# **Some Predictable Pierce Expansions**

J. O. Shallit Department of Mathematics University of California Berkeley, CA 94720

### I. Introduction.

In 1929, T. A. Pierce discussed an algorithm for expanding real numbers  $x \in (0, 1)$  in the form

$$x = \frac{1}{a_1} - \frac{1}{a_1 a_2} + \frac{1}{a_1 a_2 a_3} \dots$$
(1)

where the  $a_i$  form a strictly increasing sequence of positive integers.

He showed that these expansions (which we call **Pierce expansions**) are essentially unique. The Pierce expansion for x terminates if and only if x is rational. See [Pie] or [Sha] for details.

In this note, we give formulas for the  $a_i$  in the case where

$$x = \frac{c - \sqrt{c^2 - 4}}{2}$$

and  $c \ge 3$  is an integer. For these numbers, Pierce expansions provide extremely rapidly converging series.

## **II. Finding Real Roots of Polynomials.**

To save space, we will sometimes write the equation (1) in the form

$$x = \{ a_1, a_2, a_3, \cdots \}$$

where the curly brackets denote a Pierce expansion.

Let

$$p_1(x) = b_n x^n + b_{n-1} x^{n-1} + \dots + b_1 x + b_0$$

be a polynomial with integers coefficients and a single real zero  $\alpha$  in the interval (0, 1). We want to find the first term in the Pierce expansion of  $\alpha$ . From equation (1) it is easy to see that  $a_1 = \lfloor 1/\alpha \rfloor$ . Consider the polynomial  $q_1(x) = x^n p_1(1/x)$ ; this is a polynomial with integer co-efficients that has  $1/\alpha$  as a zero. Through a simple binary search procedure, it is easy to find  $d_1$  such that

$$\operatorname{sign}(q(d_1)) \neq \operatorname{sign}(q(d_1 + 1));$$

this shows that  $d_1 = \lfloor 1/\alpha \rfloor$  and so we can take  $\alpha_1 = d_1$ .

Now consider the polynomial

$$p_2(x) = a_1^n \ p_1(\frac{1-x}{a_1})$$

This again is a polynomial with integer coefficients. It is easily verified that if  $\beta$  is a zero of  $p_2(x)$  then

$$\alpha = \frac{1}{a_1} - \frac{1}{a_1}\beta$$

so

$$\beta = \frac{1}{a_2} - \frac{1}{a_2 a_3} + \cdots$$

By repeating this procedure on the polynomial  $p_2(x)$ , we generate the co-efficient  $a_2$  in the Pierce expansion of  $\alpha$ . And by continuing in the same fashion, we can generate as many terms of the Pierce expansion for  $\alpha$  as desired:

$$\alpha = \frac{1}{a_1} - \frac{1}{a_1 a_2} + \cdots$$

Now let us specify our polynomial to be

$$p_1(x) = x^2 - cx + 1$$

where  $c \ge 3$  is an integer. Let  $\alpha$  be the smaller positive zero, so

$$\alpha = \frac{c - \sqrt{c^2 - 4}}{2} \,. \tag{2}$$

Now  $q_1(x) = x^2 p_1(1/x) = x^2 - cx + 1$ . We find  $q_1(c-1) = 2 - c$ , which is negative, and  $q_1(c) = 1$  which is positive. Hence we see that  $a_1 = c - 1$ .

Now 
$$p_2(x) = (c-1)^2 p_1(\frac{1-x}{c-1})$$
; hence  
 $p_2(x) = x^2 + (c^2 - c - 2)x + 2 - c_1$ 

We find

$$q_2(x) = x^2 p_2(1/x) = (2 - c)x^2 + (c^2 - c - 2)x + 1$$

Now  $q_2(c+1) = 1$  which is positive; but  $q_2(c+2) = 5 - c^2$  which is negative. Hence we see that  $a_2 = c + 1$ .

Now  $p_3(x) = x^2 p_2(\frac{1-x}{c+1})$  so we see  $p_3(x) = x^2 - (c^3 - 3c)x + 1.$ 

So far we have been following the algorithm. But now we notice that  $p_3(x)$  is essentially just  $p_1(x)$  with  $c^3 - 3c$  playing the role of c. We have found

$$\alpha = \frac{1}{c-1} - \frac{1}{(c-1)(c+1)} + \frac{1}{(c-1)(c+1)}\gamma$$

where  $\gamma$  is the root of  $x^2 - (c^3 - 3c)x + 1 = 0$ . By continuing this process, we get

## Theorem.

Let  $\alpha$  be as in equation (2). Then

$$\alpha = \{ c_0 - 1, c_0 + 1, c_1 - 1, c_1 + 1, c_2 - 1, c_2 + 1, \cdots \}$$

where  $c_0 = c$ ,  $c_{k+1} = c_k^3 - 3c_k$ .

For example, let c = 3. Then we find

$$\frac{3-\sqrt{5}}{2} \{ 2, 4, 17, 19, 5777, 5779, \cdots \}$$

Another example: let c = 6. Then, after some manipulation, we find:

$$\sqrt{2} - 1 = \{ 2, 5, 7, 197, 199, 7761797, 7761799, \cdots \}$$

Ironically, both Pierce and Salzer [Sal] gave the first four terms of this expansion, but apparently neither detected the general pattern!

# III. The Coefficients $c_k$ .

The recurrence  $c_{k+1} = c_k^3 - 3c_k$  is an interesting one which has been previously studied [AhSI], [Esc]. Some brief comments are in order.

If we let  $\alpha$  and  $\beta$  be the roots of the quadratic

$$x^2 - cx + 1 = 0$$

and define

$$V(n) = \alpha^n + \beta^n; U(n) = \frac{\alpha^n - \beta^n}{\alpha - \beta}$$

then it is easy to show by induction that

$$V(n) = cV(n-1) - V(n-2); \ U(n) = cU(n-1) - U(n-2)$$

where

$$V(0) = 2, V(1) = c; U(0) = 0, U(1) = 1$$

We can also show that  $V(3k) = V(k)^3 - 3V(k)$ ; hence by induction  $c_k = V(3^k)$ . This gives the following closed form for the  $c_k$ :

$$c_k = \left(\frac{c + \sqrt{c^2 - 4}}{2}\right)^{3^k} + \left(\frac{c - \sqrt{c^2 - 4}}{2}\right)^{3^k}$$

Similarly, it is easy to show by induction that

$$\frac{U(3^{k}-1)}{U(3^{k})} = \{ c_{0} - 1, c_{0} + 1, c_{1} - 1, c_{1} + 1, \cdots, c_{k-1} - 1, c_{k-1} + 1 \}$$

which gives an alternative proof of our Theorem.

## References

[AhSI] A. V. Aho and N. J. A. Sloane, *Some Doubly Exponential Sequences*, Fib. Quart. 15 (1973) 429-437.

[Esc] E. B. Escott, Rapid Method for Extracting a Square Root, Am. Math. Monthly 44 (1937) 644-646.

[Pie] T. A. Pierce, On an algorithm and its use in approximating roots of polynomials, Am. Math. Monthly **36** (1929) 523-525.

[Sal] H. E. Salzer, *The Approximation of Numbers as Sums of Reciprocals*, Am. Math. Monthly **54** (1947) 135-142.

[Sha] J. O. Shallit, Metric Theory of Pierce Expansions, to appear.