

## Context-free languages

### 1 Closure properties

In Theorem 7.24 of the textbook, several closure properties for the class of context-free languages are proved using substitution and Theorem 7.23. Here we give the constructions explicitly, assuming that for two context-free languages  $L_1$  and  $L_2$  we have associated grammars  $G_1 = (V_1, T_1, P_1, S_1)$  and  $G_2 = (V_2, T_2, P_2, S_2)$ . In what follows we need to ensure that  $V_1 \cap V_2 = \emptyset$ , which can be accomplished by renaming of variables.

To show that the class of context-free languages is closed under union, we show how we can form from  $G_1$  and  $G_2$  a grammar  $G = (V, T, P, S)$  such that  $L(G) = L_1 \cup L_2$ . We set  $V = V_1 \cup V_2 \cup \{S\}$ ,  $T = T_1 \cup T_2$ , and  $P = P_1 \cup P_2 \cup \{S \rightarrow S_1\} \cup \{S \rightarrow S_2\}$ .

The proof for closure under concatenation is similar, where  $L(G) = L_1L_2$  and  $G$  is defined by  $V = V_1 \cup V_2 \cup \{S\}$ ,  $T = T_1 \cup T_2$ , and  $P = P_1 \cup P_2 \cup \{S \rightarrow S_1S_2\}$ .

For Kleene star, we define  $G$  so that  $L(G) = L_1^*$  by setting  $V = V_1 \cup \{S\}$ ,  $T = T_1$ , and  $P = P_1 \cup \{S \rightarrow S_1S\} \cup \{S \rightarrow \epsilon\}$ .

### 2 Regular implies CFL

We can show that if  $L$  is regular, then  $L$  is CFL either by constructing a PDA that mimics an automaton  $D$  such that  $L(D) = L$  (essentially ignoring the stack) or by constructing a context-free grammar  $G$  such that  $L(G) = L$  using a regular expression  $\alpha$  such that  $L(\alpha) = L$ .

To construct the grammar, we can use induction on the number of operations in  $\alpha$ . If  $\alpha = \emptyset$ , we create a grammar without any rules. For  $\alpha = a \in \Sigma$ , we construct a grammar with the rule  $S \rightarrow a$ , and for  $\alpha = \epsilon$  with the rule  $S \rightarrow \epsilon$ .

We use as our induction hypothesis the claim that if  $\beta$  has fewer than  $k$  operations, there exists grammar  $G_\beta$  such that  $L(G_\beta) = L(\beta)$ . We now consider  $\alpha$  with  $k$  operations, and in particular the last operation used to construct  $\alpha$ . We can then decompose  $\alpha$  as  $\alpha = \alpha_1 + \alpha_2$ , or  $\alpha = \alpha_1\alpha_2$ , or  $\alpha = \alpha_1^*$ . Since each of  $\alpha_1$  and  $\alpha_2$  (if it exists) has fewer than  $k$  operations, we can use the induction hypothesis to form grammars  $G_1$  and  $G_2$ ,  $L(G_1) = L(\alpha_1)$  and  $L(G_2) = L(\alpha_2)$ . We can then use the closure property constructions from the previous section to complete  $G$ .

### 3 Decision problems for CFL's

Since we are not concerned with the details of the time complexity of the algorithms for these problems, we can get by with a simpler presentation than that given in the textbook.

To determine if a string  $w$  is in a CFL  $L$ , we first observe that we can find a grammar  $G$  in Chomsky Normal Form such that  $L(G) = L - \{\epsilon\}$ . If  $w = \epsilon$ , we can check membership in  $L$  during the conversion algorithm. Otherwise, we know that if there is a derivation of  $w$  in  $G$ , the length of the derivation will be  $2|w| - 1$ . Since the number of rules is finite, the number of

derivations of length  $2|w| - 1$  is finite, and hence membership can be tested by trying them all (if  $w$  is found the answer is “yes” and if  $w$  is not found the answer is “no”).

To determine if a CFL  $L$  is empty, we can either test for reachability (see the text) or use the pumping lemma for context-free languages, in a manner similar to the algorithm for the emptiness problem for regular languages. We can check for membership of  $\epsilon$  in the conversion to a grammar  $G$  in CNF, returning “no” if  $\epsilon \in L$ . Otherwise, for  $n = 2^{p+1}$ , where  $p + 1$  is the number of variables in  $G$ , we check to see if there is any string of length at most  $n$  in the language using the algorithm found in the previous paragraph. Since the total number of strings to check is finite, the algorithm executes in a finite amount of time.

To determine if a CFL  $L$  is finite, again we can use the pumping lemma in the manner used to check finiteness of regular languages. In this case we determine if there is any string  $w$  where  $n \leq |w| < 2n$  is in the language.