i,m}^\ell(\vec{\alpha}, \vec{\beta}) = M_{n,m}^\ell(\vec{\alpha} + \hat{e}_1, \vec{\beta} + \hat{e}_1).$$

Proof. The set $\mathcal{M}_{n,m}^\ell(\vec{\alpha} + \hat{e}_1, \vec{\beta} + \hat{e}_1)$ may be partitioned into the subsets $\bigcup_{i=0}^{n-m} S_i$, where a path $P \in \mathcal{M}_{n,m}^\ell(\vec{\alpha} + \hat{e}_1, \vec{\beta} + \hat{e}_1)$ lies in S_i if and only if it contains precisely i level steps of the final color for its given height (i total D_0 steps colored either $\alpha_0 + 1$ or $\beta_0 + 1$). Deleting those D_0 steps defines map $\psi_i : S_i \rightarrow \mathcal{M}_{n-i,m}^\ell(\vec{\alpha}, \vec{\beta})$ for each $0 \leq i \leq n - m$. Each map ψ_i is clearly surjective but not injective, with differing locations for the i deleted level steps ensuring that $\binom{n}{i}$ distinct elements of S_i map to each path in $\mathcal{M}_{n-i,m}^\ell(\vec{\alpha}, \vec{\beta})$. It follows that $|S_i| = \binom{n}{i} M_{n-i,m}^\ell(\vec{\alpha}, \vec{\beta})$ for all $0 \leq i \leq n - m$, from which the result follows. \square

3 Combinatorial interpretations of higher-order colored Motzkin numbers

For the remainder of this paper, we develop bijections between a variety of well-understood combinatorial objects and collections of $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin paths. This will result in a collection of new combinatorial interpretations for $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin numbers that directly generalize the order $\ell = 1$ combinatorial interpretations of Table 1.

3.1 Higher-order colored Motzkin numbers and k -Dyck paths

Our first set of combinatorial objects are k -Dyck paths, sometimes referred to as k -ary paths. For any $k \geq 2$, a k -Dyck path of length n and height m is an integer lattice path from $(0, 0)$ to (n, m) that uses the step set $\{U = (1, 1), D_{k-1} = (1, 1 - k)\}$ and remains weakly above $y = 0$. It is obvious that k -Dyck paths are in bijection with $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin paths of order $(k - 1)$ and coloring $\vec{\alpha} = \vec{\beta} = \vec{0}$. We look for more interesting bijections here.

It can be shown that a point (x, y) may lie on a k -Dyck path only if $n \equiv m \pmod{k}$. This motivates our choice of dealing only with k -Dyck paths of length kn for some $n \geq 0$. We denote the collection of k -Dyck paths of length kn (“semilength” n) and height km (“semiheight” m) by $\mathcal{D}_{n,m}^k$, and let $|\mathcal{D}_{n,m}^k| = D_{n,m}^k$.

It is well-known that k -Dyck paths of height 0 are enumerated by the k -Catalan numbers (one-parameter Fuss-Catalan numbers) as $D_{n,0}^k = C_n^k = \frac{1}{kn+1} \binom{kn+1}{n}$. Fixing $k \geq 2$, we define the ordinary generating function $C_k(t) = \sum_{n=0}^{\infty} C_n^k t^n$. It is also well-known that these generating functions satisfy $C_k(t) = 1 + tC_k(t)^k$ for all $k \geq 2$. For more information about k -Dyck paths and other combinatorial interpretations of the k -Catalan numbers, see Hilton and Pedersen [14] or Heubach, Li and Mansour [13].

In the order $\ell = 1$ case, Table 1 reveals a bijection between $\mathcal{D}_{n,0}^2$ and $(2, 2)$ -colored Motzkin paths, as well as a bijection between $\mathcal{D}_{n+1,0}^2$ and $(1, 2)$ -colored Motzkin paths. These are the bijections that we look to generalize in this subsection. To do this, begin by observing that $\mathcal{D}_{n+1,0}^2$ is in bijection with “generalized 2-Dyck paths” of semilength n that stay weakly above the line $y = -1$, via the map that deletes the initial U step and final D_1 step of each $P \in \mathcal{D}_{n+1,0}^2$.

So fix $k \geq 2$ and take any $a \geq 0$. We define a *generalized k -Dyck path of depth a* , semilength n , and semiheight m to be an integer lattice path from $(0, 0)$ to (kn, km) that uses the step set $\{U = (1, 1), D_{k-1} = (1, 1 - k)\}$ and stays weakly above the line $y = -a$. By analogy with above, we denote the set of all such paths by $\mathcal{D}_{n,m}^{k,a}$ and let $|\mathcal{D}_{n,m}^{k,a}| = D_{n,m}^{k,a}$.

The sets $\mathcal{D}_{n,m}^{k,a}$ were investigated as “ k -Dyck paths with a negative boundary” by Prodinger [17], who showed that $D_{n,0}^{k,a} = \frac{a+1}{kn+a+1} \binom{kn+a+1}{n}$ when $0 \leq a \leq k-1$. Our results will apply over the same range of depths and may be applied to give an alternative derivation of Prodinger’s closed formula in terms of proper Riordan arrays.

Now fix $k \geq 2$ and $a \geq 0$, and define $D^{k,a}$ to be the infinite, lower-triangular array of non-negative integers whose (n, m) entry (for $0 \leq m \leq n$) is $D_{n,m}^{k,a}$. Our approach is to show that these integer triangles represent the same proper Riordan arrays as $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin triangles for particular choices of $\vec{\alpha}, \vec{\beta}$.

Theorem 9. *For any $k \geq 2$ and $0 \leq a \leq k-1$, $D^{k,a}$ is a proper Riordan array with A - and Z -sequences*

$$A(t) = (1+t)^k, \quad Z(t) = \frac{(1+t)^k - (1+t)^{k-a-1}}{t}.$$

Proof. As in the proof of Proposition 2, it suffices to prove the recurrences

$$D_{n,m}^{k,a} = \begin{cases} \binom{k}{0} D_{n-1,m-1}^{k,a} + \cdots + \binom{k}{k} D_{n-1,m+k-1}^{k,a}, & \text{if } m \geq 1; \\ \left(\binom{k}{1} - \binom{k-a-1}{1}\right) D_{n-1,0}^{k,a} + \cdots + \left(\binom{k}{k} - \binom{k-a-1}{k}\right) D_{n-1,k-1}^{k,a}, & \text{if } m = 0. \end{cases}$$

For any $m \geq 0$, we partition $\mathcal{D}_{n,m}^{k,a}$ into sets S_{Q_2} whereby $P \in \mathcal{D}_{n,m}^{k,a}$ lies in S_{Q_2} if P decomposes as $P = Q_1 Q_2$ for the length- k terminal subpath Q_2 . For $P = Q_1 Q_2$, observe that $Q_1 \in \mathcal{D}_{n-1,m-1+j}^{k,a}$ if Q_2 contains precisely j down steps. This implies that $|S_{Q_2}| = D_{n-1,m-1+j}^{k,a}$ for every valid choice of Q_2 , via the bijection that takes $P = Q_1 Q_2$ to Q_1 .

All that’s left is to enumerate length- k subpaths Q_2 that end with semiheight $m \geq 0$, contain precisely $0 \leq j \leq k$ down steps, and remain weakly above $y = -a$. When $m \geq 1$, it is impossible for such a subpath (for any j) to go below $y = 0$ and still end at height $(k-1)m$. This would require a full complement of k up steps to travel from $y = -1$ to a height of at least $(k-1)$, and we’re assuming that Q_2 began with non-negative height. It follows that there are $\binom{k}{j}$ valid choices of Q_2 with precisely j down steps when $m \geq 1$, giving the first line of our desired recurrence.

When $m = 0$, not all $\binom{k}{j}$ potential subpaths Q_2 will remain weakly above $y = -a$. We enumerate the “bad” length- k subpaths that that go below $y = -a$. Every such “bad” subpath Q_2 has a rightmost step p that terminates at height $-a-1$. We claim that p may only be followed by up steps. This is because, if p were followed by any D_{k-1} steps, then p would also need to be followed by at least $(k-1) + (a+1) \geq k$ up steps if we want Q_2 to end at height 0. It follows that “bad” subpaths Q_2 must decompose as $Q_2 = Q_3 p U^{a+1}$, with the j down steps of Q_2 being distributed among the $k-a-1$ steps of the sub-subpath $Q_3 p$. It

follows that there are precisely $\binom{k-a-1}{j}$ “bad” choices of Q_2 with precisely j down steps, and thus that there are precisely $\binom{k}{j} - \binom{k-a-1}{j}$ valid choices of Q_2 with precisely j down steps. This gives the second line of our desired recurrence. \square

Corollary 10. *Fix $k \geq 2$. For all $n \geq 0$, $0 \leq m \leq n$, and $0 \leq a \leq k-1$, the equality $D_{n,m}^{k,a} = M_{n,m}^{k-1}(\vec{\alpha}, \vec{\beta})$ holds for $(k-1)$ -tuples $\vec{\alpha} = (\alpha_0, \dots, \alpha_{k-2})$ and $\vec{\beta} = (\beta_0, \dots, \beta_{k-2})$ with $\alpha_i = \binom{k}{i+1} - \binom{k-a-1}{i+1}$ and $\beta_i = \binom{k}{i+1}$ for all $0 \leq i \leq k-2$.*

Proof. This follows directly from a comparison of Proposition 2 and Theorem 9. \square

Note the order shift of Corollary 10: generalized k -Dyck paths correspond to order- $(k-1)$ colored Motzkin paths. Also notice this represents a bijection between generalized Dyck paths of length kn and colored Motzkin paths of length n . The reason that Theorem 9 and Corollary 10 fail to generalize to $a \geq k$ is because generalized Dyck paths of those depths may have negative semidepth m , making it impossible to arrange the cardinalities $D_{n,m}^{k,a}$ into a proper Riordan array.

For $k = 2, 3, 4$, the $(k-1)$ -tuples $(\vec{\alpha}, \vec{\beta})$ that result from Corollary 10 are summarized in Table 2. See Appendix A for how these colorations fit within the broader scheme of $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin paths.

$\vec{\alpha}, \vec{\beta}$	$a = 0$	$a = 1$	$a = 2$	$a = 3$
$k = 2$	(1), (2)	(2), (2)	—	—
$k = 3$	(1, 2), (3, 3)	(2, 3), (3, 3)	(3, 3), (3, 3)	—
$k = 4$	(1, 3, 3), (4, 6, 4)	(2, 5, 4), (4, 6, 4)	(3, 6, 4), (4, 6, 4)	(4, 6, 4), (4, 6, 4)

Table 2: $(k-1)$ -tuples $\vec{\alpha}, \vec{\beta}$ such that $D_{n,m}^{k,a} = M_{n,m}^{k-1}(\vec{\alpha}, \vec{\beta})$, as proven in Corollary 10.

As it will be helpful in upcoming subsections, we briefly outline one explicit bijection between $\mathcal{D}_{n,m}^{k,a}$ and $\mathcal{M}_{n,m}^{k-1}(\vec{\alpha}, \vec{\beta})$ for the tuples $\vec{\alpha}, \vec{\beta}$ of Corollary 10. So take $P \in \mathcal{M}_{n,m}^{k-1}(\vec{\alpha}, \vec{\beta})$, and take any step p of P that begins at height y_1 and ends at height y_2 . We replace p with a length- k subpath with step set $\{U, D_{k-1}\}$ that begins at height ky_1 and ends at height ky_2 . If p is a U step, the only way to do this is with a subpath of k consecutive U steps. If p is a D_i step, the new subpath must contain precisely $i+1$ total D_{k-1} steps and $k-i-1$ total U steps. There are $\binom{k}{i+1}$ such length- k subpaths: the specific subpath chosen is determined by the coloring of the D_i step being replaced. All such length- k subpaths stay above $y = 0$ (and hence above $y = -a$) when $y_2 \geq 1$, whereas some subpaths may go below $y = -a$ when $y_2 = 0$. The colorations $(\vec{\alpha}, \vec{\beta})$ of Corollary 10 provide the number of valid length- k subpaths. See Figure 5 for an example of this bijection.

Theorem 9 may be used to find the generating functions $d(t), h(t)$ of the proper Riordan array $\mathcal{R}(d(t), h(t))$ with entries $D_{n,m}^{k,a}$. As seen in Corollary 11, these Riordan arrays are “Fuss-Catalan triangles” of the type introduced by He and Shapiro [12] and further examined by Drube [10].

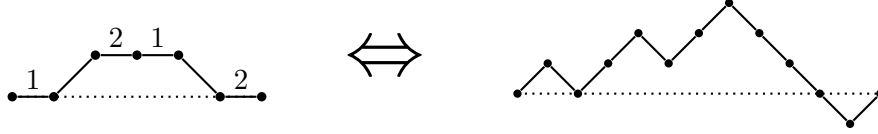


Figure 5: An example of our bijection between $\mathcal{M}_{n,m}^{k-1}(\vec{\alpha}, \vec{\beta})$ and $\mathcal{D}_{n,m}^{k,a}$ for $k = 2$ and $a = 1$. Here, U steps in the Motzkin path are replaced by a UU subpath in the 2-Dyck, D_1 steps are replaced by DD , D_0 steps of color 1 are replaced by UD , and D_0 steps of color 2 are replaced by DU . Notice that forbidding D_0 steps of color 2 at height $y = 0$ prevents the resulting 2-Dyck path from dropping below $y = 0$.

Corollary 11. *For any $k \geq 2$ and $0 \leq a \leq k - 1$, $D^{k,a}$ is the proper Riordan array $\mathcal{R}(d(t), h(t))$ with $d(t) = C_k(t)^{a+1}$ and $h(t) = tC_k(t)^k$.*

Proof. Given $A(t)$ and $Z(t)$ from Theorem 9, we merely need to verify the identities of (2). Using the k -Catalan identity $C_k(t) = 1 + tC_k(t)^k$, we have

$$\begin{aligned}
 tA(h(t)) &= t(1 + tC_k(t)^k)^k = tC_k(t)^k = h(t), \text{ and} \\
 \frac{d(0)}{1 - tZ(h(t))} &= \frac{1}{1 - t \left(\frac{(1+tC_k(t)^k)^k - (1+tC_k(t)^k)^{k-a-1}}{tC_k(t)^k} \right)} = \frac{1}{1 - \frac{C_k(t)^k - C_k(t)^{k-a-1}}{C_k(t)^k}} \\
 &= \frac{1}{\frac{C_k(t)^k - C_k(t)^k + C_k(t)^{k-a-1}}{C_k(t)^k}} = \frac{C_k(t)^k}{C_k(t)^{k-a-1}} = C_k(t)^{a+1} = d(t).
 \end{aligned}$$

□

For one final result involving k -Dyck paths, notice that the $a = k - 1$ case of Corollary 10 places $\mathcal{D}_{n,m}^{k,k-1}$ in bijection with $\mathcal{M}_{n,m}^{k-1}(\vec{\alpha}, \vec{\beta})$ for which $\vec{\alpha} = \vec{\beta}$. We may then apply Theorem 6 to enumerate generalized k -Dyck paths of fixed length and any semiheight.

Corollary 12. *Fix $k \geq 2$. For all $n \geq 0$, the equality $\sum_{m=0}^n D_{n,m}^{k,k-1} = M_{n,m}^{k-1}(\vec{\alpha}, \vec{\alpha})$ holds for the $(k - 1)$ -tuple $\vec{\alpha} = (\alpha_0, \dots, \alpha_{k-2})$ with $\alpha_0 = k + 1$ and $\alpha_i = \binom{k}{i+1}$ for all $1 \leq i \leq k - 2$.*

3.2 Higher-order colored Motzkin numbers and (k,r) -Fine paths

Our second set of combinatorial objects are subsets of k -Dyck paths that we refer to as (k, r) -Fine paths. These are an entirely new notion that intuitively generalize the concept of Fine paths from $k = 2$ to all $k \geq 2$.

A Fine path of length n and height m is an element of $D_{n,m}^2$ that lacks a subpath of the form UD_1 ending at height $y = 0$. Forbidden subpaths of this type are called “hills”, meaning that Fine paths are 2-Dyck paths that lack hills. It is well-known that Fine paths of height 0 are enumerated by the Fine numbers $(F_n)_{n \geq 0}$, an integer sequence ([A000957](#))

that begins $1, 0, 1, 2, 6, 18, \dots$. The Fine numbers have an ordinary generating function $F(t)$ that satisfies $F(t) = \frac{1}{1-t^2C_2(t)^2} = \frac{C_2(t)}{1+tC_2(t)}$. For more results about Fine paths and the Fine numbers, see Deutsch and Shapiro [8].

The notion of a “hill” becomes more ambiguous when you generalize from 2-Dyck paths to k -Dyck paths when $k > 2$. For fixed $k \geq 2$, we identify $k - 1$ competing definitions for a Fuss-Catalan analogue of Fine paths, each of which forbids different classes of subpaths that end at height 0. So fix $k \geq 2$, and take any $1 \leq r \leq k - 1$. A (k, r) -Fine path of semilength n and semiheight m is an element of $\mathcal{D}_{n,m}^k$ that lacks a subpath of the form $U^r D_{k-1}$ that ends at height 0. Clearly, (k, r_1) -Fine paths are a subset of (k, r_2) -Fine paths for all $r_1 < r_2$. See Figure 6 for a simple example.

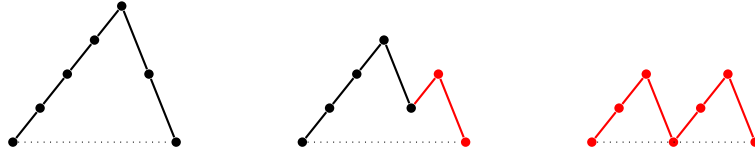


Figure 6: A trio of 3-Dyck paths, the first of which is both $(3, 2)$ -Fine and $(3, 1)$ -Fine, the second of which is $(3, 2)$ -Fine but not $(3, 1)$ -Fine, and the third of which is neither $(3, 2)$ -Fine nor $(3, 1)$ -Fine. Generalized “hills” are shown in red.

As in Subsection 3.1, we further generalize the notion of (k, r) -Fine paths to paths that stay weakly above $y = -a$ for any $0 \leq a \leq k - 1$. We define a *generalized (k, r) -Fine path of depth a* , semilength n , and semiheight m to be an element of $\mathcal{D}_{n,m}^{k,a}$ lacking a subpath of the form $U^r D_{k-1}$ that ends at height 0. We denote the set of all such paths $\mathcal{F}_{n,m}^{k,a,r}$ and let $|\mathcal{F}_{n,m}^{k,a,r}| = F_{n,m}^{k,a,r}$. We refer to the sequences $(F_{n,0}^{k,0,r})_{n \geq 0}$ as the (k, r) -Fine numbers.

Also mirroring Subsection 3.1, for any $k \geq 2$, $a \geq 0$, and $1 \leq r \leq k - 1$ we define $F^{k,a,r}$ to be the infinite, lower-triangular array whose (n, m) entry (for $0 \leq m \leq n$) is $F_{n,m}^{k,a,r}$. Our approach is once again to identify the proper Riordan array associated with each triangle $F^{k,a,r}$ and compare the results to Proposition 2.

Theorem 13. *For any $k \geq 2$, $0 \leq a \leq k - 1$, and $1 \leq r \leq k - 1$, $F^{k,a,r}$ is a proper Riordan array with A - and Z -sequences*

$$A(t) = (1 + t)^k, \quad Z(t) = \frac{(1 + t)^k - (1 + t)^{k-a-1}}{t} - (1 + t)^{k-r-1}.$$

Proof. The argument is largely equivalent to the proof of Theorem 9. The only difference comes in the $m = 0$ case, where we also need to exclude potential length- k terminal subpaths Q_2 that introduce a “hill” of the form $U^r D_{k-1}$.

As a point along a k -Dyck path can only return to $y = 0$ when its x -coordinate is divisible by k , all subpaths Q_2 that introduce a hill $U^r D_{k-1}$ must do so over their final $r + 1$ steps. This leaves $k - r - 1$ steps at the beginning of our terminal subpath, the totality of which must begin at height kj (for some $j \geq 0$) and end at height $y = k - 1 - r$. It follows that,

if Q_2 begins at height kj and ends at height 0, it must contain precisely $j + 1$ steps of type D_{k-1} , and that precisely j of those D_{k-1} steps must be within its first $k - r - 1$ steps. All of this means there are precisely $\binom{k-r-1}{j}$ potential subpaths Q_2 that begin at height kj , end at height 0, and introduce a hill of the form $U^r D_{k-1}$.

Since they must reach a height of $k - 1 - r \geq 0$ after their first $k - r - 1$ steps, the aforementioned restrictions of when a k -Dyck path can return to $y = 0$ ensures that none of the $\binom{k-r-1}{j}$ hill-introducing subpaths Q_2 enumerated above can go below $y = 0$. This ensures that no potential subpaths Q_2 are “doubly excluded” when citing the proof of Theorem 9, and we may modify the $m = 0$ recurrence from that theorem to give $F_{n,0}^{k,a,r} = \left(\binom{k}{1} - \binom{k-a-1}{1} - \binom{k-r-1}{0}\right) F_{n-1,0}^{k,a,r} + \dots + \left(\binom{k}{k} - \binom{k-a-1}{k} - \binom{k-r-1}{k-1}\right) F_{n-1,k-1}^{k,a,r}$.

The desired A -sequence carries over from Theorem 9, whereas the desired Z -sequence follows directly from the recurrence above. \square

Corollary 14. Fix $k \geq 2$. For all $n \geq 0$, $0 \leq m \leq n$, $0 \leq a \leq k - 1$, and $1 \leq r \leq k - 1$, the equality $F_{n,m}^{k,a,r} = M_{n,m}^{k-1}(\vec{\alpha}, \vec{\beta})$ holds for the $(k - 1)$ -tuples $\vec{\alpha} = (\alpha_0, \dots, \alpha_{k-2})$ and $\vec{\beta} = (\beta_0, \dots, \beta_{k-2})$ with $\alpha_i = \binom{k}{i+1} - \binom{k-a-1}{i+1} - \binom{k-r-1}{i}$ and $\beta_i = \binom{k}{i+1}$ for all $0 \leq i \leq k - 2$.

Proof. Follows from a comparison of Proposition 2 and Theorem 13 \square

For $k = 2, 3, 4$ and $a = 0$, the $(k - 1)$ -tuples $(\vec{\alpha}, \vec{\beta})$ guaranteed by Corollary 14 are summarized in Table 3. Once again, see Appendix A for how these colorations fit within the wider context of $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin paths.

$\vec{\alpha}, \vec{\beta}$	$a = 0, r = 1$	$a = 0, r = 2$	$a = 0, r = 3$
$k = 2$	(0), (2)	—	—
$k = 3$	(0, 1), (3, 3)	(0, 2), (3, 3)	—
$k = 4$	(0, 1, 2), (4, 6, 4)	(0, 2, 3), (4, 6, 4)	(0, 3, 3), (4, 6, 4)

Table 3: $(k - 1)$ -tuples $\vec{\alpha}, \vec{\beta}$ such that $F_{n,0}^{k,a,r} = M_{n,0}^{k-1}(\vec{\alpha}, \vec{\beta})$, as proven in Corollary 14.

The explicit bijection between $\mathcal{D}_{n,m}^{k,a}$ and $\mathcal{M}_{n,m}^{k-1}(\vec{\alpha}, \vec{\beta})$ from Subsection 3.1 restricts to a bijection between $\mathcal{F}_{n,m}^{k,a,r}$ and a (distinct) set of colored Motzkin paths $\mathcal{M}_{n,0}^{k-1}(\vec{\alpha}, \vec{\beta})$, with $\vec{\alpha}, \vec{\beta}$ as determined by Corollary 14. Also similar to Subsection 3.1 is the fact Theorem 13 may be used to characterize the integer triangles $F^{k,a,r}$ as proper Riordan arrays:

Corollary 15. For any $k \geq 2$, $0 \leq a \leq k - 1$, and $1 \leq r \leq k - 1$, $F^{k,a,r}$ is the proper Riordan array $\mathcal{R}(d(t), h(t))$ with $d(t) = \frac{C_k(t)^k}{C_k(t)^{k-a-1} + tC_k(t)^{2k-r-1}}$ and $h(t) = tC_k(t)^k$.

Proof. We use $A(t), Z(t)$ from Theorem 13 to verify the identities of (2). As $A(t)$ is the same as in Subsection 3.1, verification of $h(t)$ is identical to the proof of Corollary 11. Verification of $d(t)$ now takes the form

$$\frac{d(0)}{1 - tZ(h(t))} = \frac{1}{1 - \left(\frac{(1+tC_k(t)^k)^k - (1+tC_k(t)^k)^{k-a-1}}{tC_k(t)^k} - (1 + tC_k(t)^k)^{k-r-1} \right)}$$

$$\begin{aligned}
&= \frac{1}{1 - t \left(\frac{C_k(t)^k - C_k(t)^{k-a-1}}{tC_k(t)^k} - C_k(t)^{k-r-1} \right)} = \frac{1}{1 - 1 + \frac{C_k(t)^{k-a-1}}{C_k(t)^k} + tC_k(t)^{k-r-1}} \\
&\quad \frac{C_k(t)^k}{C_k(t)^{k-a-1} + tC_k(t)^{2k-r-1}} = d(t).
\end{aligned}$$

□

Substituting $a = 0$ into $d(t)$ from Corollary 15 provides a relatively simple relationship for the generating function $F_{k,r}(t)$ of the (k, r) -Fine numbers $(F_{n,0}^{k,0,r})_{n \geq 0}$ as $F_{k,r}(t) = \frac{C_k(t)}{1+tC_k(t)^{k-r}}$. Observe that this formula simplifies to the well-known relationship of $F(t) = \frac{C_2(t)}{1+tC_2(t)}$ in the case of $k = 2, r = 1$.

3.3 Higher-order colored Motzkin numbers and k -Dyck paths with restrictions on peak heights

We now consider subsets of k -Dyck paths whose peaks must appear at a fixed height, modulo k . By a “peak” we mean any subpath of the form UD_{k-1} , with the height of a peak equaling the height of (the right end of) the U step in the subpath.

For any $0 \leq i \leq k - 1$, we say that $P \in \mathcal{D}_{n,m}^{k,a}$ has *peak parity* i if the height of every one of its peaks is equivalent to $i \pmod{k}$. We denote the subset of $\mathcal{D}_{n,m}^{k,a}$ consisting of all paths with peak parity i by $\mathcal{D}_{n,m}^{k,a}(i)$. To avoid the ambiguity of categorizing paths of length 0, which have no peaks, we henceforth restrict our attention to sets $\mathcal{D}_{n,m}^{k,a}(i)$ with $n > 0$.

The goal of this subsection is to generalize the following pair of identities, which were originally proven by Callan [6]:

1. The set $\mathcal{D}_{n,0}^{2,0}(0)$ consisting of all 2-Dyck paths with peaks only at even height is enumerated by the Riordan numbers $R_n = M_{n,0}(0, 1)$.
2. The set $\mathcal{D}_{n,0}^{2,0}(1)$ consisting of all 2-Dyck paths with peaks only at odd height is enumerated by the shifted Motzkin numbers $M_{n-1} = M_{n-1,0}(1, 1)$.

The parity-0 case, corresponding to Callan’s first identity, may be directly generalized as follows:

Theorem 16. *Fix $k \geq 2$ and $0 \leq a \leq k - 1$. Then $|\mathcal{D}_{n,m}^{k,a}(0)| = M_{n,m}^{k-1}(\vec{\alpha}, \vec{1})$ for all n, m , where $\vec{1} = (1, 1, \dots, 1)$ and $\vec{\alpha} = (\alpha_0, \dots, \alpha_{k-2})$ satisfies*

$$\alpha_i = \begin{cases} 1, & \text{if } i < a; \\ 0, & \text{if } i \geq a. \end{cases}$$

Proof. As points (x, y) along $P \in \mathcal{D}_{n,m}^{k,a}(0)$ must satisfy $x \equiv y \pmod{k}$, all peaks in $P \in \mathcal{D}_{n,m}^{k,a}(0)$ must have x -coordinates that are divisible by k . This means that every such P may be subdivided into a sequence of length- k subpaths, each of which is of the form $(D_{k-1})^i U^{k-i}$

for some $0 \leq i \leq k$. For the subpath $(D_{k-1})^i U^{k-i}$, the subheight of the terminal point minus the subheight of the initial point is $1 - i$. Also notice that the lowest point in the subpath $(D_{k-1})^i U^{k-i}$ is $k - i$ units lower than the terminal point of the subpath.

We define an explicit bijection $\psi : \mathcal{M}_{n,m}^{k-1}(\vec{\alpha}, \vec{1}) \rightarrow \mathcal{D}_{n,m}^{k,a}(0)$ that is similar to the bijection described in Subsection 3.1. So take any $P \in \mathcal{M}_{n,m}^{k-1}(\vec{\alpha}, \vec{1})$. To obtain $\psi(P)$, replace each U step of P with the length- k subpath U^k , and (for each $0 \leq i \leq k - 1$) replace each D_i step of P with the length- k subpath $(D_{k-1})^{i+1} U^{k-i-1}$. For an example of this map, see Figure 7.

The map ψ is clearly injective, and its image is clearly some subset of generalized k -Dyck path of semilength n and semiheight m . By construction, all peaks in $\psi(P)$ are at a height of $0 \pmod k$. As the steepest allowable down steps of P ending at height 0 are D_{a-1} , the “lowest-dipping” length- k subpaths of $\psi(P)$ that end at height 0 are $(D_{k-1})^a U^{k-a}$ and they reach a minimum height of $y = -a$. Thus $\psi(P)$ remains weakly above $y = -a$. The fact that ψ is surjective follows from the observation that elements of $\mathcal{D}_{n,m}^{k,a}(0)$ have one allowable length- k subpath with a particular overall change in subheight. The choice of $\vec{\alpha}$ restricts the options for subpaths that end at subheight 0 to those that stay weakly above $y = -a$. \square

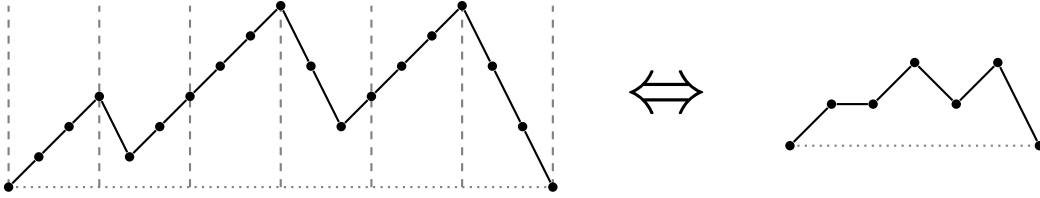


Figure 7: An example of the bijection between $\mathcal{D}_{n,m}^{k,a}(0)$ and $\mathcal{M}_{n,m}^{k-1}(\vec{\alpha}, \vec{1})$ from the proof of Theorem 16, here for $k = 3$, $n = 4$, $a = m = 0$. In this case $\vec{\alpha} = \vec{0}$, so colors have been suppressed.

Corollary 17. Fix $k \geq 2$. Then $|\mathcal{D}_{n,m}^{k,0}(0)| = M_{n,m}^{k-1}(\vec{0}, \vec{1})$ for all $n \geq 0$ and $0 \leq m \leq n$, where $\vec{0} = (0, 0, \dots, 0)$ and $\vec{1} = (1, 1, \dots, 1)$.

The primary insight in generalizing Callan’s second identity is that $\mathcal{D}_{n,0}^{2,0}(1)$ lies in bijection with $\mathcal{D}_{n-1,0}^{2,1}(0)$. This follows from the map that deletes the initial U step and the final D_1 step of any $P \in \mathcal{D}_{n,0}^{2,0}(1)$, and then shifts the resulting path down by one. Generalizing this map gives:

Corollary 18. Fix $k \geq 2$. Then $|\mathcal{D}_{n,m}^{k,0}(k-1)| = M_{n-1,m}^{k-1}(\vec{1}, \vec{1})$ for all $n \geq 1$ and $0 \leq m \leq n$, where $\vec{1} = (1, 1, \dots, 1)$.

Proof. We establish a bijection $\phi : \mathcal{D}_{n,0}^{k,0}(k-1) \rightarrow \mathcal{D}_{n-1,0}^{k,k-1}(0)$. For any $P \in \mathcal{D}_{n,0}^{k,0}(k-1)$, it must be the case that P decomposes as $P = U^{k-1} P' D_{k-1}$. Here P' begins and ends at height

$k - 1$ and thus corresponds to some $Q \in \mathcal{D}_{n-1,0}^{k,k-1}$. As P has peak parity $k - 1$, the path Q must have peak parity 0. The map $\phi(P) = Q$ represents our desired bijection.

Applying Lemma 16 then gives $|\mathcal{D}_{n,0}^{k,0}(k-1)| = |\mathcal{D}_{n-1,0}^{k,k-1}(0)| = M_{n-1,0}^{k-1}(\vec{\alpha}, \vec{1})$, where $\alpha_i = 1$ for all $i < k - 1$ and thus for all coordinates $0 \leq i \leq k - 2$. \square

The difficulty in generalizing Theorem 16 to peak parities other than 0 or $k - 1$ derives from the nature of our bijection between $\mathcal{D}_{n,m}^{k,a}$ and $\mathcal{M}_{n,m}^{k-1}(\vec{\alpha}, \vec{\beta})$. In particular, that bijection requires the Dyck paths have a length that is divisible by kn . The decomposition technique of Corollary 18 could be extended to paths of arbitrary peak parity h , but the required decomposition $P = U^h P' D_{k-1}$ only leaves a central subpath P' with length divisible by kn when $h = k - 1$.

3.4 Higher-order colored Motzkin numbers and k -ary trees

For one final collection of combinatorial interpretations, we turn our attention to k -ary trees. For any $k \geq 1$, a k -ary tree is a rooted tree in which every vertex has at most k children. A complete k -ary tree is a k -ary tree in which every vertex has either 0 children or k children. We denote the set of all k -ary trees with precisely n edges by \mathcal{T}_n^k , and the collection of all complete k -ary trees with precisely n edges by \mathcal{K}_n^k .

It is well known that 2-ary trees are enumerated by the Motzkin numbers as $|\mathcal{T}_n^2| = M_{n,0}(1, 1)$, and that complete k -ary trees are enumerated by the k -Catalan numbers as $|\mathcal{K}_{kn}^k| = C_n^k = M_{n,0}^k(\vec{0}, \vec{0})$ for every $k \geq 2$. See Aigner [1] or Hilton and Pedersen [14] for bijections establishing these results. These are the combinatorial interpretations that we look to generalize in this subsection.

In order to consistently describe our generalized bijection, we represent k -ary trees so that the root lies at the top of the tree and all children always appear lower than their parents. One may then order the vertices of $T \in \mathcal{T}_n^k$ via a depth-first search, from left-to-right, and label each edge with one less than the integer assigned to the vertex at its bottom end. See the left side of Figure 8 for an example. This edge ordering may be used to define a generalized bijection \mathcal{T}_n^k and $\mathcal{M}_{n,0}^{k-1}(\vec{1}, \vec{1})$:

Proposition 19. *For any $n \geq 0$ and $k \geq 2$, $|\mathcal{T}_n^k| = M_{n,0}^{k-1}(\vec{1}, \vec{1})$, where $\vec{1} = (1, 1, \dots, 1)$.*

Proof. To define our bijection $\phi : \mathcal{T}_n^k \rightarrow \mathcal{M}_{n,0}^{k-1}(\vec{1}, \vec{1})$, proceed through the edges of $T \in \mathcal{T}_n^k$ in the order defined by our depth-first search. Then construct the path $\phi(T) \in \mathcal{M}_{n,0}^{k-1}(\vec{1}, \vec{1})$ as follows, from left to right. If a particular edge is not a rightmost child, append a U step to the end of the partial path. If an edge is a rightmost child, and if the vertex at its top end has precisely i children, append a D_{i-1} step to the end of the partial path.

The resulting path $\phi(T)$ clearly ends at $(n, 0)$ and uses the correct step set for an element of $\mathcal{M}_{n,0}^{k-1}(\vec{1}, \vec{1})$. The fact that $\phi(T)$ remains weakly above $y = 0$ is a consequence of the depth-first search: in our edge ordering, the children of any fixed vertex have labels that

increase from left to right. This means that the D_{i-1} step associated with the rightmost child is always added after the U steps of its $i - 1$ non-rightmost siblings.

To see that ϕ is a bijection, notice that T may be uniquely recovered from $\phi(T)$ as follows. For every D_i step in $\phi(T)$ with $i \geq 1$, identify the i total U steps that are “visible” to that D_i step from the left. These matchings (which we represent via horizontal “lasers” that travel under $\phi(T)$) correspond to siblings in the associated tree T . Nesting of laser matchings in $\phi(T)$ correspond to parent/child relationships in T . See the right side of Figure 8 for an example of this inverse procedure. \square

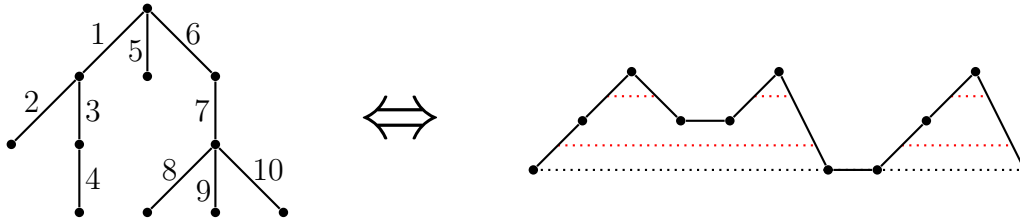


Figure 8: An example of the bijection between \mathcal{T}_n^k and $\mathcal{M}_{n,0}^{k-1}(\vec{1}, \vec{1})$ from the proof of Theorem 3.4. The tree of the left side exhibits our depth-first edge ordering, whereas the dotted red lines on the right side correspond to the “lasers” used in defining the inverse map.

The bijection of Theorem 3.4 directly prompts a combinatorial interpretation for any sequence of $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin numbers where the vectors $\vec{\alpha}$ and $\vec{\beta}$ are composed entirely of zeroes and ones:

Corollary 20. *Fix $k \geq 2$, and let S be an subset of $\{0, 1, \dots, k-1\}$. Then define $\mathcal{T}_n^{k,S} \subseteq \mathcal{T}_n^k$ to be the collection of all k -ary trees where every vertex must have either k children or precisely i children for some $i \in S$. Then $|\mathcal{T}_n^{k,S}| = M_{n,0}^{k-1}(\vec{\alpha}_S, \vec{\alpha}_S)$, where $\vec{\alpha}_S = (\alpha_0, \dots, \alpha_{k-1})$ is the $(k-1)$ -tuple such that $\alpha_i = 1$ if $i \in S$ and $\alpha_i = 0$ otherwise.*

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A Appendix: Tables of higher-order colored Motzkin numbers

A Java program was written that used Proposition 2 to generate the first seven rows of the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin triangle, for order $\ell = 1, 2, 3$ and arbitrary choices of $\vec{\alpha}, \vec{\beta}$. The first columns of those Riordan arrays were then checked against OEIS [22] for pre-existing combinatorial interpretations. The results of our comparisons are shown below for $\ell = 1, 2$ and various “easy” choices of $\vec{\alpha}, \vec{\beta}$. Dashes correspond to sequences that failed to return an entry on OEIS. Java code is available upon request.

	$\beta = 0$	$\beta = 1$	$\beta = 2$	$\beta = 3$	$\beta = 4$	$\beta = 5$
$\alpha = 0$	A126120	A005043	A000957	A117641	A185132	—
$\alpha = 1$	A001405	A001006	A000108	A033321	—	—
$\alpha = 2$	A054341	A005773	A000108*	A007317	A033543	—
$\alpha = 3$	A126931	A059738	A001700	A002212	A064613	—
$\alpha = 4$	—	—	A049027	A026378	A005572	A104455
$\alpha = 5$	—	—	A076025	—	A005573	A182401

Table 4: An expansion of Table 1, showing integer sequences corresponding to the (α, β) -colored Motzkin numbers $M_{n,0}^1(\alpha, \beta)$ of order $\ell = 1$, for various choices of (α, β) .

	$\beta = 0$	$\beta = 1$	$\beta = 2$	$\beta = 3$	$\beta = 4$	$\beta = 5$
$\alpha = 0$	A001405	A002426	A026641	A126952	—	—
$\alpha = 1$	A000079	A005773	A000984	A126568	A227081	—
$\alpha = 2$	A127358	A000244	A001700	A026375	A133158	—
$\alpha = 3$	A127359	A126932	A000302	A026378	A081671	—
$\alpha = 4$	A127360	—	A141223	—	A005573	A098409
$\alpha = 5$	—	—	—	—	A000400	A122898

Table 5: Integer sequences $(r_n(\alpha, \beta))_{n \geq 0}$ corresponding to row sums $r_n = \sum_{i=0}^n M_{n,m}^1(\alpha, \beta)$ of the (α, β) -Motzkin triangle of order $\ell = 1$, for various choices of (α, β) . By Theorem 6, the (i, i) entries of this table equal the $(i + 1, i)$ entries of Table 4.

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	$\vec{\beta} = (0, 0)$	$\vec{\beta} = (1, 0)$	$\vec{\beta} = (2, 0)$		$\vec{\beta} = (0, 1)$	$\vec{\beta} = (1, 1)$	$\vec{\beta} = (2, 1)$
$\vec{\alpha} = (0, 0)$	—	—	—	$\vec{\alpha} = (0, 1)$	A001005	—	A303730
$\vec{\alpha} = (1, 0)$	A076227	A071879	—	$\vec{\alpha} = (1, 1)$	—	A036765	A049128
$\vec{\alpha} = (2, 0)$	—	—	—	$\vec{\alpha} = (2, 1)$	—	A159772	—

Table 6: Integer sequences corresponding to the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin numbers $M_{n,0}^2(\vec{\alpha}, \vec{\beta})$ of order $\ell = 2$, for various choices of $\vec{\alpha} = (\alpha_0, \alpha_1)$, $\vec{\beta} = (\beta_0, \beta_1)$ with $\alpha_0 = \beta_0 = 0$ (left) and $\alpha_1 = \beta_1 = 1$ (right).

	$\alpha_1 = 0$	$\alpha_1 = 1$	$\alpha_1 = 2$	$\alpha_1 = 3$	$\alpha_1 = 4$
$\alpha_0 = 0$	—	A089354	A023053	—	—
$\alpha_0 = 1$	—	—	A001764	A121545	—
$\alpha_0 = 2$	—	—	A098746	A006013	—
$\alpha_0 = 3$	—	—	—	A001764*	—
$\alpha_0 = 4$	—	—	—	A047099	—

Table 7: Integer sequences corresponding to the $(\vec{\alpha}, \vec{\beta})$ -colored Motzkin numbers $M_{n,0}^2(\vec{\alpha}, \vec{\beta})$ of order $\ell = 2$, for various choices of $\vec{\alpha} = (\alpha_0, \alpha_1)$ when $\vec{\beta} = (3, 3)$. When $\ell = 2$, observe that these cover all choices of $(\vec{\alpha}, \vec{\beta})$ relevant to Subsections 3.1 and 3.2.

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