

On parallel deletions applied to a word¹

Lila Kari*, Alexandru Mateescu*, Gheorghe Paun**,
Arto Salomaa*

* *Academy of Finland and Department of Mathematics,
University of Turku, 20500 Turku, Finland*

** *Institute of Mathematics of the Romanian Academy
of Sciences, Str.Academiei 14, 70109 Bucuresti, Romania*

May 5, 2011

Abstract

We consider sets arising from a single word by parallel deletion of subwords belonging to a given language. The issues dealt with are rather basic in language theory and combinatorics of words. We prove that every finite set is a parallel deletion set but a strict hierarchy results from k -bounded parallel deletions. We also discuss decidability, the parallel deletion number associated to a word and a certain collapse set of a language, as well as point out some open problems.

1 Introduction

The deletion of specific subwords from a word is an operation basic in language theory.

Left and right derivatives are special cases of this operation. Examples of the wide range of applications of this operation are bottom-up parsing (a subword is deleted and replaced by a nonterminal), developmental systems (deletion means the death of a cell or a string of cells) and cryptography (decryption may begin by deleting some "garbage" portions in the cryptotext). A systematic study of various types of deletion operations was begun in [1].

The reader is referred to [3] for unexplained notions in formal language theory. The *empty word* is denoted by λ and the *length* of a word w by $|w|$. Following [1], we define the *deletion* and *parallel deletion* of a language $L \subseteq V^*$

¹Research supported by the Academy of Finland, grant 11281, and the Alexander von Humboldt Foundation. All correspondence to Lila Kari.

from a word $w \in V^*$ by

$$\begin{aligned} (*) \quad (w \rightarrow L) &= \{u_1 u_2 \mid u_1 v u_2 = w, v \in L\} \\ (**) \quad (w \Rightarrow L) &= \{u_1 u_2 \dots u_{n+1} \mid n \geq 1, u_i \in V^*, 1 \leq i \leq n+1, \\ &\quad w = u_1 v_1 u_2 \dots u_n v_n u_{n+1}, \text{ for } v_i \in L, 1 \leq i \leq n, \\ &\quad \text{and } u_i \notin V^*(L - \{\lambda\})V^*, 1 \leq i \leq n+1\}. \end{aligned}$$

Sets of the forms (*) and (**) are referred to as *deletion (D-) sets*, [2], and *parallel deletion (PD-) sets*, respectively. Clearly, sets of the forms (*) and (**) are always finite.

The operations of deletion and parallel deletion are naturally extended, [1], to the case where w is replaced with a language, but in this paper attention is restricted to (*) and (**). We investigate problems arising from sets (**) and their modifications, sometimes making comparisons with sets (*).

2 Universality of parallel deletion sets

Most of the finite sets are not deletion sets. For instance, it is easy to see that neither $\{a, b, c\}$ nor $\{aa, ab, ba, bb\}$ is a deletion set. Characterizations of deletion sets and algorithms for deciding whether or not a given set is a deletion set were given in [2]. It is somewhat unexpected that parallel deletion sets are universal in the sense that every finite language can be viewed as a parallel deletion set.

Theorem 1 *Every finite language is a parallel deletion set, that is, can be represented in the form (**).*

Proof. If $V = \{a\}$, and $F = \{a^{i_1}, a^{i_2}, \dots, a^{i_n}\}$, then we denote

$$p = \max\{i_j \mid 1 \leq j \leq n\},$$

and we define

$$\begin{aligned} w &= a^{2p+1}, \\ L &= \{a^{2p+1-i_j} \mid 1 \leq j \leq n\}. \end{aligned}$$

As only one string of L can be deleted from w , we obtain $(w \Rightarrow L) = F$.

Consider now V with $\text{card}(V) \geq 2$ and take

$$F = \{x_1, x_2, \dots, x_n\}.$$

We construct

$$\begin{aligned} w &= (x_1 \#_1)^2 (x_2 \#_2)^2 \dots (x_{n-1} \#_{n-1})^2 x_n \#_n, \\ L &= \{(x_j \#_j)^2 \mid 1 \leq j \leq n-1\} \cup \{\#_n\} \cup \\ &\quad \{\#_j x_j \#_j (x_{j+1} \#_{j+1})^2 (x_{j+2} \#_{j+2})^2 \dots (x_{n-1} \#_{n-1})^2 x_n \#_n \mid \\ &\quad 1 \leq j \leq n-1\}, \end{aligned}$$

where $\#_1, \dots, \#_n$ are new symbols not in V .

From the form of w and of strings in L , it is clear that in every deletion we have to erase either $\#_n$ or a string

$$\#_j x_j \#_j (x_{j+1} \#_{j+1})^2 (x_{j+2} \#_{j+2})^2 \dots (x_{n-1} \#_{n-1})^2 x_n \#_n,$$

as well as all the remaining substrings $(x_i \#_i)^2$, $1 \leq i \leq j-1$. This implies all symbols $\#_i$, $1 \leq i \leq n$, are erased and only a string x_j remains, $1 \leq j \leq n$. In conclusion, $(w \Rightarrow L) = F$.

Now, take $a, b \in V$, $a \neq b$ (remember that $\text{card}(V) \geq 2$) and denote

$$k = \max\{|x_i| \mid 1 \leq i \leq n\}.$$

We replace each occurrence of $\#_i$ in w and in strings of L by $ba^{k+i}b$, $1 \leq i \leq n$. We denote by w' , L' the string and the language obtained in this way, respectively. As no string in F can contain a substring a^{k+i} , $1 \leq i \leq n$, the strings $ba^{k+i}b$ behave exactly as the markers $\#_i$, $1 \leq i \leq n$, hence again we have $(w' \Rightarrow L') = F$, which concludes the proof. \square

3 A general undecidability result

Because not every finite set is a deletion set, we face a decision problem that was settled in [2]. An analogous problem does not exist for parallel deletion sets. However, we can fix the nonempty finite set F in the equation

$$(w \rightarrow L) = F,$$

and ask for an algorithm deciding for a given context-free language L whether or not a solution w exists. If such an algorithm exists, we say that F is *CF-decidable*, otherwise *CF-undecidable*. Similarly, we fix F in the equation

$$(w \Rightarrow L) = F$$

and speak of *CF-p-decidable* ("p" from "parallel") and *CF-p-undecidable* sets F .

It was shown in [2] that $F = \{\lambda\}$ is the only CF-decidable set. Moreover, $\{\lambda\}$ is "CF-universal" in the sense that, for any (nonempty) context-free language L , there is a word w such that $(w \rightarrow L) = \{\lambda\}$. Obviously, the same result holds for parallel deletion as well. In fact, we have

Theorem 2 *The set $\{\lambda\}$ is CF-p-universal and this is the only CF-p-universal set.*

Proof. Given L context-free, we obtain $(w \Rightarrow L) = \{\lambda\}$ for w one of the shortest strings in L , therefore $\{\lambda\}$ is universal.

Moreover, no set $F \neq \{\lambda\}$ can be CF-p-universal, because for any w we have $(w \Rightarrow V^*) = \{\lambda\} \neq F$. \square

In spite of the fact that parallel deletion sets coincide with finite sets, we obtain the same undecidability result as for sequential deletion.

Theorem 3 *Every finite nonempty set $F \neq \{\lambda\}$ is CF-p-undecidable.*

Proof. Let $F \subseteq V^*$ be a finite language, $F = \{x_1, x_2, \dots, x_n\}$, with $k = \max\{|x_i| \mid 1 \leq i \leq n\} \geq 1$. If $V = \{a\}$, then we add the symbol b to V (we still denote by V the obtained alphabet), therefore, without loss of generality we may assume $\text{card}(V) \geq 2$.

We now proceed as in the proof of Theorem 1 when dealing with alphabets V with $\text{card}(V) \geq 2$, namely we construct the string w' and the language L' such that $(w' \Rightarrow L') = F$.

Take now an arbitrary context-free language $L_0 \subseteq V^+$ and consider two new symbols c, d , not in V . We construct the context-free language

$$M = L'' \cup \{c\}L_0\{c\},$$

where L'' is obtained from L' by substituting the rightmost string $ba^{k+n}b$ corresponding to the marker $\#_n$ in the construction of Theorem 1, by $\{c\}V^*\{cd\}$. More exactly, $L'' = \sigma(L')$ where σ is the substitution defined by:

$$\sigma(\#_i) = ba^{k+i}b, 1 \leq i \leq n-1, \sigma(\#_n) = \{c\}V^*\{cd\}, \sigma(\alpha) = \alpha \text{ otherwise.}$$

Then there exists a string w such that $(w \Rightarrow M) = F$ if and only if $L_0 \neq V^*$ (which is not decidable for arbitrary context-free languages).

Indeed, if $V^* - L_0 \neq \emptyset$, then take $z \in V^* - L_0$ and consider the string

$$w = (x_1ba^{k+1}b)^2 \dots (x_{n-1}ba^{k+n-1}b)^2 x_n czcd.$$

Now, the role of the rightmost marker $\#_n$ is played by $czcd$. As no string of $\{c\}L_0\{c\}$ appears as a substring of w , in view of the proof of Theorem 1, we obtain $(w \Rightarrow M) = F$.

Assume now that $L_0 = V^*$ and suppose that there is a string w such that $(w \Rightarrow M) = F$.

We distinguish more cases:

(i) w contains at least one occurrence of d . Note that all occurrences of d from w have to be deleted, as otherwise we obtain in $(w \Rightarrow M)$ words which do not belong to F . As d can be deleted only by words from L'' , we deduce that the subwords of w containing d have to be of the form $ycvcd$, $y, v \in V^*$. But, in this case, we can also erase from w the word cvc , which leads us to a word in $(w \Rightarrow M)$ still containing a letter d – a contradiction with the form of the strings in F .

(ii) w contains no occurrence of d but contains occurrences of c . Then we can delete from w only strings of $\{c\}L_0\{c\}$ and strings in L'' containing no occurrence of c (the strings in L'' containing c contain d , too). If w contains an odd number

of occurrences of c , then the strings in $(w \Rightarrow M)$ contain an odd number of occurrences of c , contradicting the form of strings in F . If w contains at least 4 occurrences of c , $w = u_1cu_2cu_3cu_4cu_5$, $u_1, u_2, u_3, u_4 \in V^*$, $u_5 \in (\{c\} \cup V)^*$, then we can remove cu_3c as belonging to $\{c\}L_0\{c\}$, and irrespective of other deletions, the first occurrence of c in w remains. Hence we obtain a string not in F .

If $w = u_1cu_2cu_3$, $u_1, u_2, u_3 \in V^*$, then in order to obtain strings in F we have to remove cu_2c (and this can be done). This implies w is of the form

$$w = y_0(x_{i_1}ba^{k+i_1}b)^2y_1(x_{i_2}ba^{k+i_2}b)^2y_2 \dots (x_{i_j}ba^{k+i_j}b)^2y_jcu_2c \\ y_{j+1}(x_{i_{j+2}}ba^{k+i_{j+2}}b)^2 \dots y_s(x_{i_{s+1}}ba^{k+i_{s+1}}b)^2y_{s+1}$$

with $1 \leq i_t \leq n$, $1 \leq t \leq s$, and $y_0y_1 \dots y_{s+1} \in F$.

However the strings $ba^{k+i_t}b$ precisely identify the strings in L'' used in such deletions of substrings in w (in $y_0y_1y_2 \dots y_{s+1}$ we cannot have substrings a^{k+i} , $i \geq 1$) hence only one deletion is possible, that is $(w \Rightarrow M)$ contains only one string. The case $F = \{x\}$, $x \neq \lambda$, is handled below.

(iii) w contains no occurrence of c and d . Then, as in the last part of the previous case, we infer that $\text{card}(w \Rightarrow M) = 1$.

For the case $F = \{x\}$, $x \neq \lambda$, take again $L_0 \subseteq V^*$ (for V assumed to contain at least two symbols) and construct

$$M = \{c\}V^*\{c\} \cup V^*\{c\}L_0\{c\}V^*.$$

If $V^* \neq L_0$, then for $z \in V^* - L_0$ we obtain

$$(xczc \Rightarrow M) = \{x\}.$$

If $L_0 = V^*$, then every w with $(w \Rightarrow M) = \{x\}$ must contain an even number of occurrences of c , $w = u_1cu_2c \dots cu_{2t+1}$, $t \geq 1$. By deleting strings in $V^*\{c\}L_0\{c\}V^*$ from w we can obtain $\lambda \in (w \Rightarrow M)$, contradicting the relation $x \neq \lambda$. \square

4 The parallel deletion number of a word

The *deletion number*, [2], associated to a word w equals the cardinality of the largest deletion set arising from w , that is

$$d(w) = \max\{\text{card}(w \rightarrow L) \mid L \subseteq V^*\}.$$

The *parallel deletion number* is defined analogously,

$$\text{pd}(w) = \max\{\text{card}(w \Rightarrow L) \mid L \subseteq V^*\}.$$

Upper bounds for $d(w)$, best possible in the general case, were deduced in [2]. For instance, if $\text{card}(V) = s$ and $n \equiv r \pmod{s}$, then

$$\max\{d(w) \mid |w| = n\} = n + 1 + \frac{(s-1)n^2 - sr + r^2}{2s}.$$

It is clear that $d(w) = \text{card}(w \rightarrow V^*)$. An analogous result does not hold for parallel deletion because, for every w , $(w \Rightarrow V^*) = \{\lambda\}$.

We now begin our investigation concerning the number $\text{pd}(w)$. For the alphabet with only one element, $\text{pd}(w)$ can be computed, but for the general case the question seems not to be simple at all.

Theorem 4 *If $w = a^n$, $n \geq 1$, then $\text{pd}(w) = n$.*

Proof. For $w = a$ we have

$$\text{card}(a \Rightarrow \{\lambda\}) = \text{card}(a \Rightarrow \{a\}) = \text{card}(a \Rightarrow \{\lambda, a\}) = 1.$$

For $w = a^n$, $n \geq 2$, consider

$$L = \{\lambda, a^2, a^3, \dots, a^n\}.$$

Because we can write $a^n = a\lambda a\lambda \dots a\lambda a$ we obtain $a^n \in (w \Rightarrow L)$. Moreover, for each a^i , $2 \leq i \leq n$, we have $a^n = a\lambda a\lambda \dots a\lambda a^i$ which implies $a^{n-i} \in (w \Rightarrow L)$ for all $2 \leq i \leq n$. In conclusion,

$$(w \Rightarrow L) = \{\lambda, a, a^2, \dots, a^{n-2}, a^n\},$$

that is $\text{card}(w \Rightarrow L) = n$. □

The previous proof makes essentially use of the existence of the empty string in L (and the non-existence of a in L). However, if we do not allow λ to be in L then computing $\text{card}(w \Rightarrow L)$ is much more difficult. As an illustration of this, let us consider the following particular case: $w = a^n$, $L = \{a^2\}$. The reader can verify that we obtain

$$(a^n \Rightarrow a^2) = \begin{cases} \{\lambda, a^2, a^4, \dots, a^{2t}\}, & \text{if } n = 6t, \quad t \geq 1, \\ \{a, a^3, \dots, a^{2t+1}\}, & \text{if } n = 6t + 1, \quad t \geq 1, \\ \{\lambda, a^2, a^4, \dots, a^{2t}\}, & \text{if } n = 6t + 2, \quad t \geq 0, \\ \{a, a^3, \dots, a^{2t+1}\}, & \text{if } n = 6t + 3, \quad t \geq 0, \\ \{\lambda, a^2, a^4, \dots, a^{2t+2}\}, & \text{if } n = 6t + 4, \quad t \geq 0, \\ \{a, a^3, \dots, a^{2t+1}\}, & \text{if } n = 6t + 5, \quad t \geq 0. \end{cases}$$

hence

$$\text{card}(a^n \Rightarrow a^2) = \begin{cases} t + 1, & \text{if } n = 6t, \quad t \geq 1, \\ t + 1, & \text{if } n = 6t + 1, \quad t \geq 1, \\ t + 1, & \text{if } n = 6t + 2, \quad t \geq 0, \\ t + 1, & \text{if } n = 6t + 3, \quad t \geq 0, \\ t + 2, & \text{if } n = 6t + 4, \quad t \geq 0, \\ t + 1, & \text{if } n = 6t + 5, \quad t \geq 0. \end{cases}$$

(we delete a certain number of substrings a^2 from a^n and two consecutive substrings a^2 are either neighbouring or they are separated by one occurrence of a ; if a^r is in $(a^n \Rightarrow a^2)$, then also a^{r-2} is in $(a^n \Rightarrow a^2)$ because we can arrange the deleted substrings a^2 in such a way as to delete two more symbols a bounding them.)

In the case of arbitrary alphabets with at least two symbols we obtain the following surprising result.

Theorem 5 *If $\text{card}(V) \geq 2$, then there is no polynomial f such that for every $w \in V^*$ we have $\text{pd}(w) \leq f(|w|)$.*

Proof. It suffices to show that, given a polynomial f (in one variable), there are strings w such that $\text{pd}(w) > f(|w|)$.

Take a polynomial f of degree $n \geq 1$ and consider the strings

$$w_{n,m} = (a^m b^m)^n.$$

Moreover, take

$$L_m = \{a^i b^j \mid 1 \leq i, j \leq m-1\}$$

and evaluate the cardinality of $(w_{n,m} \Rightarrow L_m)$.

As each string in L_m contains at least one occurrence of a and one occurrence of b , we can delete from $w_{n,m}$ exactly n strings of L_m , which implies

$$(w_{n,m} \Rightarrow L_m) = \{a^{m-i_1} b^{m-j_1} a^{m-i_2} b^{m-j_2} \dots a^{m-i_n} b^{m-j_n} \mid \\ 1 \leq i_s, j_s \leq m-1, 1 \leq s \leq n\}.$$

Consequently,

$$\text{card}(w_{n,m} \Rightarrow L_m) = (m-1)^{2n}.$$

Clearly, because $2n$ is a constant, for large enough m we have

$$\text{pd}(w_{n,m}) \geq (m-1)^{2n} > f(2nm) = f(|w_{n,m}|),$$

which completes the proof. □

5 The collapse set of a language

We observed in the previous section that, for every word w , $(w \Rightarrow V^*) = \{\lambda\}$. We can express this by saying that every word *collapses* to the empty word when subjected to parallel deletion with respect to V^* . We speak also of the *collapse set* of V^* . Thus, the collapse set of V^* equals V^* .

In general, we define the *collapse set* of a nonempty language $L \subseteq V^*$ by

$$\text{cs}(L) = \{w \in V^* \mid (w \Rightarrow L) = \{\lambda\}\}.$$

This language is always nonempty because it contains each of the shortest words in L .

We give first some examples.

- (1) $\text{cs}(\{a^n b^n \mid n \geq 1\}) = (ab)^+$,
- (2) $\text{cs}(\{a, bb\}) = a^* bb(a^+ bb)^* a^* \cup a^+$
(hence $\text{cs}(L)$ can be infinite for finite L),
- (3) $\text{cs}(\{ab\} \cup \{a^n b^m a^p \mid n, m, p \geq 1\}) = \{ab\}$,
(hence $\text{cs}(L)$ can be finite for infinite L),
- (4) $\text{cs}(\{ca^n b^n \mid n \geq 1\}) = \{ca^n b^n \mid n \geq 1\}^+$,
(hence $\text{cs}(L)$ can be nonlinear for linear L).

Moreover, we have

Theorem 6 *There is a linear language L such that $\text{cs}(L)$ is not context-free.*

Proof. Take

$$L = \{dda^n b^m c^n \mid n, m \geq 1\} \cup \{da^n b^m c^p \mid n, m, p \geq 1, m \geq p\}.$$

Clearly, L is linear. Moreover, we have

$$\text{cs}(L) \cap d^2 a^+ b^+ c^+ = \{d^2 a^n b^m c^n \mid 1 \leq m < n\}$$

and this is not a context-free language (mark the occurrences of b and use Ogden's lemma).

The equality follows from the next three remarks:

- (i) all the strings in $\text{cs}(L) \cap d^2 a^+ b^+ c^+$ are of the form $d^2 a^n b^m c^n$, $n, m \geq 1$;
- (ii) for $m \geq n \geq 1$, we have

$$(d^2 a^n b^m c^n \Rightarrow da^n b^m c^n) = \{d\},$$

hence $d^2 a^n b^m c^m$ is not in $\text{cs}(L) \cap d^2 a^+ b^+ c^+$;

- (iii) for $1 \leq m < n$, we have

$$(d^2 a^n b^m c^n \Rightarrow L) = (d^2 a^n b^m c^n \Rightarrow \{d^2 a^n b^m c^n\}) = \{\lambda\}.$$

□

Theorem 7 *Let $L \subseteq V^*$ be an arbitrary language. Then*

$$\text{cs}(L) = L^+ - M,$$

where

$$M = (V^* L \cup \{\lambda\})(V^+ - V^* L V^*)(L V^* \cup \{\lambda\}).$$

Proof. " \subseteq " Take $x \in \text{cs}(L)$. Clearly, $x \in L^+$. Suppose $x \in M$, hence we can write

$$x = x_1 u v w x_2$$

with

$$\begin{aligned} x_1 u &= \lambda \text{ or } x_1 \in V^*, u \in L, \\ v &\in V^+, v \notin V^* L V^*, \\ w x_2 &= \lambda \text{ or } w \in L, x_2 \in V^*. \end{aligned}$$

As $v \neq \lambda$ and v contains no subword of L , there is a string in $(x \Rightarrow L)$ containing the substring v , which implies $x \notin \text{cs}(L)$, a contradiction.

" \supseteq " Take $x \in L^+ - M$ and assume $x \notin \text{cs}(L)$. Therefore there is $z \neq \lambda$, $z \in (x \Rightarrow L)$. Consequently, we can write $z = z_1 z_2 z_3$, $z_2 \neq \lambda$, $z_1, z_2 \in V^*$, z_3 containing no substring in L and

$$\begin{aligned} x &= x_1 u z_2 v x_3, \\ \text{with } x_1 u &= \lambda \text{ or } x_1 \in V^*, u \in L, \\ z_2 &\in V^+, z_2 \notin V^* L V^*, \\ v x_3 &= \lambda \text{ or } v \in L, x_3 \in V^*, \end{aligned}$$

such that $z_1 z_2 z_3 \in (x \Rightarrow L)$, $z_1 \in (x_1 \Rightarrow L)$, $z_3 \in (x_3 \Rightarrow L)$. In conclusion, $x \in M$, hence $x \notin L^+ - M$, a contradiction. \square

Corollary 1 *If L is regular (context-sensitive), then $\text{cs}(L)$ is also regular (respectively context-sensitive).*

Proof. Obvious, from the closure properties of the families of regular and context-sensitive languages. \square

Theorem 8 *For $L \subseteq V^*$ we have $\text{cs}(L) = V^*$ if and only if $V \cup \{\lambda\} \subseteq L$.*

Proof. In general, $\text{cs}(L) \subseteq V^*$. If $V \subseteq L$, then for every $w \in V^+$ we have $(w \Rightarrow L) = \{\lambda\}$, hence $V^+ \subseteq \text{cs}(L)$. If $\lambda \in L$ then $(\lambda \Rightarrow L) = \{\lambda\}$, too. In conclusion, $\text{cs}(L) = V^*$.

Conversely, if $\text{cs}(L) = V^*$, then $V \cup \{\lambda\} \subseteq \text{cs}(L)$. For $a \in V$ we can have $(a \Rightarrow L) = \{\lambda\}$ only if $a \in L$, therefore $V \subseteq L$. Similarly, $(\lambda \Rightarrow L) = \{\lambda\}$ only if $\lambda \in L$ (if $L \subseteq V^+$, then $(\lambda \Rightarrow L) = \emptyset$). \square

6 k -parallel deletion

Another natural way to define a deletion operation, intermediate between the sequential and the parallel ones, is to remove exactly k strings, for a given k . Namely, for $w \in V^*$, $L \subseteq V^*$, $k \geq 1$, write

$$\begin{aligned} (w \Longrightarrow_k L) &= \{u_1 u_2 \dots u_{k+1} \mid u_i \in V^*, 1 \leq i \leq k+1, \\ &\quad w = u_1 v_1 u_2 v_2 \dots u_k v_k u_{k+1}, \text{ for } v_i \in L, 1 \leq i \leq k\} \end{aligned}$$

Sets of this form will be referred to as k -deletion sets; for given $k \geq 1$ we denote by E_k the family of k -deletion sets.

Theorem 9 For all $k \geq 1$, $E_k \subset E_{k+1}$, strict inclusion.

Proof. Take $F \in E_k$, $F = (w \Longrightarrow_k L)$ and construct

$$\begin{aligned} w' &= (w\#)^k w \$, \\ L' &= \{vw_2\#w_1v \mid v \in L, w = w_1vw_2\} \cup \{\$\}. \end{aligned}$$

We obtain

$$(w' \Longrightarrow_{k+1} L') = F.$$

Indeed, each string in L' , excepting $\$$, contains one symbol $\#$, hence deleting $k + 1$ strings means to remove k strings $vw_2\#w_1v$ and $\$$. When deleting $vw_2\#w_1v$ from $\dots\#w_1vw_2\#w_1vw_2\#\dots$, we get $\dots\#_1w_1w_2\#\dots$, hence (between the neighbour $\#$) exactly the result of removing v . The previous erasing removes the symbol $\#$ in the left of w_1 and a prefix of w_1 , the next erasing removes the symbol $\#$ in the right of w_2 and a suffix of w_2 . What remains corresponds to the removing of k subwords which belong to L , hence we obtain a string in F . The converse inclusion is clearly true, hence $F \in E_{k+1}$.

Consequently, $E_k \subseteq E_{k+1}$.

This inclusion is proper. In order to prove this, consider the language

$$L_k = \{a_1, a_2, \dots, a_{k+1}\}, k \geq 1.$$

We have $L_k = (w \Longrightarrow_k L)$ for

$$\begin{aligned} w &= a_1a_2 \dots a_{k+1}, \\ L &= L_k \end{aligned}$$

(removing any k symbols from w we get a one-symbol string, in all possibilities).

Assume $L_k \in E_{k-1}$; let w, L be such that $L_k = (w \Longrightarrow_{k-1} L)$.

In order to obtain a symbol a_i , $1 \leq i \leq k + 1$, we have to write

$$w = z_1 \dots z_{n_i} a_i z_{n_i+1} \dots z_{k-1}, z_j \in L, 1 \leq j \leq k - 1.$$

for some $n_i \geq 0$. Consider writings of w of this form (hence decompositions in $k - 1$ strings in L and one symbol a_i) for all i , $1 \leq i \leq k + 1$. By changing the subscripts of the specified symbols a_i , we may assume that these distinguished occurrences of a_1, \dots, a_{k+1} appear in w in the natural order,

$$w = w_1a_1w_2a_2 \dots w_{k+1}a_{k+1}w_{k+2},$$

for $w_i \in V^*$, $1 \leq i \leq k + 2$, V being an alphabet including $\{a_1, \dots, a_{k+1}\}$.

Therefore, for each a_i , $1 \leq i \leq k + 2$, we can decompose $w_1a_1 \dots w_i$ in $n_i \geq 0$ strings in L and $w_{i+1}a_{i+2} \dots a_{k+1}w_{k+2}$ in $k - 1 - n_i$ strings in L .

If $n_i \geq n_{i+1}$, then $n_i + k - 1 - n_{i+1} \geq k - 1$. Removing t strings from the n_i strings in the left of a_i and s strings from the $k - 1 - n_{i+1}$ strings in the right of a_{i+1} , with $t + s = k - 1$ (this is possible, because we have at least $k - 1$ strings

at our disposal), we get a string of the form $y_1 a_i w_{i+1} a_{i+1} y_2, y_1, y_2 \in V^*$, which must be in L_k , a contradiction.

Consequently, $n_i < n_{i+1}, 1 \leq i \leq k+1$. As $n_1 \geq 0$, we obtain $n_{k+1} \geq k$.

The set L cannot contain the string λ , otherwise by erasing $k-1$ occurrences of λ we get the string w , a contradiction. Therefore, the string $w_1 a_1 \dots w_{k+1}$ can be decomposed into $n_{k+1} > k-1$ non-empty strings in L . By removing the first $k-1$ of them, we obtain a string of the form $y a_{k+1} w_{k+2}, y \in L, y \neq \lambda$. Such a string is not in L_k , a contradiction. Consequently, $L_k \notin E_{k-1}$. \square

Remark The extra symbols in the first part of the proof cannot be avoided. For instance, consider the set

$$F = \{a^i \mid 1 \leq i \leq k+1\}, k \geq 1.$$

We have $F = (w \Longrightarrow_k L)$ for

$$\begin{aligned} w &= a^{2k+1}, \\ L &= \{a, aa\}, \end{aligned}$$

hence $F \in E_k$.

However, there is no $w \in a^*, L \subseteq a^*$ such that $F = (w \Longrightarrow_j L)$ for $j > k$.

Indeed, assume that such w, L exist and denote

$$\begin{aligned} M &= \max\{i \mid a^i \in L\}, \\ m &= \min\{i \mid a^i \in L\}. \end{aligned}$$

By removing j times a^M we must get the shortest string in F , that is a ; by removing j times a^m we get the longest string, a^{k+1} . Therefore

$$|w| = M \cdot j + 1 = m \cdot j + k + 1.$$

Thus $(M - m) \cdot j = k$, which is impossible as $j > k$ and $M - m$ is a natural number.

On the other hand, $F = (w \Longrightarrow_{k+j} L), j \geq 1$, for

$$\begin{aligned} w &= a^{k+1} b^{k+j}, \\ L &= \{a^i b \mid 0 \leq i \leq k\}, \end{aligned}$$

hence using one extra symbol we get $F \in E_{k+j}$ for all $j \geq 1$.

Theorem 10 *For every finite set F , there is a k such that $F \in E_k$.*

Proof. If $\text{card}(F) = 1, F = \{x\}$, take $w = x, L = \{\lambda\}$, and we have $(w \Longrightarrow_k L) = F \in E_k$ for all $k \geq 1$.

Assume now

$$F = \{x_1, x_2, \dots, x_k\}, k \geq 2,$$

and construct

$$\begin{aligned} w &= x_1\#_1x_2\#_2\dots\#_{k-1}x_k, \\ L &= \{x_i\#_i, \#_ix_{i+1} \mid 1 \leq i \leq k-1\}. \end{aligned}$$

We have

$$F = (w \Longrightarrow_{k-1} L).$$

Indeed, we have to remove $k-1$ substrings of w ; each string of L contains a symbol $\#_i$, hence all of them are removed from w ; together with $\#_i$ either x_i or x_{i+1} is removed too, hence what remains is a complete string x_j , $1 \leq j \leq k$. Consequently, $F \in E_{k-1}$.

For

$$m = \max\{|x_i| \mid 1 \leq i \leq k\},$$

we can replace the new symbols $\#_i$ by $ba^{m+i}b$, $1 \leq i \leq k$. As such strings appear only once in w and they identify the strings x_i, x_{i+1} in pairs $x_i ba^{m+i}b, ba^{m+i}b x_{i+1}$, we obtain $(w \Longrightarrow_{k-1} L) = F$ for the modified w, L too. \square

In conclusion, we obtain an infinite hierarchy of families of finite languages, lying in between the deletion sets and the parallel deletion sets,

$$D\text{-sets} = E_1 \subset E_2 \subset \dots \subset \bigcup_{i \geq 1} E_i = PD\text{-sets} = FIN.$$

Therefore, we can define a *complexity measure* for finite languages, say $Del : FIN \rightarrow \mathbf{N}$, by

$$Del(F) = \min\{k \mid F \in E_k\}.$$

From the previous theorem, if $\text{card}(F) \geq 2$, then $Del(F) \leq \text{card}(F) - 1$ and $Del(F) = 1$ for $\text{card}(F) = 1$.

In view of the next theorem, $Del(F)$ is computable.

Theorem 11 *Given a set F and a natural number k , it is decidable whether $F \in E_k$ or not.*

Proof. For given F and k , denote

$$\begin{aligned} m &= \text{card}(F), \\ l &= \max\{|v| \mid v \in F\}. \end{aligned}$$

It is enough to show that if F is in E_k , then it can be obtained from a string w whose length is at most $(l+1)(2km+1)$ by k -parallel deletion.

To show this, assume F is obtained from a string w whose length is greater than $(l+1)(2km+1)$ by deleting some language L .

Claim. There is a subword u of w with $|u| = l+1$ such that every word in F can be obtained from w by a deletion in which u is a subword of one of the deleted words in L .

Indeed, if we divide w into blocks of length $l + 1$, we get at least $2km + 1$ blocks. Choose for each word in F an arbitrary way it can be obtained from w and mark each block that contains either a prefix or a suffix of a deleted L -word. In this way at most $2k$ blocks will be marked for each word in F , which means that altogether at most $2km$ blocks will be marked. Therefore at least one block remains unmarked. This is the looked for u , hence we have the claim. (Note that u has to be either completely deleted or not deleted at all – the latter is impossible because u is longer than any of the words in F .)

Now, we can change w into w' by replacing u by a new symbol $\#$. Simultaneously we add to L all words obtained from words of L by replacing one occurrence of u by $\#$. Let L' be this new set. It is clear that the k -parallel deletion of L' from w' gives F : Every word in F is obtained because we can do the same deletion as above except that when deleting the word that removed the block u we use the word containing $\#$ instead.

No more words are obtained. Any deletion that removes $\#$ from w' can be done also with w and F ; any deletion that does not remove $\#$ from w' uses only words of L' not containing $\#$, which means that the same deletion can be done in w , leaving u in the result – a contradiction with the fact that the words of F are shorter than u .

So F can be obtained from a shorter word w' . The shortest word from which F can be obtained has to be at most $(l + 1)(2km + 1)$ symbols long. Consequently, there are only finitely many strings w to be checked, hence the problem whether $F = (w \Longrightarrow_k L)$ or not for some w is decidable (L must be included in the set of subwords of w , hence it is also finite). \square

7 Final remarks

Besides k -parallel deletion, we can define $(\leq k)$ -deletion, $(\geq k)$ -deletion, and (k, k') -deletion, removing at most k strings, at least k strings, and at least k but at most k' strings, respectively. We leave the study of such cases to the reader.

Another possibility is to define the k -parallel deletion in the following "forced" way: for a string w and a language L , write

$$(w \Longrightarrow_k^f L) = \{u_1 u_2 \dots u_{k+1} \mid w = u_1 v_1 u_2 v_2 \dots u_k v_k u_{k+1}, \\ v_i \in L, 1 \leq i \leq k, \\ u_i \notin V^*(L - \{\lambda\})V^*, 1 \leq i \leq k + 1\}$$

(the remaining strings u_i do not contain substrings in $L - \{\lambda\}$).

Denote by $E'_k, k \geq 1$, the families of sets obtained in this way.

For a finite set

$$F = \{x_1, x_2, \dots, x_n\}, n \geq 2,$$

define

$$\begin{aligned}
 w &= \#_1 x_1 \#_2 x_2 \dots \#_n x_n \#_{n+1}, \\
 L &= \{ \#_1 x_1 \dots \#_{i-1} x_{i-1} \#_i \mid 1 \leq i \leq n \} \cup \\
 &\quad \{ \#_i x_i \dots x_n \#_{n+1} \mid 2 \leq i \leq n+1 \} \cup \\
 &\quad \{ \#_i \mid 1 \leq i \leq n+1 \}.
 \end{aligned}$$

We have $F = (w \xRightarrow{f}_2 L)$ (no symbol $\#_i$ can remain, hence we must remove a prefix $\#_1 x_1 \dots x_i \#_i$ and a suffix $\#_{i+1} x_{i+1} \dots x_n \#_{n+1}$, hence we obtain the string x_{i+1}). Therefore, $F \in E'_2$. If $F = \{x\}$, then we can put $w = x\#, L = \{\#\}$, and we obtain $F \in E'_1$.

In conclusion, there is no hierarchy in this case.

References

- [1] L.Kari. On insertion and deletion in formal languages. *Ph.D. Thesis*, University of Turku, 1991.
- [2] L.Kari, A.Mateescu, Gh.Paun, A. Salomaa. Deletion sets. *Fundamenta Informaticae*, to appear.
- [3] A.Salomaa. *Formal Languages*. Academic Press, London, 1973.