



# Lucasnomial Fuss-Catalan Numbers and Related Divisibility Questions

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## Abstract

For all integers  $n \geq 1$  we define the generalized Lucasnomial Fuss-Catalan numbers

$$C_{U,a,r}(n) := \frac{U_r}{U_{(a-1)n+r}} \binom{an+r-1}{n}_U,$$

and prove their integrality. Here  $U$  is a fundamental Lucas sequence,  $a \geq 2$  and  $r \geq 1$  are integers, and  $\binom{*}{*}_U$  denotes a Lucasnomial coefficient. If  $U = I$ , where  $I_n = n$ , then the  $C_{I,a,r}(n)$  are the usual generalized Fuss-Catalan numbers. With the assumption that  $U$  is regular, we show that  $U_{(a-1)n+k}$  divides  $\binom{an}{n}_U$  for all  $n \geq 1$  but a set of asymptotic density 0 if  $k \geq 1$ , but only for a small set if  $k \leq 0$ . This small set is finite when  $U \neq I$  and at most of upper asymptotic density  $1 - \log 2$  when  $U = I$ . We also determine all triples  $(U, a, k)$ , where  $k \geq 2$ , for which the exceptional set of density 0 is actually finite, and in fact empty.

## 1 Introduction

The Catalan numbers  $C_n$ , which may be defined algebraically by the formula  $\frac{1}{n+1} \binom{2n}{n}$ , appear in all kinds of mathematical contexts and have numerous combinatorial interpretations. One may get seriously acquainted with them by consulting the recent book of Stanley [28]. They admit several generalizations. Two of them are relevant to this paper. First, the generalized Fuss-Catalan numbers, defined for all integers  $n \geq 1$  as

$$\frac{r}{(a-1)n+r} \binom{an+r-1}{n}, \tag{1}$$

where  $a \geq 2$  and  $r \geq 1$  are fixed integers. Fuss-Catalan numbers, which correspond to  $r = 1$ , are shown [28, Exercise A14, pp. 108] to have combinatorial interpretations that extend some of the interpretations for ordinary Catalan numbers. We note that Fuss-Catalan numbers are sometimes plainly called generalized Catalan numbers (e.g., [29]). The second generalization of interest to this paper are the Lucasnomial Catalan numbers

$$\frac{1}{U_{n+1}} \binom{2n}{n}_U, \quad (2)$$

where  $U = (U_n)$  is a fundamental Lucas sequence and  $\binom{2n}{n}_U$  is the generalized central binomial coefficient with respect to  $U$ . Both generalizations are known to yield integers only; see, e.g., [5, 11]. In Section 2, the integrality of more general numbers is proved, namely the *generalized Lucasnomial Fuss-Catalan* numbers

$$C_{U,a,r}(n) := \frac{U_r}{U_{(a-1)n+r}} \binom{an+r-1}{n}_U, \quad (3)$$

where  $U$  is a regular fundamental Lucas sequence, and  $a \geq 2$  and  $r \geq 1$  are given integers. The numbers

$$C_{U,a,1}(n) = \frac{1}{U_{(a-1)n+1}} \binom{an}{n}_U, \quad (4)$$

where  $r = 1$  are simply referred to as *Lucasnomial Fuss-Catalan* numbers. Thus, ordinary Catalan and Fibonomial Catalan numbers which correspond, respectively, to  $(U, a, r) = (I, 2, 1)$  and  $(U, a, r) = (F, 2, 1)$  are particular instances of Lucasnomial Fuss-Catalan numbers. Throughout the paper, the letter  $I$  denotes the identity sequence, i.e.,  $I_n = n$  for all  $n \geq 0$ , while  $F$  denotes the Fibonacci sequence defined by  $F_{n+2} = F_{n+1} + F_n$ , for all  $n \geq 0$ ,  $F_0 = 0$  and  $F_1 = 1$ .

In Section 2, the integrality of various Lucasnomial generalizations of classical numbers is established. Indeed, Theorem 6 unconditionally establishes the integrality of all Lucasnomial Fuss-Catalan numbers, Theorem 9 proves the integrality of all generalized Lucasnomial Fuss-Catalan numbers with the restriction that  $U$  be regular, Theorem 12 deduces from Theorem 9 the integrality of the *generalized Lucasnomial Lobb* numbers  $L_{m,s}^{U,a}$ , defined by

$$L_{m,s}^{U,a} := \frac{U_{as+1}}{U_{(a-1)m+s+1}} \binom{am}{(a-1)m+s}_U, \quad (5)$$

for  $a \geq 1$ ,  $m > s \geq 0$ , and, given the author's patronym we could hardly fail to show Theorem 13, which establishes the integrality of all *Lucasnomial ballot* numbers  $B_U(a, b)$ , defined by

$$B_U(a, b) := \frac{U_{a-b}}{U_{a+b}} \binom{a+b}{a}_U, \quad (6)$$

for all  $a > b \geq 0$  integers.

However, Section 2 apart, the rest of the paper is devoted to a variant of Lucasnomial Fuss-Catalan numbers, namely the numbers

$$\frac{1}{U_{(a-1)n+k}} \binom{an}{n}_U, \quad (7)$$

where  $k$  is a fixed integer. The investigation we launched into pursues the research work conducted in two recent papers, one of Pomerance [22] and then one of the author [5].

Given a triple  $(U, a, k)$ , we consider the set  $D_{U,a,k}$  of all positive integers  $n$  for which  $U_{(a-1)n+k}$  divides the Lucasnomial  $\binom{an}{n}_U$ . When  $n$  is in  $D_{U,a,k}$ , then the numbers in (7) are integers. When  $k = 1$ , they are Lucasnomial Fuss-Catalan numbers and are integers for all  $n \geq 1$ . Thus,  $D_{U,a,1} = \mathbb{N}$ . The main question the paper addresses is how does replacing 1 by  $k$  affects the sets  $D_{U,a,k}$ ? How far astray do we get from the Catalan phenomenon?

In his clear and attractive paper [22], Pomerance studied how often the middle binomial coefficients  $\binom{2n}{n}$  are divisible by  $n + k$ , when  $k$  is a fixed arbitrary integer. The enquiry brought out two chief phenomena: the singularity of the Catalan numbers and a drastic difference in behavior between the case  $k \geq 1$  and the case  $k \leq 0$ . Indeed, if  $k \neq 1$ , then  $\overline{D}_{I,2,k}$ , the complementary set of  $D_{I,2,k}$  in the positive integers, is infinite. That is, there are infinitely many  $n$  for which  $n + k$  does not divide  $\binom{2n}{n}$ . Secondly, if  $k \geq 1$ , then  $D_{I,2,k}$  has asymptotic density one, whereas if  $k \leq 0$ , although  $D_{I,2,k}$  is infinite, its upper asymptotic density, whose value is still unknown — see Section 3 — is small and definitely less than  $1/3$ .

Before describing the content of the second paper [5], we recall some notions.

A fundamental Lucas sequence  $U = U(P, Q)$  is a binary linear recurrent sequence defined by the initial values  $U_0 = 0$ ,  $U_1 = 1$  and the recurrence

$$U_{n+2} = PU_{n+1} - QU_n, \quad (8)$$

for all integers  $n \geq 0$ , where  $P$  and  $Q$  are nonzero integers. The discriminant  $\Delta$  of  $U(P, Q)$  is  $P^2 - 4Q$ , i.e., it is the discriminant of the characteristic polynomial associated with the recursion.

In stating our results we often use the notation  $U(P, Q)$ , with parameters  $P$  and  $Q$ , to designate a Lucas sequence later simply referred to as  $U$  and with terms  $U_n$ . Note that all terms of a sequence  $U$  are integers. With its initial conditions  $U$  turns out to be a *divisibility*, or a *divisible* sequence, i.e., one that satisfies, for all positive integers  $m$  and  $n$ , the property

$$m \mid n \implies U_m \mid U_n. \quad (9)$$

A fundamental Lucas sequence is *nondegenerate* if  $U_n \neq 0$  for all  $n \geq 1$ . Nondegeneracy occurs whenever the ratio of the zeros of  $x^2 - Px + Q$  is not a root of unity of order  $\geq 2$ . Alternatively, the condition  $U_{12} \neq 0$  is necessary and sufficient to ensure the nondegeneracy of  $U$  — see, e.g., [2, Section 2]. We sometimes use the abbreviation ‘NFLS’, or ‘NFL-sequence’, for a nondegenerate fundamental Lucas sequence.

A *regular* Lucas sequence  $U(P, Q)$  is a NFLS such that  $\gcd(P, Q) = 1$ .<sup>1</sup> This property is well-known to confer a stronger form of divisibility to the sequence  $U$ , i.e., for all nonnegative integers  $m$  and  $n$

$$\gcd(U_m, U_n) = |U_{\gcd(m,n)}|. \quad (10)$$

Conversely, property (10) is easily seen to imply  $\gcd(P, Q) = 1$ .

A *Lucasnomial*, or a Lucasnomial coefficient, is a generalized binomial coefficient with respect to a nondegenerate fundamental Lucas sequence  $U$ . That is, for  $m \geq n \geq 1$ , the Lucasnomial  $\binom{m}{n}_U$  is defined as

$$\binom{m}{n}_U := \frac{U_m U_{m-1} \cdots U_{m-n+1}}{U_n U_{n-1} \cdots U_1},$$

and as 1, if  $m \geq 0$  and  $n = 0$ , and as 0 otherwise. Thus, for instance,

$$\binom{6}{3}_F = \frac{F_6 \cdot F_5 \cdot F_4}{F_3 \cdot F_2 \cdot F_1} = \frac{8 \cdot 5 \cdot 3}{2 \cdot 1 \cdot 1} = 60.$$

The important Lucasnomial identity

$$\binom{m}{n}_U = U_{n+1} \binom{m-1}{n}_U - QU_{m-n-1} \binom{m-1}{n-1}_U, \quad \text{for } m \geq n \geq 1, \quad (11)$$

easily follows from the Lucas identity [2, Section 5]

$$U_m = U_{n+1}U_{m-n} - QU_nU_{m-n-1}.$$

The integrality of all Lucasnomials may be seen inductively from (11).

There are two particular Lucas sequences  $U(P, Q)$  for which the corresponding Lucasnomials have their own name. On the one hand, of course, the ordinary binomial coefficients  $\binom{m}{n} = \binom{m}{n}_I$ , for which  $I = U(2, 1)$  and  $I_n = n$  for all  $n \geq 0$ . On the other hand, we have the Fibonomials,  $\binom{m}{n}_F$ , derived from the much-studied Fibonacci sequence  $F$  which is equal to  $U(1, -1)$ . Indeed, Fibonomials appeared earlier than general Lucasnomials in the mathematical literature.

In the sequel, we usually assume  $P > 0$ . Indeed, given  $P$  and  $Q$ ,

$$U(-P, Q)(n) = (-1)^{n-1}U(P, Q)(n), \quad \text{for all } n \geq 0,$$

so that Lucasnomials with respect to  $U(-P, Q)$  are, up to sign, identical to corresponding Lucasnomials with respect to  $U(P, Q)$ . Therefore, the two sets  $D_{U(\pm P, Q), a, k}$  are identical.

Lucasnomial Catalan numbers with respect to a NFLS  $U$ , defined in (2), deserve their appellation as they are integral for all  $n \geq 0$  [5, 12, 14, 24]. Gould [13, 14] might have been the first person to coin the term ‘Fibonomial Catalan number’ for  $U = F$  and to prove

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<sup>1</sup>The nondegeneracy condition simplifies to  $U_3 \neq 0$ , i.e., to not having  $P^2 = Q = 1$  when  $\gcd(P, Q) = 1$ .

their integrality. To date and to our knowledge there is no combinatorial interpretation of Lucasnomial Catalan numbers for  $U \neq I$ , even though combinatorial interpretations of Lucasnomials themselves exist and have received attention [6, 7, 23, 24].

Because  $I$  is a particular NFLS and because the Catalan phenomenon persists when we consider Lucasnomial Catalan numbers, it was natural to find out whether

1. The sets  $D_{U,2,k}$  all missed infinitely many integers as soon as  $k \neq 1$ ,
2. The cleavage observed between the cases  $k \geq 1$  and  $k \leq 0$  for  $U = I$  remained true generally.

The answers [5] were essentially affirmative at least for all regular Lucas sequences if not for one exception with respect to question 1, namely the sequence  $U(1, 2)$ . For this sequence,  $D_{U,2,2}$  turns out to be the whole set of natural numbers. The behavioral dichotomy between  $k \geq 1$  and  $k \leq 0$  is even sharper than for  $U = I$ . That is, if  $\Delta \neq 0$ , then the sets  $D_{U,2,k}$  are finite when  $k \leq 0$ , but still have asymptotic density 1 when  $k \geq 1$ . (The only two zero-discriminant regular Lucas sequences are  $U(\pm 2, 1)$  and the only one with  $P > 0$  is  $U = I = U(2, 1)$ .)

This paper sets about discovering whether, for all regular Lucas sequences  $U$  and all integers  $k$ , the divisor sets  $D_{U,a,k}$  display the same features for  $a > 2$  as for  $a = 2$ . Section 3 is a study of the case  $k \leq 0$ : Theorem 14 shows the finiteness of all  $D_{U,a,k}$  when  $U$  is not  $I$ , while, in Theorem 15, the sets  $D_{I,a,k}$  are once more proven to be of asymptotic density less than  $1/3$ . That all sets  $D_{I,a,k}$ ,  $k \leq 0$ , are infinite, is conjectured, but only proven, given an  $a \geq 3$ , for infinitely many values of  $k$  in Theorem 16. The section ends by discussing the current knowledge about the size of  $D_{I,2,0}$ , the set of integers  $n \geq 1$  that divide the middle binomial coefficient  $\binom{2n}{n}$ . It may seem like a simple case, yet with much food for thought leftover.

Section 4 establishes a number of lemmas and examines some examples that help to understand the direction we took and the methods we used, while speeding up the search carried out in Section 5. Indeed, Section 5 is devoted to the search for triples  $(U, a, k)$ ,  $k \geq 2$ , called *Catalan-like* triples, for which  $D_{U,a,k}$  is the entire set of all natural numbers. We found exactly four new Catalan-like triples. Except for those five Catalan-like triples — i.e., including the triple  $(U(1, 2), 2, 2)$  found in [5] — the sets  $D_{U,a,k}$ ,  $k \geq 2$ , all miss infinitely many positive integers. Theorem 30 summarizes the various partial results of Section 5.

The proof that all  $D_{U,a,k}$  are of asymptotic density 1 when  $k \geq 1$  is established in Section 6 and finalized in Theorem 37. One of the findings that comes out of the proof of Theorem 37 is that for each triple  $(U, a, k)$ ,  $U$  regular,  $a \geq 2$  and  $k \geq 1$ , there is a minimal integer  $m \geq 1$  such that

$$\frac{m}{U_{(a-1)n+k}} \binom{an}{n}_U \quad \text{is integral for all } n \geq 1. \quad (12)$$

We may say that the triple  $(U, a, k)$  *belongs* to the integer  $m$ . Thus, amongst all regular  $U$ , the triples  $(U, a, 1)$ ,  $a \geq 2$ , and the five Catalan-like triples are *all* the triples that belong to

1. The triple  $(F, 3, 2)$  is seen to belong to 3 as an outcome of the proof of Corollary 18 of Section 4. This observation raises numerous questions such as

Question 1. What are all triples  $(U, a, k)$ ,  $U$  regular, that belong to 3?

Question 2. Are there triples that belong to  $m$  for all  $m \geq 1$ ? If not, what is the set of  $m$  not represented by any triple?

Question 3. Can one find general criteria that describe the triples that belong to a given  $m$ ?

One might consider a further more general research problem which we tentatively state below.

**Problem 1.** Can one obtain even broader theorems using the *generalized* Lucasnomial Fuss-Catalan numbers defined in (3)? Perhaps, the following problem, or a variant of it, would just do: Given a quadruple  $(U, a, r, k)$ ,  $a \geq 2$ ,  $r \geq 1$ ,  $k$  an integer, one could study the sets  $D_{U,a,r,k}$  of integers  $n \geq 1$  such that

$$\frac{U_r}{U_{(a-1)n+k}} \binom{an+r-1}{n}_U, \quad (13)$$

is an integer. What density would these sets obey? Would one find a corresponding cleavage between the cases  $k < r$  and  $k \geq r$ ? Could one find all Catalan-like quadruples  $(U, a, r, k)$  for which the numbers in (13) are always integers?

Some explicit Kummer rule was devised [11] for another whole class of generalized binomial coefficients, namely those generalized with respect to multiplicative arithmetic functions with range in the positive integers. Thus, one may consider a study comparable to ours in this context. Let us state the problem more precisely.

**Problem 2.** If  $(u_n)_{n \geq 1}$  is a sequence of positive integers such that

$$\begin{aligned} u_{mn} &= u_m u_n, & \text{if } \gcd(m, n) = 1, & & \text{(multiplicative)} \\ u_m &| u_n, & \text{if } m | n, & & \text{(divisible)} \end{aligned}$$

then the generalized Fuss-Catalan numbers with respect to  $u$

$$\frac{u_r}{u_{(a-1)n+r}} \binom{an+r-1}{n}_u$$

are shown to be integers [11]. Studying, say when  $r = 1$ , the sets  $D_{u,a,k}$  of integers  $n \geq 1$  for which  $u_{(a-1)n+k}$  divides  $\binom{an}{n}_u$ , would be an interesting project. In particular and for instance, let  $u_n = \varphi(n)$ , where  $\varphi$  is the Euler totient function, a sequence both multiplicative and divisible. Then for  $k \neq 1$ , what can be said of the sets  $D_{u,2,k}$  of integers  $n \geq 1$  such that

$$\frac{1}{u_{n+k}} \binom{2n}{n}_u \quad \text{is an integer?}$$

(Some authors [10, 19] have studied generalized binomial coefficients with respect to the Euler function  $\varphi$ .)

The paper is mostly very elementary, but draws on three main sources for its proofs. One source is the classification [1, 8] of all  $k$ -defective regular Lucas sequences. A prime  $p$  is said to be a *primitive prime divisor* (p.p.d.) of the  $n$ th term of a Lucas sequence  $U$  if and only if  $p \mid U_n$ , but  $p \nmid U_m$ ,  $1 < m < n$ . Given  $k \geq 2$ , a regular Lucas sequence  $U(P, Q)$  of discriminant  $\Delta \neq 0$  is said to be  *$k$ -defective* if and only if  $U_k$  does not have primitive prime divisors not dividing  $\Delta$ . For instance,  $F$  is 6-defective as  $F_6 = 8$  and  $F_3 = 2$ . The prime 5 is a p.p.d. of  $F_5 = 5$ , but  $F$  is 5-defective as the discriminant  $\Delta_F = 5$ . The primitive divisor theorem [8, Theorem 1.4] asserts that for regular nonzero-discriminant Lucas sequences  $U$ ,  $U_n$  has a primitive prime divisor not dividing  $\Delta$ , for all  $n > 30$ . So there are no  $k$ -defective regular Lucas sequences  $U$ ,  $U \neq I$ , for  $k > 30$ . Moreover, tables of all  $k$ -defective regular  $U$  were made [8] for all values of  $k$ ,  $1 < k < 31$ . Some errors remained and were later corrected [1]. The tables [1, 8] list Lucas sequences  $U$  in terms of  $P$  and  $\Delta$ . For convenience, and so the readers can better follow some of the arguments in Section 5, we added a table, Table A, in an appendix in Section 7. Table A gathers together the various tables [8, Tables 1 and 3, pp. 78–79], [1, p. 312], but indexes Lucas sequences with the parameters  $P$  and  $Q$  instead. We note here that our arguments use primitive divisors so that primes dividing  $\Delta$  may occasionally help to conclude a particular argument case.

Note that in Section 5, Proposition 29, we could not show the sets  $D_{I,a,k}$ , for  $k \geq 2$ , missed infinitely many integers using the primitive divisor theorem or the tables of  $k$ -defective regular Lucas sequences, and we had to come up with specific arguments.

Another extensive source of proofs throughout the paper are the Kummer rules for determining the  $p$ -adic valuation of binomial coefficients or Lucasnomials when  $p$  is a prime. Kummer's rule [18] gives the  $p$ -adic valuation of the binomial coefficient  $\binom{m+n}{n}$  as the number of carries in the addition of  $m$  and  $n$  performed in base  $p$ . Kummer-like theorems were obtained [17] for generalized binomial coefficients with respect to regular sequences of positive integers, i.e., sequences satisfying property (10), and an explicit theorem for Fibonomial coefficients [17, Theorem 2]. An explicit theorem for Lucasnomials with respect to a generic NFLS  $U(P, Q)$  is given in [3, Theorem 4.2] and repeated below in Proposition 4.

As for the two preceding papers [5, 22], a key point is that  $n \in D_{U,a,k}$  if and only if for each prime  $p$ , the  $p$ -adic valuation of  $\binom{an}{n}_U$  is at least that of  $U_{(a-1)n+k}$ .

The  $p$ -adic valuation of the terms  $U_n$  (or  $U_{(a-1)n+k}$ ) of a Lucas sequence has been known to obey certain rules since at least the time of Lucas [20, Section XIII], but is being reproved every now and then in various guises (one of the latest appeared in a recent issue of the Fibonacci Quarterly [26]). The theory of Lucas sequences is the third main source of our proofs with which we assume some familiarity from the readers. Chapter 4 of the book [31] can serve as a valuable introduction. Still we recall some basic facts for completeness' sake. The rank  $\rho_U(p)$ , or  $\rho$ , of a prime  $p$  in a Lucas sequence  $U(P, Q)$  is the smallest positive index  $t$  such that  $p$  divides  $U_t$ . It is guaranteed to exist when  $p \nmid Q$ .

By [3, Eq. (4.4) and Theorem 4.1, Section 4], we next state a proposition that gives the  $p$ -adic valuation of all terms of a NFL-sequence  $U(P, Q)$  for all primes  $p \nmid Q$ . The content

of Proposition 3 is what classically constitutes the Lucas laws of appearance and repetition for primes and prime powers in Lucas sequences.

**Proposition 3.** *Let  $U(P, Q)$  be a nondegenerate fundamental Lucas sequence and  $p \nmid Q$  be a prime of rank  $\rho$  in  $U$ . Then, for all positive integers  $m$  and  $n$ , we have*

$$\begin{aligned} \rho \text{ divides } p - (\Delta | p), & \quad \text{if } p \text{ is odd,} \\ p | U_n & \text{ if and only if } \rho | n, \\ \nu_p(U_{m\rho}) = \nu_p(m) + \nu_p(U_\rho) + \delta \cdot [2 | m] & := x + \nu + \delta_x, \end{aligned}$$

where  $\Delta = P^2 - 4Q$ ,  $(* | *)$  is the Legendre symbol,  $x = \nu_p(m)$ ,  $\nu = \nu_p(U_\rho)$ ,

$$\delta = \nu_2((P^2 - 3Q)/2) \cdot [p = 2] \cdot [2 \nmid PQ],$$

and  $\delta_x = \delta \cdot [x > 0]$ .

The notation  $x$ ,  $\nu$ ,  $\delta$  and  $\delta_x$  is used consistently with the utilization of Proposition 3 throughout the paper. In Proposition 3, we used the Iverson symbol  $[-]$ , defined by:

$$[\mathcal{P}] = \begin{cases} 1, & \text{if } \mathcal{P} \text{ is a true statement;} \\ 0, & \text{if not.} \end{cases}$$

Here is the explicit Kummer rule obtained in [2, Section 4].

**Proposition 4.** (Kummer's rule for Lucasnomials) *Let  $U(P, Q)$  be a nondegenerate Lucas sequence and  $p \nmid Q$  be a prime of rank  $\rho$  in  $U$ . Let  $m$  and  $n$  be two positive integers. Then the  $p$ -adic valuation of the Lucasnomial  $\binom{m+n}{n}_U$  is equal to the number of carries that occur to the left of the radix point when  $m/\rho$  and  $n/\rho$  are added in base- $p$  notation, plus  $\nu_p(U_\rho)$  if a carry occurs across the radix point, plus  $\delta$  if a carry occurs from the first to the second digit to the left of the radix point, where  $\delta$  was defined in Proposition 3.*

This proposition suggests we distinguish carries across or to the left of the radix point from the other carries. Thus, as in [3, 5], when adding  $m/\rho + n/\rho$  in base  $p$ , we call a carry *relevant* when it occurs across or to the left of the radix point.

Here is an illustrative example of the use of Proposition 4 with  $U(1, -5)$  in order to determine the 2-adic valuation of  $\binom{20}{5}_U$ . We see that  $U_3 = 6$  so that  $\rho(2) = 3$  and  $\nu = 1$ . Also,  $P^2 - 3Q = 16$  so that  $\delta = 3$ . Now, using some self-evident base-2 writing, we find that

$$\begin{aligned} \frac{15}{\rho} &= 5 = (101)_2, \\ \frac{5}{\rho} &= 1 + \frac{2}{3} = (1.\overline{10}^\infty)_2. \end{aligned}$$



Hence, there is only one relevant carry from first to second digit left of the radix point in the base-2 sum of  $15/\rho$  and  $5/\rho$ . Thus, by our Kummerian rule,  $\nu_2\binom{20}{5}_U = 1 + 0 + \delta = 4$ . Using Proposition 3 one can directly check that

$$\nu_2\binom{20}{5}_U = \nu_2\left(\frac{U_{20} \cdot U_{19} \cdot U_{18} \cdot U_{17} \cdot U_{16}}{U_5 \cdot U_4 \cdot U_3 \cdot U_2 \cdot U_1}\right) = \nu_2\left(\frac{U_{18}}{U_3}\right).$$

However,  $\nu_2(U_{18}) = \nu_2(U_{3 \cdot 2^1 \cdot \rho}) = 1 + \nu_2(U_3) + \delta$ .

We won't always mention the Propositions 3 and 4 when using them.

The letter  $p$  invariably denotes a prime number except in Lemma 33. Although we usually write the  $p$ -adic valuation of an integer  $m$ , i.e., the highest exponent  $e \geq 0$  of  $p$  such that  $p^e$  divides  $m$ , as  $\nu_p(m)$ , we omit the parentheses when  $m$  is a Lucasnomial coefficient  $\binom{\ell}{k}_U$  and write instead  $\nu_p\binom{\ell}{k}_U$ . We also occasionally use the alternative notation  $p^e || m$ .

Given a subset  $S$  of the natural numbers, we write  $S(z)$  for the elements of  $S$  not exceeding  $z$  and  $\#S(z)$  for the cardinality of  $S(z)$ . We say  $S$  has asymptotic density  $d$  to mean that the ratios  $\#S(z)/z$  tend to  $d$  as  $z$  tends to infinity. The sentence "almost all positive integers" means all but a set of asymptotic density 0. The upper asymptotic density of  $S$  is the upper limit of the ratios  $\#S(z)/z$  as  $z$  tends to infinity.

## 2 Integrality of Lucasnomial Fuss-Catalan numbers and other Lucasnomial extensions of classical numbers

The forthcoming lemma leads to an unconditional algebraic proof of the integrality of Lucasnomial Fuss-Catalan numbers. As for the integrality of generalized Lucasnomial Fuss-Catalan numbers, we provide an arithmetic proof, alas conditional to the regularity of the Lucas sequence.

**Lemma 5.** *Let  $U$  be a nondegenerate fundamental Lucas sequence and  $a \geq 2$ ,  $r \geq 1$  be integers. Then the generalized Lucasnomial Fuss-Catalan numbers satisfy, for all  $n \geq 1$ , the identity*

$$C_{U,a,r}(n) = U_r \cdot \binom{an+r-2}{n-1}_U - Q \frac{U_r U_{(a-1)n+r-1}}{U_n} \cdot \binom{an+r-2}{n-2}_U. \quad (14)$$

*Proof.* Replacing  $m$  by  $an+r-1$  and  $n$  by  $(a-1)n+r-1$  in (11), we obtain

$$\binom{an+r-1}{(a-1)n+r-1}_U = U_{(a-1)n+r} \binom{an+r-2}{(a-1)n+r-1}_U - QU_{n-1} \binom{an+r-2}{(a-1)n+r-2}_U.$$

That is, using the symmetry of the Lucasnomial triangle,

$$\binom{an+r-1}{n}_U = U_{(a-1)n+r} \binom{an+r-2}{n-1}_U - QU_{n-1} \binom{an+r-2}{n}_U.$$

Multiplying through by  $U_r/U_{(a-1)n+r}$ , we find that

$$C_{U,a,r}(n) = U_r \binom{an+r-2}{n-1}_U - Q \frac{U_r U_{n-1}}{U_{(a-1)n+r}} \binom{an+r-2}{n}_U.$$

But

$$\begin{aligned} \frac{U_{n-1}}{U_{(a-1)n+r}} \binom{an+r-2}{n}_U &= \frac{U_{n-1}}{U_{(a-1)n+r}} \cdot \frac{U_{an+r-2} \cdots U_{(a-1)n+r-1}}{U_n \cdots U_1} \\ &= \frac{U_{(a-1)n+r-1}}{U_n} \cdot \frac{U_{an+r-2} \cdots U_{(a-1)n+r+1}}{U_{n-2} \cdots U_1} \\ &= \frac{U_{(a-1)n+r-1}}{U_n} \cdot \binom{an+r-2}{n-2}_U, \end{aligned}$$

which yields equation (14).  $\square$

**Theorem 6.** *Let  $U$  be a nondegenerate fundamental Lucas sequence and  $a \geq 2$  be an integer. Then the Lucasnomial Fuss-Catalan numbers*

$$C_{U,a,1}(n) = \frac{1}{U_{(a-1)n+1}} \binom{an}{n}_U \quad \text{are integers for all } n \geq 1.$$

*Proof.* As  $U_n$  divides  $U_{(a-1)n}$ , setting  $r = 1$  in identity (14) we readily see that  $C_{U,a,1}(n)$  is an integer.  $\square$

We will use the next small lemma a few times.

**Lemma 7.** *Let  $U(P, Q)$  be a fundamental Lucas sequence and  $p$  be a prime. If  $p \nmid \gcd(P, Q)$ , then either  $p \nmid Q$ , or  $p$  does not divide any term  $U_n$ ,  $n \geq 1$ , i.e.,  $p$  has no rank.*

*Proof.* Suppose  $p \mid Q$ . Then  $p \nmid P$ . Using (8) inductively, one finds  $U_n \equiv P^{n-1} \pmod{p}$  for all  $n \geq 1$ . Therefore,  $p \nmid U_n$ .  $\square$

Here is a straightforward observation about generalized Lucasnomial Fuss-Catalan numbers.

*Remark 8.* Ordinary Catalan numbers  $C_{I,2,1}(n) = \frac{1}{n+1} \binom{2n}{n}$  have the well-known alternative representations

$$\frac{1}{2n+1} \binom{2n+1}{n} \quad \text{and} \quad \frac{1}{n} \binom{2n}{n-1},$$

which carry over to generalized Lucasnomial Fuss-Catalan numbers. That is,

$$C_{U,a,r}(n) = \frac{U_r}{U_{an+r}} \binom{an+r}{n}_U = \frac{U_r}{U_n} \binom{an+r-1}{n-1}_U. \quad (15)$$

Using the last form of  $C_{U,a,r}(n)$  in (15), we produce an arithmetic proof of the integrality of  $C_{U,a,r}(n)$ . However, it assumes the regularity of  $U$ .

**Theorem 9.** *Let  $U(P, Q)$  be a regular fundamental Lucas sequence. Let  $r \geq 1$  and  $a \geq 2$  be integers. Then the generalized Lucasnomial Fuss-Catalan numbers*

$$\frac{U_r}{U_{(a-1)n+r}} \binom{an+r-1}{n}_U \quad \text{are integral for all } n \geq 1.$$

*Proof.* By (15),

$$C_{U,a,r}(n) = \frac{U_r}{U_n} \binom{an+r-1}{n-1}_U.$$

We claim that for all primes  $p$  the  $p$ -adic valuation of  $U_r \binom{an+r-1}{n-1}_U$  is at least that of  $U_n$ . If  $p \mid Q$ , then, by Lemma 7,  $p \nmid U_n$  and our claim holds. Now suppose  $p \nmid Q$  and  $p$  divides  $U_n$ . Then, by Proposition 3,  $n = \lambda \rho p^x$  for some  $x \geq 0$  and some  $\lambda$  prime to  $p$ , where  $\rho$  is the rank of  $p$ , and  $\nu_p(U_n) = x + \nu + \delta_x$ . Let us divide  $r$  by  $\rho$  and write  $r = q\rho + r_1$  with  $0 \leq q$  and  $0 \leq r_1 < \rho$ . Then

$$\begin{aligned} \frac{n-1}{\rho} &= \lambda p^x - \frac{1}{\rho} = (\lambda p^x - 1) + \frac{\rho-1}{\rho}, \\ \frac{(a-1)n+r}{\rho} &= \lambda(a-1)p^x + q + \frac{r_1}{\rho}. \end{aligned}$$

If the sum of the fractional parts of  $(n-1)/\rho$  and  $((a-1)n+r)/\rho$  is at least 1, then a carry occurs across the radix point which ensures, independently of the value of  $q$ , a minimum of  $x$  carries left of the radix point in the base- $p$  addition of  $(n-1)/\rho$  and  $((a-1)n+r)/\rho$ . Indeed, the first  $x$  base- $p$  digits of  $(n-1)/\rho$  left of the radix point are all  $p-1$ . Therefore, by Kummer's rule for Lucasnomials,  $\nu_p \binom{an+r-1}{n-1}_U \geq x + \nu + \delta_x = \nu_p(U_n)$ .

If the fractional parts add up to less than 1, i.e., if  $(\rho-1) + r_1 < \rho$ , then  $r_1 = 0$  and  $r = q\rho$ . Let  $p^i$ ,  $i \geq 0$ , be the exact  $p$ -power dividing  $q$ . Then  $p^{i+\nu+\delta_i} \parallel U_r$ . If  $i \geq x$  then  $p^{x+\nu+\delta_x}$  divides  $U_r$  and our claim holds. If  $i < x$ , then the base- $p$  addition of  $p^x - 1$  to  $q$  produces  $x - i$  carries. Note that, using the Iverson symbol,  $\delta_x = \delta_i + \delta_x \cdot [i = 0]$ . Hence,

$$\nu_p(U_r) + \nu_p \binom{an+r-1}{n-1}_U \geq (i + \nu + \delta_i) + (x - i + \delta \cdot [i = 0] \cdot [x > 0]) = x + \nu + \delta_x = \nu_p(U_n).$$

Thus,  $C_{U,a,r}(n)$  is always an integer. □

*Remark 10.* We could have stated a stronger theorem by dropping the regularity assumption on  $U(P, Q)$  and instead stating that for all primes  $p \nmid \gcd(P, Q)$ , the  $p$ -adic valuation of the numbers  $C_{U,a,r}(n)$  is nonnegative, for all  $n \geq 1$ .

We do not resist giving another related theorem with a different simple proof technique that partially implies Theorem 6 and Theorem 9.

**Theorem 11.** Let  $U(P, Q)$  be a regular fundamental Lucas sequence. Let  $r \geq 1$  and  $m \geq n \geq 1$  be integers with  $\gcd(m+r, n) = 1$ . Then the generalized Lucasnomial Fuss-Catalan numbers

$$T_{m,n,r} := \frac{U_r}{U_{m+r}} \binom{m+n+r-1}{n}_U \quad \text{are integers.}$$

*Proof.* We see that  $U_{m+r}T_{m,n,r}$  is an integer and that

$$U_n T_{m,n,r} = U_r \binom{m+n+r-1}{n-1}_U,$$

is also integral. Since  $U$  is regular and  $\gcd(m+r, n) = 1$ , we find that  $\gcd(U_{m+r}, U_n) = 1$  and immediately infer that  $T_{m,n,r}$  is the greatest common divisor of the two integers  $U_{m+r}T_{m,n,r}$  and  $U_n T_{m,n,r}$ . Hence,  $T_{m,n,r}$  is an integer.  $\square$

Putting  $m = (a-1)n$  in  $T_{m,n,r}$ , we see that Theorem 9 holds albeit with the restriction that  $\gcd(r, n) = 1$ . If  $r = 1$ , then we obtain Theorem 6 though only for  $U$  regular.

Lobb numbers  $L_{m,s} = L_{m,s}^2$  and generalized Lobb numbers  $L_{m,s}^a$  are defined by the expression

$$L_{m,s}^a := \frac{as+1}{(a-1)m+s+1} \binom{am}{(a-1)m+s};$$

see [9, Eq. (11)].

The integrality of generalized Lucasnomial Lobb numbers (5) which are a natural Lucasnomial extension of the generalized Lobb numbers is the object of the next theorem.

**Theorem 12.** If  $U$  is a regular Lucas sequence,  $a \geq 1$ ,  $m > s \geq 0$  are integers, then the generalized Lucasnomial Lobb numbers

$$L_{m,s}^{U,a} = \frac{U_{as+1}}{U_{(a-1)m+s+1}} \binom{am}{(a-1)m+s}_U$$

are integers.

*Proof.* Putting  $r = as+1$  and  $n = m-s$  in the expression (3) for  $C_{U,a,r}(n)$  yields  $L_{m,s}^{U,a}$ , so that, by Theorem 9, the numbers  $L_{m,s}^{U,a}$  are integral. Indeed,

$$\begin{aligned} C_{U,a,r}(n) &= \frac{U_{as+1}}{U_{(a-1)(m-s)+as+1}} \cdot \binom{a(m-s) + (as+1) - 1}{m-s}_U \\ &= \frac{U_{as+1}}{U_{(a-1)m+s+1}} \cdot \binom{am}{m-s}_U \\ &= \frac{U_{as+1}}{U_{(a-1)m+s+1}} \cdot \binom{am}{(a-1)m+s}_U = L_{m,s}^{U,a}. \end{aligned}$$

$\square$

Similarly, the natural Lucasnomial extension of ballot numbers is shown to always yield integers. Ordinary ballot numbers are defined by  $B(m, n) = \frac{m-n}{m+n} \binom{m+n}{n}$ ; see (14) in [9].

**Theorem 13.** *If  $U$  is a regular Lucas sequence,  $m > n \geq 0$  are integers, then the Lucasnomial ballot numbers*

$$B_U(m, n) = \frac{U_{m-n}}{U_{m+n}} \binom{m+n}{n}_U$$

are integers.

*Proof.* If  $m$  and  $n$  have different parities, then replacing  $m$  by  $(m+n-1)/2$  and  $s$  by  $(m-n-1)/2$  in  $L_{m,s}^{U,2}$  precisely yields  $B_U(m, n)$ .

However, to obtain a proof for all  $m > n \geq 0$ , we first notice that

$$B_U(m, n) = \frac{U_{m-n}}{U_{m+n}} \binom{m+n}{n}_U = \frac{U_{m-n}}{U_n} \binom{m+n-1}{n-1}_U. \quad (16)$$

So, if  $B_U(m, n)$  is a nonintegral rational number, there must exist a prime number  $p$  with respect to which  $B_U(m, n)$  has negative  $p$ -adic valuation. In particular,  $p$  divides both  $U_{m+n}$  and  $U_n$ . By Lemma 7,  $p \nmid Q$ . Thus,  $m = \mu p^y \rho$  and  $n = \eta p^z \rho$  with  $p \nmid \mu \eta$ , where  $\rho$  is the rank of  $p$ . If  $y \neq z$ , then  $\nu_p(m-n) = \nu_p(m+n)$  and so  $\nu_p(U_{m-n}) = \nu_p(U_{m+n})$ , which would contradict the negativity of  $\nu_p(B_U(m, n))$ . Therefore,  $y = z$  and  $\nu_p(U_n) = z + \nu + \delta_z \leq \nu_p(U_{m-n})$ , because  $m-n = (\mu - \eta)p^z \rho$ . But, by the last expression in (16), this contradicts the negativity of the  $p$ -adic valuation of  $B_U(m, n)$ .  $\square$

### 3 The smallness of $D_{U,a,k}$ when $k \leq 0$

We provide two main theorems to assess the smallness of  $D_{U,a,k}$  when  $k \leq 0$  and  $U(P, Q)$  is regular. The first theorem treats sequences  $U$  with  $P^2 \neq 4Q$ , while the second treats the zero-discriminant case.

Our first theorem extends [5, Theorem 5.1], which treated the case  $a = 2$ , to all values of  $a \geq 2$ .

**Theorem 14.** *Suppose  $U(P, Q)$  is a regular Lucas sequence with  $P^2 - 4Q \neq 0$ . Assume  $a \geq 2$  and  $k \geq 0$  are fixed integers. Then there are at most finitely many integers  $n \geq 1$  such that  $U_{(a-1)n-k}$  divides  $\binom{an}{n}_U$ .*

*Proof.* Since the case  $a = 2$  corresponds to [5, Theorem 5.1], we may assume  $a \geq 3$ . Put

$$M := \max \left\{ \frac{2k}{a-2}, \frac{30+k}{a-1} \right\}.$$

Suppose  $n > M$ . Since  $n$  is larger than  $(30+k)/(a-1)$ , the primitive divisor theorem [8, Theorem 1.4, p. 80] ensures that  $U_{(a-1)n-k}$  has a primitive prime divisor, say  $p$ . That is,

$\rho = \rho_U(p) = (a-1)n - k$ . Thus, we find that

$$\begin{aligned}\frac{n}{\rho} &= 0 + \frac{n}{(a-1)n - k}, \\ \frac{(a-1)n}{\rho} &= \frac{(a-1)n}{(a-1)n - k} = 1 + \frac{k}{(a-1)n - k}.\end{aligned}$$

Observe that  $n > 2k/(a-2)$  implies that  $(a-1)n - k > 0$  and that  $(n+k)/((a-1)n - k) < 1$ . Thus, we see that  $n/((a-1)n - k)$  and  $k/((a-1)n - k)$  are the fractional parts of, respectively,  $n/\rho$  and  $(a-1)n/\rho$ , and they add up to less than 1. Hence, in the base- $p$  addition of  $n/\rho$  and  $(a-1)n/\rho$ , there is no relevant carry as  $0 + 1 < p$ . By Kummer's rule for Lucasnomials,  $p$  does not divide  $\binom{an}{n}_U$ . Therefore, for all  $n > M$ , we find that  $U_{(a-1)n-k}$  does not divide  $\binom{an}{n}_U$ .  $\square$

We now look at the case of regular Lucas sequences with null discriminant, which essentially corresponds to  $U_n = I_n$  and ordinary binomial coefficients. Theorem 15 below extends [22, Theorem 3] that covered the case  $a = 2$ .

**Theorem 15.** *Suppose  $U(P, Q)$  is a regular Lucas sequence with  $P^2 - 4Q = 0$ , i.e.,  $U_n = n$  for all  $n \geq 1$ , or  $U_n = (-1)^{n-1}n$  for all  $n \geq 1$ . Assume  $a \geq 2$  and  $k \geq 0$  are fixed integers. Then the upper asymptotic density of the set of integers  $n \geq 1$  such that  $U_{(a-1)n-k}$  divides  $\binom{an}{n}_U$  is at most  $1 - \log 2$ .*

*Proof.* It suffices to consider the case  $U = I$ . The proof we give is an adaptation of the proof of [22, Theorem 3]. Moreover, this adapted proof yields the same upper bound of  $1 - \log 2$  for the upper asymptotic density of  $D_{I,a,k}$ ,  $k \leq 0$ , as the one obtained when  $a = 2$ . We begin by observing that if  $(a-1)n - k$  has a prime factor  $p > \sqrt{2(a-1)n}$  and  $p > ak$ , then  $\nu_p((a-1)n - k) > \nu_p \binom{an}{n}$  so that  $n \notin D_{I,a,-k}$ . Indeed, say  $(a-1)n - k = cp$ . Then

$$c \leq \frac{(a-1)n}{p} < \frac{(a-1)n}{\sqrt{2(a-1)n}} = \frac{\sqrt{2(a-1)n}}{2} < \frac{p}{2}.$$

Hence,  $(a-1)n = cp + k$  with  $c < p/2$  and  $k < p/a < p$ . Dividing  $c$  by  $a-1$ , we write  $c = q(a-1) + r$  with  $0 \leq r \leq a-2$ . Thus,  $n = qp + (rp+k)/(a-1)$ . Noting that  $q \leq c < p/2$  and that

$$\frac{rp+k}{a-1} + k = \frac{rp+ak}{a-1} < \frac{(r+1)p}{a-1} \leq p,$$

we find that no carry occurs in the base- $p$  addition of  $(a-1)n$  and  $n$ . By the rule of Kummer,  $p \nmid \binom{an}{n}$ .

We now fix a sufficiently large  $z > 0$  and estimate the number of  $n$  in  $(ak^2, z]$  that have a prime factor  $p > \sqrt{2(a-1)z}$ . The lower bound  $ak^2$  for  $n$  is sufficient to imply  $p > ak$ . In the interval  $(ak^2, z]$ , there are  $z/p + O(1)$  multiples of a prime  $p > \sqrt{2(a-1)z}$ . As  $p > \sqrt{z} \geq \sqrt{n}$ , no integer  $n$  may have two such prime factors. Hence, we find that

$$\#\overline{D}_{I,a,-k}(z) \geq \sum_{\sqrt{2(a-1)z} < p \leq z} \frac{z}{p} + O(\pi(z)),$$

which using Mertens' estimate  $\sum_{p \leq z} \frac{1}{p} = \log \log z + M + o(1)$ , where  $M$  is a small constant, leads to

$$\#\bar{D}_{I,a,-k}(z) \geq z \left( \log \log z - \left( \log \frac{1}{2} + \log \log(2(a-1)z) \right) \right) + o(z) \geq z \log 2 + o(z).$$

This readily implies the upper density of  $D_{I,a,-k}$  is bounded above by  $1 - \log 2$ .  $\square$

We conjecture that the set  $D_{I,a,-k}$  is nevertheless always infinite. Given  $a \geq 2$ , we only prove the infinitude of  $D_{I,a,-k}$  for infinitely many values of  $k$  all multiples of  $a-1$ . For this, we extend an idea found in [30, Theorems 2.2 and 3.2] and also in [22], where the infinitely many integers  $n$  of the form  $pq+k$ , where  $p$  and  $q$  are both primes,  $p > k$  and  $3p/2 < q < 2p$ , are shown to belong to  $D_{I,2,-k}$ .

**Theorem 16.** *Let  $a \geq 2$  and  $m \geq 0$  be integers with  $\gcd(a-1, m+1) = 1$ . Put  $k = m(a-1)$ . Then the set  $D_{I,a,-k}$  is infinite. Furthermore, there is a positive constant  $c_a$  such that for all large enough  $z$*

$$\#D_{I,a,-k}(z) \geq \frac{c_a z}{\log^2 z}.$$

*Proof.* For  $a \geq 2$  and  $k = m(a-1)$ , we consider integers  $n$  of the form  $pq+m$ , where  $p$  and  $q$  are primes,  $p > k$ , and

$$q = p + d \quad \text{with} \quad \frac{p}{a} < d < \frac{p}{a-1}. \quad (17)$$

Therefore,  $(a-1)n - k = (a-1)pq$ . Since  $n = qp + m = p^2 + dp + m$  and  $(a-1)n = (a-1)p^2 + (a-1)dp + k$ , we see that the base- $p$  addition of  $n$  and  $(a-1)n$  produces at least one carry. Similarly,  $n = pq + m$  and  $(a-1)n = (a-1)pq + k = (a-2)q^2 + d_q q + k$  with  $q-p < d_q < q$ . Indeed,  $(a-1)p = (a-1)(q-d) > (a-1)(q-p/(a-1)) = (a-2)q + (q-p)$  and  $(a-1)p < (a-1)q < (a-2)q + q$ . Thus, the base- $q$  addition of  $n$  and  $(a-1)n$  produces at least one carry. Hence,  $pq$  divides  $\binom{an}{n}$ . From the lemmas of Section 6, one has that  $a-1$  divides  $\binom{an}{n}$  for almost all  $n$ . However, here, the integers  $n$  we consider have a special form.

So, in addition to  $p$  and  $q$  satisfying (17), we further impose the condition that  $p \equiv m+1 \pmod{(a-1)^2}$  and  $q \equiv -1 \pmod{(a-1)^2}$ . Suppose  $r$  is a prime factor of  $a-1$  and  $a-1 = r^\alpha \lambda$ , where  $r$  and  $\lambda$  are coprime. Then  $p \equiv m+1 \pmod{r^{2\alpha}}$  and  $q \equiv -1 \pmod{r^{2\alpha}}$ . Thus,  $pq+m \equiv -1 \pmod{r^{2\alpha}}$ , which says that the  $r$ -ary expansion of  $n$  terminates with  $2\alpha$  digits all  $r-1$ . Furthermore, the base- $r$  expansion of  $(a-1)n = r^\alpha \cdot \lambda(pq+m)$  ends with a nonzero digit followed by  $\alpha$  zero digits. Therefore, the base- $r$  addition of  $n$  and  $(a-1)n$  generates a minimum of  $\alpha$  carries. By the Kummer rule,  $r^\alpha$  divides  $\binom{an}{n}$ . As it is true of all prime factors  $r$  of  $a-1$ ,  $a-1$  divides  $\binom{an}{n}$ . We conclude that for all such integers  $n$ ,  $(a-1)pq = (a-1)n - k$  divides  $\binom{an}{n}$ .

Therefore, for all large enough real numbers  $z \geq 1$ , we find that

$$\#D_{I,a,-k}(z) \geq \sum_{k < p \leq \sqrt{z}/2} S_p \geq S_z := \sum_{\sqrt{z}/3 < p \leq \sqrt{z}/2} S_p,$$

where the sum

$$S_p := \sum_{\frac{a+1}{a}p < q < \frac{a}{a-1}p} 1, \text{ is taken over all primes } q \equiv -1 \pmod{(a-1)^2},$$

and the primes  $p$  satisfy  $p \equiv m+1 \pmod{(a-1)^2}$ .

Using the prime number theorem for primes in arithmetic progressions and the fact that  $a/(a-1)$  is larger than  $(a+1)/a$ , each inner sum  $S_p$  in  $S_z$  is seen to be at least  $c_1\sqrt{z}/\log z$ , for some positive constant  $c_1$ . Indeed, if  $\pi(x; a, b)$  denotes the number of primes  $p \leq x$  with  $p \equiv a \pmod{b}$ , then, as  $z \rightarrow \infty$ ,

$$\begin{aligned} S_p &= \pi\left(\frac{a}{a-1}p; -1, (a-1)^2\right) - \pi\left(\frac{a+1}{a}p; -1, (a-1)^2\right) \\ &= \frac{1}{\varphi((a-1)^2)} \frac{p}{\log p} \left( \frac{a}{a-1}(1+o(1)) - \frac{a+1}{a}(1+o(1)) \right) \\ &\sim c \frac{p}{\log p} \geq \frac{2}{3}c \frac{\sqrt{z}}{\log z} (1+o(1)), \end{aligned}$$

where  $c = (\varphi((a-1)^2)a(a-1))^{-1}$ ,  $\varphi$  is the Euler totient function and where, in the last inequality, we lavishly used  $p > \sqrt{z}/3$  and  $\log p \leq \log(\sqrt{z}/2)$ .

Thus, as  $z$  tends to infinity,  $S_z$  is at least equivalent to

$$\frac{2}{3}c \frac{\sqrt{z}}{\log z} \sum_{\frac{\sqrt{z}}{3} < p \leq \frac{\sqrt{z}}{2}} 1,$$

where the sum is over primes  $p \equiv m+1 \pmod{(a-1)^2}$ . Now, using again the prime number theorem for primes in arithmetic progressions, we see that, as  $z$  tends to infinity,

$$\begin{aligned} \sum_{\frac{\sqrt{z}}{3} < p \leq \frac{\sqrt{z}}{2}} 1 &= \pi\left(\frac{\sqrt{z}}{2}; m+1, (a-1)^2\right) - \pi\left(\frac{\sqrt{z}}{3}; m+1, (a-1)^2\right) \\ &= \frac{1}{\varphi((a-1)^2)} \left( \frac{\sqrt{z}}{\log z} (1+o(1)) - \frac{2}{3} \frac{\sqrt{z}}{\log z} (1+o(1)) \right) \\ &= \frac{1}{3\varphi((a-1)^2)} \frac{\sqrt{z}}{\log z} (1+o(1)). \end{aligned}$$

Hence, we obtain that, as  $z \rightarrow \infty$ ,  $\#D_{I,a,-k}(z)$  is at least asymptotically equivalent to  $c_a z / (\log z)^2$ , where

$$c_a = \frac{2}{9a(a-1)(\varphi(a^2 - 2a + 1))^2}.$$

□



To conclude the section, the bound of  $1 - \log 2$  found in Theorem 15 being less than  $1/3$  amply demonstrates that the cleavage observed for ordinary binomial coefficients by Pomerance [22] between the cases  $k \leq 0$  and  $k \geq 1$  persists for all  $a \geq 2$  and, by Theorem 14, for all regular Lucas sequences  $U$ . However, a smaller upper asymptotic density for  $D_{I,2,0}$  was sought after by Sanna [25], who successfully brought it down from  $1 - \log 2$  to  $1 - \log 2 - 0.05551$ . Recall that, as a consequence of [22, Theorem 4], the lower and upper densities of  $D_{I,2,k}$  are identical for all  $k \leq 0$ , so we may as well assume  $k = 0$ . Actually, sequence [A014847](#) in the OEIS [27] is an enumeration of the set  $D_{I,2,0}$ , and, in 2002, Cloitre observed on numerical evidence that it seemed that the quotient of the  $n$ th term of this sequence over  $n$  tended to a limit between 9 and 10. If that were true this would indicate a density between  $1/10$  and  $1/9$ . According to the data in [30, Table 5],  $\#D_{I,2,0}(2^{26}) = 8,225,813$  which yields a quotient  $\#D_{I,2,0}(x)/x$  of about 0.1226 for  $x = 2^{26}$ . The existence of a positive lower asymptotic density for  $D_{I,2,0}$  was conjectured by Pomerance [22, bottom of page 7]. At the West Coast Number Theory Conference of 2016, Pomerance asked whether this set has a positive lower density and whether it has a density, and, on that occasion, Stănică [21, Problem 016:04] conjectured that, for  $z \geq 3700$ , we actually would have

$$\frac{z}{(\log \log z)^3} \leq \#D_{I,2,0}(z) \leq \frac{z}{(\log \log z)^2},$$

implying, in particular, a zero density. Note that these bounds are quite a notch higher than the  $cz/\log^2 z$  lower bound mentioned in [22, Section 6] or proven in Theorem 16.

## 4 Preliminaries to the study of the case $k \geq 1$

Once a Lucas sequence  $U$  and the integers  $a \geq 2$  and  $k \geq 1$  have been fixed, we define, for every prime  $p$ , the set

$$A_p = A_p(U, a, k) := \left\{ n \geq 1 : \nu_p(U_{(a-1)n+k}) > \nu_p \binom{an}{n}_U \right\}. \quad (18)$$

Note that

$$\overline{D}_{U,a,k} = \bigcup_p A_p, \quad (19)$$

where the union is over all primes. We proceed to show that  $A_p$  is empty except, possibly, for the finitely many primes  $p$  of rank less than  $ak$ .

**Lemma 17.** *Suppose  $U(P, Q)$  is a nondegenerate fundamental Lucas sequence, while  $a \geq 2$  and  $k \geq 1$  are fixed integers. Assume  $p \nmid Q$  is a prime of rank  $\geq ak$ . Then,  $A_p$  is empty. That is, for all  $n \geq 1$ ,*

$$\nu_p \binom{an}{n}_U \geq \nu_p(U_{(a-1)n+k}).$$

*Proof.* Note that

$$\frac{1}{U_{(a-1)n+k}} \binom{an}{n}_U = \frac{\prod_{j=1}^{k-1} U_{(a-1)n+j}}{\prod_{i=0}^{k-1} U_{n-i}} \binom{an}{n-k}_U.$$

Thus, if our claim is wrong, then  $p$  must divide some factor, say  $U_{n-e}$ ,  $0 \leq e \leq k-1$ , in the product  $\prod_{i=0}^{k-1} U_{n-i}$ . Thus,  $\rho$  divides  $n-e$ , where  $\rho$  is the rank of  $p$  in  $U$ . But  $p$  must also divide  $U_{(a-1)n+k}$ , that is,  $\rho$  divides  $(a-1)n+k$ . As  $n \equiv e \pmod{\rho}$ , we see that  $\rho$  divides  $(a-1)e+k$ . However,  $0 < (a-1)e+k < ak$  and  $\rho \geq ak$ . Hence, we have a contradiction.  $\square$

We begin by putting to use Lemma 17 to obtain a complete description of a particular set  $D_{U,a,k}$  with  $a \geq 3$  and  $k \geq 2$ . An example with  $a = 2$  had already been explicitly computed in [5, Proposition 4.2].

**Corollary 18.** *The set  $\overline{D}_{F,3,2}$  of integers  $n \geq 1$  such that  $F_{2n+2}$  does not divide  $\binom{3n}{n}_F$  is precisely the set*

$$\{2 \cdot 3^x - 1; x \geq 0\}.$$

*Proof.* By Lemma 17,  $\overline{D}_{F,3,2}$  is the union of the  $A_p$  over all primes  $p$  of rank less than 6. Only the primes 2, 3 and 5 have rank less than 6 in the Fibonacci sequence. Let  $p$  denote one of the primes 2, 3 or 5, and  $\rho \geq 3$  denote its rank. For an integer  $n \geq 1$  to be in  $A_p$ , we need  $p$  to divide  $F_{2n+2}$ . Thus,  $2n+2$  is of the form  $\lambda\rho p^x$  with  $x \geq 0$  and  $\lambda \geq 1$  two integers, where we assume  $\lambda$  prime to  $p$ . Hence, we find that

$$\begin{aligned} \frac{2n}{\rho} &= (\lambda - 1)p^x + p^x - 1 + \frac{\rho - 2}{\rho}, \\ \frac{n}{\rho} &= \left\lfloor \frac{n}{\rho} \right\rfloor + \left\{ \frac{n}{\rho} \right\}. \end{aligned} \tag{20}$$

Because  $\rho > 2$ , we see that  $(\rho - 2)/\rho$  is the fractional part of  $2n/\rho$ . Moreover,  $\rho \mid 2n + 2$  implies  $\rho \nmid n$ . Thus,  $\{n/\rho\} \geq \frac{1}{\rho}$ .

If  $\{n/\rho\} \geq \frac{2}{\rho}$ , then there is a carry across the radix point and, due to the  $x$  consecutive  $p-1$  digits in the  $p$ -ary expansion of  $p^x - 1$ , this carry over the radix point guarantees at least  $x$  further carries left of that point in the base- $p$  addition of  $2n/\rho$  to  $n/\rho$ . Thus, by the Kummer rule for Lucasnomials, the  $p$ -adic valuation of  $\binom{3n}{n}_F$  is at least  $x + 1 + \delta_x$ , which is  $\nu_p(F_{2n+2})$ . We conclude that if  $\{n/\rho\} \geq \frac{2}{\rho}$ , then  $n \notin A_p$ .

Hence,  $\{n/\rho\} = \frac{1}{\rho}$ , i.e., an  $n \in A_p$  must be of the form  $q\rho + 1$ . In particular,  $2n+2 = 2q\rho + 4$  so that  $\rho \mid 4$ , and, as  $F_2 = 1$ ,  $\rho = 4$ . But  $F_4 = 3$ . Therefore,  $A_2$  and  $A_5$  are empty and we now assume  $p = 3$ . Since  $n = 4q + 1$ , equations (20) become

$$\begin{aligned} \frac{2n}{\rho} &= 2q + \frac{1}{2}, \\ \frac{n}{\rho} &= q + \frac{1}{4}. \end{aligned} \tag{21}$$

Since  $2n + 2 = \lambda \cdot 4 \cdot 3^x = 4(2q + 1)$ , we see that  $2q + 1 = (3j + i)3^x$ , where we set  $\lambda = 3j + i$  with  $i = 1$  or  $2$ . Therefore,

$$\begin{aligned} 2q &= (3j + i - 1) \cdot 3^x + (22 \cdots 2)_3, & (x \text{ 2's}) \\ q &= \frac{3j + i - 1}{2} \cdot 3^x + (11 \cdots 1)_3, & (x \text{ 1's}) \end{aligned} \tag{22}$$

where  $(dd \cdots d)_3$  with  $x$   $d$ 's stands for  $d(3^{x-1} + 3^{x-2} + \cdots + 1)$ . If the integer  $\ell = (3j + i - 1)/2 \neq 0$ , then we obtain from (22) that the base-3 addition of  $2q$  and  $q$  produces at least  $\nu_3(F_{2n+2}) = x + 1$  carries. Indeed, as easily seen, and as shown in [3, Lemma 2.2], adding  $\ell \geq 1$  and  $(p - 1)\ell$  in base  $p$ ,  $p$  a prime, yields at least one carry. However, if  $3j + i - 1 = 0$ , the base-3 addition “ $2q + q$ ” only produces  $x$  carries so that  $n$  belongs to  $A_3$ . But then  $2q + 1 = 3^x$  and  $n = 2 \cdot 3^x - 1$ . This proves the corollary.  $\square$

*Remark 19.* The proof of Corollary 18 shows that  $\frac{3}{F_{2n+2}} \binom{3n}{n}_F$  is an integer for all  $n \geq 1$ . We also note that  $\overline{D}_{F,3,2}$  has asymptotic density one in the positive integers, but that it misses infinitely many integers. Do these facts hold in general?

We will provide answers in due course, but we begin with the latter fact, which is that  $\overline{D}_{F,3,2}$  is infinite.

The only regular Lucas sequences  $U(P, Q)$  with  $P > 0$  for which there exists a  $k \geq 2$  such that  $\overline{D}_{U,2,k}$  is finite — it is in fact empty — corresponds to  $(P, Q) = (1, 2)$  [5, Theorem 3.5]. We want to find out whether, when  $a \geq 3$ , such examples occur. We say a triple  $(U, a, k)$ , with  $k \geq 2$ , is a *Catalan-like* triple if and only if, for all natural numbers  $n$ ,

$$\frac{1}{U_{(a-1)n+k}} \binom{an}{n}_U \text{ is an integer.}$$

We proceed with a lemma which states conditions sufficient to guarantee the infinitude of  $A_p(U, a, k)$ . It gives a minimal infinite subset of  $A_p$  when  $p$  satisfies some rank condition. Actually, the set  $A_3$  in Corollary 18 is equal to that minimal subset.

**Lemma 20.** *Let  $U(P, Q)$  be a nondegenerate fundamental Lucas sequence and  $a \geq 2$ ,  $k \geq 2$  be integers. Assume there exists a prime  $p \nmid Q$  of rank  $\rho$  in  $U$ , where*

$$\rho = k + \ell(a - 1),$$

*for some  $\ell$ ,  $1 \leq \ell \leq k - 1$ . Then  $A_p(U, a, k)$  is infinite and contains all integers  $n$  of the form*

$$\frac{\rho p^x - k}{a - 1}, \text{ for all } x \text{ divisible by } \varphi(a - 1),$$

*where  $\varphi$  denotes the Euler totient function.*

*Proof.* As  $\rho \geq 2 + (a - 1) = a + 1$  and  $p \geq \rho - 1$ , we have  $p \geq a$ . Hence,  $p \nmid a - 1$ . Thus, the condition  $\varphi(a - 1)$  divides  $x$  guarantees that  $p^x - 1$  is divisible by  $a - 1$ . Also, because  $\rho \equiv k \pmod{a - 1}$ , for each  $x \geq 0$  divisible by  $\varphi(a - 1)$ , there is a unique  $n = n_x \geq 1$  such that

$$(a - 1)n + k = \rho p^x.$$

Therefore, for these integers  $n$ , we find that

$$\begin{aligned} \frac{(a - 1)n}{\rho} &= p^x - 1 + \frac{\rho - k}{\rho}, \\ \frac{n}{\rho} &= \frac{p^x - 1}{a - 1} + \frac{\ell}{\rho}, \end{aligned} \tag{23}$$

where we see that  $(\rho - k)/\rho$  and  $\ell/\rho$  are the fractional parts of, respectively,  $(a - 1)n/\rho$  and  $n/\rho$ . The sum of these two fractional parts is less than 1 since  $\rho - (k - \ell) < \rho$ . By the Kummer rule for Lucasnomials, we see that  $\nu_p \binom{an}{n}_U \leq x + \delta_x$ . (In fact, it is  $x + \delta_x$  since, if  $x > 0$ , the integer  $(p^x - 1)/(a - 1)$  is prime to  $p$  and the base- $p$  addition of  $(a - 1)n/\rho$  and  $n/\rho$  produces exactly  $x$  carries left of the radix point.) But,  $\nu_p(U_{(a-1)n+k}) = x + \nu + \delta_x > x + \delta_x$ .  $\square$

We add some power to Lemma 20 by observing that if  $U_k$  possesses a primitive prime divisor  $p$  prime to  $Q$ , then the equations in (23) remain valid. Thus, if  $p \nmid a - 1$ , then, again, for all integers  $n$  of the form  $k(p^x - 1)/(a - 1)$ ,  $x$  a multiple of  $\varphi(a - 1)$ ,  $U_{(a-1)n+k}$  does not divide  $\binom{an}{n}_U$ . However, the condition  $p \nmid a - 1$  is no longer necessarily true. We state this observation as an additional lemma.

**Lemma 21.** *Let  $U(P, Q)$  be a nondegenerate fundamental Lucas sequence and  $a \geq 2$ ,  $k \geq 2$  be integers. Assume there exists a prime  $p \nmid Q(a - 1)$  of rank  $k$ . Then  $A_p(U, a, k)$  is an infinite set.*

One can see that the hypotheses of Lemma 20 are the weakest when  $k = 2$ . Indeed, for  $k = 2$ , we need a prime of rank  $a + 1$  to assert that  $A_p$  is infinite. Some lemmas will help reach a conclusion whenever there are no primes of rank  $a + 1$ . Inspired by the proof of Corollary 18, we establish a first supplementary lemma for the case  $k = 2$ .

**Lemma 22.** *Let  $U(P, Q)$  be a nondegenerate fundamental Lucas sequence and  $a \geq 2$  be an integer. If  $p \nmid Q$  is a prime of rank  $\rho$ , with  $\rho > 2$  and  $\rho \nmid a + 1$ , then  $A_p(U, a, 2)$  is empty.*

*Proof.* The argument is close to that of Corollary 18 so we abbreviate it. If  $n \in A_p$ , then there is an  $x \geq 0$  and a  $\lambda \geq 1$  not divisible by  $p$  such that  $(a - 1)n + 2 = \lambda \rho p^x$ . Hence,

$$\frac{(a - 1)n}{\rho} = (\lambda - 1)p^x + p^x - 1 + \frac{\rho - 2}{\rho}.$$

In the base- $p$  addition of  $n/\rho$  to  $(a - 1)n/\rho$  a carry across the radix point generates at least  $x$  carries left of that point. As a consequence  $\nu_p \binom{an}{n}_U \geq \nu_p(U_{(a-1)n+2})$  and  $n \notin A_p$ . Since

$n \in A_p$ , either  $\rho \mid n$  or  $n = q\rho + 1$  for some integer  $q$ . As  $\rho \mid (a-1)n + 2$ , if  $\rho \mid n$ , then  $\rho = 2$ . If  $n = q\rho + 1$ , then

$$(a-1)n + 2 = (a-1)q\rho + (a+1) = \lambda\rho p^x,$$

so that  $\rho \mid a + 1$ . □

This, together with Lemma 7, yields the immediate corollary.

**Corollary 23.** *With the hypotheses of Lemma 22 and  $k = 2$ , if  $P = 1$  and  $U_{a+1} = \pm 1$ , then  $\overline{D}_{U,a,2}$  is empty. On the other hand, if  $|U_{a+1}| > 1$  and  $\rho(p) = a + 1$ , then, by Lemma 20,  $\overline{D}_{U,a,2}$  is infinite. If  $a$  and  $P$  are even and  $Q$  is odd, then  $\overline{D}_{U,a,2}$  is infinite by Lemma 21.*

Here is a theorem, which under fairly broad hypotheses, tells us that  $A_p$  cannot be a finite nonempty set.

**Theorem 24.** *Let  $U(P, Q)$  be a NFL-sequence and  $a \geq 2$ ,  $k \geq 1$  be integers. Let  $p \nmid Q(a-1)$  be a prime of rank at least  $k$ . Then  $A_p$  is either empty or infinite.*

*Proof.* Denoting as usual the rank of  $p$  by  $\rho$ , suppose  $A_p$  is not empty. Then there is an integer  $n_0 \geq 1$  in  $A_p$  and integers  $\lambda \geq 1$ ,  $p \nmid \lambda$ ,  $x_0 \geq 0$  such that

$$(a-1)n_0 + k = \lambda\rho p^{x_0}. \tag{24}$$

In particular,  $\nu_p(U_{(a-1)n_0+k}) = x_0 + \nu + \delta_{x_0}$ .

As  $n_0 \in A_p$  and  $(a-1)n_0/\rho = \lambda p^{x_0} - 1 + (\rho - k)/\rho$ , it must be, by the Kummer rule for Lucasnomials, that

$$\left\{ \frac{n_0}{\rho} \right\} + \frac{\rho - k}{\rho} < 1.$$

We are about to show that, with the same integer  $\lambda$  which appears in (24), there are infinitely many integers  $n \geq 1$  such that  $(a-1)n + k = \lambda\rho p^x$ , for some  $x \geq 0$ , which satisfy  $\left\{ \frac{n}{\rho} \right\} = \left\{ \frac{n_0}{\rho} \right\}$ . By the above analysis for  $n_0$ , this implies all such  $n$  are in  $A_p$ . Now  $(a-1)n + k = \lambda\rho p^x$  if and only if

$$(a-1)(n - n_0) = \lambda\rho(p^x - p^{x_0}) \tag{25}$$

As  $p \nmid a-1$ ,  $p^x \equiv p^{x_0} \pmod{a-1}$  occurs for all  $x$  satisfying  $x \equiv x_0 \pmod{h}$ , where  $h$  is the multiplicative order of  $p \pmod{a-1}$ . For each  $s \geq 0$ , put  $x_s = x_0 + sh$  and define  $t_s$  by  $p^{x_s} = p^{x_0} + t_s(a-1)$ . Note that  $(t_s)_{s \geq 0}$  is an increasing sequence of integers. Putting  $p^{x_s} - p^{x_0} = t_s(a-1)$  into (25) we find that  $n = n_s = n_0 + t_s\lambda\rho$  satisfies (25) with the fractional part of  $n/\rho$  equal to that of  $n_0/\rho$ . □

Theorem 24 will come in handy in the next section, but mostly in the guise of the next corollary.

**Corollary 25.** *Suppose  $U(P, Q)$  is regular,  $a \geq 2$  and  $k = 2$ . Let  $p \nmid a-1$  be a prime. If  $p \mid U_{a+1}$ , then  $A_p(U, a, 2)$  is infinite.*

*Proof.* By (10), if  $p \mid U_{a+1}$ , then  $p \nmid U_a$ . Hence,  $\nu_p(U_{(a-1)+2}) > \nu_p\left(\binom{a}{1}\right)_U$  and  $1 \in A_p(U, a, 2)$ . By Lemma 7,  $p \nmid Q$ . So by Theorem 24,  $A_p$  is infinite. □

## 5 Chasing all Catalan-like triples $(U, a, k)$ , $k \geq 2$ , and a proof that $\overline{D}_{U,a,k}$ is otherwise infinite

We first study the case  $k \geq 3$ .

**Proposition 26.** *Let  $a \geq 3$  and  $k \geq 3$ . Then for all nonzero-discriminant regular Lucas sequences  $U(P, Q)$ , there are infinitely many integers  $n \geq 1$  for which  $U_{(a-1)n+k}$  does not divide  $\binom{an}{n}_U$ .*

*Proof.* Suppose first  $k \geq 4$ . To have a chance at finding a counterexample to our proposition, we need, by Lemma 20, to find regular sequences  $U$  that are  $n$ -defective for at least three indices  $n$  in arithmetic progression, namely at least at  $k+a-1$ ,  $k+2(a-1)$  and  $k+3(a-1)$  knowing that  $k+a-1 \geq 6$ . Inspecting Table A of Section 7, we discover only two such instances, namely the sequence  $U(1, 2)$  which is  $n$ -defective at 6, 12 and 18 and also at  $n = 8, 13$  and 18. In the case of 6, 12 and 18, the common difference is 6. So  $a-1 = 6$  and  $k+a-1 = 6$ . This yields  $k = 0$ , a contradiction. In the second case, as  $U(1, 2)$  is not 23-defective, there is no counter-example if  $k \geq 5$ . So assume  $k = 4$ . Solving  $k+a-1 = 8$  for  $a-1$  yields  $a-1 = 4$ . However, as the three indices  $n = 8, 13$  and 18 are 5 apart, we would have needed  $a-1 = 5$ .

So we now assume  $k = 3$ . Using Table A, we now search for sequences that are both  $(a+2)$  and  $(2a+1)$ -defective. For  $a = 3$ , again the sequence  $U(1, 2)$  is both 5 and 7-defective. But  $U_7$  has the primitive prime divisor 7, which was discarded from Table A because 7 divides the discriminant  $P^2 - 4Q$ . But that  $p$  divides  $\Delta$  does not invalidate Lemma 20. Examining all values of  $a$ ,  $4 \leq a \leq 14$ , we only find one candidate sequence when  $a = 6$ , i.e., again  $U(1, 2)$ , which is 8 and 13-defective as seen earlier. To show that  $\overline{D}_{U,6,3}$ , with  $U = U(1, 2)$ , is infinite, it suffices to prove that the set  $A_3(U, 6, 3)$ , defined in (18), is infinite. Note that  $3 \nmid a-1$ . Moreover,  $U_6 = \binom{6}{1}_U = 5$  is not divisible by  $U_{(a-1) \cdot 1 + 3} = U_8 = -3$ . That is,  $1 \in A_3$ . By Theorem 24,  $A_3$  is infinite.  $\square$

We now study the case  $k = 2$ .

**Theorem 27.** *The four triples  $(U(1, 2), a, 2)$ , for  $a = 4$  and  $a = 12$ ,  $(U(1, 3), 4, 2)$  and  $(U(1, 5), 6, 2)$  are all four Catalan-like triples. That is,*

$$\frac{1}{U_{(a-1)n+k}} \binom{an}{n}_U,$$

*is integral for all natural numbers  $n$ .*

*Proof.* The first few terms of the most frequently defective Lucas sequence  $U(1, 2)$  are

$$0, 1, 1, -1, -3, -1, 5, 7, -3, -17, -11, 23, 45, -1, -91, -89, 93, 271 \text{ and } 85.$$

The first terms up to  $U_7$  are

$$\begin{cases} 0, 1, 1, -2, -5, 1, 16, 13, & \text{for } U(1, 3); \\ 0, 1, 1, -4, -9, 11, 56, 1, & \text{for } U(1, 5). \end{cases}$$

For  $U(1, 2)$  and  $U(1, 3)$ , we see that  $U_5 = \pm 1$ . Therefore, by Corollary 23,  $\overline{D}_{U,4,2}$  is empty. For  $U(1, 5)$ ,  $U_7 = 1$  so  $\overline{D}_{U,6,2}$  is empty by the same Corollary 23. Similarly, for  $U(1, 2)$ , we find that  $U_{13} = -1$  so  $\overline{D}_{U,12,2}$  is empty.  $\square$

**Proposition 28.** *Let  $U(P, Q)$  be a nonzero-discriminant regular Lucas sequence,  $a \geq 3$  be an integer and  $k = 2$ . Then, except for the four exceptions of Theorem 27, there exist infinitely many integers  $n \geq 1$  for which  $U_{(a-1)n+k}$  does not divide  $\binom{an}{n}_U$ .*

*Proof.* We display the terms  $U_2, U_3, U_4$  and  $U_6$  of  $U(P, Q)$  as polynomials in  $P$  and  $Q$ :

$$U_2 = P, U_3 = P^2 - Q, U_4 = P(P^2 - 2Q), U_6 = P(P^2 - Q)(P^2 - 3Q).$$

We proceed case by case depending on the value of  $a$ . By Lemma 20, the only values of  $a$  to consider are those for which there is some  $U$  with  $U_{a+1}$  free of primitive prime divisors.

**Case  $a = 3$ .** If  $U_4$  has a primitive prime divisor, then, as  $a + 1 = 4$ , we obtain that  $\overline{D}_{U,3,2}$  is infinite as a consequence of Lemma 20. (Note that the hypothesis  $p \nmid Q$  holds by Lemma 7.) If  $U_4$  has no p.p.d., then  $P^2 - 2Q = \pm 1$ . So  $P$  is odd  $\geq 1$ . If  $P = 1$ , then  $Q = 1$ , so that  $P^2 - Q = U_3 = 0$ , which contradicts the nondegeneracy of  $U$ . Hence,  $P$  is odd  $> 1$  and  $U_2 = U_k$  admits a p.p.d.  $p \geq 3$ . As  $p \nmid a - 1 = 2$ , we reach the result sought by applying Lemma 21.

**Case  $a = 4$ .** Since  $a + 1 = 5$  we need only consider the seven sequences listed as 5-defective in Table A for, by Lemma 20, they are the only ones for which  $\overline{D}_{U,4,2}$  could be finite. But of these seven sequences three have a discriminant divisible by 5. Thus, 5 is a primitive divisor of  $U_{a+1}$  and we may discard them by Lemma 20. Two others are  $U(1, 2)$  and  $U(1, 3)$  for which, as seen in Theorem 27,  $\overline{D}_{U,4,2}$  is empty. The remaining two are  $U(12, 55)$  and  $U(12, 377)$  for which  $p = 2$  has rank 2 with  $2 \nmid a - 1$  so that Lemma 21 ensures our claim holds.

**Case  $a = 5$ .** This might be the most intricate case because there are four infinite families of regular Lucas sequences that are  $(a + 1)$ -defective, i.e., 6-defective, according to Table A. However, for two of these four infinite families  $3 \mid P$ . Hence,  $p = 3$  has rank  $k$  and  $p \nmid a - 1$  so that, by Lemma 21,  $\overline{D}_{U,5,2}$  is infinite. The two remaining families are **1.**  $(P, Q) = (P, (P^2 - 1)/3)$  for all  $P > 3$ ,  $3 \nmid P$ , and **2.**  $(P, Q) = (P, (P^2 - (-2)^i)/3)$ , where  $i \geq 1$ ,  $P \equiv \pm 1 \pmod{6}$ ,  $(P, i) \neq (1, 1)$ . In both families, if  $P$  has an odd prime divisor  $p$ , then, as  $p \nmid a - 1$ ,  $\overline{D}_{U,5,2}$  is infinite by Lemma 21. If not, then for the family **1.**  $P = 2^\alpha$  for some  $\alpha \geq 2$ . Thus,  $Q = (P^2 - 1)/3$  is odd. Moreover,  $P^2 - 3Q = 1$  and  $4^\alpha - 3Q = 1$  implies that  $Q \equiv 1 \pmod{4}$ . Thus,  $U_3 = P^2 - Q = (2P^2 + 1)/3$  is  $\geq 11$  and  $\equiv 3 \pmod{4}$ . Hence, there exists a prime  $p \geq 3$ ,  $p \equiv 3 \pmod{4}$  of rank 3. Thus, we obtain an infinite

sequence of integers  $(n_x)_{x \geq 1}$  defined by  $4n_x + 2 = 2 \cdot 3 \cdot p^x$ . Putting  $n = n_x$  we see that  $4n/3 = 2p^x - 1 + 1/3$ . Noting that  $2p^x - 1 = 4q + 1$  for some integer  $q$ , we find that  $n/3 = q + 1/4 + 1/12 = q + 1/3$ . Therefore, the base- $p$  addition of  $(a-1)n/\rho$  and  $n/\rho$  generates exactly  $x$  carries left of the radix point and none across that point. Therefore,  $n_x \in A_p$  for all  $x \geq 1$  and  $\overline{D}_{U,5,2}$  is infinite. Now for the second family **2.**, if  $U_2$  does not have an odd p.p.d., then  $P = 1$ . Thus, as  $(P, i) \neq (1, 1)$ , we have  $i \geq 2$  and, putting  $j = i - 2$ , we find that  $U_3 = 1 - Q = (2 + (-2)^{j+2})/3$ ,  $j \geq 0$ , is divisible by 2. We prove that  $A_2$  is infinite. For each  $\lambda \geq 1$  odd,  $\nu_2(U_{6\lambda}) = j + 3$ . Indeed,  $\nu_2(U_{6\lambda}) = \nu_2(U_6)$ . But  $U_6 = (1 - Q)(1 - 3Q)$  and  $1 - Q = (2 + (-2)^{j+2})/3$  has 2-adic valuation 1, whereas  $1 - 3Q = (-2)^{j+2}$ .

For each  $t \geq 1$  define the odd integer  $\lambda = (2 \cdot 8^t + 5)/7$ , which may be written in terms of the base-2 representation of  $\lambda - 1$  as

$$\lambda = (\lambda - 1) + 1 = (\overline{010}^t)_2 + 1,$$

where  $\overline{010}^t = 010010 \cdots 010$  (the string 010 being repeated  $t$  times). To each such  $\lambda$ , corresponds a unique integer  $n \geq 1$  such that  $4n + 2 = 6\lambda$ . Since  $4n/3 = 2\lambda - 1 + 1/3 = (\overline{100}^t)_2 + 1 + 1/3$  and, thus,  $n/3 = (\overline{001}^t)_2 + 1/4 + 1/12 = (\overline{001}^t)_2 + 1/3$ , we see that the base-2 addition of  $(a-1)n/\rho$  and  $n/\rho$  creates a single carry from position 1 to position 2 left of the radix point. Therefore,  $\nu_2(\binom{5n}{n}_U) = 1 + \delta = j + 2$ , whereas  $\nu_2(U_{6\lambda}) = j + 3$ . Thus,  $A_2$  is infinite.

The only values of  $a$  left for consideration are: 6, 7, 9, 11, 12, 17 and 29. For the other values, there are no  $(a+1)$ -defective sequences  $U$ .

**Case  $a = 6$ .** The only 7-defective sequences according to Table A, Section 7, are  $(P, Q) = (1, 2)$  and  $(1, 5)$ . However, 7 is a primitive divisor of  $U_7 = U_{a+1}$  for the first sequence since  $7 \mid \Delta$ . So  $A_7$  is infinite by Lemma 20. The second sequence  $U$  has parameters  $(P, Q) = (1, 5)$  and  $(U, 6, 2)$  was identified as a Catalan-like triple in Theorem 27.

**Case  $a = 7$ .** There are only two 8-defective regular Lucas sequences  $U(2, 7)$  and  $U(1, 2)$ . For  $(P, Q) = (2, 7)$ ,  $U_2 = 2$  and  $U_8 = -40$  so that, by Lemma 22, we need only consider  $A_2$  and  $A_5$ . Since  $5 \nmid a - 1$ , but  $5 \mid U_{a+1}$ ,  $A_5$  is infinite by Corollary 25. (In fact,  $A_2$  is infinite as well. Indeed, for each even  $x \geq 2$ , there is an  $n \geq 1$  which solves the equation  $6n + 2 = 2 \cdot 2^x$ . Since for all such  $x$  and  $n$  we find that  $6n/2 = 2^x - 1$  is integral, the base-2 addition of  $(a-1)n/\rho$  and  $n/\rho$  does not produce a carry across the radix point so that  $\nu_2(\binom{7n}{n}_U) \leq x < 1 + x = \nu_2(U_{6n+2})$ .) For  $(P, Q) = (1, 2)$ , we show that  $A_3$  contains  $\{1 + 4 \cdot 3^t, t \geq 0\}$ . Indeed,  $\rho(3) = 4$  and, for  $n = 1 + 4 \cdot 3^t$ , we see that  $6n/4 = 2 \cdot 3^{t+1} + 1 + 1/2$  while  $n/4 = 3^t + 1/4$ . Their base-3 addition raises no carry at all. So  $3 \nmid \binom{7n}{n}_U$ . However, 4 divides  $6n + 2$  implies that  $\nu_3(U_{6n+2}) \geq 1$ .

**Case  $a = 9$ .** As usual if  $U_{a+1}$  has a p.p.d. then  $\overline{D}_{U,a,2}$  is infinite by Lemma 20. So we look at Table A for the 10-defective sequences. There are three:  $(P, Q) = (2, 3)$ ,  $(5, 7)$  and  $(5, 18)$ . For the last two  $P = 5$  so the prime 5 has rank 2 and does not divide  $a - 1$ . Hence, by Lemma 21,  $A_5$  is infinite. For  $U(2, 3)$ ,  $U_5 = -11$ . So  $11 \mid U_{a+1}$ , but  $11 \nmid a - 1$ . Hence,  $A_{11}$  is infinite by Corollary 25.



**Case  $a = 11$ .** There are six 12-defective sequences corresponding to  $(P, Q) = (1, -1), (1, 2), (1, 3), (1, 4), (1, 5)$  and  $(2, 15)$ . For  $(1, -1), (1, 2), (1, 4)$  and  $(1, 5)$ ,  $3 \nmid Q$ . So, as  $\rho(3)$  is either 2, 3 or 4, we see that  $3 \mid U_{12}$ . As  $3 \nmid a - 1$ , we conclude that  $A_3$  is infinite by Corollary 25. For  $U(1, 3)$ ,  $U_3 = -2$  and  $U_6 = 16$ . Although  $2 \mid a - 1$ , we claim that  $A_2$  contains

$$\left\{ \frac{3 \cdot 2^{4t+1} - 1}{5} ; t \geq 0 \right\}.$$

Indeed, if  $n = (3 \cdot 2^{4t+1} - 1)/5$ , then  $10n + 2 = 3 \cdot 2^{4t+2}$ . Thus,  $\nu_2(U_{10n+2}) = (4t + 2) + 1 + \delta = 4t + 5$ . But  $10n/3 = 2^{4t+2} - 1 + 1/3$ , while  $n/3 = (2^{4t+2} - 1)/10 + 1/30 \equiv 3/10 + 1/30 = 1/3 \pmod{1}$ . Therefore, by the Kummer rule for Lucasnomials,  $\nu_2\binom{11n}{n}_U \leq (4t + 2) + \delta = 4t + 4 < \nu_2(U_{10n+2})$ . For  $U(2, 15)$ ,  $U_3 = -11$ . Hence,  $11 \mid U_{12}$  and  $11 \nmid a - 1$ , so that  $A_{11}$  is infinite by Corollary 25.

**Case  $a = 12$ .** The only 13-defective sequence is  $U(1, 2)$ . We saw in Theorem 27 that  $(U(1, 2), 12, 2)$  is a Catalan-like triple.

**Cases  $a = 17$  and  $a = 29$ .** The sequence  $U(1, 2)$  is the only 18-defective and the only 30-defective regular Lucas sequence. As  $U_6 = 5$ , we see that  $5 \mid U_{18}$  and  $5 \mid U_{30}$ . Since  $5 \nmid 17 - 1$  and  $5 \nmid 29 - 1$ , we conclude, with the help of Corollary 25, that  $A_5(U, 17, 2)$  and  $A_5(U, 29, 2)$  are both infinite sets.  $\square$

For the sake of completeness, we now address the case of zero-discriminant regular Lucas sequences  $U$ , i.e., of  $U_n = I_n$  or  $U_n = (-1)^{n-1}I_n$ . Clearly it suffices to study the case of  $U = I$ .

**Proposition 29.** *The sets  $\overline{D}(I, a, k)$  are infinite for all  $a \geq 2$  and all  $k \geq 2$ .*

*Proof.* Let  $a$  and  $k$  be integers exceeding 1. Let  $g = \gcd(a - 1, k)$ . The argument is split into three complementary cases.

**Case 1.** Suppose  $g > 1$ . Let  $p$  be a prime factor of  $g$  and put  $n = p^x$  for some  $x \geq 0$ . Then the  $p$ -ary expansion of  $(a - 1)n$  ends with  $x + 1$  zeros, while  $n$  has a single digit 1 at position  $x$ . Thus, there is no carry in the base- $p$  addition of  $(a - 1)n$  and  $n$ . By Kummer's rule,  $p$  does not divide  $\binom{an}{n}$ . But  $p$  divides  $(a - 1)n + k$ . So  $(a - 1)n + k$  does not divide  $\binom{an}{n}$ . Therefore, for all  $x \geq 0$ ,  $p^x$  belongs to  $\overline{D}(I, a, k)$ .

**Case 2.** Assume  $g = 1$  and  $k \nmid a$ . Then there is a prime  $p$  such that  $p^\kappa \parallel k$ ,  $p^u \parallel a$  for some  $\kappa > u$ . We write  $a = p^u a'$ . Put  $n = p^x$  for some  $x > \kappa$ . Then

$$\begin{aligned} (a - 1)n &= an - n = a'p^{x+u} - p^x = (a' - 1)p^{x+u} + (p - 1)p^{x+u-1} + \cdots + (p - 1)p^x \\ n &= p^x. \end{aligned}$$

Their base- $p$  addition creates exactly  $u$  carries since the carry into position  $x + u$  does not propagate any further to the left. Indeed,  $p \nmid a' \implies a' - 1 \not\equiv p - 1 \pmod{p}$ . By the rule

of Kummer,  $p^u \parallel \binom{an}{n}$ . However,  $p^\kappa$  divides  $(a-1)n+k$ . Therefore, all  $p^x$ ,  $x > \kappa$ , are in  $\overline{D}(I, a, k)$ .

**Case 3.** Assume  $a = k\ell$  for some  $\ell \geq 1$ . Thus,  $g = 1$ . Let  $p$  be a prime factor of  $k$  and write  $k = p^\kappa k'$ ,  $p \nmid k'$ . Let  $h$  be the multiplicative order of  $p$  modulo  $a-1$ . Let  $t > 0$  be an integer large enough so that  $p^x > k$ , where  $x = \kappa + ht$ . Then  $k'p^x - k = k(p^{ht} - 1)$  is a multiple of  $a-1$ . Define  $n = n_t$  as  $(a-1)n = k'p^x - k$ . The  $p$ -ary expansion of  $k'p^x - k$  has the form

$$(k' - 1)p^x + d_{x-1}p^{x-1} + \cdots + d_\kappa p^\kappa,$$

where the  $d_i$ 's are  $p$ -ary digits. If  $d_x$  is the  $(x+1)$ -st  $p$ -ary digit of  $k'p^x - k$ , i.e., if  $(k' - 1)p^x \equiv d_x p^x \pmod{p^{x+1}}$ , then  $d_x \neq p-1$ , because  $d_x = p-1$  would imply that  $p \mid k'$ . Moreover,  $(a-1)n < k'p^x = kp^{x-\kappa} \leq kp^{x-1}$  implies that

$$n < \frac{k}{a-1}p^{x-1} \leq \frac{k}{k-1}p^{x-1} \leq 2p^{x-1} \leq p^x.$$

The  $p$ -ary expansion of  $n$  has at most  $x$  significant digits. Thus, the base- $p$  addition of  $n$  and  $(a-1)n$  generates at the most  $x - \kappa$  carries since the least significant  $\kappa$  digits of  $(a-1)n$  are all zero. Thus,

$$\nu_p \binom{an}{n} \leq x - \kappa < x = \nu_p((a-1)n + k).$$

Hence,  $n_t \in A_p$ , for all  $t$  large enough. □

Gathering together Propositions 26, 28 and 29, the theorems of Sections 2 and 3 and [5, Theorem 3.5], we state a general theorem.

**Theorem 30.** *Suppose  $U(P, Q)$  is a regular Lucas sequence and  $a \geq 2$  and  $k$  are integers. Then there are infinitely many natural numbers  $n$  such that*

$$U_{(a-1)n+k} \text{ does not divide } \binom{an}{n}_U,$$

*unless either  $k = 1$  or,  $k = 2$ ,  $P = \pm 1$  and  $(Q, a)$  is one of the five ordered pairs  $(2, 2)$ ,  $(2, 4)$ ,  $(2, 12)$ ,  $(3, 4)$  or  $(5, 6)$ , in which cases*

$$U_{(a-1)n+k} \text{ divides } \binom{an}{n}_U, \quad \text{for all } n \geq 1.$$

We derive a couple of corollaries, one for the sequence  $U(1, 2)$  which stands out conspicuously, as had already been noted in [5, Corollary 3.6 and the subsequent remark], and one for the Fibonacci sequence.

**Corollary 31.** *For the two Lucas sequences  $U(\pm 1, 2) = (U_n)_{n \geq 0}$ , we see that for all  $n \geq 1$*

$$U_{n+1}U_{n+2} \mid \binom{2n}{n}_U, \quad U_{3n+1}U_{3n+2} \mid \binom{3n}{n}_U \quad \text{and} \quad U_{11n+1}U_{11n+2} \mid \binom{12n}{n}_U.$$

The next corollary is an extension of [5, Proposition 3.2]. It would also hold if we replaced  $F$  by  $I$ . Indeed, Fibonomial Fuss-Catalan numbers bear the same singular status as Fuss-Catalan numbers do.

**Corollary 32.** *Let  $a \geq 2$  and  $k$  be integers. Let  $F$  denote the Fibonacci sequence. Then there are infinitely many  $n \geq 1$  for which the numbers*

$$\frac{1}{F_{(a-1)n+k}} \binom{an}{n}_F$$

*are not integers, unless  $k = 1$  when they are integers for all  $a \geq 2$  and  $n \geq 1$ .*

## 6 The density of $D_{U,a,k}$ when $k \geq 1$

Our objective is to show that  $D_{U,a,k}$  has asymptotic density 1 in the set of positive integers whenever  $k \geq 1$ . Here is an indicative roadmap of the route we intend to follow. As in [5, Section 4], where we proved the case  $a = 2$ , we proceed by showing that the complementary set of  $D_{U,a,k}$  in the positive integers,  $\overline{D}_{U,a,k}$ , has asymptotic density 0. By Lemma 17, there are only at most finitely many primes  $p$  for which  $A_p$  is not empty. Thus, by (19), we only need to prove that  $A_p$  is of density zero for each prime  $p$ . We will establish an estimate for the number of words of length  $\ell$  over an alphabet of  $p$  letters which miss a given block of  $b$  consecutive identical letters, as  $\ell$  tends to infinity. This result is then converted into an upper bound for the number of integers  $n \leq z$  for which the base- $p$  addition of  $n/\rho$  and  $(a-1)n/\rho$  generates less than a given number of carries. This upper bound turns out to be  $o(z)$ , as  $z$  tends to infinity. Integers  $n$  in  $A_p$  may be viewed as generating few carries in the addition of  $n/\rho$  and  $(a-1)n/\rho$ , at least fewer than the  $p$ -adic valuation of  $U_{(a-1)n+k}$ . We split  $A_p$  into the union of all  $A_p^x$ , where  $A_p^x$  is the subset of  $A_p$  of integers  $n$  for which  $x$  is the exact exponent of  $p$  in the equation  $(a-1)n+k = \lambda \rho p^x$ ,  $p \nmid \lambda$ . For  $x$  smaller than a bound  $u$ , integers in  $A_p$  are easily seen to generate less than  $a_p$  carries in the base- $p$  addition of  $n/\rho$  and  $(a-1)n/\rho$ , where  $a_p$  is a fixed bound that depends on  $p$  and  $u$ . So there are no more than  $o(z)$  such integers as  $z$  tends to infinity. For  $x > u$ , we will see that the form of  $(a-1)n/\rho$  generally induces a minimum of  $x-u$  carries right of position  $x$  and left of the radix point. Thus, no matter how large  $x$  we only need a bounded fixed number of carries left of position  $x$  to guarantee  $n$  is not  $A_p$ . This gives the desired conclusion.

It is well-known [16, Theorem 143, p. 120] that almost all natural numbers, when expressed in any scale, contain every possible sequence of digits. But we need to quantify somewhat this ‘almost all’ statement. The editor-in-chief mentioned the work of Guibas and Odlyzko ([15] and their further papers) whose general results may well imply our lemma.

**Lemma 33.** *Let  $p \geq 2$  and  $b \geq 1$  be integers. Let  $x_n$  be the number of strings of  $p$ -ary digits of length  $n$  which do not contain a block of  $b$  consecutive digits all equal to  $p-1$ . Then, as  $n$  tends to infinity,*

$$x_n \sim c \cdot \omega^n p^n,$$

for some  $\omega \in (0, 1)$  and some positive constant  $c$ .

*Proof.* The sequence  $(x_n)$  forms a linear recurrent sequence with annihilating polynomial

$$P(X) = X^b - (p-1)X^{b-1} - (p-1)X^{b-2} - \dots - (p-1). \quad (26)$$

To see that  $(x_n)$  is linear recurrent, we only consider the case  $b = 3$  as an example, but the reasoning may be carried out in all generality. We say that a string is *admissible* if it does not contain a block of three consecutive digits equal to  $p-1$ . Define  $y_n$  as the number of length- $n$  admissible strings that end with two consecutive  $p-1$  digits,  $z_n$  as the number of length- $n$  admissible strings ending with only one  $p-1$  digit and  $t_n$  for those ending with a digit at most  $p-2$ . Then we readily see that for  $n \geq 2$ ,  $z_n = t_{n-1}$  and  $t_n = (p-1)x_{n-1}$ . Consequently we see that for  $n \geq 3$

$$\begin{aligned} x_{n+1} &= (p-1)y_n + p(z_n + t_n) \\ &= (p-1)(y_n + z_n + t_n) + (t_n + t_{n-1}) \\ &= (p-1)x_n + (p-1)x_{n-1} + (p-1)x_{n-2}. \end{aligned}$$

Noting that  $x_i = p^i$  for  $0 \leq i \leq b-1$  and  $x_b = p^b - 1$ , we see that the polynomial  $P$  in (26) must be the characteristic polynomial of  $(x_n)$ , i.e., its minimal annihilating polynomial. Indeed suppose to the contrary that there is an  $1 \leq s < b$  and constants  $a_i$ ,  $1 \leq i \leq s$ , such that  $x_{n+s} = \sum_{i=1}^s a_i x_{n+s-i}$  for all  $n \geq 0$ . Then

$$x_b = \sum_{i=1}^s a_i x_{b-i} = p \sum_{i=1}^s a_i x_{b-i-1} = p x_{b-1} = p^b,$$

contradicting the fact that  $x_b = p^b - 1$ .

Using for instance [4, Lemma 3], we know the polynomial  $P$  in (26) has a unique simple dominant real zero  $\alpha > 1$ . Because  $P$  is the characteristic polynomial of  $(x_n)$ , the closed-form expression of  $x_n$  must contain a nonzero term in  $\alpha^n$ . Therefore,  $x_n \sim c\alpha^n$ , as  $n$  tends to infinity, where  $c$  is some positive constant that depends only on  $p$  and  $b$ . Note that  $P(x)$  is increasing for  $x > p$ . Indeed, the derivative  $P'$  is positive, since for  $x > p$

$$\begin{aligned} P'(x) &= bx^{b-1} - (p-1)((b-1)x^{b-2} + (b-2)x^{b-3} + \dots + 1) \\ &> bx^{b-1} - (p-1)(b-1)(x^{b-2} + x^{b-3} + \dots + 1) \\ &= bx^{b-1} - (p-1)(b-1)\frac{x^{b-1} - 1}{x - 1} \\ &> bx^{b-1} - (b-1)(x^{b-1} - 1) = x^{b-1} + b - 1 > 0. \end{aligned}$$

Since  $P(p) = 1 > 0$ , the polynomial  $P$  has no zero larger than  $p$ . Thus,  $\alpha < p$ . Our claim holds with  $\omega = \alpha/p$ .  $\square$

**Lemma 34.** *Let  $p$  be a prime,  $\rho \geq 1$ ,  $a \geq 2$  and  $a_p \geq 1$  be integers. Then the set  $C_{<a_p}$  of integers  $n$  such that the base- $p$  addition of  $n/\rho$  and  $(a-1)n/\rho$  produces less than  $a_p$  relevant carries satisfies*

$$\#C_{<a_p}(z) \ll z \cdot \omega^D, \text{ as } z \rightarrow \infty,$$

for some positive  $\omega < 1$  and  $D = 1 + \lfloor \log_p z \rfloor$ . In particular,  $\#C_{<a_p}(z)$  is  $o(z)$ , as  $z$  tends to infinity.

*Proof.* Let  $z \geq 1$  be a large real number. Thus, if  $n \leq z$ , then the number of  $p$ -ary digits of  $n/\rho$  is at most  $D$ . Let  $b \geq a_p + \theta$ , where the integer  $\theta \geq 1$  satisfies  $p^\theta > a - 1$ , but  $p^{\theta-1} \leq a - 1$ . If  $n$  belongs to  $C_{<a_p}$ , then the  $p$ -adic expansion of  $\lfloor n/\rho \rfloor$  cannot contain a block of  $b$  consecutive  $p - 1$  digits. Indeed, otherwise there exist  $i, l \geq 0$  such that

$$\begin{aligned} \lfloor n/\rho \rfloor &= lp^{i+b} + (p-1)(p^{i+b-1} + p^{i+b-2} + \dots + p^i) + m \\ &= lp^{i+b} + (p^{i+b} - p^i) + m = (l+1)p^{i+b} - m', \end{aligned}$$

with  $m < p^i$  and  $1 \leq m' = p^i - m \leq p^i$ . Therefore there are integers  $c_1 \geq 1$  and  $0 \leq c_2 \leq a - 2$  and an  $\epsilon \in [0, 1)$  such that

$$(a-1)n/\rho = c_1 p^{i+b} - m'(a-1) + c_2 + \epsilon$$

with  $m'(a-1) < p^{i+\theta}$ . Hence,  $1 \leq m'(a-1) - c_2 < p^{i+\theta}$  so that the base- $p$  digits of  $(a-1)n/\rho$  at all positions  $i+b-1, i+b-2$ , down to position  $i+\theta$ , are all positive. Therefore, the addition of  $n/\rho$  and  $(a-1)n/\rho$  would produce at least  $b-\theta \geq a_p$  carries. Note that there are  $\rho$  values of  $n$  for which  $\lfloor n/\rho \rfloor$  is the same positive integer  $m$ . Thus,  $\#C_{<a_p}(z)$  is at most equal to  $\rho$  times the number of  $p$ -ary strings of length  $D$  not containing  $a_p + \theta$  consecutive  $p - 1$  digits. By Lemma 33, there exist  $\omega < 1$  and a positive  $c$  such that

$$\#C_{<a_p}(z) \leq \rho c (1 + o(1)) p^D \omega^D \ll z \omega^D.$$

□

*Remark 35.* The number  $\#C_{<a_p}^{[x,D]}(z)$  of integers  $n \leq z$  for which less than  $a_p$  carries occur between positions  $x$  and  $D$  in the base- $p$  addition of  $n/\rho$  and  $(a-1)n/\rho$  is seen from the proof of Lemma 34 to be  $\ll \omega^{D-x+1} z$ . Indeed, the presence of  $a_p + \theta$  consecutive  $p - 1$  digits at positions  $i, i+1, \dots, i+b-1$ , with  $i \geq x$ , generates at least  $a_p$  carries left of position  $x$ . By Lemma 33,  $\#C_{<a_p}^{[x,D]}(z) \ll \rho \cdot c \omega^{D-x+1} p^{D-x+1} \cdot p^x \ll \omega^{D-x+1} z$ , where the constant implied by the Vinogradov symbol “ $\ll$ ” does not depend on  $x$ .

**Lemma 36.** *Let  $U(P, Q)$  be a regular Lucas sequence and  $a \geq 2$ ,  $k \geq 1$  be integers. Given a prime  $p$  we let  $A_p$  denote the set of natural numbers  $n$  for which the  $p$ -adic valuation of  $U_{(a-1)n+k}$  is larger than that of  $\binom{an}{n}_U$ . Then the asymptotic density of  $A_p$  is zero.*

*Proof.* If  $n$  belongs to  $A_p$ , then there is a unique  $x \geq 0$  such that  $(a-1)n + k = \lambda \rho p^x$ , with  $p \nmid \lambda$  and  $\rho$  the rank of  $p$ . We define  $A_p^x$  as the subset of  $A_p$  of integers  $n$  which correspond to that  $x$ . Also, for an integral constant  $c \geq 0$ , we use the notation  $A_p^{<c}$  and  $A_p^{\geq c}$  to denote the union of the  $A_p^x$  over, respectively, all  $x < c$ , or all  $x \geq c$ .

We divide  $k$  by  $\rho$ , say  $k = q\rho + r$  with  $0 \leq r < \rho$ . Define  $u$  as the smallest positive integer  $t$  that satisfies  $p^t > q + 1$ . Then  $A_p$  splits into the two subsets  $A_p^{<u}$  and  $A_p^{\geq u}$ . We begin with showing that  $A_p^{<u}$  has zero density. Define  $a_p$  as  $u + \nu + \delta$ . We show the inclusion  $A_p^{<u} \subset C_{<a_p}$ , where  $C_{<a_p}$  was defined in Lemma 34. Suppose  $n \in A_p^{<u}$ . Thus, there is an  $x$ ,  $0 \leq x < u$ , such that

$$\nu_p \binom{an}{n}_U < \nu_p(U_{(a-1)n+k}) \leq x + \nu + \delta < a_p, \quad (27)$$

so that, by the Kummer rule for Lucasnomials,  $n$  is in  $C_{<a_p}$ . But, by Lemma 34,  $C_{<a_p}$  has zero density. Hence,  $A_p^{<u}$  is a zero-density set as well.

We take note, for later use, that if we had defined  $a_p$  as  $u + v + \nu + \delta$ , where  $v \geq 0$  is some integer, then the same reasoning would have led to  $\#A_p^{<u+v}(z) = o(z)$ .

We now turn our attention to the case when  $x \geq u$ . Since

$$\frac{(a-1)n}{\rho} = (\lambda-1)p^x + p^x - q - 1 + \frac{\rho-r}{\rho} \quad (28)$$

and  $p^x - q - 1 = (p^x - p^u) + (p^u - q - 1)$ , we see that  $p^x - q - 1$  has a string of  $x - u$  consecutive  $p - 1$  digits at the positions  $x - 1, x - 2, \dots, u$ . If the  $u$ -th digit of  $n/\rho$ ,  $d_u(n/\rho)$ , is  $\geq 1$ , then a minimum of  $x - u$  carries occur in the addition of  $(a-1)n/\rho$  and  $n/\rho$ , and they occur before reaching the position  $x$ . Therefore, with the notation of Lemma 34, if  $n$  belongs to  $A_p^x(z)$ , then there are no  $a_p + \theta$  consecutive  $p - 1$  digits in-between positions  $x$  and  $D$  in the base- $p$  expansion of  $n/\rho$ , where again  $a_p = u + \nu + \delta$ . Thus, by Remark 35, we obtain an upper bound for  $\#A_p^x(z)$ , namely

$$\#A_p^x(z) \ll z\omega^{D-x+1},$$

where  $0 < \omega < 1$  and the constant implied by the symbol  $\ll$  depends only on  $U, a, k$  and  $p$ . We now estimate the size of  $A_p^{\geq u}$  by first considering its subset  $B := \cup A_p^x$  over all  $x$ 's in  $[u, D/2]$ . Thus, we obtain

$$\#B(z) \leq \sum_{x=u}^{\lfloor D/2 \rfloor} \#A_p^x(z) \ll z \sum_{x=u}^{\lfloor D/2 \rfloor} \omega^{D-x+1} \leq z \frac{\omega^{\lfloor D/2 \rfloor + 1}}{1 - \omega},$$

which is a  $o(z)$ -function, as  $z$  tends to infinity.

Let us now fix an integer  $x > D/2$ . For all  $n$  in  $A_p^x$ , there is a unique  $\lambda$  satisfying  $(a-1)n + k = \lambda \rho p^x$ . Thus, we get an upper estimate for  $\#A_p^x(z)$  by bounding above the corresponding number of  $\lambda$ 's. Indeed,

$$\lambda \leq \frac{(a-1)n + k}{\rho p^x} \leq \frac{(a-1)z + k}{\rho p^{D/2}} \ll z^{1/2},$$

as  $z$  tends to infinity. Hence,

$$\sum_{D/2 < x \leq D} \#A_p^x(z) = O(D\sqrt{z}) = O(z^{1/2} \log z) = o(z).$$

Therefore,  $\#A_p^{\geq u}(z) = o(z)$ .

But what if  $d_u(n/\rho) = 0$ ? We will see that the above reasoning remains valid provided we make some adjustment. The euclidean division of  $\lambda - 1$  in (28) by  $a - 1$  gives  $\lambda - 1 = Q(a - 1) + R$  with  $0 \leq R \leq a - 2$ . Thus,

$$\begin{aligned} \frac{(a-1)n}{\rho} &= Q(a-1)p^x + (R+1)p^x - q - 1 + \frac{\rho - r}{\rho}, \\ \frac{n}{\rho} &= Qp^x + S, \end{aligned}$$

where  $S < ((a-1)p^x - q)/(a-1) \leq p^x$ . Let  $v$  and  $w$  be the respective numbers of  $p$ -ary digits of  $a-1$  and  $R$ . We have  $w \leq v$ . Assume  $x \geq u+v$ . Again  $p^x - q - 1 = (p^x - p^u) + (p^u - q - 1)$  so that the base- $p$  expansion of  $(R+1)p^x - q - 1$  has the shape

$$\underbrace{* \cdots *}_{w \text{ digits}} \underbrace{(p-1)(p-1) \cdots (p-1)}_{x-u \text{ times}} \underbrace{* \cdots *}_{u \text{ digits}}$$

When one performs the division algorithm most of us learn in elementary school, although here in base  $p$  rather than 10, of  $(R+1)p^x - q - 1$  by  $a - 1$ , the quotient has, to the left of the radix point,  $v$  or  $v - 1$  digits less than the dividend. That is,  $\lfloor S \rfloor$  must have  $w + x - v$  or  $w + x - v + 1$   $p$ -ary digits. Moreover, in that string of digits two nonzero digits are separated by at most  $v$  zero digits so that the quotient has to have at least one nonzero digit in every string of  $v + 1$  digits. Because of the  $x - u \geq v$  consecutive  $p - 1$  digits in  $(R+1)p^x - q - 1$ , with a moment of thought one sees that the addition of  $S$  to  $(R+1)p^x - q - 1$  has to generate a minimum of  $x - u - v$  carries left of the radix point. Thus, we now have a deficit of at most  $u + v + \nu + \delta$  carries to fill in in the addition of  $(a-1)n/\rho$  and  $n/\rho$  left of position  $x$  to ensure that  $n$  is not in  $A_p$ . Hence, defining  $a_p$  as  $u + v + \nu + \delta$ , we can argue that  $\#A_p^{<u+v}(z)$  and  $\#A_p^{\geq u+v}(z)$  are both  $o(z)$ , as  $z$  tends to infinity, in the same respective ways we argued that  $\#A_p^{<u}(z)$  and  $\#A_p^{\geq u}(z)$  were each  $o(z)$ .  $\square$

**Theorem 37.** *Let  $U(P, Q)$  be a regular Lucas sequence and  $a \geq 2$ ,  $k \geq 1$  be integers. Then for almost all integers  $n \geq 1$ , we find that*

$$\frac{1}{U_{(a-1)n+k}} \binom{an}{n}_U \text{ is an integer.}$$

*Proof.* The set  $\overline{D}_{U,a,k}$  is the union of the  $A_p$ 's over all primes  $p$ . However, by Lemma 17, all but finitely many of them are empty. Since each of the finitely many nonempty ones have density zero by Lemma 36, we see that  $\overline{D}_{U,a,k}$  itself has zero density.  $\square$

Incidentally, the proof of Lemma 36 provides an answer to one of the three questions posed in Remark 19. We state the answer as a theorem.

**Theorem 38.** *Let  $U(P, Q)$  be a regular Lucas sequence and  $a \geq 2$ ,  $k \geq 1$  be integers. Then there exists an integer  $m = m(U, a, k)$ ,  $m \geq 1$ , such that for all integers  $n \geq 1$*

$$\frac{m}{U_{(a-1)n+k}} \binom{an}{n}_U \text{ is an integer.} \quad (29)$$

*Proof.* By Lemma 17, for all but finitely many primes  $p$ ,  $A_p$  is empty. But if  $A_p$  is empty, then  $\nu_p(U_{(a-1)n+k}) \leq \nu_p \binom{an}{n}_U$  for all  $n \geq 1$ . Thus, the existence of an  $m$ , for which (29) holds for all  $n$ , depends on whether for the remaining finitely many primes  $p$  the difference  $\nu_p(U_{(a-1)n+k}) - \nu_p \binom{an}{n}_U$  is bounded above. By the proof of Lemma 36 this is true. Indeed, with the notation of the lemma, given  $A_p$ , we saw (27) that for  $n \in A_p^{<u+v}$ , the  $p$ -adic valuation of  $U_{(a-1)n+k}$  is bounded above by  $a_p = u + v + \nu + \delta$ . If  $n \in A_p^{\geq u+v}$ , then we found that at least  $x - u - v$  carries occurred in the base- $p$  addition of  $n/\rho$  and  $(a-1)n/\rho$  prior to reaching position  $x$ . Thus the difference  $\nu_p(U_{(a-1)n+k}) - \nu_p \binom{an}{n}_U$  is at most equal to  $a_p$ . If  $\alpha_p \geq 0$  is the least  $e$  for which  $\nu_p(U_{(a-1)n+k}) - \nu_p \binom{an}{n}_U \leq e$  for all  $n \geq 1$ , then  $m = \prod p^{\alpha_p}$ , the product being over all primes of rank less than  $ak$ , is the least integer to satisfy (29).  $\square$

## 7 Appendix: The table of $n$ -defective Lucas sequences

Here is a table of  $n$ -defective nonzero-discriminant regular Lucas sequences  $U(P, Q)$ , with  $P > 0$ , for all  $n \geq 2$ . We did nothing more than concatenate in one table the data contained in the three tables [8, Tables 1 and 3, pp. 78–79], [1, p. 312], except for parametrizing the sequences in terms of  $P$  and  $Q$  rather than  $P$  and  $\Delta$ .

Semi-columns are used to separate different parametric families of  $n$ -defective sequences, while commas are used to specify constraints on the parameters. Parameters  $i$  and  $j$  are integers. The case  $n = 6$  has three lines. To alleviate the table we did not repeat at various lines that whenever a parametrization yields the sequence  $I = U(2, 1)$ , it should be discarded.



$n$	$(P, Q), P > 0, (P, Q) \neq (2, 1)$
2	$(1, Q), Q \neq -3; (2^i, 2j+1), i \geq 1$
3	$(P, P^2 \pm 1); (P, P^2 \pm 3^i), 3 \nmid P$
4	$(P, (P^2 \pm 1)/2), P \text{ odd}; (2i, 2i^2 \pm 1)$
5	$(1, -1) (1, 2) (1, 3) (1, 4) (2, 11) (12, 55) (12, 377)$
6	$(P, (P^2 - 1)/3), 3 \nmid P, P \geq 4; (P, (P^2 \pm 3)/3), 3 \mid P;$ $(P, (P^2 - (-2)^i)/3), P \equiv \pm 1 \pmod{6}, i \geq 1, (P, i) \neq (1, 1);$ $(P, (P^2 \pm 3 \cdot 2^i)/3), P \equiv 3 \pmod{6}, i \geq 1$
7	$(1, 2) (1, 5)$
8	$(1, 2) (2, 7)$
10	$(2, 3) (5, 7) (5, 18)$
12	$(1, -1) (1, 2) (1, 3) (1, 4) (1, 5) (2, 15)$
13	$(1, 2)$
18	$(1, 2)$
30	$(1, 2)$

Table A.

List of all  $n$ -defective regular Lucas sequences  $U(P, Q)$ ,  $n \geq 2$

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