## 

R. C. Mullin, R. G. Stanton, and D. R. Stinson

## 1. Introduction.

Let X be a finite set. A family B of subsets of X is said to be a <u>perfect covering</u> (or simply a <u>covering</u>) provided that each pair of elements of X occurs in a unique set in the family B (a perfect covering is also called a <u>finite linear space</u> or a <u>pairwise balanced design</u>). Elements of X are called <u>points</u>, and elements of B are called blocks.

In [1] the following problem was introduced. For  $2 \le k \le v$ , k and v integers, define  $g^{(k)}(v)$  to be the least integer b such that there exists a perfect covering of a v-set which possesses b blocks and in which the largest block has length k. For  $k \ge v/2$ ,  $g^{(k)}(v)$  was determined in [1]; we quote the result as

THEOREM 1.1. If  $k \ge v/2$ , then

$$g^{(k)}(v) = 1 + (v-k)(3k-v+1)/2$$
.

Also, if k = (v-1)/2 is odd, then [1] gave the result for  $g^{(k)}(v)$  as

THEOREM 1.2. If k is odd, then

$$g^{(k)}(2k+1) = 1 + k(k+1)/2$$
.

For k even, the bound given in Theorem 1.2. can not be attained (cf. [1], Lemma 5.2). In this paper, we prove that, for k even and k > 2, then

$$g^{(k)}(2k+1) = 1 + k(k+1)/2 + \lceil k/4 \rceil$$

where \int \denotes the usual ceiling function.

2. A Lower Bound.

Define

$$SK(k,v) = 1 + k^{2}(v-k)/(v-1)$$
.

In [2], it is shown that

$$g^{(k)}(v) \ge \left[SK(k,v)\right].$$

We now improve this bound slightly in the case when (v-1)/k = t, where t is an integer.

THEOREM 2.1. Suppose v-1 = kt and k-1 = ut + w, where t,u, and w are integers and  $0 \le w < t$ . Then

$$g^{(k)}(v) \ge 1 + \left[\frac{k^2(v-k)}{v-1} + \frac{wk^3}{(v-1)^2}\right].$$

*Proof.* Let B be a covering of a v-set X which contains  $B_0$  and includes a block  $B_0$  of length  $B_0$ . Let the blocks of  $B_1$  be

$$B_1 = \{B_1, \dots, B_{b-1}\},\$$

and let the length of  $B_i$  be  $k_i$  (1  $\leq$  i  $\leq$  b-1).

The following summations are from 1 to b-1. Clearly,

$$\Sigma 1 = b - 1,$$

and  $\sum k_{i}(k_{i}-1) = (v-k)(v-k-1)$ .

Also, since every point of  $X\setminus B_0$  occurs (in B) on a block with every point of  $B_0$ , we have

$$\sum k_i \ge k(v-k)$$
.

Actually, it is easy to deduce from Theorem 1 of [3] that  $\Sigma k_i = k(v-k) + \Sigma' k_i$ , where  $\Sigma' k_i$  denotes summation over those blocks of  $B_1$  which are also blocks of  $B_1$  (that is, which are disjoint to  $B_0$ ).

Now we calculate

(1) 
$$\Sigma(k_i-t)^2 \le (v-k)(v-k-1) - (2t-1)k(v-k) + t^2(b-1)$$
  
=  $(v-k)(v-1-2tk) + t^2(b-1)$ .

Since  $B_1$  was constructed from B, we have k subsets  $P_j$  of disjoint blocks in  $B_1$  ( $1 \le j \le k$ ) such that each point of  $X \setminus B_0$  occurs in precisely one block of each  $P_j$ . ( $P_j$  is made up of those blocks of  $B_1$  which derive from the blocks of  $B_1$  that pass through point j on  $B_0$ ). We thus have

$$\sum_{B_{i} \in P_{i}} k_{i} = v - k.$$

Now

$$v - k = kt - (k-1)$$
  
=  $kt - (ut+w)$ .

Hence the remainder, when v - k is divided by t, is equal to w, and so

(2) 
$$\sum_{B_{\mathbf{j}} \in P_{\mathbf{j}}} (k_{\mathbf{j}} - t)^2 \ge w$$
.

Combination of equations (1) and (2) produces

$$kw \le (v-k)(v-1-2tk) + t^2(b-1);$$

this simplifies to

$$b \ge 1 + \{kw + (v-k)(2tk-v+1)\}/t^2$$
.

$$b \ge 1 + \left| \frac{k^2(v-k)}{v-1} + \frac{wk^3}{(v-1)^2} \right|.$$

This is our desired result.

Corollary 2.1. 
$$g^{(2s)}(4s+1) \ge 2s^2 + s + 1 + \lceil s/2 \rceil$$
.

*Proof.* We set  $\mathbf{v} = 4\mathbf{s} + 1$ ,  $\mathbf{k} = 2\mathbf{s}$ ; then  $\mathbf{t} = 2$ ,  $\mathbf{w} = 1$ , and the result follows.

3. Construction of the Coverings.

First, we note that  $g^{(2)}(5) = 10$ , since all blocks must have length

2. Henceforth, we assume that s > 1, and construct coverings to show that

$$g^{(2s)}(4s+1) = 2s^2 + s + 1 + \lceil s/2 \rceil$$
.

There are two cases, depending on the parity of s, although basically both cases are identical.

Case 1 (s even). We have 2s points on  $B_0$  and 2s+1 other points. We need to take the complete graph  $K_{2s+1}$  on these latter points and obtain a complete factorization of it into 2s factors (one for each point of  $B_0$ ). The normal factorization of  $K_{2s+1}$  produces 2s+1 factors each of which is made up of a singleton and various pairs (the easiest way to proceed is as in [1]; put the 2s+1 points on a circle and, with each point i, use the set of chords perpendicular to 0i, 0 being the centre of the circle).

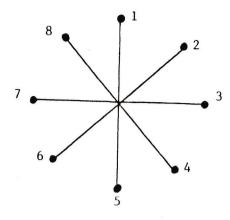
Here, we allow ourselves singletons, pairs, and triangles. Let the 2s+1 points be denoted by  $0, 1, 2, \ldots, 2s$ , and let the 2s points  $1, 2, \ldots, 2s$  be equally spaced around a circle. Let P(i, j) denote the line (i,j) and all chords parallel to (i,j). Let Q(i,j) denote two singletons i and j situated at opposite ends of a diameter, together with all chords perpendicular to the diameter (i,j).

We define 2s factors, or classes of blocks, on the 2s+1 points  $0, 1, \ldots, 2s$ .

First take the s classes of blocks P(i,i+1), where  $1 \le i \le s$ ; take also the s classes Q(i,i+s) where  $1 \le i \le s$ . Clearly, every edge from  $\{1,2,\ldots,2s\}$  occurs precisely once in these classes. Now, extend these 2s classes to be classes on  $\{1,2,\ldots,2s\}$  by the following algorithm:

- (1) if i is odd, change the pair (i, i+1) of P(i, i+1)
  to the triangle (0, i, i+1);
- (2) if i is even, add the singleton 0 to the set P(i, i+1);
- (3) adjoin 0 to the singleton i+s of Q(i, i+s) for  $1 \le i \le s$ .

Denote the sets, with 0 adjoined, by P\*(i, i+1), Q\*(i, i+s). We illustrate the procedure for 2s = 8, 2s + 1 = 9, in



| P*(1,2) | P*(2,3) | P*(3,4) | P*(4,5) |
|---------|---------|---------|---------|
| 012     | 0       | 034     | 0       |
| 38      | 23      | 25      | 45      |
| 47      | 14      | 16      | 36      |
| 57      | 58      | 78      | 27      |
|         | 67      |         | 18      |
|         |         |         |         |
| Q*(1,5) | Q*(2,6) | Q*(3,7) | Q*(4,8) |
| 1       | 2       | 3       | 4       |
| 50      | 60      | 70      | 80      |
| 28      | 13      | 24      | 17      |
| 37      | 48      | 15      | 26      |
| 46      | 57      | 68      | 35      |

Clearly, we can now show that  $g^{(8)}(8+9) = 39$  by taking a block  $A_1 A_2 ... A_8$  and combining each  $A_i$  with one of the 8 resolutions of  $\{0,1,\ldots,8\}$  just displayed.

The method of the illustration works in general. We use a long block  $^{A}1^{A}2\cdots ^{A}2^{S}$  and associate each  $^{A}1^{S}$  with one of the 2s classes P\*(i,i+1) and Q\*(i,i+s). The covering thus formed contains the following blocks:

- (1) one block of length 2s;
- (2) s/2 blocks of length 4 formed from the s/2 triangles of P\*(i,i+1), i odd;
- (3) 3s/2 blocks of length 2;
- (4)  $2s^2-s/2$  blocks of length 3.

The total number of blocks is  $2s^2 + 3s/2 + 1$ , and this can be written as

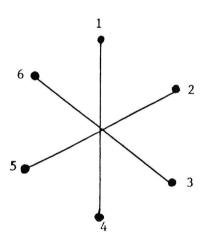
$$2s^2 + s + 1 + \lceil s/2 \rceil$$
.

Case 2 (s odd). We use a procedure similar to that in Case 1. We create s classes P(i, i+1) for  $1 \le i \le s$  and s classes Q(i,i+s) for  $1 \le i \le s$ . The adjunction of the extra point 0 proceeds as follows. P\*(i,i+1) is formed by using the triangle (0,i,i+1) when i is odd; P\*(i,i+1) contains a singleton 0 when i is even. As before, Q\*(i,i+s) is formed by replacing the singleton i+s by the pair (0,i+s), but there is one variation. We do this only for  $i \ge 2$ .

The set Q(1,1+s) is extended to Q\*(1,1+s) by adjoining a singleton 0; this leaves 3 singletons in Q\*(1,1+s).

We illustrate the procedure for 2s=6, 2s+1=7, in

Example 3.2.



| P*(1,2) | P*(2,3) | P*(3,4) |
|---------|---------|---------|
| 012     | 0       | 034     |
| 36      | 23      | 35      |
| 45      | 14      | 16      |
|         | 56      |         |
|         |         |         |
| Q*(1,4) | Q*(2,5) | Q*(3,6) |
| 0       | 2       | 3       |
| 1       | 50      | 60      |
| 4       | 13      | 15      |
| 26      | 46      | 24      |
| 35      |         |         |

We thus have a construction showing that  $g^{(6)}(6+7) = 24$  by taking a block  $A_1A_2...A_6$  and combining each  $A_i$  with one of the 6 resolutions of  $\{0,1,...,6\}$  just displayed.

The method of the illustration works in general. We use a long block  $A_1A_2...A_{2s}$  and associate each  $A_i$  with one of the 2s classes P\*(i,i+1) and Q\*(i,i+s). The covering thus formed contains the following blocks:

- (1) one block of length 2s;
- (2) (s+1)/2 blocks of length 4;
- (3) 3(s+1)/2 blocks of length 2;
- (4)  $2s^2 (s+3)/2$  blocks of length 3.

The total number of blocks is  $2s^2 + (3s+1)/2 + 1$ , and this can be written as

$$2s^2 + s + 1 + \lceil s/2 \rceil$$
.

## 4. Conclusion.

In Section 2, we showed (Corollary 2.1) that

$$g^{(2s)}(4s+1) \ge 2s^2 + s + 1 + \lceil s/2 \rceil$$
.

In Section 3, we gave a construction which displayed a covering that achieved this lower bound. Hence, we may state our results as

THEOREM 4.1. The perfect covering number  $g^{(2s)}(4s+1)$  is equal to  $2s^2 + s + 1 + \lceil s/2 \rceil$ , for s > 1.

## REFERENCES

- 1 R. G. Stanton, J. L. Allston, and D. D. Cowan, Pair-coverings with Restricted Largest Block Length, to appear, Ars Combinatoria 11(1981).
- <sup>2</sup> R. G. Stanton and J. G. Kalbfleisch, The  $\lambda-\mu$  Problem:  $\lambda=1$  and  $\mu=3$ , Proc. 2nd Chapel Hill Conf. on Combinatorics, Univ. of North Carolina (1972), 451-462.
- R. G. Stanton, P. D. Eades, G. H. J. van Rees, and D. D. Cowan, Computation of Some Exact g-Coverings, Utilitas Math. 18(1980), 269-282.

Department of Combinatorics and Optimization, University of Waterloo, Waterloo, Ontario, Canada N2L 3G1

Department of Computer Science University of Manitoba, Winnipeg, Manitoba, Canada R3T 2N2

Department of Computer Science University of Manitoba, Winnipeg, Manitoba, Canada R3T 2N2