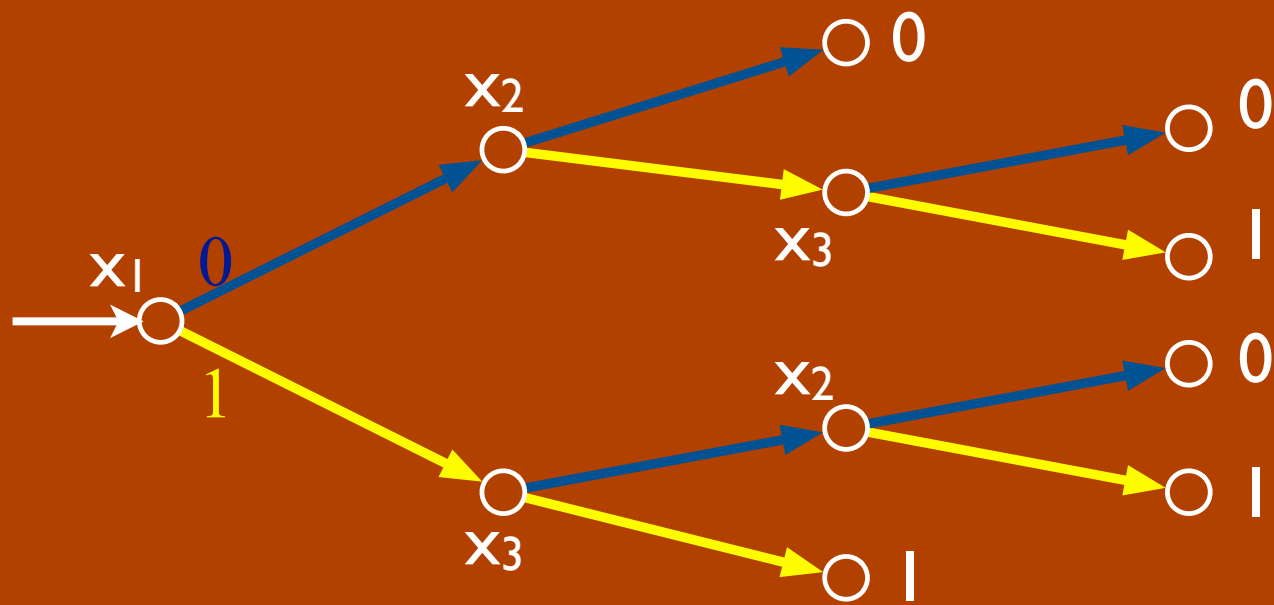


# Quantum query complexity

Ben Reichardt

IQC, University of Waterloo

$$f : \mathcal{D} \rightarrow E$$
$$\mathcal{D} \subseteq \{0, 1\}^n$$



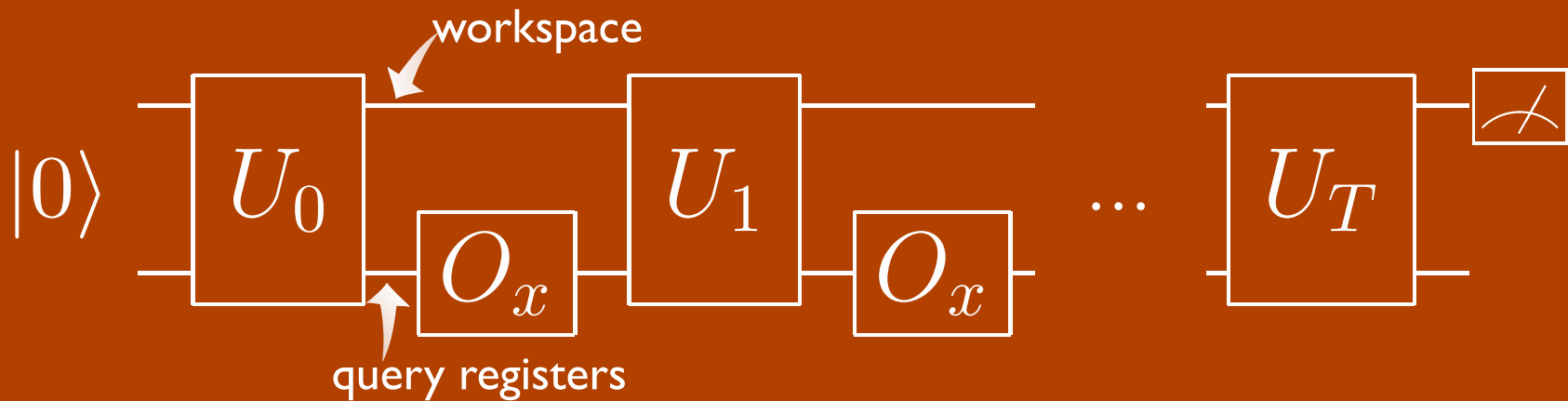
$x = 110$

- Models:**
- **D**eterministic query/decision-tree complexity
  - **R**andomized query complexity
    - bounded-error/Monte Carlo ( $R_2$ ), Zero-error/Las Vegas ( $R_0$ ), one-sided error ( $R_1$ )
  - **C**ertificate complexity
    - A.K.A. Nondeterministic query complexity
  - **Q**uantum query complexity

For **total** functions (i.e., domain  $D=\{0,1\}^n$ ), all are equivalent up to polynomials, e.g.,

$$D(f) \leq \min\{C^2, R_0^2, R_2^3, R_1 R_2, Q_2^6, Q_E, Q_1 Q_2^2\}$$

see [BBCMW 9802049]  
also [AA 0911.0996]



$$O_x |j\rangle = (-1)^{x_j} |j\rangle \quad (\text{with } x_0 = 0)$$

A. Query complexity

B. Adversary lower bounds

Break

C. Spectra of reflections

D. Adversary upper bound

$$Q(f) = \Theta(\text{Adv}^{\pm}(f))$$

# Examples

**OR** :  $\{0, 1\}^n \rightarrow \{0, 1\}$

$$\text{OR}(x) = \begin{cases} 1 & \text{if } |x| := \sum_{j=1}^n x_j \geq 1 \\ 0 & \text{otherwise} \end{cases}$$



OR

$\sqrt{n}$

[G 9605043, BBBV 9701001]

$$A: \{0, 1\}^* \rightarrow \{0, 1\}^*$$

uniformly random length-preserving function

$$L_A = \text{Range}(A)$$

- $L_A \in \text{NPA}$
- With probability one,  $L_A \notin \text{BQTime}(o(\sqrt{2^n}))^A$

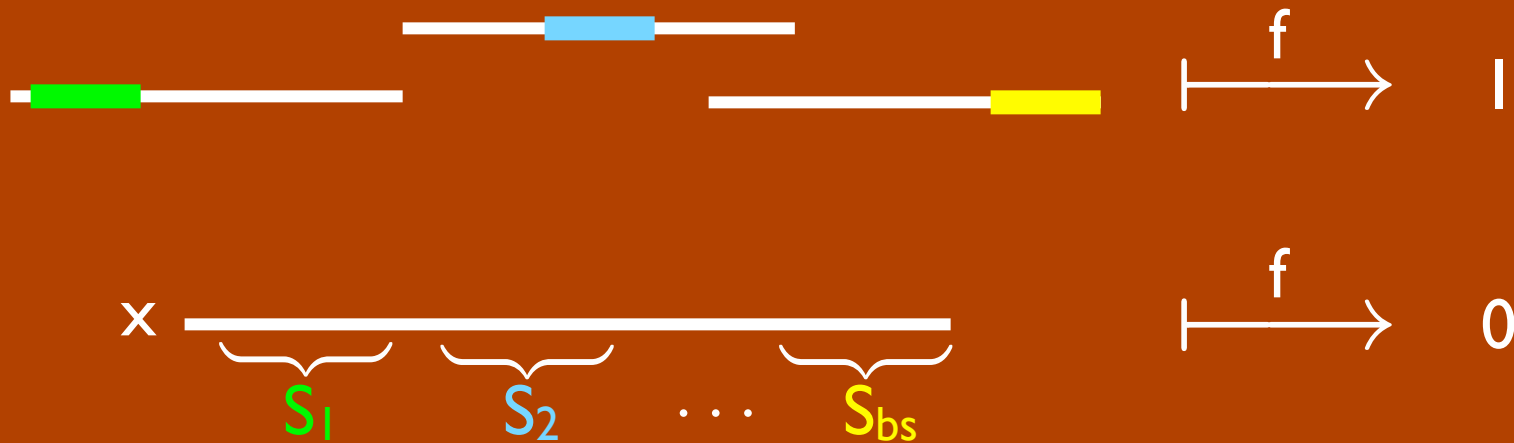
OR

$\sqrt{n}$

[G 9605043, BBBV 9701001]

Theorem: For  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ ,  $D(f) = O(Q(f)^6)$

Proof: **Block sensitivity** of  $x =$  # of disjoint sets each of which can be flipped to flip  $f$



Just like  $OR_{bs}$ !  $\Rightarrow \sqrt{bs} \leq Q(f|_{\{x \text{ and its neighbors}\}}) \leq Q(f)$

Rest of proof is classical:  $D(f) \leq bs(f)C(f)$ ,  $C(f) \leq bs(f)^2$

OR  
Hidden subgroup

$\sqrt{n}$   
 $\log |G|$

[G 9605043, BBBV 9701001]

[EHK 9901034, KNP 0501060]

Input:  $x =$    $\in \Sigma^G$

$$x_g = x_h \Leftrightarrow gh^{-1} \in H$$

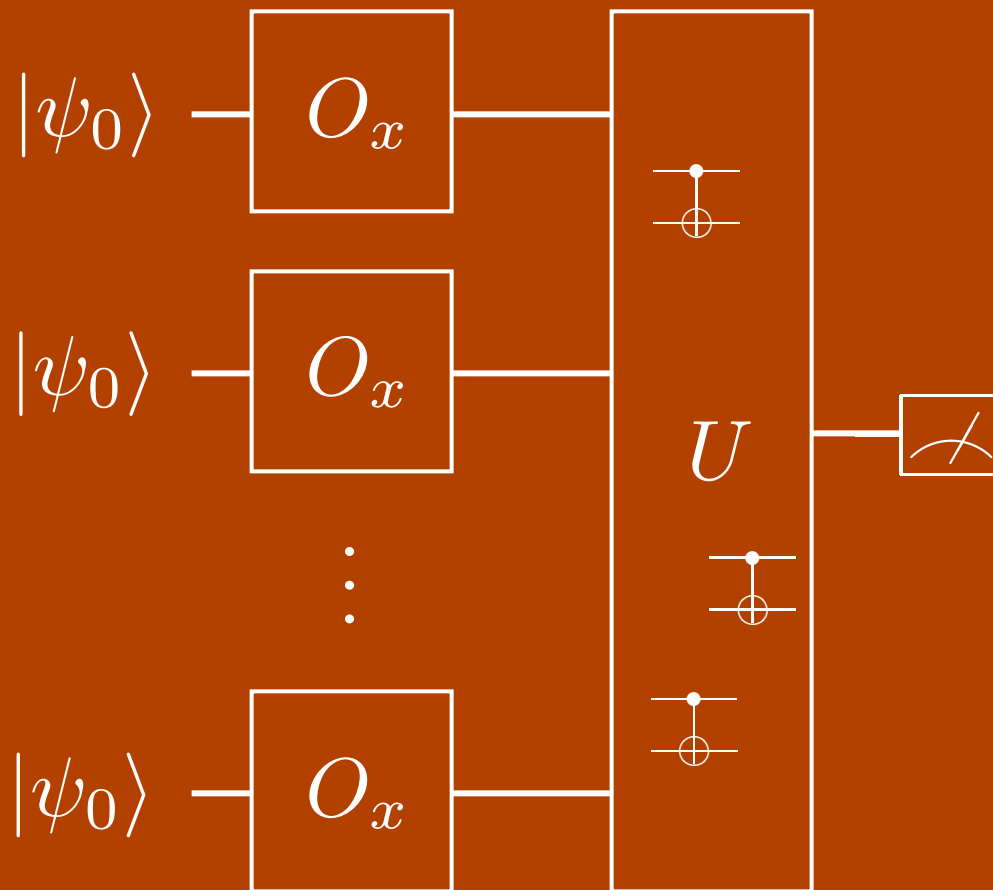
Output:  $H$

OR  
Hidden subgroup

$$\sqrt{n}$$
$$\log |G|$$

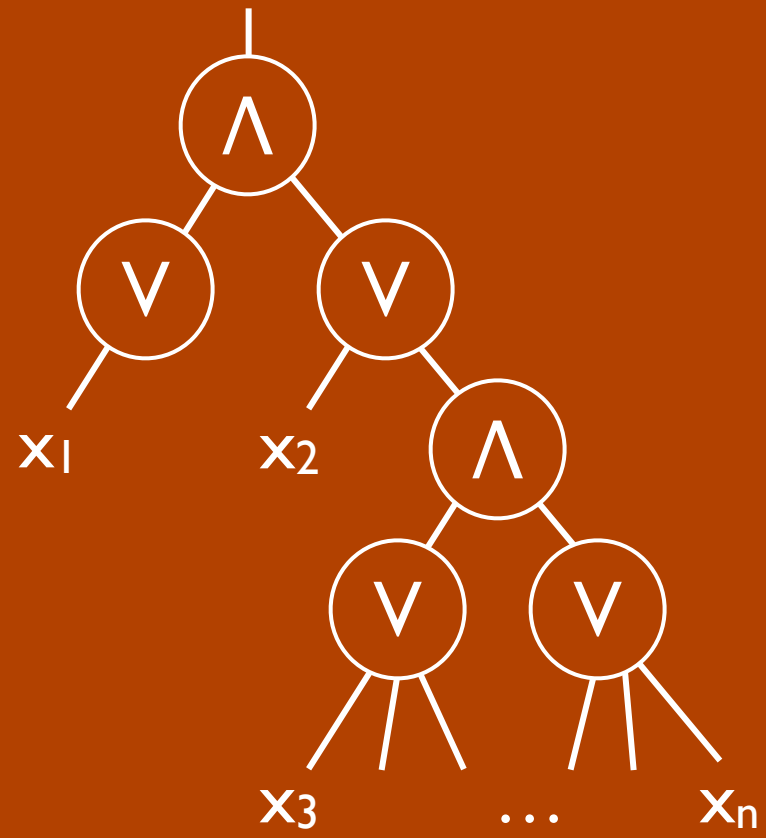
[G 9605043, BBBV 9701001]

[EHK 9901034, KNP 0501060]



Time complexity  $>$  Query complexity (can be  $\gg!$ )

OR	$\sqrt{n}$	[G 9605043, BBBV 9701001]
Hidden subgroup	$\log  G $	[EHK 9901034, KNP 0501060]
Parity	$n/2$	[D 9805006]
Symmetric functions	$\sqrt{(n(n-\Gamma))}$	[BBCMW 9802049]
Most functions	$\Theta(n)$	[A 9811080]
Graph connectivity	$n^{3/2}$	[DHMM 0401091]
Ordered search	$\Theta(\log n)$	[BH 0703231, CLP 0608161]



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# Element distinctness





OR	$\sqrt{n}$	[G 9605043, BBBV 9701001]
Hidden subgroup	$\log  G $	[EHK 9901034, KNP 0501060]
Parity	$n/2$	[D 9805006]
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AND-OR formula	$\sqrt{n}$	[FGG 0702144, ACRSZ 0703015, R 0907.1623]
Collision	$n^{1/3}$	[BHT 9705002, AS 04]
Element distinctness	$n^{2/3}$	[A 0311001, MSS 0310134, S 0401053]

a subset of size  $k$  of entries satisfying some property

Given  $x \in \Sigma^n$ , find  $k$  ~~equal~~ entries, if possible

Algorithm:

1. Query, and remember, a random  $r$  positions of  $x$

-  $r =$  “database size”

- Check for a good subset—probability  $\binom{n-k}{r-k} / \binom{n}{r} \approx (r/n)^k$

2. Repeat  $(n/r)^{k/2}$  times:

a. Add a -1 phase if the database includes a good subset

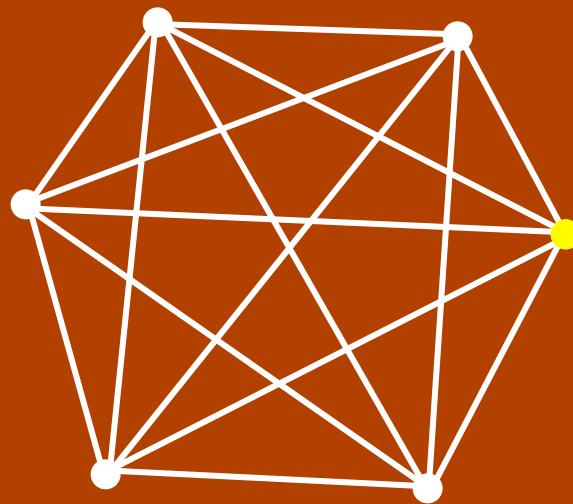
b.  $\sqrt{r}$  times: Move to an adjacent subset by a quantum walk (one query to add & one to delete an element)

3. Measure the subset and check it

$$Q \leq r + (n/r)^{k/2} \times \sqrt{r} = n^{k/(k+1)} \text{ for } r = n^{k/(k+1)}$$

## Algorithm:

1. Query, and remember, a random  $r$  positions of  $x$ 
  - $r =$  “database size”
  - Check for a good subset—probability  $\binom{n-k}{r-k} / \binom{n}{r} \approx (r/n)^k$
2. Repeat  $(n/r)^{k/2}$  times:
  - a. Add a -1 phase if the database includes a good subset
  - b.  $\sqrt{r}$  times: Move to an adjacent subset by a quantum walk (one query to add & one to delete an element)



← subsets of size  $r$

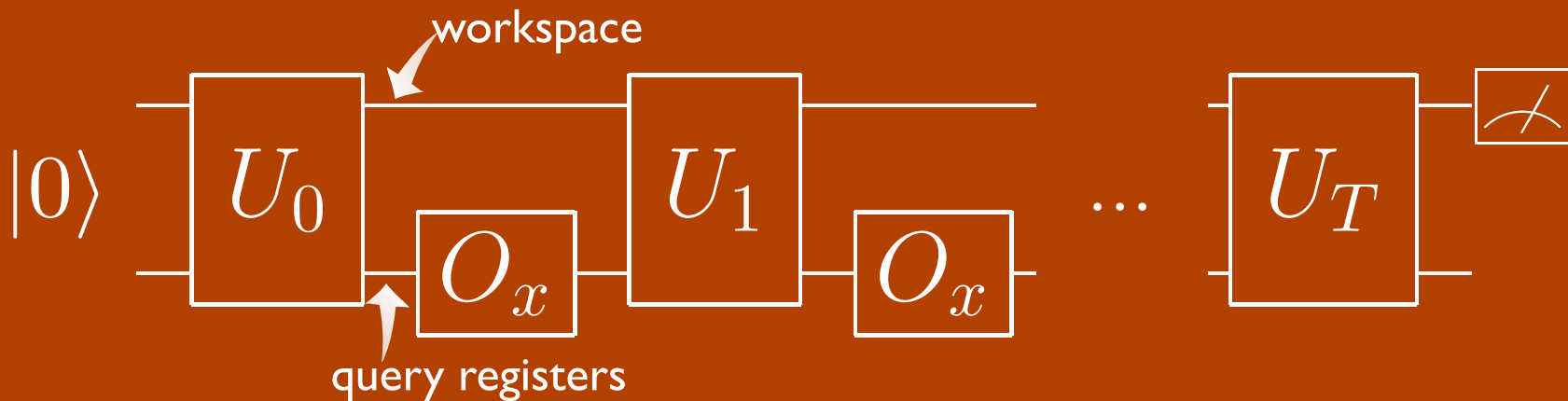
OR	$\sqrt{n}$	[G 9605043, BBBV 9701001]
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Element distinctness	$n^{2/3}$	[A 0311001, MSS 0310134, S 0401053]
Triangle finding	$(n, n^{1.3})$	[MSS 0310134, CE 0311038, MNRS 0608026]
Matrix product verification	$\leq n^{5/3}$	[BS 0409035]
Hamiltonian simulation	$(\sqrt{n}, n^{2/3})$	[BC 0910.4157]
Bdd-degree bipartite property	$n^{1/3}$	[ACL 1012.3174]
Graph planarity	$n^{3/2}$	[CK 1011.1443 <b>Tuesday</b> ]
State generation: Index erasure	$\sqrt{n}$	[AMRR 1012.2112 <b>Tuesday</b> ]

Most of these algorithms are also time efficient

# Quantum query complexity lower bounds:

- Polynomial method

$$Q_\epsilon(f) \geq \frac{1}{2} \deg_\epsilon(f)$$



$$\begin{aligned} O_x &= \sum_j (-1)^{x_j} |j\rangle\langle j| \\ &= \sum_j (1 - 2x_j) |j\rangle\langle j| \end{aligned}$$

## Quantum query complexity lower bounds:

- Polynomial method

$$Q_\epsilon(f) \geq \frac{1}{2} \deg_\epsilon(f)$$

Can be very loose:

For OR on  $\{0^n, 10^{n-1}, \dots, 0^{n-1}1\}$ ,  
 $f(x) = x_1 + x_2 + \dots + x_n$  so  $\deg(f) = 1$   
but  $Q(f) = \Theta(\sqrt{n})$

For *total* functions on  $\{0, 1\}^n$ :

$$Q_\epsilon(f) = O(\deg_\epsilon(f)^6)$$

largest known separation is

$$Q(f) = \Omega(\deg(f)^{1.3})$$

- Adversary method

$$Q_\epsilon(f) \geq \frac{1 - 2\sqrt{\epsilon(1-\epsilon)}}{2} \text{Adv}(f)$$

Also,

- General adversary bound
- Multiplicative adversary

A **certificate** for input  $x$  is a set of positions whose values fix  $f$ .

For  $f=OR$ :

Input      Minimal certificate

00110

{3}

$\Rightarrow C(OR_n)=n$

00000

{1,2,3,4,5}

Given a certificate for the input, it suffices to read those bits

$\therefore$  Certificate complexity = Nondeterministic query complexity



For  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ ,

$$f(x) = 0, f(y) = 1$$

$$\Rightarrow c_x \cap c_y \neq \emptyset$$



For  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ ,

$$f(x) = 0, f(y) = 1$$

$$\Rightarrow (c_x \cap c_y) \cap \{j : x_j \neq y_j\} \neq \emptyset$$

$$C(f) = \min_{\text{certificates } c_x} \max_x |c_x|$$

$$C(f) = \min_{\{\vec{p}_x \in \{0,1\}^n\}} \max_x \sum_j p_x[j]$$

$$\text{s.t. } \sum_{j: x_j \neq y_j} p_x[j] p_y[j] \geq 1 \quad \text{if } f(x) \neq f(y)$$

$$\text{Adv}(f) = \min_{\{\vec{p}_x \in \mathbb{R}^n\}} \max_x \sum_j p_x[j]^2$$

$$\text{s.t. } \sum_{j: x_j \neq y_j} p_x[j] p_y[j] \geq 1 \quad \text{if } f(x) \neq f(y)$$

$$\text{Adv}(f) = \min_{\{\vec{p}_x \in \mathbb{R}^n\}} \max_x \|\vec{p}_x\|^2$$

$$\text{s.t. } \sum_{j: x_j \neq y_j} p_x[j] p_y[j] \geq 1 \quad \text{if } f(x) \neq f(y)$$

For OR on  $\{0^n, 10^{n-1}, \dots, 0^{n-1}1\}$ ,

$x$	$0^n$	$10^{n-1}$	$\dots$	$0^{n-1}1$
$\vec{p}_x$	$(1, \dots, 1)$	$(1, 0, \dots, 0)$		$(0, \dots, 0, 1)$

$$\Rightarrow \text{Adv}(\text{OR}_n) = \sqrt{n}$$

$$\text{Adv}(f) \leq \sqrt{C_0(f)C_1(f)} \quad \text{for total functions}$$

$$\text{Adv}(f) \leq \sqrt{n \min\{C_0(f), C_1(f)\}} \quad \text{in general}$$

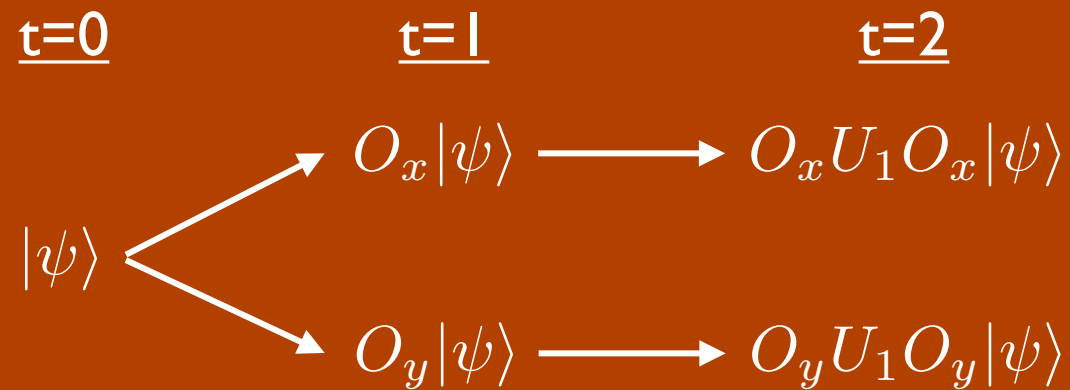
Problem 2. This is still a **minimization** problem

$$\begin{aligned} \text{Adv}(f) &= \min_{\{\vec{p}_x \in \mathbb{R}^n\}} \max_x \|\vec{p}_x\|^2 \\ \text{s.t.} \quad &\sum_{j: x_j \neq y_j} p_x[j] p_y[j] \geq 1 \quad \text{when } f(x) \neq f(y) \end{aligned}$$

Take the dual:

$$\begin{aligned} \text{Adv}(f) &= \max_{\Gamma \in \mathbb{R}^{\mathcal{D} \times \mathcal{D}}} \|\Gamma\| \\ \text{s.t.} \quad &\Gamma[x, y] \geq 0 \\ &\Gamma[x, y] = 0 \text{ if } f(x) = f(y) \\ &\forall j \left\| \Gamma \circ \sum_{x, y: x_j \neq y_j} |x\rangle\langle y| \right\| \leq 1 \end{aligned}$$

$$Q(f) = \Omega(\text{Adv}(f))$$



Idea: Track the divergence of pairs  $(x,y)$  with  $f(x) \neq f(y)$

All pairs can't diverge at once,

e.g., querying bit 1 only separates pairs with  $x_1 \neq y_1$



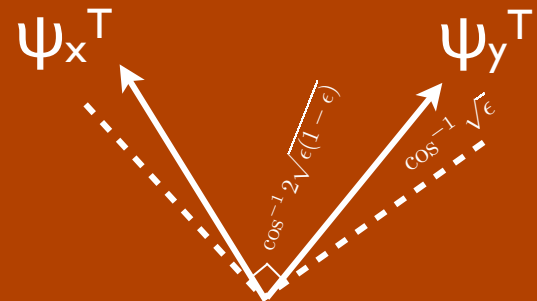
Gram matrix  $\rho^t[x,y]=\langle \psi_x^t, \psi_y^t \rangle$

Initially

$\rho^0 =$  all-ones matrix (J)

Finally

$|\rho^T[x,y]| \leq 2\sqrt{\epsilon(1-\epsilon)}$



Proof: For a  $D \times D$  matrix  $M$ , let

$$A(M) = \min_{\{\vec{p}_x \in \mathbb{R}^n\}} \max_x \|\vec{p}_x\|^2$$

$$\sum_{j: x_j \neq y_j} p_x[j] p_y[j] \geq |M[x, y]|$$

- $A(M+N) \leq A(M) + A(N)$
- $A(M) \leq A(N)$  if  $M \leq N$  entry-wise
- If  $J$ =all-ones matrix,  $F[x, y] = \delta_{f(x), f(y)}$ ,  
 $\text{Adv}(f) = A(J - F)$

$$\begin{array}{c}
 \left. \begin{array}{l} f^{-1}(0) \\ f^{-1}(1) \\ f^{-1}(2) \end{array} \right\} \left( \begin{array}{ccc}
 \overbrace{\hspace{2cm}}^{f^{-1}(0)} & \overbrace{\hspace{2cm}}^{f^{-1}(1)} & \overbrace{\hspace{2cm}}^{f^{-1}(2)} \\
 \begin{array}{ccc}
 0 & & \mathbf{1} \\
 & 0 & \\
 \mathbf{1} & & 0
 \end{array}
 \end{array} \right)
 \end{array}$$

Proof: For a  $D \times D$  matrix  $M$ , let

$$A(M) = \min_{\{\vec{p}_x \in \mathbb{R}^n\}} \max_x \|\vec{p}_x\|^2$$

$$\sum_{j: x_j \neq y_j} p_x[j] p_y[j] \geq |M[x, y]|$$

- $A(M+N) \leq A(M) + A(N)$
- $A(M) \leq A(N)$  if  $M \leq N$  entry-wise
- If  $J$ =all-ones matrix,  $F[x, y] = \delta_{f(x), f(y)}$ ,  
 $\text{Adv}(f) = A(J - F)$   
 (a distance:  $\rho^0 = J$ , “ $\rho^T$  almost lies under  $F$ ”)

$$\begin{aligned} \text{Adv}(f) &= A(J - F) = A(\rho^0 \circ (J - F)) \\ &= A\left(\left(\rho^T + \sum_{t=0}^{T-1} (\rho^t - \rho^{t+1})\right) \circ (J - F)\right) \\ &\leq A(\rho^T \circ (J - F)) + \sum_{t=0}^{T-1} A(\rho^t - \rho^{t+1}) \end{aligned}$$

Proof: For a  $D \times D$  matrix  $M$ , let

$$A(M) = \min_{\{\vec{p}_x \in \mathbb{R}^n\}} \max_x \|\vec{p}_x\|^2$$

s.t.  $\sum_{j: x_j \neq y_j} p_x[j] p_y[j] \geq |M[x, y]|$

- $A(M+N) \leq A(M) + A(N)$
- $A(M) \leq A(N)$  if  $M \leq N$  entry-wise
- If  $J$ =all-ones matrix,  $F[x, y] = \delta_{f(x), f(y)}$ ,  
 $\text{Adv}(f) = A(J - F)$

(a distance:  $\rho^0 = J$ , “ $\rho^T$  almost lies under  $F$ ”)

$$\text{Adv}(f) = A(J - F) \leq \underbrace{A(\rho^T \circ (J - F))}_{| \wedge } + \sum_{t=0}^{T-1} \underbrace{A(\rho^t - \rho^{t+1})}_{| \wedge }_2$$

$$2\sqrt{\epsilon(1 - \epsilon)} A(J - F)$$

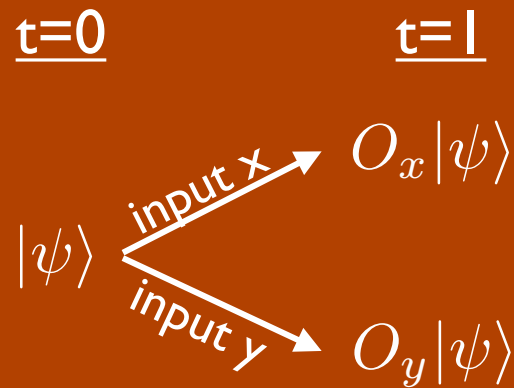
1.  $\psi_x^T$  ( $1 - \epsilon$ )-distinguishable from  $\psi_y^T \Rightarrow |\langle \psi_x^T | \psi_y^T \rangle| \leq 2\sqrt{\epsilon(1 - \epsilon)}$

2. Each step is small...

$\Rightarrow T$  is large:  $\text{Adv}(f) \leq 2\sqrt{\epsilon(1 - \epsilon)} \text{Adv}(f) + 2T$

□

Each step is small:  $A(\rho^t - \rho^{t+1}) \leq 2$



$$(\rho^0 - \rho^1)[x, y] = \langle \psi | \psi \rangle - \langle \psi | O_x^\dagger O_y | \psi \rangle = 2 \sum_{j: x_j \neq y_j} \langle \psi | j \rangle \langle j | \psi \rangle$$

$$O_x^\dagger O_y = \sum_j (-1)^{x_j + y_j} |j\rangle \langle j| = I - 2 \sum_{j: x_j \neq y_j} |j\rangle \langle j|$$

General adversary bound  
 $\text{Adv}^\pm$

$$C(f) = \min_{\{\vec{p}_x \in \{0,1\}^n\}} \max_x \|\vec{p}_x\|^2$$
$$\text{s.t. } \sum_{j: x_j \neq y_j} p_x[j] p_y[j] \geq 1 \quad \text{if } f(x) \neq f(y)$$

$$\text{Adv}(f) = \min_{\{\vec{p}_x \in \mathbb{R}^n\}} \max_x \|\vec{p}_x\|^2$$
$$\text{s.t. } \sum_{j: x_j \neq y_j} p_x[j] p_y[j] \geq 1 \quad \text{if } f(x) \neq f(y)$$

$$C(f) = \min_{\{p_{xj} \in \{0,1\}\}} \max_x \sum_j p_{xj}^2$$

$$\text{s.t. } \sum_{j:x_j=y_j} p_{xj} p_{yj} \geq 1 \quad \text{if } f(x) \neq f(y)$$

$$\text{Adv}(f) = \min_{\{p_{xj} \in \mathbb{R}\}} \max_x \sum_j p_{xj}^2$$

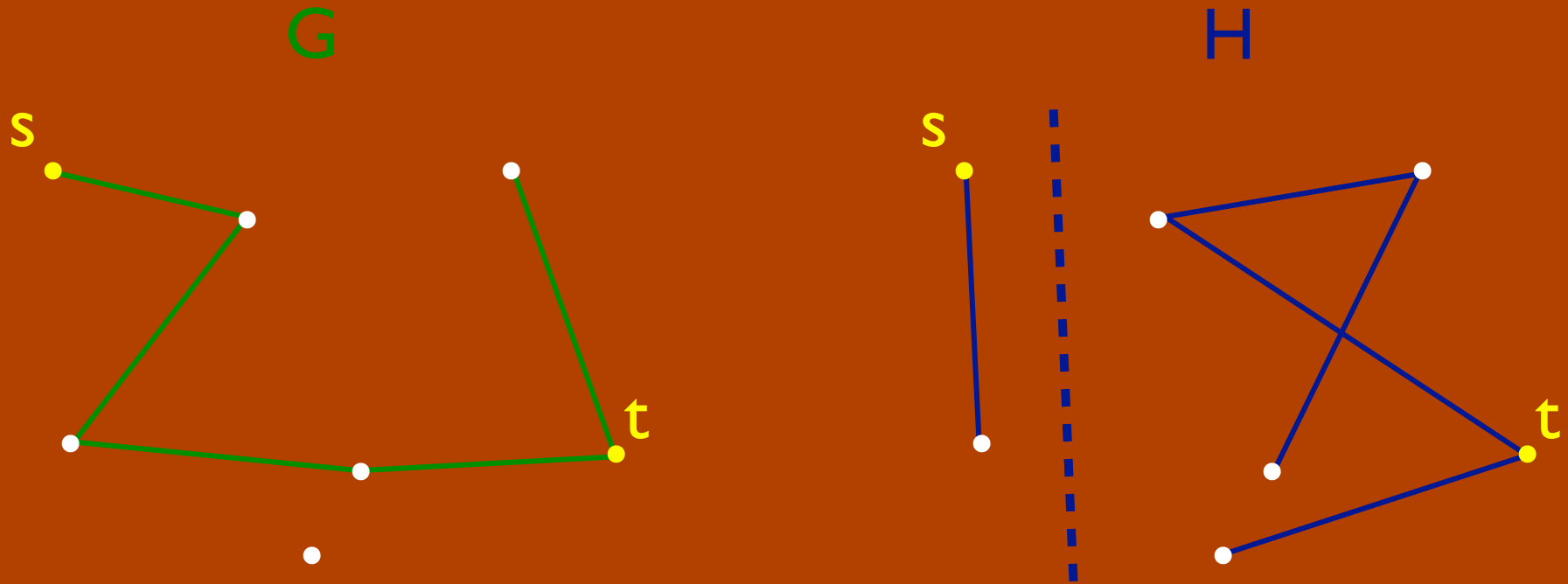
$$\text{s.t. } \sum_{j:x_j=y_j} p_{xj} p_{yj} \geq 1 \quad \text{if } f(x) \neq f(y)$$

$$\text{Adv}^\pm(f) = \min_{\{|u_{xj}\rangle \in \mathbb{R}^m\}} \max_x \sum_j \|u_{xj}\|^2$$

$$\text{s.t. } \sum_{j:x_j \neq y_j} \langle u_{xj} | u_{yj} \rangle = 1 \quad \text{if } f(x) \neq f(y)$$

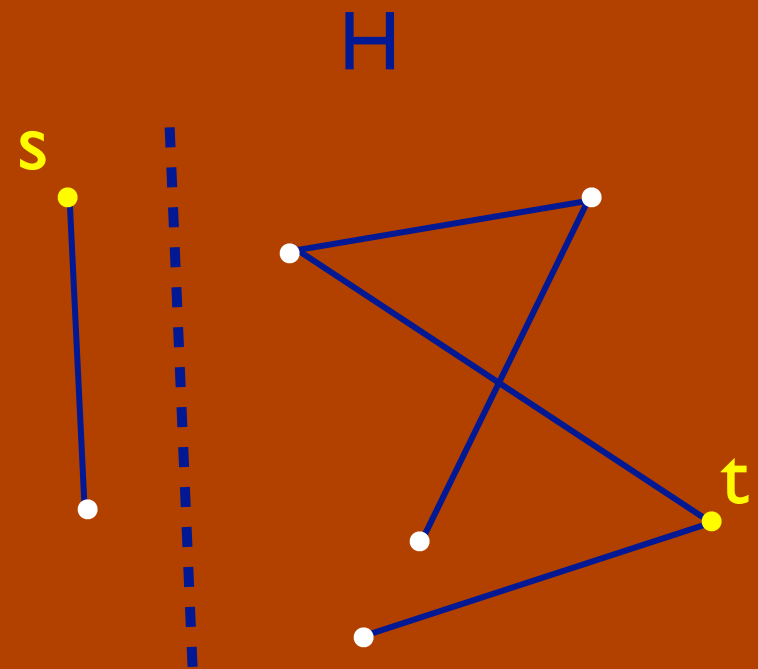
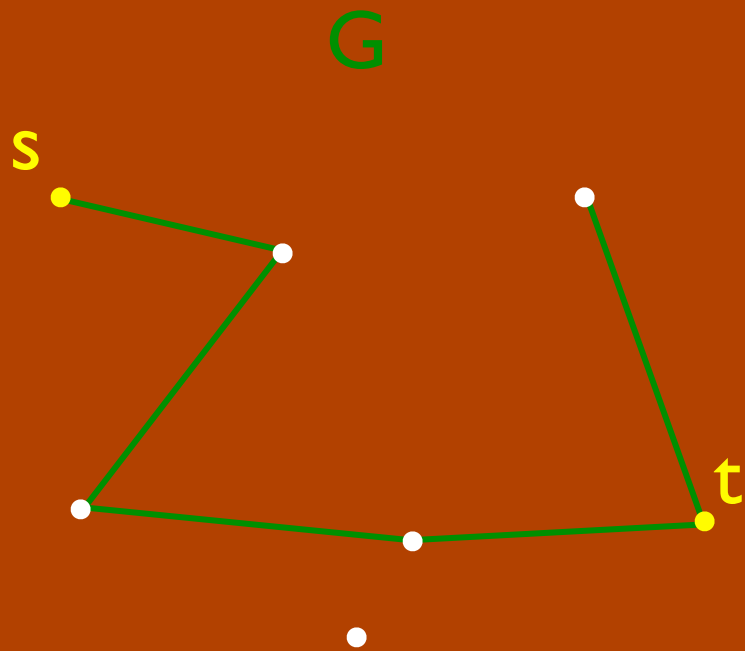


Example: s-t Connectivity



$p_{Ge} = 1$  along the path,  $p_{He} = 1$  across the cut

$$\Rightarrow \sum_{e:e \in G, e \notin H} p_{Ge} p_{He} \geq 1 \quad \Rightarrow \text{Adv}(f) \leq \sqrt{dn^2} \leq n^{3/2}$$



$$u_{Ge} = \begin{cases} 1 & \text{path crosses } e \text{ to the right} \\ -1 & \text{path crosses } e \text{ to the left} \end{cases}$$

$$u_{He} = 1 \text{ across the cut}$$

$$\Rightarrow \sum_{e:e \in G, e \notin H} \langle u_{Ge} | u_{He} \rangle = (\# \text{ right crossings}) - (\# \text{ left crossings}) = 1$$

$$\Rightarrow \text{Adv}^{\pm}(f) \leq n^{3/2}$$

## Why is $\text{Adv}^\pm$ a semi-definite program (SDP)?

$$\min_{X \succcurlyeq 0} \text{Tr}(C^T X)$$

$$\text{s.t. } \text{Tr}(A_i^T X) = a_i$$

$$\max_{\{b_i\}} \sum_i a_i b_i$$

$$\text{s.t. } C - \sum_i b_i A_i \succcurlyeq 0$$

---

$$\text{Adv}^\pm(f) = \min_{\{u_{xj} \in \mathbb{R}^m\}} \max_x \sum_j \|u_{xj}\|^2$$

$$\text{s.t. } \sum_{j: x_j \neq y_j} \langle u_{xj} | u_{yj} \rangle = 1 \quad \text{if } f(x) \neq f(y)$$

---

$$X_j[x, y] = \langle u_{xj} | u_{yj} \rangle$$

$$\text{Adv}^\pm(f) = \min_{\{X_j \succcurlyeq 0\}} \max_x \sum_j \langle x | X_j | x \rangle$$

$$\text{s.t. } \sum_{j: x_j \neq y_j} \langle x | X_j | y \rangle = 1 \quad \text{if } f(x) \neq f(y)$$

$$\text{Adv}^{\dagger}(f) = \max_{\Gamma \in \mathbb{R}^{\mathcal{D} \times \mathcal{D}}} \|\Gamma\|$$

subject to:

$$\Gamma[x, y] \geq 0$$

$$\Gamma[x, y] = 0 \text{ if } f(x) = f(y)$$

$$\forall j \left\| \Gamma \circ \sum_{x, y: x_j \neq y_j} |x\rangle\langle y| \right\| \leq 1$$

## General adversary bound:

For  $f: D \rightarrow \{0, 1\}$ :

$$\text{Adv}^{\pm}(f) = \min_{\{u_{xj} \in \mathbb{R}^m\}} \max_x \sum_j \|u_{xj}\|^2$$

s.t.  $\sum_{j: x_j \neq y_j} \langle u_{xj} | u_{yj} \rangle = 1$  if  $f(x) \neq f(y)$

For  $f: D \rightarrow \mathbf{E}$ :

$$\text{Adv}^{\pm}(f) = \min_{\{u_{xj}, v_{xj} \in \mathbb{R}^m\}} \max_x \max \left\{ \sum_j \|u_{xj}\|^2, \sum_j \|v_{xj}\|^2 \right\}$$

s.t.  $\sum_{j: x_j \neq y_j} \langle u_{xj} | v_{yj} \rangle = 1$  if  $f(x) \neq f(y)$

$$Q(f) = \Omega(\text{Adv}^{\pm}(f))$$

$$A^\pm(M) = \min_{\{\vec{u}_{xj} \in \mathbb{R}^m\}} \max_x \sum_j \|u_{xj}\|^2$$

s.t.  $\sum_{j:x_j \neq y_j} \langle u_{xj} | u_{yj} \rangle = M[x, y]$

- Same initial condition
- Same single-step factorization
- More involved final case



A. Query complexity

B. Adversary lower bounds

C. Spectra of reflections

D. Adversary upper bound

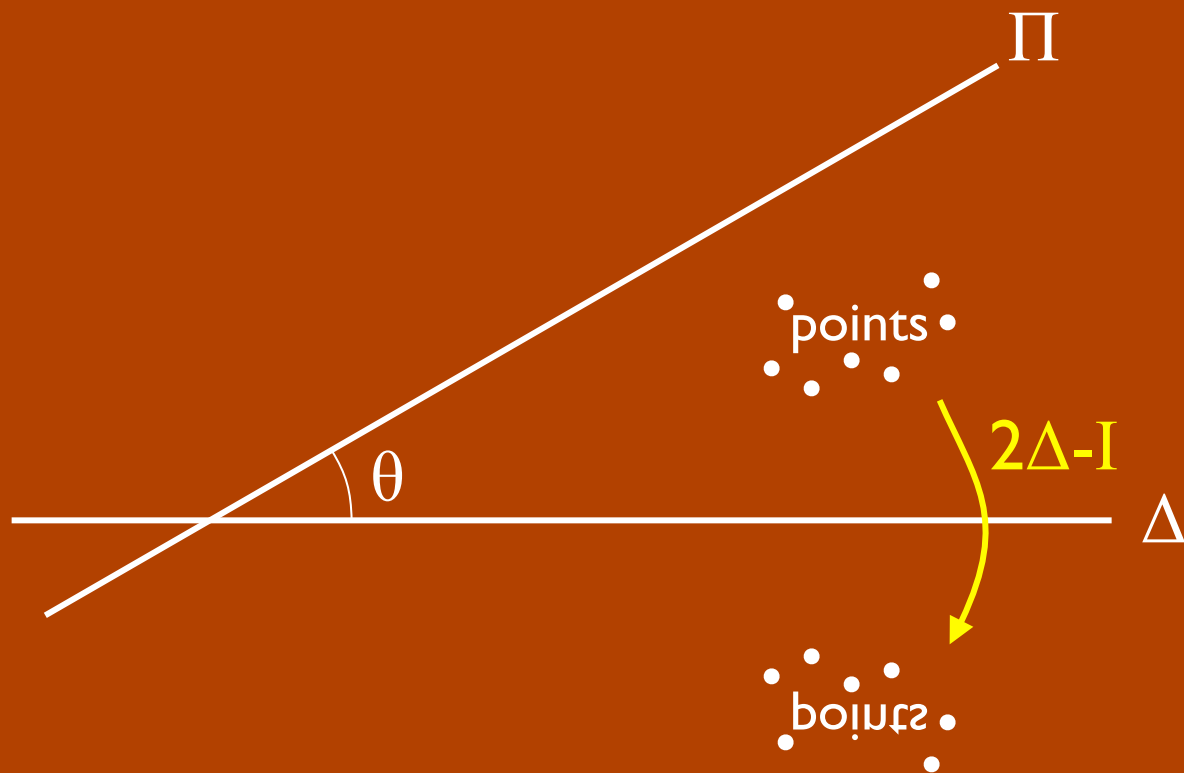
$$Q(f) = \Theta(\text{Adv}^{\pm}(f))$$

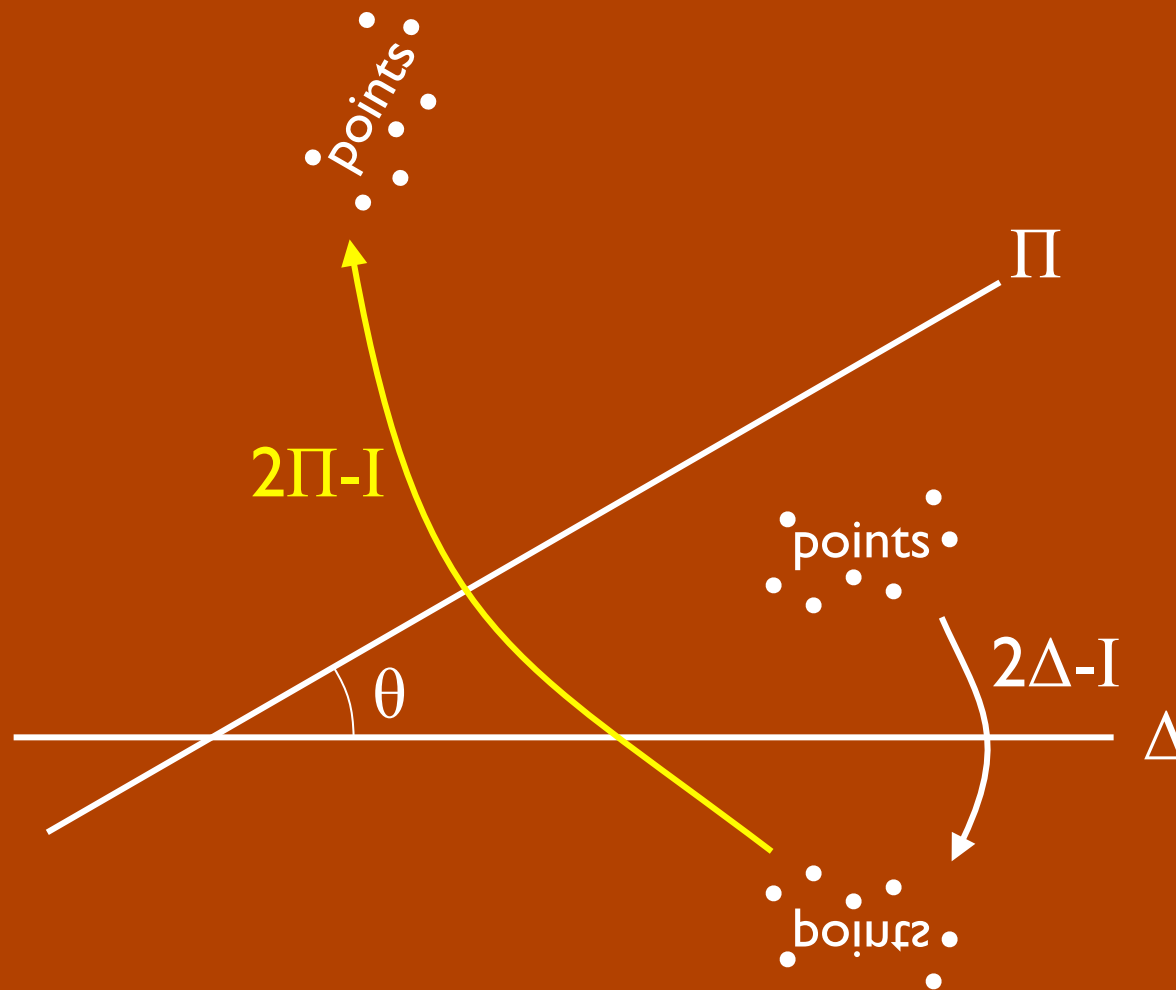
A reflection is an operator that squares to the identity.

A reflection is a unitary with eigenvalues  $\pm 1$ .

$$R = \Pi - (I - \Pi) = 2\Pi - I$$

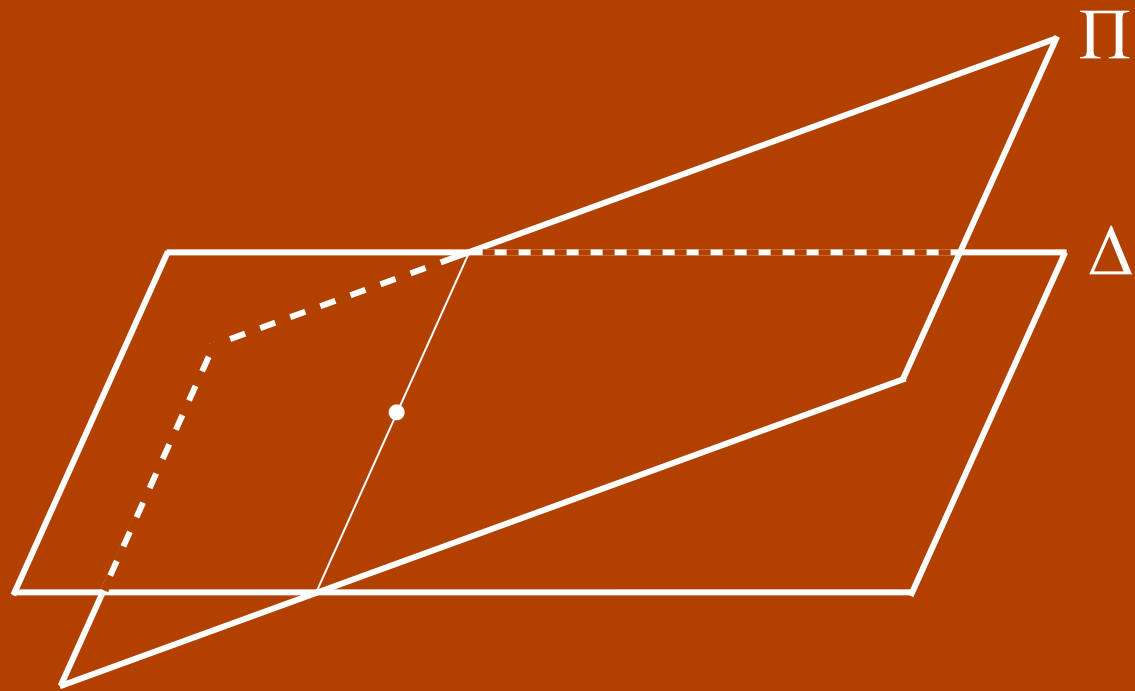
$\Pi =$  projection onto the  $+1$ -eigenvalue eigenspace of  $R$

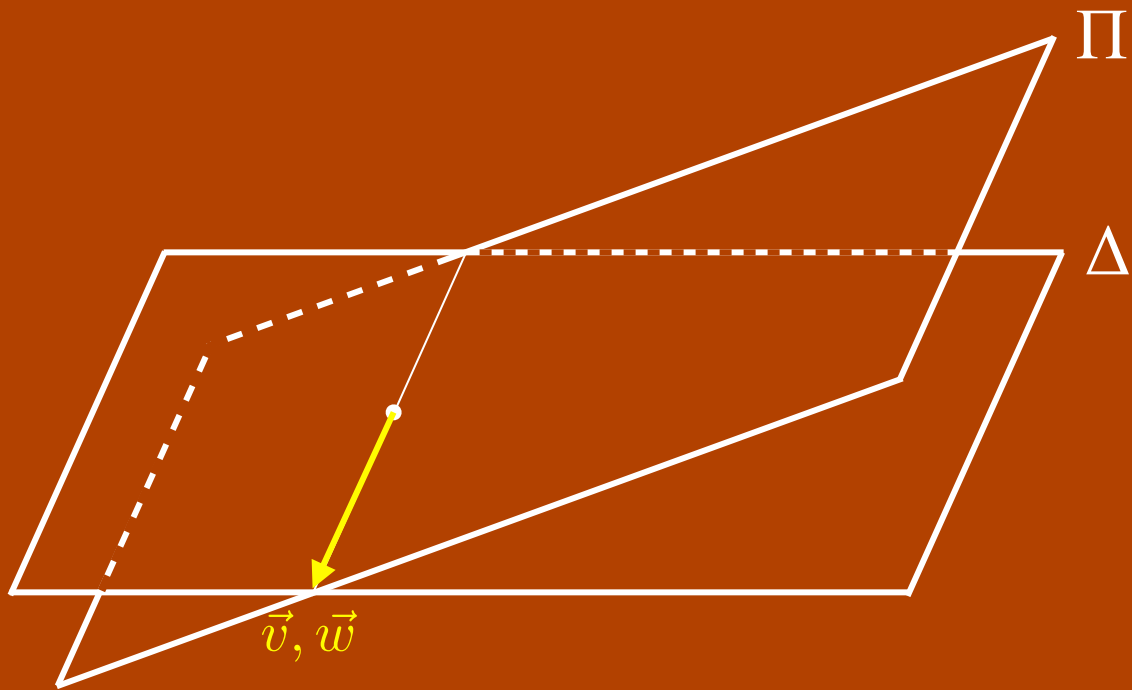




$(2\Pi - I)(2\Delta - I)$  is a rotation by angle  $2\theta$ ,  
 eigenvalues  $e^{\pm 2i\theta}$ , eigenvectors  $(1, \pm i)$

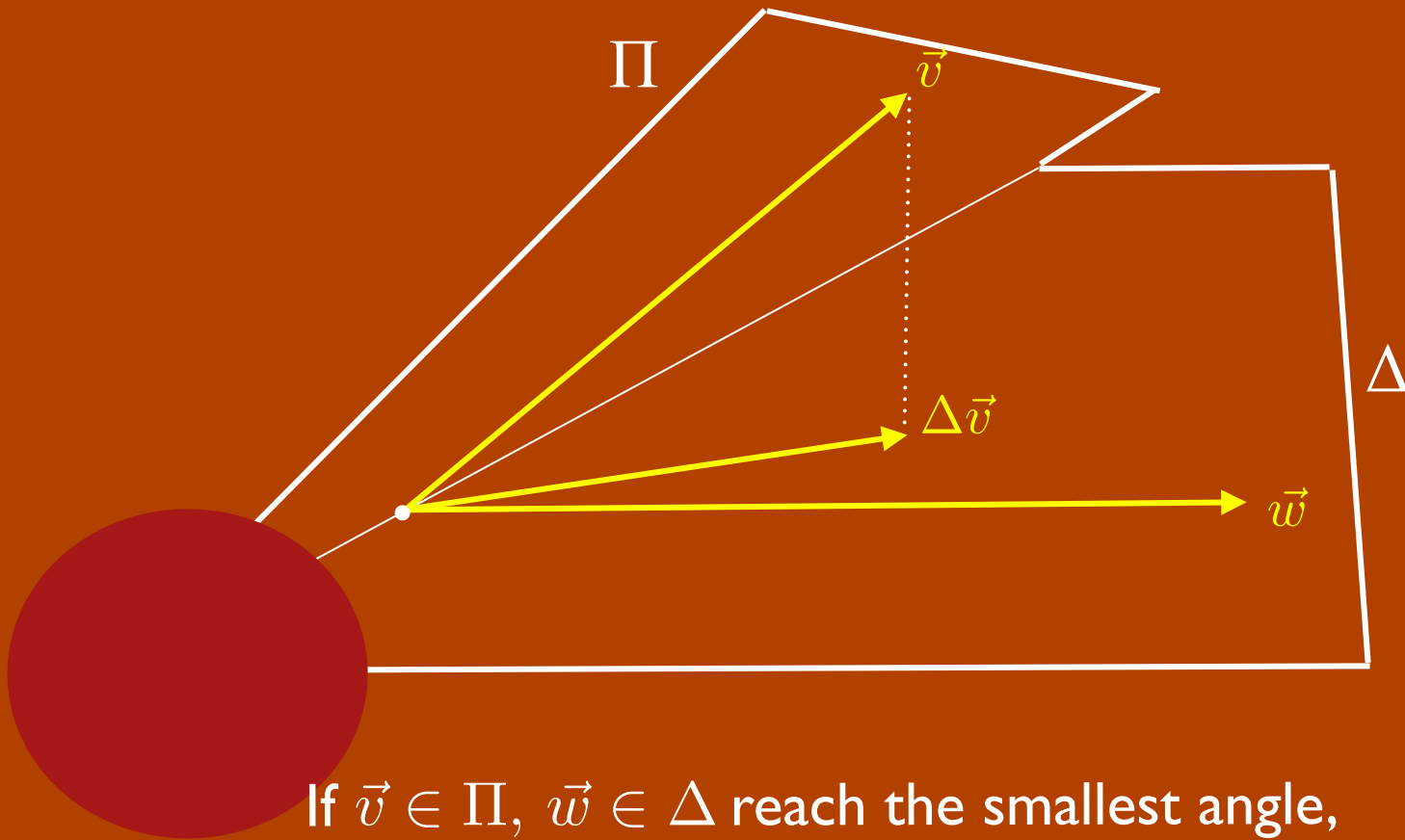
Two subspaces will not generally lie at a fixed angle





If  $\vec{v} \in \Pi$ ,  $\vec{w} \in \Delta$  reach the smallest angle,  
 then  $\Delta\vec{v} \propto \vec{w}$ ,  $\Pi\vec{w} \propto \vec{v}$

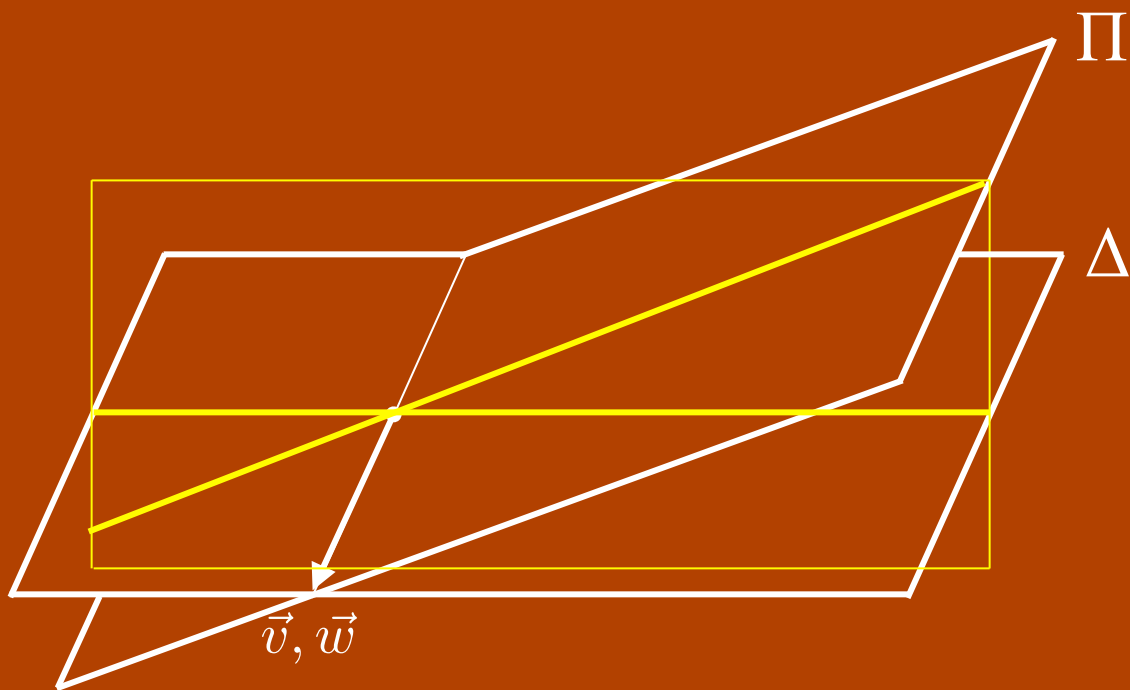




If  $\vec{v} \in \Pi$ ,  $\vec{w} \in \Delta$  reach the smallest angle,  
 then  $\Delta\vec{v} \propto \vec{w}$ ,  $\Pi\vec{w} \propto \vec{v}$

$$\Delta\vec{v} = \lambda\vec{w} + \mu\vec{w}^\perp$$

$$\Rightarrow \frac{1}{\sqrt{1 + \epsilon^2}} \langle v, w + \epsilon w^\perp \rangle = \frac{\langle v, w \rangle + \epsilon\mu}{\sqrt{1 + \epsilon^2}} > \langle v, w \rangle$$



If  $\vec{v} \in \Pi$ ,  $\vec{w} \in \Delta$  reach the smallest angle,  
 then  $\Delta\vec{v} \propto \vec{w}$ ,  $\Pi\vec{w} \propto \vec{v}$

$\therefore \text{Span}\{\vec{v}, \vec{w}\}$  is fixed by  $\Pi$  and  $\Delta$

$\therefore \text{Span}\{\vec{v}, \vec{w}\}^\perp$  is fixed by  $\Pi$  and  $\Delta$

## Jordan's Lemma (1875)

Two reflections acting on a Hilbert space decompose it into irreducible one- and two-dimensional subspaces

Any two projections can be simultaneously block-diagonalized with blocks of dimension at most two

$$U\Pi U^\dagger = \begin{pmatrix} \ddots & & 0 & 0 \\ & 1 & 0 & 0 \\ & 0 & 0 & 0 \\ & 0 & 0 & \ddots \\ & 0 & 0 & \ddots \end{pmatrix} \quad U\Delta U^\dagger = \begin{pmatrix} \ddots & & 0 & 0 \\ & \cos^2 \theta & \cos \theta \sin \theta & 0 \\ & \cos \theta \sin \theta & \sin^2 \theta & 0 \\ & 0 & 0 & \ddots \\ & 0 & 0 & \ddots \end{pmatrix}$$

Up to an isometry,

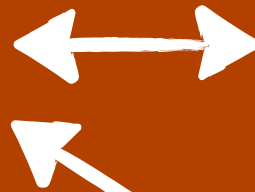
$$(2\Pi - I)(2\Delta - I) = \sum_{\beta} |\beta\rangle\langle\beta| \otimes \begin{pmatrix} \cos 2\theta_{\beta} & -\sin 2\theta_{\beta} \\ \sin 2\theta_{\beta} & \cos 2\theta_{\beta} \end{pmatrix}$$

## In-place amplification of QMA

Quantum zero knowledge

### Szegedy correspondence

Discrete-time  
quantum walks  
(unitary)



Continuous-time  
quantum walks  
(Hamiltonian)

Random walks

1. [MW cs/0506068, NWZ 0904.1549]

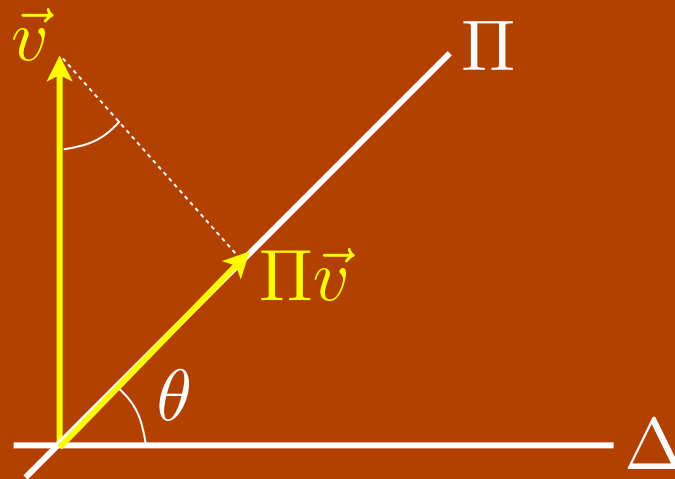
2. [W 0511020]

3. [S 0401053, MNRS 0608026, RS 0710.2630, C 0810.0312]

## Effective Spectral Gap Lemma:

- Let  $\Pi, \Delta$  be two projections
- Let  $P_\Theta$  be the projection onto eigenvectors of  $(2\Pi-1)(2\Delta-1)$  with phase less than  $2\Theta$  in magnitude
- Then for any  $\vec{v}$  with  $\Delta\vec{v} = 0$ ,

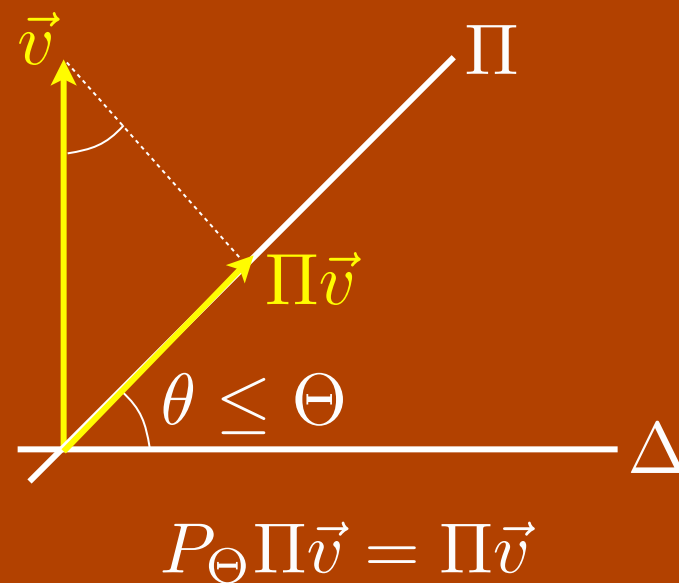
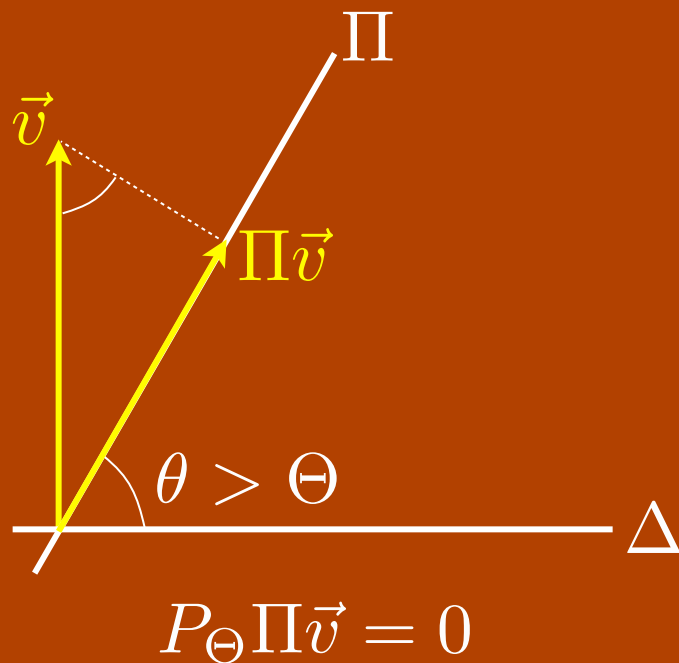
$$\|P_\Theta \Pi \vec{v}\| \leq \Theta \|\vec{v}\|$$



## Effective Spectral Gap Lemma:

- Let  $\Pi, \Delta$  be two projections
- Let  $P_\Theta$  be the projection onto eigenvectors of  $(2\Pi-1)(2\Delta-1)$  with phase less than  $2\Theta$  in magnitude
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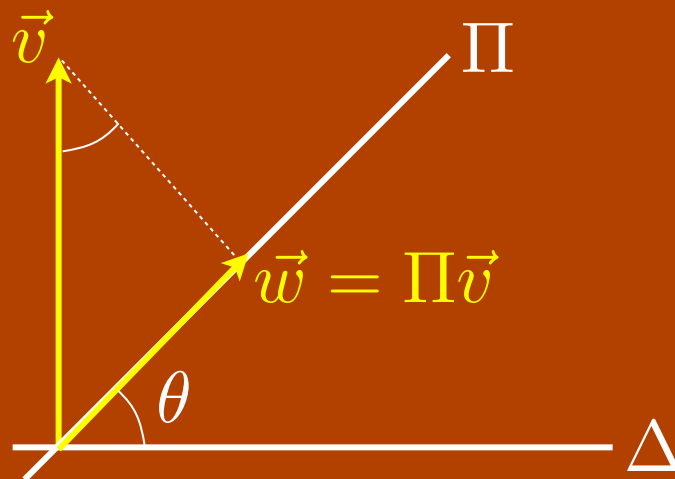


## Effective Spectral Gap Lemma:

- Let  $\Pi, \Delta$  be two projections
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- Then for any  $\vec{v}$  with  $\Delta\vec{v} = 0$ ,

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Application:



## Effective Spectral Gap Lemma:

- Let  $\Pi, \Delta$  be two projections
- Let  $P_\Theta$  be the projection onto eigenvectors of  $(2\Pi-1)(2\Delta-1)$  with phase less than  $2\Theta$  in magnitude
- Then for any  $\vec{v}$  with  $\Delta\vec{v} = 0$ ,

$$\|P_\Theta \Pi \vec{v}\| \leq \Theta \|\vec{v}\|$$

Proof: Jordan's Lemma  $\Rightarrow$  Up to a change in basis,

$$\Delta = \sum_{\beta} |\beta\rangle\langle\beta| \otimes \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

$$\Pi = \sum_{\beta} |\beta\rangle\langle\beta| \otimes \begin{pmatrix} \cos^2 \theta_{\beta} & \sin \theta_{\beta} \cos \theta_{\beta} \\ \sin \theta_{\beta} \cos \theta_{\beta} & \sin^2 \theta_{\beta} \end{pmatrix}$$

$$\Delta|v\rangle = 0 \Rightarrow |v\rangle = \sum_{\beta} d_{\beta} |\beta\rangle \otimes \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\Rightarrow P_\Theta \Pi |v\rangle = \sum_{\beta: |\theta_{\beta}| \leq \Theta} d_{\beta} |\beta\rangle \otimes \sin \theta_{\beta} \begin{pmatrix} \cos \theta_{\beta} \\ \sin \theta_{\beta} \end{pmatrix} \quad \square$$



A. Query model

B. Adversary lower bounds

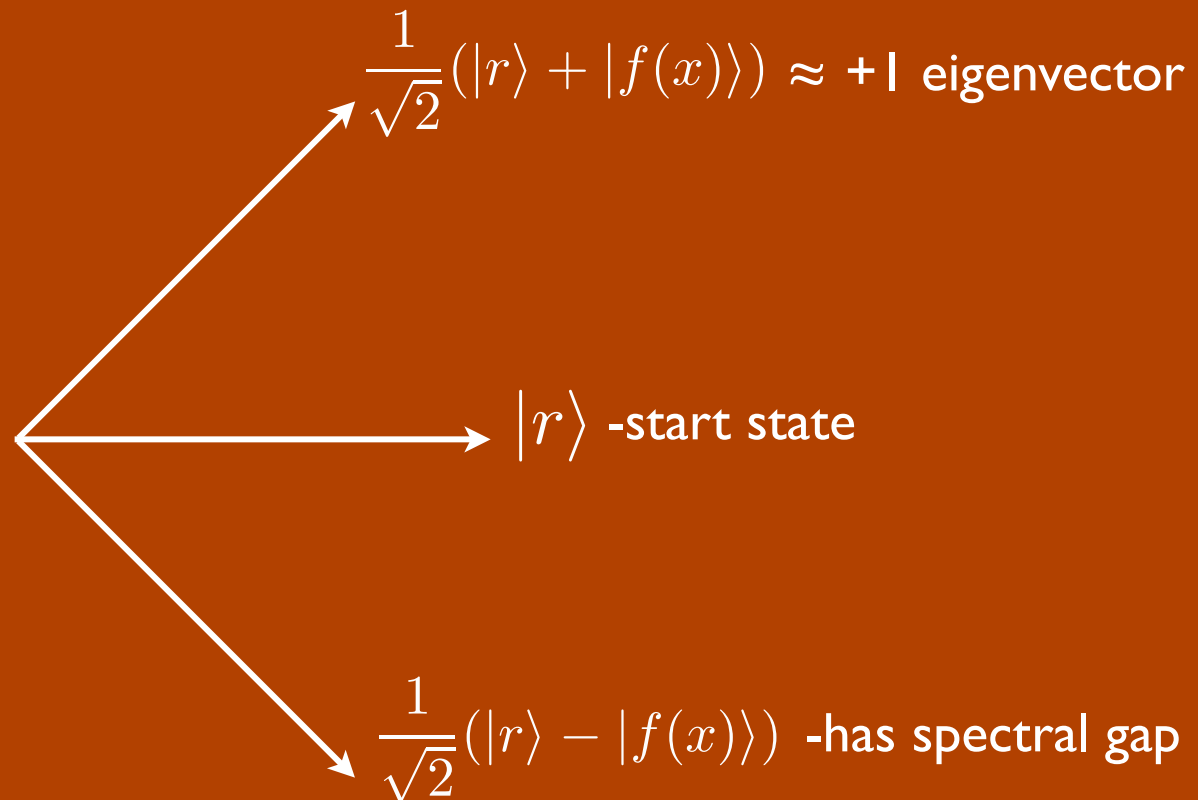
C. Spectra of reflections

**D. Adversary upper bound**

$$Q(f) = \Theta(\text{Adv}^{\pm}(f))$$

For  $f : \mathcal{D} \rightarrow E$

let  $\mathcal{H} = \mathbb{C}\{|r\rangle\} \oplus \mathbb{C}^E$ , i.e.,  $\mathbb{C}^{1+|E|}$  with basis  $|r\rangle, |e\rangle : e \in E$



**The algorithm:** Let  $f : \{0, 1\}^n \rightarrow E$

I. Begin with a vector solution to the SDP:

$$\begin{aligned} \min_{\{u_{xj}, v_{xj} \in \mathbb{C}^m\}} \quad & \max_x \max \left\{ \sum_j \|u_{xj}\|^2, \sum_j \|v_{xj}\|^2 \right\} \\ \text{s.t. } \forall x, y \quad & \sum_{j: x_j \neq y_j} \langle u_{xj} | v_{xj} \rangle = 1 - \delta_{f(x), f(y)} \end{aligned}$$

||

$$\begin{aligned} \min \quad & \max_x \max \left\{ \|\tilde{u}_x\|^2, \|\tilde{v}_x\|^2 \right\} \\ |\tilde{u}_x\rangle = \sum_j |j, x_j\rangle \otimes |u_{xj}\rangle \quad & \text{s.t. } \forall x, y \quad \langle \tilde{u}_x | \tilde{v}_y \rangle = 1 - \delta_{f(x), f(y)} \\ |\tilde{v}_y\rangle = \sum_j |j, \bar{y}_j\rangle \otimes |v_{yj}\rangle \end{aligned}$$

**The algorithm:** Let  $f : \{0, 1\}^n \rightarrow E$

1. Begin with a vector solution to the SDP:

$$W = \min_{\substack{|\tilde{u}_x\rangle = \sum_j |j, x_j\rangle \otimes |u_{xj}\rangle \\ |\tilde{v}_x\rangle = \sum_j |j, \bar{x}_j\rangle \otimes |v_{xj}\rangle}} \max_x \max \left\{ \|\tilde{u}_x\|^2, \|\tilde{v}_x\|^2 \right\}$$

s.t.  $\forall x, y \quad \langle \tilde{u}_x | \tilde{v}_y \rangle = 1 - \delta_{f(x), f(y)}$

2. Let  $\mathcal{H} = \mathbb{C}^{\{r\}} \oplus \mathbb{C}^E \oplus (\mathbb{C}^n \otimes \mathbb{C}^2 \otimes \mathbb{C}^m)$

$$|\psi_y\rangle = |r\rangle + |f(y)\rangle + \frac{1}{10\sqrt{W}} |\tilde{u}_y\rangle \in \mathcal{H}$$

$\Delta =$  projection onto  $\text{span}\{\psi_y\}$

$$\Pi_x = I - \sum_j |j\rangle\langle j| \otimes |\bar{x}_j\rangle\langle \bar{x}_j| \otimes I_m$$

3. Starting at  $r$ , alternate reflections about  $\Delta$  and  $\Pi_x \dots$

$$|\tilde{u}_x\rangle = \sum_j |j, x_j\rangle \otimes |u_{xj}\rangle$$

$$|\tilde{v}_x\rangle = \sum_j |j, \bar{x}_j\rangle \otimes |v_{xj}\rangle$$

$$|\psi_y\rangle = |r\rangle + |f(y)\rangle + \frac{1}{10\sqrt{W}} |\tilde{u}_y\rangle$$

$$\Delta = \text{proj. onto span}\{\psi_y\}$$

$$\Pi_x = I - \sum_j |j, \bar{x}_j\rangle \langle j, \bar{x}_j| \otimes I_m$$

$$\sqrt{W} = \max_x \max \{ \|\tilde{u}_x\|, \|\tilde{v}_x\| \}$$

$$\langle \tilde{u}_x | \tilde{v}_y \rangle = 1 - \delta_{f(x), f(y)}$$

Lemma:

$$\vec{v} \in \Delta^\perp \Rightarrow \|P_\Theta \Pi \vec{v}\| \leq \Theta \|\vec{v}\|$$

---

**The analysis:**

$$|r\rangle = \frac{1}{2} (|r\rangle + |f(x)\rangle) + \frac{1}{2} (|r\rangle - |f(x)\rangle)$$

$$|\tilde{u}_x\rangle = \sum_j |j, x_j\rangle \otimes |u_{xj}\rangle$$

$$|\tilde{v}_x\rangle = \sum_j |j, \bar{x}_j\rangle \otimes |v_{xj}\rangle$$

$$|\psi_y\rangle = |r\rangle + |f(y)\rangle + \frac{1}{10\sqrt{W}} |\tilde{u}_y\rangle$$

$\Delta = \text{proj. onto span}\{\psi_y\}$

$$\Pi_x = I - \sum_j |j, \bar{x}_j\rangle \langle j, \bar{x}_j| \otimes I_m$$

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---

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$$|r\rangle = \frac{1}{2} \left( \underbrace{|r\rangle + |f(x)\rangle}_{\text{close to } |\psi_x\rangle} \right) + \frac{1}{2} \left( |r\rangle - |f(x)\rangle \right)$$

close to  $|\psi_x\rangle$

–doesn't move

$$|\tilde{u}_x\rangle = \sum_j |j, x_j\rangle \otimes |u_{xj}\rangle$$

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The analysis:

$$\begin{aligned}
 |r\rangle &= \frac{1}{2} \underbrace{(|r\rangle + |f(x)\rangle)}_{\substack{\text{close to } |\psi_x\rangle \\ \text{--doesn't move}}} + \frac{1}{2} \underbrace{(|r\rangle - |f(x)\rangle)}_{=} \\
 &= \Pi_x (|r\rangle - |f(x)\rangle - 10\sqrt{W} |\tilde{v}_x\rangle)
 \end{aligned}$$

$$|\tilde{u}_x\rangle = \sum_j |j, x_j\rangle \otimes |u_{xj}\rangle$$

$$|\tilde{v}_x\rangle = \sum_j |j, \bar{x}_j\rangle \otimes |v_{xj}\rangle$$

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 &= \Pi_x \left( |r\rangle - |f(x)\rangle - 10\sqrt{W} |\tilde{v}_x\rangle \right) \\
 &\stackrel{\text{Lemma}}{=} \vec{v} \in \Delta^\perp
 \end{aligned}$$



$$|\tilde{u}_x\rangle = \sum_j |j, x_j\rangle \otimes |u_{xj}\rangle$$

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$$|\psi_y\rangle = |r\rangle + |f(y)\rangle + \frac{1}{10\sqrt{W}} |\tilde{u}_y\rangle$$

$\Delta = \text{proj. onto span}\{\psi_y\}$

$$\Pi_x = I - \sum_j |j, \bar{x}_j\rangle \langle j, \bar{x}_j| \otimes I_m$$

$$\sqrt{W} = \max_x \max \{ \|\tilde{u}_x\|, \|\tilde{v}_x\| \}$$

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$$|r\rangle = \frac{1}{2} \underbrace{(|r\rangle + |f(x)\rangle)}_{\text{close to } |\psi_x\rangle} + \frac{1}{2} \underbrace{(|r\rangle - |f(x)\rangle)}_{\text{--doesn't move}}$$

close to  $|\psi_x\rangle$   
--doesn't move

$$= \Pi_x (|r\rangle - |f(x)\rangle - 10\sqrt{W} |\tilde{v}_x\rangle)$$

$$\stackrel{\approx}{=} \vec{v} \in \Delta^\perp$$

$\Rightarrow \Omega(1/W)$  effective spectral gap

$$|\tilde{u}_x\rangle = \sum_j |j, x_j\rangle \otimes |u_{xj}\rangle$$

$$|\tilde{v}_x\rangle = \sum_j |j, \bar{x}_j\rangle \otimes |v_{xj}\rangle$$

$$|\psi_y\rangle = |r\rangle + |f(y)\rangle + \frac{1}{10\sqrt{W}} |\tilde{u}_y\rangle$$

$\Delta = \text{proj. onto span}\{\psi_y\}$

$$\Pi_x = I - \sum_j |j, \bar{x}_j\rangle \langle j, \bar{x}_j| \otimes I_m$$

$$\sqrt{W} = \max_x \max \{ \|\tilde{u}_x\|, \|\tilde{v}_x\| \}$$

$$\langle \tilde{u}_x | \tilde{v}_y \rangle = 1 - \delta_{f(x), f(y)}$$

**Lemma:**

$$\vec{v} \in \Delta^\perp \Rightarrow \|P_\Theta \Pi \vec{v}\| \leq \Theta \|\vec{v}\|$$

**The analysis:**

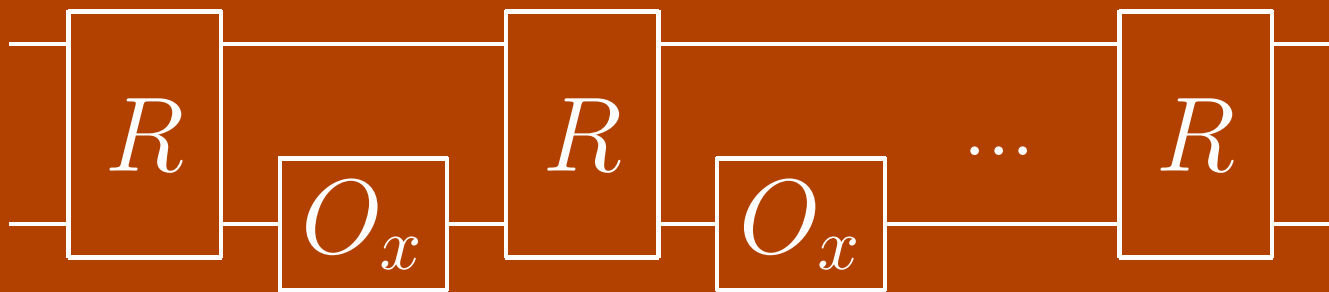
$$\begin{aligned}
 |r\rangle &= \frac{1}{2} \underbrace{\left( |r\rangle + |f(x)\rangle \right)}_{\substack{\text{close to } |\psi_x\rangle \\ \text{-doesn't move}}} + \frac{1}{2} \underbrace{\left( |r\rangle - |f(x)\rangle \right)}_{\substack{\text{close to } |\tilde{v}_x\rangle \\ \text{-doesn't move}}} \\
 &= \Pi_x \left( |r\rangle - |f(x)\rangle - 10\sqrt{W} |\tilde{v}_x\rangle \right) \\
 &\stackrel{\text{Lemma}}{\approx} \vec{v} \in \Delta^\perp
 \end{aligned}$$

$\Rightarrow \Omega(1/W)$  effective spectral gap

$\Rightarrow$  Running phase estimation with precision  $1/100W$ ,

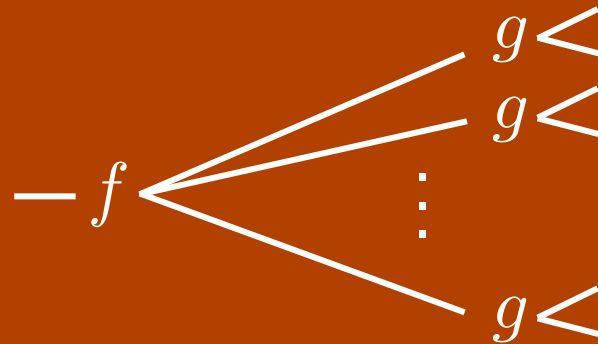
with prob.  $\approx 1/2$  measure eigenvalue 1, leaving  $\frac{1}{\sqrt{2}} (|r\rangle + |f(x)\rangle)$ !

# Corollaries



# How does query complexity change under **composition**?

Model: For  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ ,  $g : \{0, 1\}^m \rightarrow \{0, 1\}$   
let  $f \bullet g$  be the function  $f \circ (g, g, \dots, g)$



- Deterministic query complexity

$$D(f \bullet g) = D(f)D(g)$$

- Randomized

$$R(f \bullet g) \leq R(f)R(g) \cdot O(\log n)$$

- Certificate complexity

$$C(f \bullet g) \leq C(f)C(g)$$

(can be  $\ll$ )

Model: For  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ ,  $g : \{0, 1\}^m \rightarrow \{0, 1\}$   
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- Deterministic query complexity  $D(f \bullet g) = D(f)D(g)$
- Certificate complexity  $C(f \bullet g) \leq C(f)C(g)$   
(can be  $\ll$ )
- Randomized  $R(f \bullet g) \leq R(f)R(g) \cdot O(\log n)$

## • Quantum query complexity

Claim:  $\text{Adv}^\pm(f \bullet g) = \text{Adv}^\pm(f)\text{Adv}^\pm(g)$

$$\Rightarrow Q(f \bullet g) = \Theta(Q(f)Q(g))$$

$$\Rightarrow Q(f_1 \bullet f_2 \bullet \dots \bullet f_d) = \Theta(\text{Adv}^\pm(f_1) \cdots \text{Adv}^\pm(f_d))$$

Proof idea for  $\leq$  direction:

Let  $u, v$  and  $\mu, \nu$  be vector  $\text{Adv}^\pm$  solutions for  $f$  and for  $g$

Then “ $u \otimes \mu$ ”, “ $v \otimes \nu$ ” is a solution for  $f \bullet g$

if domain  $D \subseteq \{0, 1\}^n$ :

$$\begin{aligned} |\tilde{u}_x\rangle &= \sum_j |j, x_j\rangle \otimes |u_{xj}\rangle & \Rightarrow \langle \tilde{u}_x | \tilde{v}_y \rangle &= \sum_{j: x_j \neq y_j} \langle u_{xj} | v_{xj} \rangle \\ |\tilde{v}_y\rangle &= \sum_j |j, \bar{y}_j\rangle \otimes |v_{xj}\rangle \end{aligned}$$

if  $D \subseteq \{1, 2, \dots, k\}^n$ :

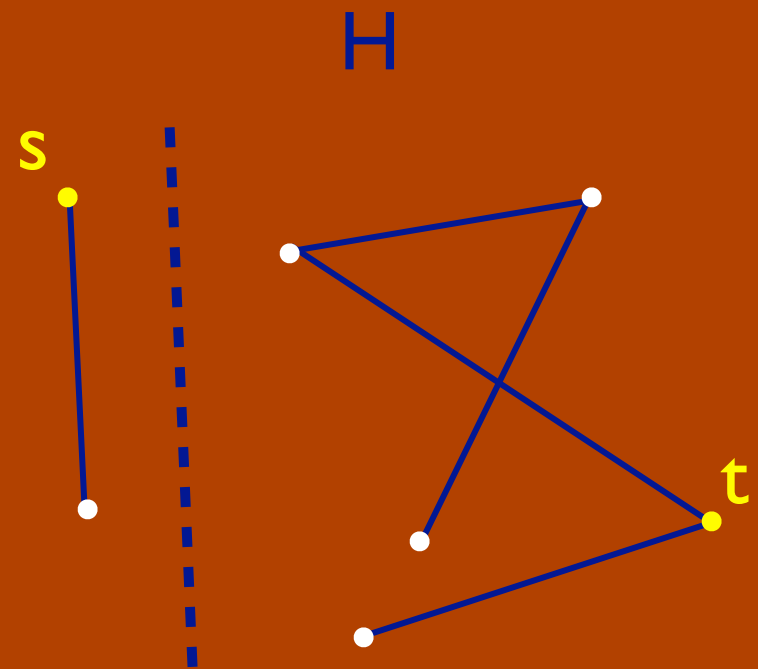
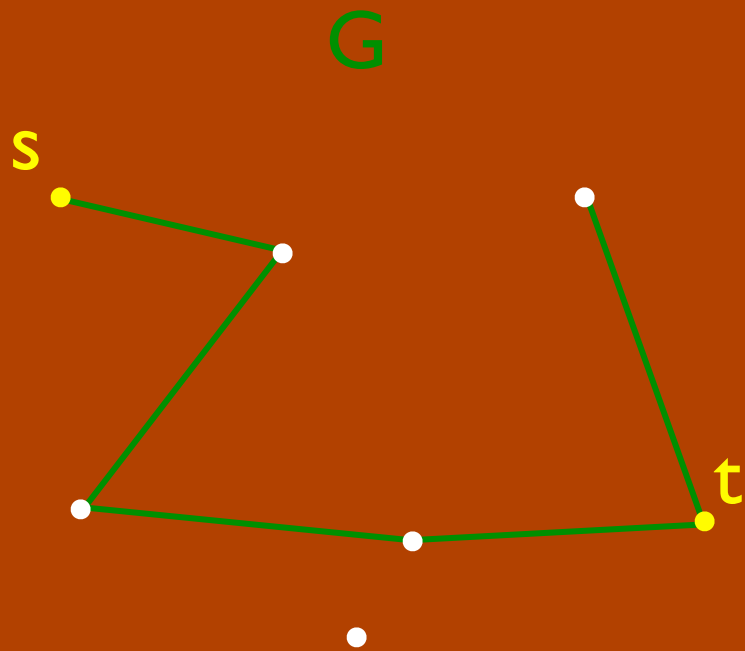
let  $|\mu_b\rangle = |0\rangle + |b\rangle$ ,  $|\nu_b\rangle = |0\rangle - |b\rangle$

so  $\langle \mu_b | \nu_{b'} \rangle = 1 - \delta_{b, b'}$

$$|\tilde{u}_x\rangle = \sum_j |j, \mu_{x_j}\rangle \otimes |u_{xj}\rangle \quad |\tilde{v}_y\rangle = \sum_j |j, \nu_{y_j}\rangle \otimes |v_{yj}\rangle$$

Time complexity:  
s-t Connectivity



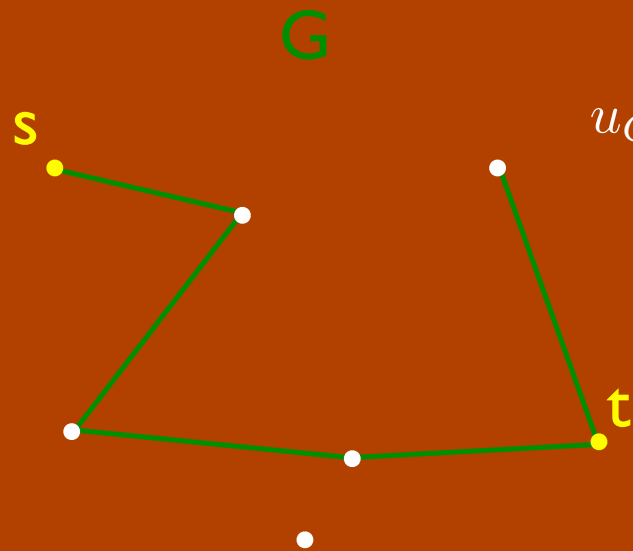


$$u_{Ge} = \begin{cases} 1 & \text{path crosses } e \text{ to the right} \\ -1 & \text{path crosses } e \text{ to the left} \end{cases}$$

$$u_{He} = 1 \text{ across the cut}$$

$$\Rightarrow \sum_{e:e \in G, e \notin H} \langle u_{Ge} | u_{He} \rangle = (\# \text{ right crossings}) - (\# \text{ left crossings}) = 1$$

$$\Rightarrow \text{Adv}^{\pm}(f) \leq n^{3/2}$$

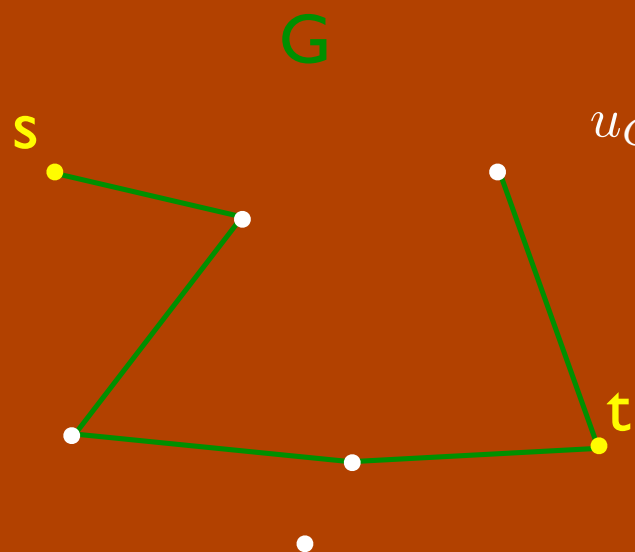


$$u_{Ge} = \begin{cases} 1 & e \in \text{path} \\ -1 & -e \in \text{path} \end{cases}$$

$\Delta =$  projection onto  $\text{span}\{\psi_x\}$

$$|\psi_x\rangle = |r\rangle + |f(x)\rangle + \frac{1}{10\sqrt{W}} |\tilde{u}_x\rangle$$

$$|\tilde{u}_x\rangle = \sum_j |j, x_j\rangle \otimes |u_{xj}\rangle$$

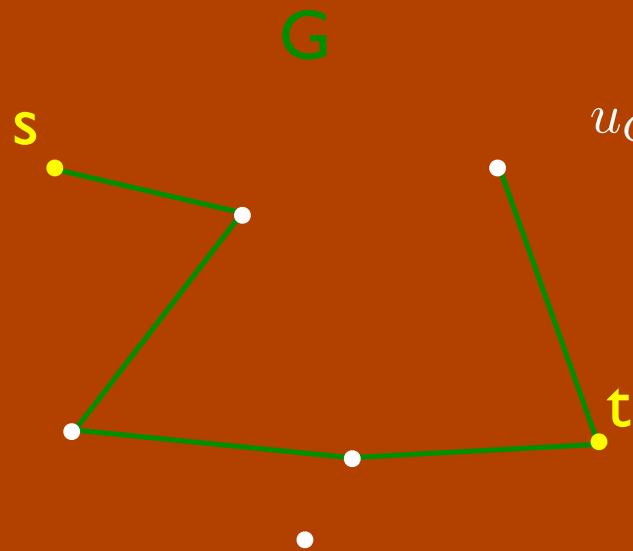


$$u_{Ge} = \begin{cases} 1 & e \in \text{path} \\ -1 & -e \in \text{path} \end{cases}$$

$\Delta = \text{projection onto } \text{span}\{\psi_G\}$

$$|\psi_G\rangle = |r\rangle + |f(G)\rangle + \frac{1}{10\sqrt{W}} |\tilde{u}_G\rangle$$

$$|\tilde{u}_G\rangle = \sum_e |e, G_e\rangle \otimes |u_{Ge}\rangle$$



$$u_{Ge} = \begin{cases} 1 & e \in \text{path} \\ -1 & -e \in \text{path} \end{cases}$$

$\Delta = \text{projection onto } \text{span}\{\psi_G : f(G) = 1\}$

~~$$|\psi_G\rangle = |r\rangle + |f(G)\rangle + \frac{1}{10\sqrt{W}} |\tilde{u}_G\rangle$$~~

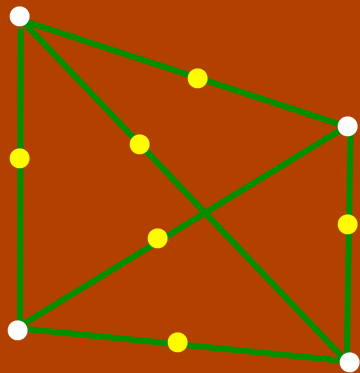
~~$$|\tilde{u}_G\rangle = \sum_e |e, G_e\rangle \otimes |u_{Ge}\rangle$$~~

$$|\tilde{u}_G\rangle = \sum_e u_{Ge} |e\rangle$$

$\Rightarrow \Delta \sim \text{proj. onto balanced } s\text{-}t \text{ flows in } K_n$

## Implementing the reflection about the set of balanced flows in $K_n$

$$\text{Flow} = |\psi\rangle \in \mathbb{C}^E \text{ s.t. } \forall v, |\psi\rangle \perp \sum_w |(v, w)\rangle$$

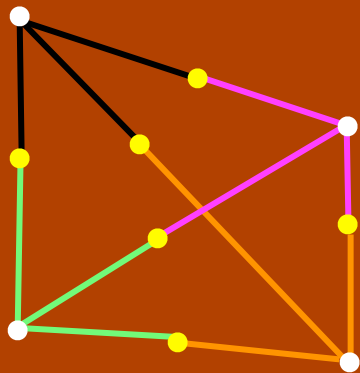


### I. **Factor** the solution

- into constraints on original vertices  
& on edge vertices—now commuting

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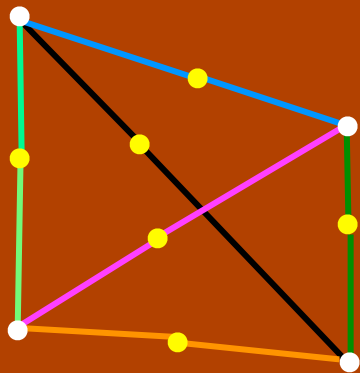


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### 2. Apply Jordan's lemma (**Szegedy**)

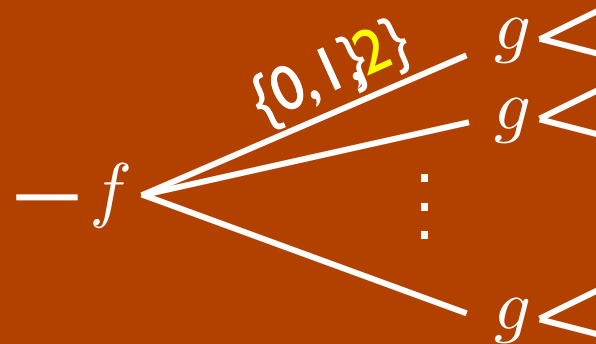
3. Use phase estimation to **isolate**  
the  $+1$  eigenspace, reflect, uncompute

Open problems



- More quantum algorithms

- Composition lower bounds with a non-boolean intermediate space



e.g., if  $f$  only depends on the input parities &  $g$  outputs even numbers

$$Q(f \bullet g) = 0 \ll Q(f)Q(g)$$

- Strong direct-product thms. for evaluating multiple indep. functions?

$$Q((g, g, \dots, g)) = Q(\mathbf{1}_n \bullet g) = \Theta(nQ(g))$$

- Largest possible classical/quantum gap on total functions or sufficiently symmetrical functions

- Other query questions:

Evaluating relations

State generation

Query complexity with a bounded-error oracle

## References

- Lower bound survey: Høyer & Špalek 0509153
- Adversary bound
  - Bennett, Bernstein, Brassard, Vazirani 9701001
  - Ambainis '00
  - Høyer, Neerbek, Shi '02
  - Ambainis 0305028
  - Barnum, Saks & Szegedy '03
  - Laplante & Magniez 0311189
  - Zhang 0311060
  - Špalek & Szegedy 0409116
  - Barnum, Saks '04
- Multiplicative adversary: Ambainis 0508200; Ambainis, Špalek, de Wolf '06; Špalek 0703237; Ambainis, Magnin, Roetteler, Roland 1012.2112
- General adversary bound: Høyer, Lee, Špalek 0611054
- Today's proofs: Lee, Mittal, Reichardt, Špalek '10?

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